

1 **A tale of two paths: The evolution of mitochondrial recombination in bivalves with doubly
2 uniparental inheritance**

3 Chase H. Smith^{1*}, Brendan J. Pinto^{2,3}, Mark Kirkpatrick¹, David M. Hillis¹, John M. Pfeiffer⁴,
4 Justin C. Havird¹

5 ¹Department of Integrative Biology, University of Texas, Austin, TX, USA

6 ²Center for Evolutionary Medicine & Public Health, Arizona State University, Tempe, AZ, USA

7 ³Department of Zoology, Milwaukee Public Museum, Milwaukee, WI

8 ⁴National Museum of Natural History, Smithsonian Institution, Washington, DC, USA

9 *Correspondence: chase.smith@austin.utexas.edu

10 Running Head: *Mitochondrial recombination in bivalves*

11 **Abstract**

12 In most animals, mitochondrial DNA is strictly maternally inherited and non-recombining. One
13 exception to these assumptions is called doubly uniparental inheritance (DUI): a phenomenon
14 involving the independent transmission of female and male mitochondrial genomes. DUI is
15 known only from the molluscan class Bivalvia. The phylogenetic distribution of male
16 mitochondrial DNA in bivalves is consistent with several evolutionary scenarios, including
17 multiple independent gains, losses, and varying degrees of recombination with female
18 mitochondrial DNA. In this study, we use phylogenetic methods to test male mitochondrial DNA
19 origination hypotheses and infer the prevalence of mitochondrial recombination in bivalves with
20 DUI. Phylogenetic modeling using site concordance factors supported a single origin of male
21 mitochondrial DNA in bivalves coupled with recombination acting over long evolutionary
22 timescales. Ongoing mitochondrial recombination is present in Mytilida and Venerida, which
23 results in a pattern of concerted evolution of female and male mitochondrial DNA.
24 Mitochondrial recombination could be favored to offset the deleterious effects of asexual
25 inheritance and maintain mitonuclear compatibility across tissues. Cardiida and Unionida have
26 gone without recent recombination, possibly due to an extension of the *COX2* gene in male
27 mitochondrial DNA. The loss of recombination may be neutral but could be connected to the role
28 of M mtDNA in sex determination or sexual development. Our results support recombination
29 events in DUI species may occur throughout their genomes. Future investigations may reveal
30 more complex patterns of inheritance of recombinants, which could explain the retention of
31 signal for a single origination of male mitochondrial DNA in protein coding genes.

32

33 **Keywords:** site concordance factors, selection, concerted evolution, mitonuclear coevolution

34 **Introduction**

35 Mitochondria are found in almost all eukaryotic cells and possess their own independently
36 inherited mitochondrial DNA (mtDNA). Typically, animal mtDNA is ~16 kb long and contains
37 37 genes (13 protein-coding, two rRNAs, and 22 tRNAs) and a control region (a non-coding
38 region that often contains the origin of replication) (Boore 1999). In most bilaterian animals,
39 mtDNA is assumed to be strictly maternally inherited and non-recombining. However,
40 exceptions to these generalizations have been documented across multiple phyla (Piganeau,
41 Gardner and Eyre-Walker 2004; Barr, Neiman and Taylor 2005; Tsaousis *et al.* 2005; Ghiselli *et*
42 *al.* 2021). One such exception occurs in molluscan bivalves, where several lineages show doubly
43 uniparental inheritance (DUI). This unusual mode of mitochondrial inheritance is characterized
44 by the transmission of two mitochondrial genomes, one passed by females to all offspring and a
45 second passed by males to only male offspring (Hoeh, Blakley and Brown 1991; Skibinski,
46 Gallagher and Beynon 1994). Females only possess F-mtDNA, while males are globally
47 heteroplasmic in their somatic tissues and exclusively possess M mtDNA in their sperm (Breton
48 *et al.* 2017, 2022; Ghiselli *et al.* 2019; Bettinazzi *et al.* 2020).

49 Doubly uniparental inheritance has been described from five bivalve orders: Cardiida,
50 Mytilida, Nuculanida, Unionida, and Venerida (Gusman *et al.* 2016; Capt *et al.* 2020). Although
51 the phylogenetic distribution is thought to be well characterized (Fig. 1), the origin and evolution
52 of many aspects of DUI remains poorly understood. For example, there are conflicting
53 hypotheses regarding whether male (M) mtDNA has originated once and has been lost multiple
54 times (Stewart *et al.* 2009, 2021; Doucet-Beaupré *et al.* 2010), or if it has originated
55 independently multiple times (Hoeh *et al.* 1996; Maeda *et al.* 2021). Uncertainty stems from
56 inconsistent phylogenetic relationships between female (F) and M mtDNA, and non-monophyly
57 of M mtDNA. Phylogenetic relationships between F and M mtDNA in DUI taxa exhibit two
58 distinct patterns. Female and M mtDNA are reciprocally monophyletic across species in some
59 orders, while they show sister relationships within a species in others. In other words, M mtDNA
60 is non-monophyletic across all DUI species but shows topologies consistent with a single
61 origination in some lineages (Unionida), independent originations in others (Mytilida,
62 Nuculanida, Venerida), or has not been examined in more than one species (Cardiida) in yet
63 others (Breton, Stewart and Blier 2009; Gusman *et al.* 2016). Depending on the lineage, F and M
64 mtDNA genes can be up to 90% identical (Mytilida and Venerida) or differ by more than 50% in

65 their amino acid sequences (Unionida) (Mizi, Zouros and Rodakis 2006; Breton *et al.* 2007;
66 Breton, Stewart and Blier 2009; Gusman *et al.* 2016).

67 Recombination events between F and M mtDNA have been documented in several DUI
68 species (*Mytilus* spp. and *Ruditapes philippinarum*) (Ladoukakis and Zouros 2001; Burzyński *et*
69 *al.* 2003; Passamonti, Boore and Scali 2003; Filipowicz *et al.* 2008; Ladoukakis *et al.* 2011).
70 These events are similar to homologous recombination in bacteria, where novel fragments from
71 the donor genome replace existing homologous genetic material in the recipient genome (Spratt
72 *et al.* 1992). In *Mytilus*, mitochondrial recombination often precipitates a “role-reversal” in
73 which the F mtDNA receives a M control region and is subsequently transmitted as M mtDNA
74 (Cao *et al.* 2004; Mizi, Zouros and Rodakis 2006; Stewart *et al.* 2009; Kyriakou *et al.* 2015). In
75 this event, recombination erases divergence between the rest of the F and M mtDNA genes (e.g.,
76 those involved with oxidative phosphorylation (OXPHOS)). This recombination also results in a
77 phylogenetic pattern of concerted evolution in OXPHOS genes, which could cause the observed
78 conflict in sequence divergence and topologies of F and M mtDNA between DUI lineages
79 (Stewart *et al.* 2009; Gusman *et al.* 2016). Recombination events have also been documented to
80 occur in other areas of mtDNA in DUI species (Burzyński *et al.* 2003; Passamonti, Boore and
81 Scali 2003), including within OXPHOS genes (Ladoukakis and Zouros 2001; Ladoukakis *et al.*
82 2011). If occasional recombination in OXPHOS genes has occurred throughout the evolutionary
83 history of bivalves, certain OXPHOS genes could retain sites informative about the origin of M
84 mtDNA, but signal from these sites has likely been masked when using concatenation-based
85 methods. Recent advances in site-based methodologies that estimate concordance at the level of
86 individual sites, including the site concordance factor (Minh, Hahn and Lanfear 2020), are
87 therefore useful for investigating the origin of M mtDNA.

