

## Interpretable deep learning architectures for improving drug response prediction performance: myth or reality?

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1    **Abstract**

2    Motivation: Recent advances in deep learning model development have enabled more accurate  
3    prediction of drug response in cancer. However, the black-box nature of these models still  
4    remains a hurdle in their adoption for precision cancer medicine. Recent efforts have focused on  
5    making these models interpretable by incorporating signaling pathway information in model  
6    architecture. While these models improve interpretability, it is unclear whether this higher  
7    interpretability comes at the cost of less accurate predictions, or a prediction improvement can  
8    also be obtained. Results: In this study, we comprehensively and systematically assessed four  
9    state-of-the-art interpretable models developed for drug response prediction to answer this  
10   question using three pathway collections. Our results showed that models that explicitly  
11   incorporate pathway information in the form of a latent layer perform worse compared to  
12   models that incorporate this information implicitly. Moreover, in most evaluation setups the best  
13   performance is achieved using a simple black-box model. In addition, replacing the signaling  
14   pathways with randomly generated pathways shows a comparable performance for the majority  
15   of these interpretable models. Our results suggest that new interpretable models are necessary  
16   to improve the drug response prediction performance. In addition, the current study provides  
17   different baseline models and evaluation setups necessary for such new models to demonstrate  
18   their superior prediction performance. Availability and Implementation: Implementation of all  
19   methods are provided in [https://github.com/Emad-COMBINE-lab/InterpretableAI\\_for\\_DRP](https://github.com/Emad-COMBINE-lab/InterpretableAI_for_DRP).  
20   Generated uniform datasets are in <https://zenodo.org/record/7101665#.YzS79HbMKUk>.  
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22   Supplementary Information: Online-only supplementary data is available at the journal's website.

23 **Introduction**

24 Machine learning models have found various applications in medicine, including drug  
25 repositioning (Jarada, et al., 2020), drug discovery (Vamathevan, et al., 2019), gene prioritization  
26 (Emad, et al., 2017; Zhang, et al., 2021), and drug response prediction (Adam, et al., 2020;  
27 Ballester, et al., 2022; Costello, et al., 2014; Huang, et al., 2020). Models for drug response  
28 prediction (DRP) are typically trained using various data modalities such as molecular ‘omics’  
29 profiles of samples (e.g., cancer cell lines or tumors), drug representations, and network  
30 information (Adam, et al., 2020; Ballester, et al., 2022; Guvenc Paltun, et al., 2021). In recent  
31 years, various models have been proposed using deep learning (DL) for drug response prediction  
32 (Baptista, et al., 2021; Chen and Zhang, 2022; El Khili, et al., 2022; Hostallero, et al., 2022;  
33 Hostallero, et al., 2021). In spite of their success in their perspective tasks, most DL models are  
34 considered as “black-boxes” with inner operations that are difficult to interpret. This  
35 characteristic of DL models is undesirable for applications in the biomedical field, as identifying  
36 the set of biological features that contribute to the model prediction outputs and understanding  
37 the relationship between these features are crucial when conducting further experimental  
38 studies to validate these computational findings. To address these challenges, the concept of  
39 interpretable artificial intelligence (Azodi, et al., 2020; Barredo Arrieta, et al., 2020; Malioutov, et  
40 al., 2017) has been introduced to create models that can achieve both high performance and  
41 interpretability.

42

43 In the context of DRP, model interpretability can be achieved in two ways: 1) using post-hoc  
44 analysis to determine feature attributions and identify important features without explicitly

45 incorporating prior knowledge in model architecture, and 2) integrating prior knowledge (e.g.,  
46 signaling pathways) to add meaningful structure to the model, which can then be interpreted (for  
47 example using post-hoc feature importance methods). While we and others have successfully  
48 used the former strategy in DRP (Hostallero, et al., 2022; Hostallero, et al., 2021) and other  
49 applications (Caruana, et al., 2015; Che, et al., 2016), the latter strategy can potentially allow the  
50 interpretability to go one step further to provide systems biology insights regarding the  
51 mechanisms involved in response to drug treatments. Incorporating prior information such as  
52 biological pathway and subsystem information allows the model embeddings to reflect  
53 subsystem activities and state changes, which can then be computationally or experimentally  
54 investigated to reveal different biological mechanisms that confer specific drug sensitivities  
55 (Kuenzi, et al., 2020). In fact, post-hoc feature importance analysis can be incorporated in these  
56 models to identify not only important input features, but also embeddings that reflect crucial  
57 subsystems for cellular response to a particular drug.