88 Mitochondrial recombination is well-documented in Mytilida and Venerida, but
89 recombination is apparently absent in Unionida. This may be due a large extension in the *COX2*
90 gene in the M mtDNA or the presence of sex-specific open reading frames (*orfs*) in the F and M
91 mtDNA (Stewart *et al.* 2009; Breton *et al.* 2011; Gusman *et al.* 2016). Most DUI bivalves exhibit
92 extensions to the *COX2* gene in the M mtDNA, ranging from ~300 bp to 4.5 kb (Curole and
93 Kocher 2002; Bettinazzi, Plazzi and Passamonti 2016; Capt *et al.* 2020), which have been
94 hypothesized to serve as a tag for cells or organelles harboring M mtDNA (Chakrabarti *et al.*
95 2007). Sex-specific *orfs* likely originated via duplication and have been confirmed to code for

96 proteins in Mytilida, Unionida, and Venerida (Breton *et al.* 2011; Milani *et al.* 2014; Ouimet *et*
97 *al.* 2020). Although their function is uncertain, it is hypothesized *orfs* are involved in sex
98 determination or sexual development (Breton *et al.* 2011, 2022; Milani *et al.* 2014; Guerra *et al.*
99 2019; Ouimet *et al.* 2020). Although *COX2* extensions and sex-specific *orfs* are found in most
100 DUI lineages, they have been comparably evolutionarily conserved across Unionida (Curole and
101 Kocher 2002; Guerra *et al.* 2019), suggesting one of these two characteristics may explain why
102 recombination is selected against.

103 In this study, we revisit the related issues of the origins of M mtDNA and recombination
104 in mtDNA. Specifically, we use phylogenetic methods to 1) investigate the number of origins of
105 M mtDNA, 2) infer the prevalence of mitochondrial recombination, and 3) investigate the
106 potential drivers or inhibitors of mtDNA recombination. Our findings support a single
107 origination of M mtDNA in bivalves with occasional recombination events causing observed
108 non-monophyly of M mtDNA using concatenation-based methods.

109

110 **Materials and Methods**

111 *Phylogenetic distribution of doubly uniparental inheritance*

112 To provide an overview of the phylogenetic distribution of DUI in bivalves, we downloaded the
113 phylogeny presented in Combosch *et al.* (2017). We collapsed the phylogeny to the family-level
114 (93 families; see Table S1) and compiled DUI reports from the literature (Theologidis *et al.*
115 2008; Gusman *et al.* 2016; Capt *et al.* 2020).

116

117 *Mitogenomic dataset and phylogenetic analyses*

118 We downloaded M and F mitogenomes for 37 DUI species and 10 representative orders in
119 Bivalvia from the NCBI nucleotide collection (Table S2). *Octopus bimaculatus* (Cephalopoda)
120 was used as an outgroup. In cases where annotations of mitogenomes were incomplete, we used
121 MITOS2 (Bernt *et al.* 2013) to identify protein-coding genes. We excluded *ATP8* due to missing
122 data across most species and a partial portion of *COX2* for *Limecola balthica* and *Scrobicularia*
123 *plana* (Cardiida) M mtDNA due to a large insertion (Capt *et al.* 2020). Protein-coding genes
124 were aligned using MACSE v 2.05 (Ranwez and Douzery 2018). We then concatenated the 12
125 mitochondrial genes and removed all sites with missing data. The resulting concatenated
126 alignment was used for phylogenetic analysis and consisted of 83 sequences represented by

127 2,622 amino acids (File S1). A phylogeny was estimated in IQ-TREE v 2.2.0.3 (Minh *et al.*
128 2020) using 10 independent runs. ModelFinder (Kalyaanamoorthy *et al.* 2017) was used to select
129 the best amino acid model of evolution (mtInv+F+I+G4) and 10^3 ultrafast bootstrap replicates
130 were used to assess nodal support (Hoang *et al.* 2018).

131 We used site concordance factors (Minh, Hahn and Lanfear 2020) to test M mtDNA
132 origination hypotheses. Briefly, site concordance factors measure the percentage of sites
133 supporting a certain branch in a phylogeny. Hypotheses can be tested by comparing observed site
134 concordance factors with a distribution of site concordance factors from data simulated under a
135 given phylogenetic hypothesis (e.g., Hibbins, Gibson and Hahn 2020). We used site concordance
136 factors from both individual genes and a concatenated alignment of all genes to test two
137 hypotheses: 1) ten independent originations of M mtDNA (as supported by concatenation
138 methods; Fig. 2), and 2) a single origination of M mtDNA. Specifically, our methodology
139 evaluated these two hypotheses by directly comparing observed site concordance factors for a
140 single origination of M mtDNA to a distribution of site concordance factors for a single
141 origination of M mtDNA that could occur by chance under multiple origins. To generate
142 distributions of site concordance factors for hypothesis testing from the concatenated dataset and
143 each gene independently, we used AliSim (Ly-Trong *et al.* 2022) to simulate 10^3 amino acid
144 datasets based on the resolved topology from each empirical alignment using the best model of
145 amino acid evolution as determined by ModelFinder. We chose to use AliSim over other
146 methods (e.g., Seq-Gen, Dawg, INDELible) to account for the non-independence of mtDNA
147 substitutions. Next, we used Mesquite v 3.3.1 (Maddison and Maddison 2017) to create a
148 topology from the concatenated analysis that enforced the monophyly of all M mtDNA while
149 retaining branch length information (Fig. S1; File S2). We then calculated site concordance
150 factors for all empirical and simulated datasets using 100 quartets. With those, we gathered site
151 concordance factors for the branch coinciding to a single origin of M mtDNA (Fig. S1) and used
152 one-tailed tests (with $p = 0.05$) to determine if the observed site concordance factor was
153 significantly larger than expected under 10 independent originations.

154 We investigated the hypothesis that the lack of recent recombination in Cardiida and
155 Unionida is a result of intensified selection on M mtDNA genes that have adapted to male
156 functions. We chose to perform this test in Cardiida given we resolved a similar phylogenetic
157 pattern between F and M mtDNA as Unionida (Fig. 2). We used RELAX (Wertheim *et al.* 2015)

158 in HyPhy v 2.5.25 (Pond, Frost and Muse 2005) with a concatenated nucleotide alignment of 12
159 M mtDNA genes (File S3; Table S3) to test if selection on M mtDNA in Cardiida and Unionida
160 was significantly different than Mytilida and Venerida. Considering extensions to the *COX2*
161 gene in the M mtDNA are shared in Cardiida and Unionida and hypothesized to be a proximate
162 cause of the absence of recombination, we also used RELAX independently on a nucleotide
163 alignment of M mtDNA *COX2* gene (File S4; Table S3). Codons with missing or ambiguous
164 data in each alignment were removed. Likelihood ratio tests were used to evaluate models with a
165 significance level of $p = 0.05$.

166

167 *Estimation of recombination frequency*

168 To estimate the frequency of recombination, we estimated divergence times between F and M
169 mtDNA lineages. We used BEAST v 2.6.7 (Bouckaert *et al.* 2019) with a concatenated
170 nucleotide alignment of 12 F and M mtDNA genes for all taxa sampled in Mytilida (File S5;
171 Table S4), where recombination between M and F mtDNA has been observed and reliable fossil
172 calibrations are available. Codons with missing or ambiguous data in each alignment were
173 removed. The best fit model of nucleotide evolution for each codon position was selected by
174 ModelFinder, a relaxed molecular clock was fit to each codon position, and a calibrated Yule
175 process was used as the tree prior. We enforced priors that date the MRCA of F and M mtDNA
176 for *Mytilus edulis*, *M. galloprovincialis*, and *M. trossulus* between 3.1 and 4.8 Mya (Rawson and
177 Harper 2009). The analysis was run for 10^8 MCMC generations with an initial 10% burn-in.
178 Tracer v1.7.1 (Rambaut *et al.* 2018) was used to determine the appropriate burn-in value and
179 ensure convergence of all parameters (ESS > 200), and a maximum clade credibility tree was
180 created using TREEANNOTATOR v 2.6 (Bouckaert *et al.* 2019). To get a rough estimate of the
181 timing of recombination events, we calculated an average divergence time between putatively
182 recombinant F and M mtDNA lineages.

183

184 **Results and Discussion**

185 Phylogenetic reconstruction based on the concatenated alignment of 12 of the 13 mitochondrial
186 protein coding OXPHOS genes showed non-monophyly of M mtDNA across bivalves (Fig. 2;
187 File S6), as shown previously (Hoeh *et al.* 1996; Gusman *et al.* 2016; Maeda *et al.* 2021). While
188 this topology has been previously interpreted as consistent with multiple origins or losses of M

189 mtDNA (Hoeh *et al.* 1996; Stewart *et al.* 2009; Doucet-Beaupré *et al.* 2010; Gusman *et al.* 2016;
190 Maeda *et al.* 2021), it is also consistent with concerted evolution due to recombination between F
191 and M mtDNA in Mytilida and Venerida, and a lack of recombination in Cardiida and Unionida
192 (Fig. 2). As has been seen in previous studies (Gusman *et al.* 2016; Maeda *et al.* 2021), we found
193 that F and M mtDNA within species in Mytilida and Venerida are generally sister, which is
194 expected under the hypothesis of recombination between F and M mtDNA. One exception is
195 *Mytilus edulis*, *M. galloprovincialis*, and *M. trossulus* (*Mytilus* spp.) have reciprocally
196 monophyletic F and M mtDNA (Fig. 2), despite the fact that *Mytilus* spp. are known to
197 recombine (Ladoukakis and Zouros 2001; Burzyński *et al.* 2003; Filipowicz *et al.* 2008;
198 Ladoukakis *et al.* 2011). We estimate that recombinant M mtDNA fix less frequently (~11 My;
199 95% CI: 7.3–14.5 My; Fig. S2) than do speciation events in *Mytilus* (~3.1–4.8 Mya). We
200 hypothesize the reciprocal monophyly of F and M mtDNA will appear frequently across the
201 phylogeny of certain DUI bivalve lineages at shallow taxonomic scales when data for additional
202 taxa become available.

203 Mitochondrial recombination in Mytilida and Venerida results in a pattern of concerted
204 evolution of F and M mtDNA, which may be favored to combat the deleterious effects of asexual
205 inheritance and maintain mitonuclear compatibility across tissues (Muller 1964). If there are two
206 sets of highly divergent mtDNAs within the same organism, interacting nuclear genes necessary
207 for proper function may not cooperate efficiently with both mtDNAs, resulting in mitonuclear
208 incompatibility for one mitogenome (Hill 2015). Mitonuclear coevolution has recently been
209 confirmed in bivalves, with highly correlated evolution between mitochondrial and nuclear
210 subunits involved with OXPHOS (Piccinini *et al.* 2021). However, relaxed selection on M
211 mtDNA may be common in DUI bivalves, therefore favoring nuclear coevolution with F over M
212 mtDNAs (Maeda *et al.* 2021). Here we suggest that mitonuclear compatibility may be restored
213 via recombination in some DUI lineages in an analogous process to the "Fountain of Youth"
214 (Perrin 2009). In this process, occasional recombination events are hypothesized to counteract
215 accumulated deleterious mutations in previously non-recombining sex chromosomes (Perrin
216 2009).

217 Analyses of energetic metabolism provide support that mitochondrial recombination may
218 be favored to purge deleterious mutations in M mtDNA. In Mytilida and Venerida, sperm are

219 dependent on OXPHOS to sustain motility (Bettinazzi *et al.* 2019, 2020), which highlights the
220 importance of compatibility between M mtDNA and nuclear genes. Comparative physiological
221 studies in *M. edulis* have shown that recombination events do not have obvious deleterious
222 effects on sperm performance (Everett *et al.* 2004). Rather, recombination may be advantageous
223 because sperm with recently masculinized M mtDNA (i.e., those carrying F mtDNA with M
224 control regions) swim faster than those with ancestral M mtDNA (Jha *et al.* 2007). Sperm
225 swimming velocity has been demonstrated to be correlated with ATP levels in many taxa
226 (Perchech *et al.* 1995; Burness, Moyes and Montgomerie 2005), and ATP production is lower in
227 sperm with M mtDNA than eggs with F mtDNA (Bettinazzi *et al.* 2019). Mitochondrial
228 recombination, therefore, may be favored to maximize M mtDNA ATP production in Mytilida
229 and Venerida by replacing defective M mtDNA OXPHOS genes with more energetically robust
230 F mtDNA OXPHOS genes (Breton, Stewart and Blier 2009). To our knowledge, physiological
231 studies have been limited to Mytilida and Venerida (Bettinazzi *et al.* 2020), and future analogous
232 studies in Cardiida and Unionida may further support our hypothesis.

233 We find a different pattern of phylogenetic relationships of mtDNAs in Unionida when
234 compared to Mytilida and Venerida, consistent with previous studies (Gusman *et al.* 2016). In
235 Unionida, F and M mtDNA are reciprocally monophyletic across species (Fig. 2). A similar
236 relationship was recovered in Cardiida (Fig. 2), albeit based on two species. However, *L.*
237 *balthica* (Cardiida: Tellinidae) and *S. plana* (Cardiida: Semelidae) are estimated to have diverged
238 at or near the Cretaceous–Palogene boundary (~66 Mya) (Crouch *et al.* 2021), far greater than
239 our estimated frequency of recombinant fixation in Mytilida (~11 My). Therefore, our data is
240 consistent with the absence of recent recombination between F and M mtDNA in both Cardiida
241 and Unionida. We hypothesize mitochondrial recombination was the plesiomorphic condition of
242 DUI species and was independently lost in these lineages. This is because M mtDNA in Cardiida
243 and Unionida would be monophyletic had recombination independently originated in Mytilida
244 and Venerida. One possible explanation for the loss of recombination in Cardiida and Unionida
245 involves a large extension of *COX2* in the M mtDNA (Curole and Kocher 2002), which is
246 hypothesized to promote gender-specific mitochondrial localizations (Chakrabarti *et al.* 2007).
247 Recombination between F and M mtDNA could disrupt proper localization and therefore be
248 selected against.

249 Although large extensions to *COX2* may be a proximate cause for the loss of
250 recombination in Cardiida and Unionida, its adaptive significance remains unclear. If *COX2* or
251 additional M mtDNA genes are adapted to certain male functions, those adapted features could
252 be lost following recombination with F mtDNA. Were this the case, we might expect to see
253 intensified selection on *COX2* and M mtDNA genes in Cardiida and Unionida compared to
254 Mytilida and Venerida. Our analyses reject this hypothesis, and in fact indicate significant
255 evidence of relaxed selection in Cardiida and Unionida (*COX2*: $K = 0.71$, $p = 0.001$; 12 genes: K
256 = 0.44, $p < 0.001$; Table S5). Another possible explanation for the loss of recombination is that
257 mtDNA may have a role in sex determination, particularly in Unionida (Breton *et al.* 2011).
258 Unlike other bivalve lineages with DUI, some families in Unionida (i.e., Margaritiferidae and
259 Unionidae) have evolutionarily conserved sex-specific *orfs* (F-*orf* and M-*orf*) that have been
260 confirmed to code for proteins (Breton *et al.* 2011). Additionally, hermaphroditism has evolved
261 multiple times in these lineages, and each transition is often associated with the origin of a F-like
262 mtDNA that has a hermaphrodite-specific *orf* (Breton *et al.* 2011 but see Soroka and Burzyński
263 2017). This suggests mtDNA *orfs* are associated with sexual transitions in Unionida and may
264 have a role in sex determination or sexual development (Breton *et al.* 2011, 2014, 2022).
265 Recombination between F and M mtDNA would therefore be deleterious, albeit we recognize
266 this explanation may be limited to the families Margaritiferidae and Unionidae.

267 In principle, gene trees could be used to determine the number of origins of M mtDNA.
268 In the absence of mitochondrial recombination, a single origin of M mtDNA would result in
269 reciprocal monophyly of F and M mtDNA across DUI species. However, it is unlikely that gene
270 trees with the appropriate topology will be observed when there is recombination. Therefore, our
271 phylogenetic reconstruction (Fig. 2) is consistent with either multiple origins of M mtDNA (up
272 to 10) or a single origination of M mtDNA with recombination acting in a lineage-specific
273 manner over long evolutionary timescales. We tested these hypotheses using site concordance
274 factors, which supported a single origination of M mtDNA followed by lineage-specific
275 recombination (Fig. 3; Table S6). Specifically, we found more site-level support for a single
276 origin and can reject multiple origin hypotheses using both an individual OXPHOS gene (*ND1*:
277 $p=0.03$; Fig. 3; Table S6) and a concatenated alignment of 12 genes ($p < 0.001$; Fig. 3; Table
278 S6). Our results agree with hypotheses presented in previous studies (Hoeh *et al.* 1997;
279 Theologidis *et al.* 2008; Stewart *et al.* 2009; Doucet-Beaupré *et al.* 2010; Zouros 2013).

280 Although we can reject multiple origination hypotheses, the retention of signal in protein
281 coding genes for a single origin of M mtDNA remains unclear. Recombination events have been
282 documented to occur throughout mtDNA in DUI species, including within mitochondrial genes,
283 but have been hypothesized to only occur in somatic tissue and not inherited through gametes
284 (Ladoukakis and Zouros 2001; Ladoukakis *et al.* 2011). Given this context, our results suggest
285 this conclusion may be unrealistic. Future investigations across DUI bivalves may reveal more
286 complex patterns of recombination in protein coding genes and inheritance of recombinant
287 mtDNAs, which could explain preserved signal for a single origination of M mtDNA in
288 mitochondrial OXPHOS genes.

289

290 **Conclusion**

291 Our results support a single origination of M mtDNA followed by lineage-specific
292 recombination, which has led to non-monophyly of M mtDNA using concatenation-based
293 methods. Mitochondrial recombination events may occur to counteract the accumulation of
294 deleterious mutations in M mtDNA to restore ATP production but are exclusive to Mytilida and
295 Venerida (based on available data). It remains uncertain why recombination is absent in Cardiida
296 and Unionida, but it may be selected against because of the role of mtDNAs in sex determination
297 or sexual development in these lineages. Future studies into these topics will further contribute to
298 the understanding of DUI and the functional significance of retaining M mtDNA in bivalves.

299

300 **Data Availability Statement**

301 Data used in this study can be found on GenBank with all accession numbers used as part of this
302 research found in Supplementary Materials.

303

304 **Acknowledgments**

305 The authors wish to thank the Havird and Kirkpatrick lab groups for discussions regarding this
306 research. This work was supported by funds awarded to Chase Smith from the University of

307 Texas at Austin Stengl-Wyer Endowment, NIH grant R35-GM142836 awarded to Justin Havird,
308 and NIH grant R01-GM116853 awarded to Mark Kirkpatrick.

309

310 **References**

311 Barr CM, Neiman M, Taylor DR. Inheritance and recombination of mitochondrial genomes in
312 plants, fungi and animals. *New Phytol* 2005;168:39–50.

313 Bernt M, Donath A, Jühling F *et al.* MITOS: Improved *de novo* metazoan mitochondrial genome
314 annotation. *Mol Phylogenet Evol* 2013;69:313–9.

315 Bettinazzi S, Nadarajah S, Dalpé A *et al.* Linking paternally inherited mtDNA variants and
316 sperm performance. *Phil Trans R Soc B* 2020;375:20190177.

317 Bettinazzi S, Plazzi F, Passamonti M. The complete female- and male-transmitted mitochondrial
318 genome of *Meretrix lamarckii*. *PLoS ONE* 2016;11:e0153631.

319 Bettinazzi S, Rodríguez E, Milani L *et al.* Metabolic remodelling associated with mtDNA:
320 insights into the adaptive value of doubly uniparental inheritance of mitochondria. *Proc R
321 Soc B* 2019;286:20182708.

322 Boore JL. Animal mitochondrial genomes. *Nucleic Acids Res* 1999;27:1767–80.

323 Bouckaert R, Vaughan TG, Barido-Sottani J *et al.* BEAST 2.5: An advanced software platform
324 for Bayesian evolutionary analysis. *PLoS Comput Biol* 2019;15:e1006650.

325 Breton S, Beaupré HD, Stewart DT *et al.* The unusual system of doubly uniparental inheritance
326 of mtDNA: isn't one enough? *Trends Genet* 2007;23:465–74.

327 Breton S, Bouvet K, Auclair G *et al.* The extremely divergent maternally- and paternally-
328 transmitted mitochondrial genomes are co-expressed in somatic tissues of two freshwater
329 mussel species with doubly uniparental inheritance of mtDNA. Baruffini E (ed.). *PLoS
330 ONE* 2017;12:e0183529.

331 Breton S, Milani L, Ghiselli F *et al.* A resourceful genome: updating the functional repertoire
332 and evolutionary role of animal mitochondrial DNAs. *Trends Genet* 2014;30:555–64.

333 Breton S, Stewart DT, Blier PU. Role-reversal of gender-associated mitochondrial DNA affects
334 mitochondrial function in *Mytilus edulis* (Bivalvia: Mytilidae). *J Exp Zool (Mol Dev
335 Evol)* 2009;312B:108–17.

336 Breton S, Stewart DT, Brémaud J *et al.* Did doubly uniparental inheritance (DUI) of mtDNA
337 originate as a cytoplasmic male sterility (CMS) system? *BioEssays* 2022;44:2100283.

338 Breton S, Stewart DT, Shepardson S *et al.* Novel protein genes in animal mtDNA: A new sex
339 determination system in freshwater mussels (Bivalvia: Unionoida)? *Mol Biol Evol*
340 2011;28:1645–59.

341 Burness G, Moyes CD, Montgomerie R. Motility, ATP levels and metabolic enzyme activity of
342 sperm from bluegill (*Lepomis macrochirus*). *Comp Biochem Physiol A Mol Integr*
343 *Physiol* 2005;140:11–7.

344 Burzyński A, Zbawicka M, Skibinski DOF *et al.* Evidence for recombination of mtDNA in the
345 marine mussel *Mytilus trossulus* from the Baltic. *Molecular Biology and Evolution*
346 2003;20:388–92.

347 Cao L, Kenchington E, Zouros E *et al.* Evidence that the large noncoding sequence is the main
348 control region of maternally and paternally transmitted mitochondrial genomes of the
349 marine mussel (*Mytilus* spp.). *Genetics* 2004;167:835–50.

350 Capt C, Bouvet K, Guerra D *et al.* Unorthodox features in two venerid bivalves with doubly
351 uniparental inheritance of mitochondria. *Sci Rep* 2020;10:1087.

352 Chakrabarti R, Walker JM, Chapman EG *et al.* Reproductive function for a C-terminus extended,
353 male-transmitted cytochrome *c* oxidase subunit II protein expressed in both spermatozoa
354 and eggs. *FEBS Lett* 2007;581:5213–9.

355 Combosch DJ, Collins TM, Glover EA *et al.* A family-level Tree of Life for bivalves based on a
356 Sanger-sequencing approach. *Mol Phylogenet Evol* 2017;107:191–208.

357 Crouch NMA, Edie SM, Collins KS *et al.* Calibrating phylogenies assuming bifurcation or
358 budding alters inferred macroevolutionary dynamics in a densely sampled phylogeny of
359 bivalve families. *Proc R Soc B* 2021;288:20212178.

360 Curole JP, Kocher TD. Ancient sex-specific extension of the *cytochrome c oxidase II* gene in
361 bivalves and the fidelity of doubly-uniparental inheritance. *Mol Biol Evol* 2002;19:1323–
362 8.

363 Doucet-Beaupré H, Breton S, Chapman EG *et al.* Mitochondrial phylogenomics of the Bivalvia
364 (Mollusca): searching for the origin and mitogenomic correlates of doubly uniparental
365 inheritance of mtDNA. *BMC Evol Biol* 2010;10:50.

366 Everett EM, Williams PJ, Gibson G *et al.* Mitochondrial DNA polymorphisms and sperm
367 motility in *Mytilus edulis* (Bivalvia: Mytilidae). *J Exp Zool* 2004;301A:906–10.

368 Filipowicz M, Burzyński A, Śmiertka B *et al.* Recombination in mitochondrial DNA of
369 European mussels *Mytilus*. *J Mol Evol* 2008;67:377–88.

370 Ghiselli F, Gomes-dos-Santos A, Adema CM *et al.* Molluscan mitochondrial genomes break the
371 rules. *Phil Trans R Soc B* 2021;376:rstb.2020.0159, 20200159.

372 Ghiselli F, Maurizii MG, Reunov A *et al.* Natural heteroplasmy and mitochondrial inheritance in
373 bivalve molluscs. *Integrative and Comparative Biology* 2019;59:1016–32.

374 Guerra D, Lopes-Lima M, Froufe E *et al.* Variability of mitochondrial ORFans hints at possible
375 differences in the system of doubly uniparental inheritance of mitochondria among
376 families of freshwater mussels (Bivalvia: Unionida). *BMC Evol Biol* 2019;19:229.

377 Gusman A, Lecomte S, Stewart DT *et al.* Pursuing the quest for better understanding the
378 taxonomic distribution of the system of doubly uniparental inheritance of mtDNA. *PeerJ*
379 2016;4:e2760.

380 Hibbins MS, Gibson MJ, Hahn MW. Determining the probability of hemiplasy in the presence of
381 incomplete lineage sorting and introgression. *eLife* 2020;9:e63753.

382 Hill GE. Mitonuclear ecology. *Mol Biol Evol* 2015;32:1917–27.

383 Hoang DT, Chernomor O, von Haeseler A *et al.* Ufboot2: Improving the ultrafast bootstrap
384 approximation. *Mol Biol Evol* 2018;35:518–22.

385 Hoeh WR, Blakley KH, Brown WM. Heteroplasmy suggests limited biparental inheritance of
386 *Mytilus* mitochondrial DNA. *Science* 1991;251:1488–90.

387 Hoeh WR, Stewart DT, Sutherland BW *et al.* Multiple origins of gender-associated
388 mitochondrial DNA lineages in bivalves (Mollusca: Bivalvia). *Evolution* 1996;50:2276–
389 86.

390 Hoeh WR, Stewart DT, Sutherland BW *et al.* Phylogenetic evidence for role-reversals of gender-
391 associated mitochondrial DNA in *Mytilus* (Bivalvia: Mytilidae). *Mol Biol Evol*
392 1997;14:959–67.

393 Jha M, Côté J, Hoeh WR *et al.* Sperm motility in *Mytilus edulis* in relation to mitochondrial
394 DNA polymorphisms: Implications for the evolution of doubly uniparental inheritance in
395 bivalves. *Evolution* 2007;62:99–106.

396 Kalyaanamoorthy S, Minh BQ, Wong TKF *et al.* ModelFinder: fast model selection for accurate
397 phylogenetic estimates. *Nat Methods* 2017;14:587–9.

398 Kyriakou E, Kravariti L, Vasilopoulos T *et al.* A protein binding site in the M mitochondrial
399 genome of *Mytilus galloprovincialis* may be responsible for its paternal transmission.
400 *Gene* 2015;562:83–94.

401 Ladoukakis ED, Theologidis I, Rodakis GC *et al.* Homologous recombination between highly
402 diverged mitochondrial sequences: Examples from maternally and paternally transmitted
403 genomes. *Mol Biol Evol* 2011;28:1847–59.

404 Ladoukakis ED, Zouros E. Direct evidence for homologous recombination in mussel (*Mytilus*
405 *galloprovincialis*) mitochondrial DNA. *Mol Biol Evol* 2001;18:1168–75.

406 Ly-Trong N, Naser-Khdour S, Lanfear R *et al.* AliSim: A fast and versatile phylogenetic
407 sequence simulator for the genomic era. Crandall K (ed.). *Mol Biol Evol*
408 2022;39:msac092.

409 Maddison WP, Maddison DR. Mesquite: a modular system for evolutionary analysis. Version
410 3.31. 2017.

411 Maeda G, Iannello M, McConie HJ *et al.* Relaxed selection on male mitochondrial genes in DUI
412 bivalves eases the need for mitonuclear coevolution. *J Evol Biol* 2021;34:1722–36.

413 Milani L, Ghiselli F, Maurizii MG *et al.* Paternally transmitted mitochondria express a new gene
414 of potential viral origin. *Genome Biol Evol* 2014;6:391–405.

415 Minh BQ, Hahn MW, Lanfear R. New methods to calculate concordance factors for
416 phylogenomic datasets. *Mol Biol Evol* 2020;37:2727–33.

417 Minh BQ, Schmidt HA, Chernomor O *et al.* IQ-TREE 2: New models and efficient methods for
418 phylogenetic inference in the genomic era. *Mol Biol Evol* 2020;37:1530–4.

419 Mizi A, Zouros E, Rodakis GC. Multiple events are responsible for an insertion in a paternally
420 inherited mitochondrial genome of the mussel *Mytilus galloprovincialis*. *Genetics*
421 2006;172:2695–8.

422 Muller HJ. The relation of recombination to mutational advance. *Mutat Res* 1964;1:2–9.

423 Ouimet P, Kienzle L, Lubosny M *et al.* The ORF in the control region of the female-transmitted
424 *Mytilus* mtDNA codes for a protein. *Gene* 2020;725:144161.

425 Passamonti M, Boore JL, Scali V. Molecular evolution and recombination in gender-associated
426 mitochondrial DNAs of the Manila Clam *Tapes philippinarum*. *Nucleic Acids Res*
427 2003;164:603–11.

428 Perche G, Jeulin C, Cosson J *et al.* Relationship between sperm ATP content and motility of
429 carp spermatozoa. *J Cell Sci* 1995;108:747–53.

430 Perrin N. Sex reversal: A fountain of youth for sex chromosomes? *Evolution* 2009;63:3043–9.

431 Piccinini G, Iannello M, Puccio G *et al.* Mitonuclear coevolution, but not nuclear compensation,
432 drives evolution of OXPHOS complexes in bivalves. Chang B (ed.). *Mol Biol Evol*
433 2021:msab054.

434 Piganeau G, Gardner M, Eyre-Walker A. A broad survey of recombination in animal
435 mitochondria. *Mol Biol Evol* 2004;21:2319–25.

436 Pond SLK, Frost SDW, Muse SV. HyPhy: hypothesis testing using phylogenies. *Bioinformatics*
437 2005;21:676–9.

438 Rambaut A, Drummond AJ, Xie D *et al.* Posterior summarization in Bayesian phylogenetics
439 using Tracer 1.7. *Syst Biol* 2018;67:901–4.

440 Ranwez V, Douzery EJP. MACSE v2: toolkit for the alignment of coding sequences accounting
441 for frameshifts and stop codons. *Mol Biol Evol* 2018;35:2582–4.

442 Rawson PD, Harper FM. Colonization of the northwest Atlantic by the blue mussel, *Mytilus*
443 *trossulus* postdates the last glacial maximum. *Mar Biol* 2009;156:1857–68.

444 Skibinski DO, Gallagher C, Beynon CM. Sex-limited mitochondrial DNA transmission in the
445 marine mussel *Mytilus edulis*. *Genetics* 1994;138:801–9.

446 Soroka M, Burzyński A. Hermaphroditic freshwater mussel *Anodonta cygnea* does not have
447 supranumerary open reading frames in the mitogenome. *Mitochondrial DNA Part B*
448 2017;2:862–4.

449 Spratt BG, Bowler LD, Zhang Q-Y *et al.* Role of interspecies transfer of chromosomal genes in
450 the evolution of penicillin resistance in pathogenic and commensal *Neisseria* species. *J*
451 *Mol Evol* 1992;34:115–25.

452 Stewart DT, Breton S, Blier PU *et al.* Masculinization events and doubly uniparental inheritance
453 of mitochondrial DNA: a model for understanding the evolutionary dynamics of gender-
454 associated mtDNA in mussels. *Evolutionary Biology from Concept to Application II*.
455 Berlin, Germany: Springer-Verlag, 2009, 163–73.

456 Stewart DT, Robicheau BM, Youssef N *et al.* Expanding the search for sperm transmission
457 elements in the mitochondrial genomes of bivalve mollusks. *Genes* 2021;12:1211.

458 Theologidis I, Fodelianakis S, Gaspar MB *et al.* Doubly uniparental inheritance (DUI) of
459 mitochondrial DNA in *Donax trunculus* (Bivalvia: Donacidae) and the problem of its
460 sporadic detection in Bivalvia. *Evolution* 2008;62:959–70.

461 Tsaousis AD, Martin DP, Ladoukakis ED *et al.* Widespread recombination in published animal
462 mtDNA sequences. *Mol Biol Evol* 2005;22:925–33.

463 Wertheim JO, Murrell B, Smith MD *et al.* RELAX: Detecting Relaxed Selection in a
464 Phylogenetic Framework. *Mol Biol Evol* 2015;32:820–32.

465 Zouros E. Biparental inheritance through uniparental transmission: The doubly uniparental
466 inheritance (DUI) of mitochondrial DNA. *Evol Biol* 2013;40:1–31.

467

468 **Figure Legends**

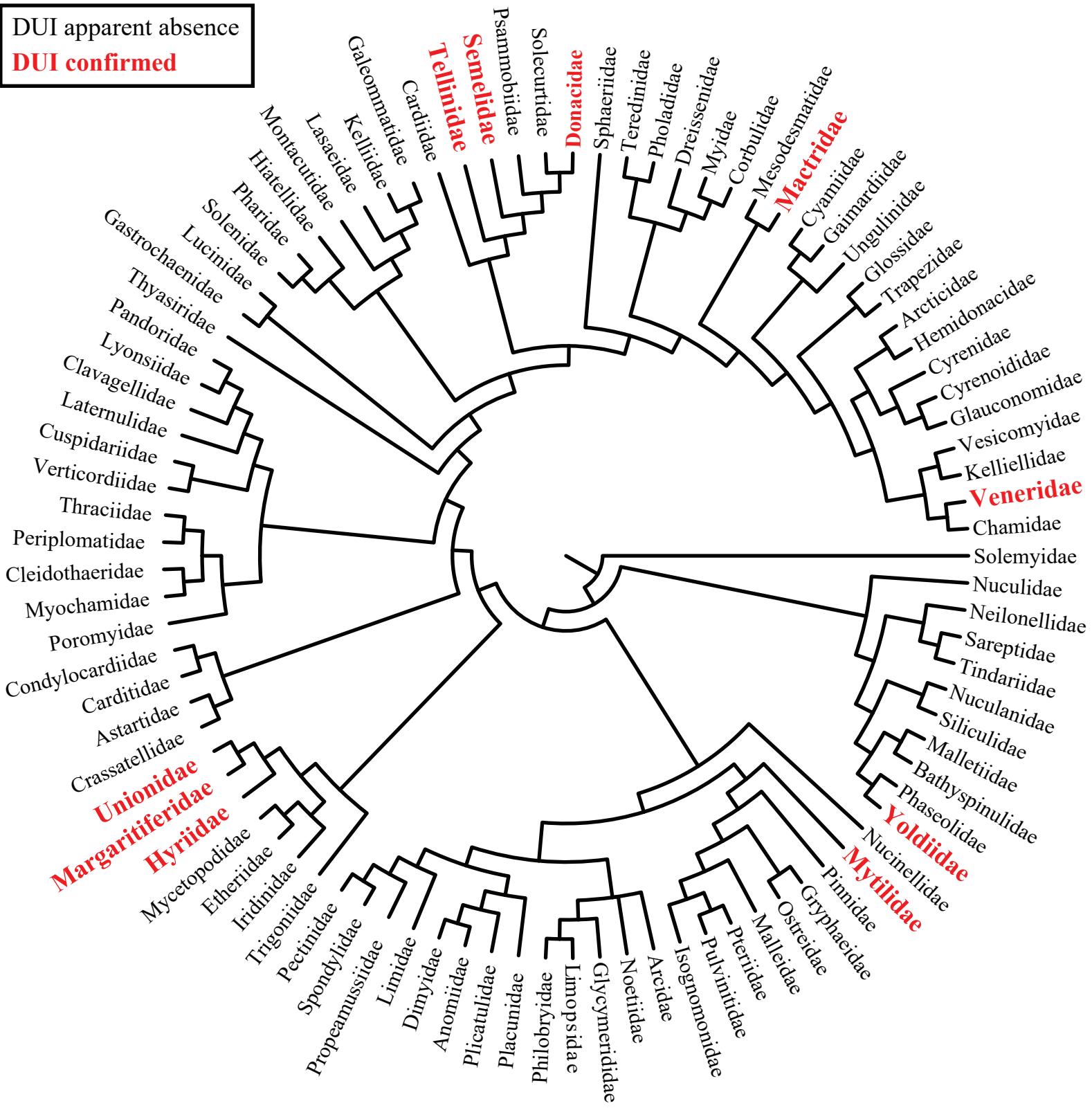
469 **Figure 1.** Phylogenetic distribution of doubly uniparental inheritance (DUI) based on a family-
470 level tree of Bivalvia presented in Combosch *et al.* (2017). Families confirmed to exhibit DUI
471 are bolded and colored red.

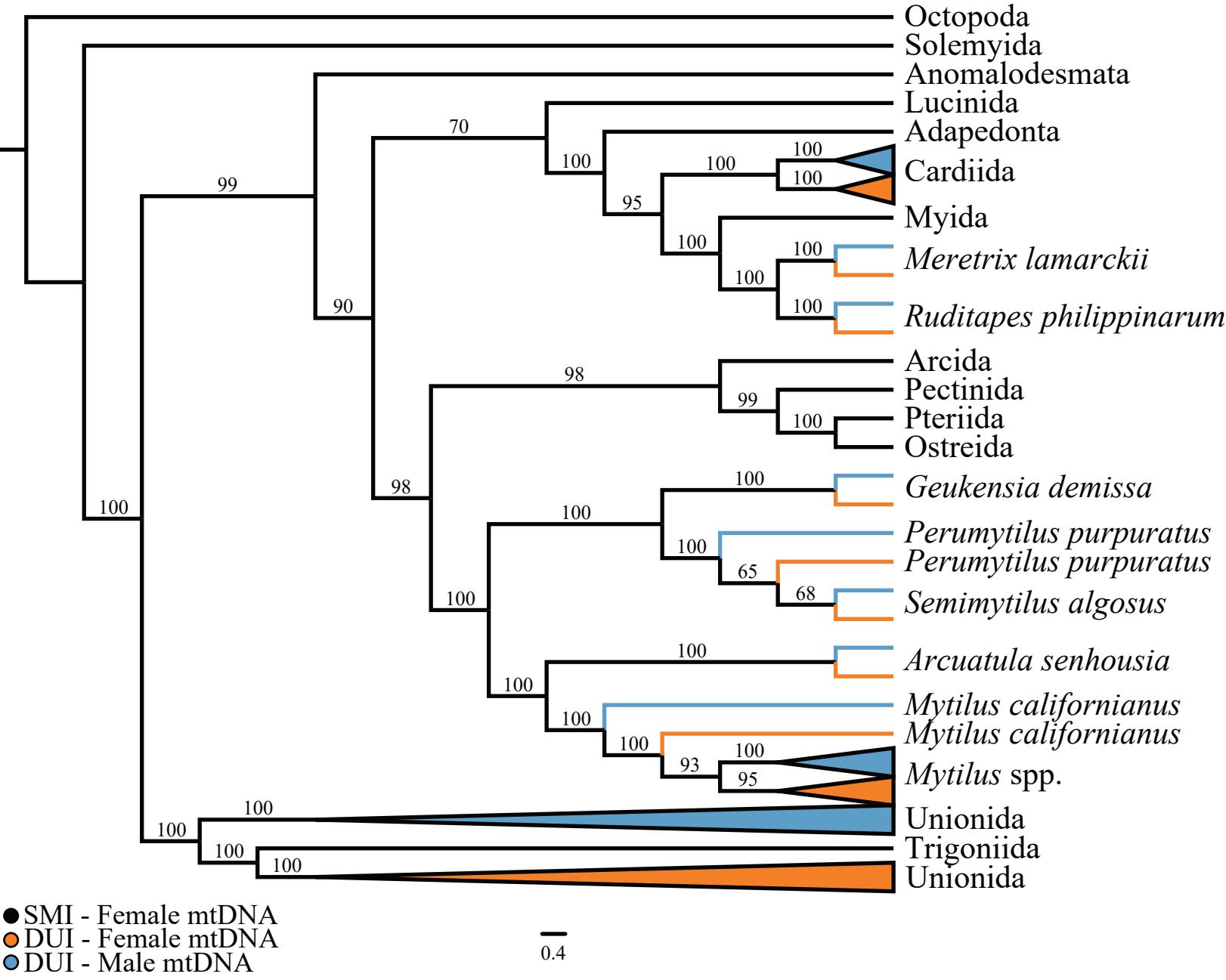
472 **Figure 2.** Phylogeny of the class Bivalvia based on amino acid sequences for 12 mitochondrial
473 genes, showing lineages with strictly maternal inheritance (SMI), female mtDNA in DUI
474 species, and male mtDNA in DUI species. *Mytilus* spp. refers to *M. edulis*, *M. galloprovincialis*,
475 and *M. trossulus*. Values above branches represent ultrafast bootstrap support.

476 **Figure 3.** Null distribution and observed site concordance factors used to assess support for a
477 single origination of male mitochondrial DNA for a concatenated alignment and *ND1*. In each
478 plot, white bars represent the null distribution based on 1000 simulated amino acid datasets, the
479 red arrow represents the observed value based on empirical data, and the p-value is reported.

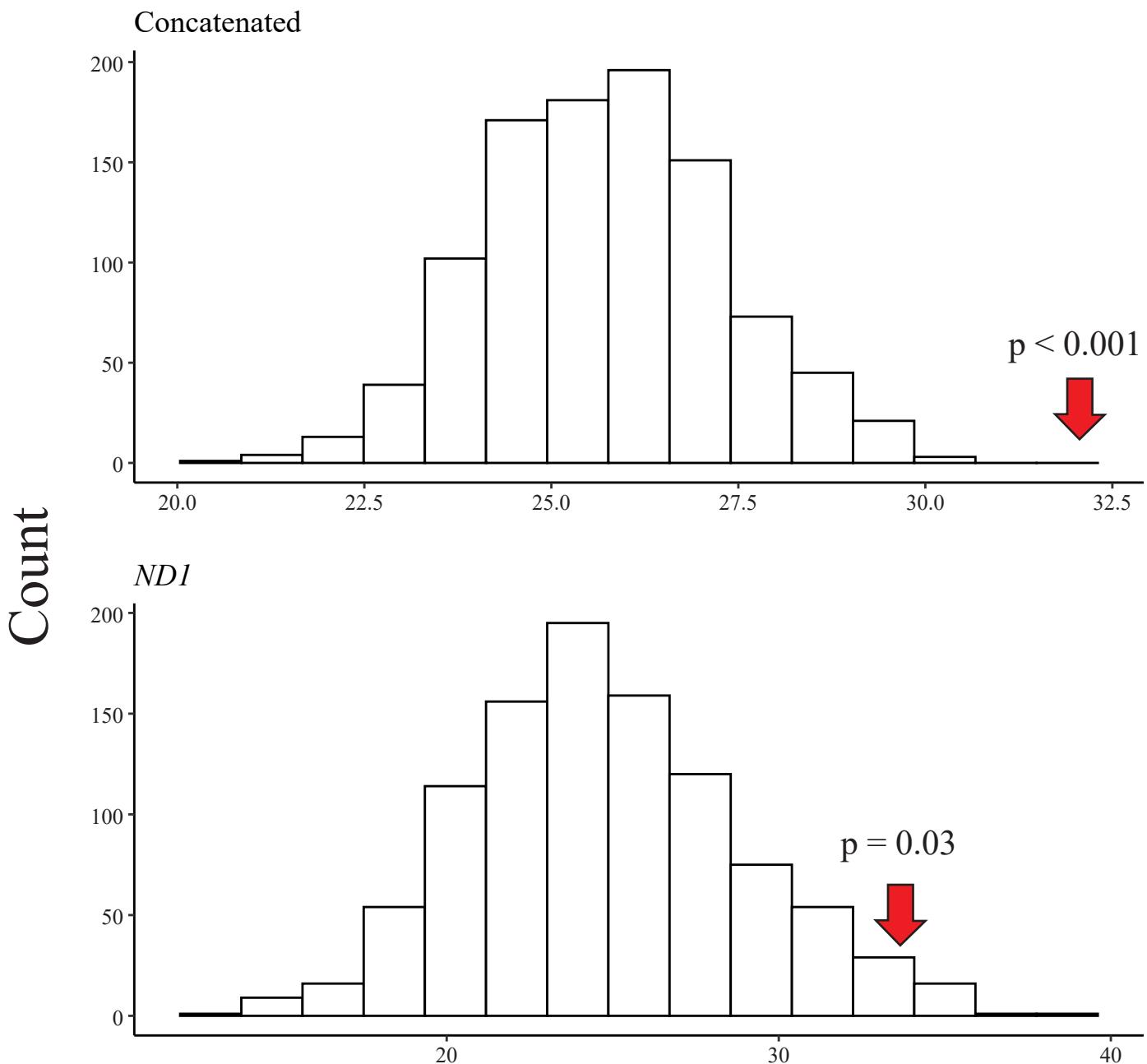
DUI apparent absence

DUI confirmed





Distribution of Site Concordance Factors



Site Concordance Factor