58

59 The models that incorporate pathway information have generated valuable insights regarding  
60 drugs' mechanisms of action and gene-pathway relationships, some of which have been validated  
61 experimentally (Kuenzi, et al., 2020). However, there have been conflicting reports on their ability  
62 in providing accurate drug response predictions (Deng, et al., 2020; Jin and Nam, 2021; Kuenzi,  
63 et al., 2020; Snow, et al., 2021; Tang and Gottlieb, 2021; Zhang, et al., 2021). Ideally,  
64 interpretability should not come at the expense of prediction performance, since a lower  
65 prediction performance of interpretable models may reflect that the black-box models are better  
66 capable at extracting patterns of the data and incorporating informative signals that are not being

67 utilized by the more interpretable models. For example, consider a hypothetical model that is  
68 completely interpretable, but generates random drug response predictions that do not reflect  
69 the measured drug responses of samples. No matter how interpretable this model may be, the  
70 insights obtained from it is not going to reflect the biological and chemical mechanisms involved  
71 in drug response.

72

73 Recognizing the intertwined relationship between interpretability and performance, the majority  
74 of recent models that incorporate pathway information for better interpretability have also  
75 sought and reported an improved prediction performance (Deng, et al., 2020; Jin and Nam, 2021;  
76 Snow, et al., 2021; Tang and Gottlieb, 2021; Zhang, et al., 2021). On the other hand, some studies  
77 have reported comparable or slightly worse model performance after incorporating pathway  
78 information (Kuenzi, et al., 2020). However, it is rather difficult to gauge the (potential)  
79 contribution of pathway information in DRP performance from the original studies, due to  
80 differences between data used in each study, their evaluation setup, and in many cases a lack of  
81 appropriate baseline models to act as control. To investigate these inconsistent findings in state-  
82 of-the-art models, we conducted a study that comprehensively evaluates the effect of pathway  
83 incorporation on performance of DRP models and aims to answer five main questions:

84 1. Does the inclusion of biological pathway information improve model performance when  
85 evaluated strictly and comprehensively?  
86 2. Which type of pathway incorporation strategy is best capable of improving the  
87 performance?

88        3. Are interpretable models better suited for prediction of response of unseen cell lines or  
89            unseen drugs?

90        4. Can the performance of the interpretable models be attributed to biological information  
91            present in the pathway datasets, or a similar improvement can be also achieved through  
92            the use of randomly generated pathways, reflecting a technical (instead of a biological)  
93            origin for the performance?

94        5. What pathway database is most helpful in improving model performance?

95

96        To answer the proposed questions, we performed 189 experiments evaluating 21 computational  
97        models with three pathway collections (Kanehisa and Goto, 2000), (Schaefer, et al., 2009),  
98        (Fabregat, et al., 2017) and under three data splitting strategies. The models included four state-  
99        of-the-art interpretable DL architectures that incorporate pathway information (Deng, et al.,  
100       2020; Jin and Nam, 2021; Tang and Gottlieb, 2021; Zhang, et al., 2021) (henceforth pathway-  
101       based models) and four variants of them, as well as thirteen baseline models that can evaluate  
102       the performance of these models from different angles (discussed in Methods). We selected  
103       these interpretable models since they use similar type of information for cancer cell lines (CCLs)  
104       and drugs and utilize gene-pathway membership in their architectures, allowing us to compare  
105       them fairly and comprehensively. Moreover, they represent two categories of strategies to  
106       incorporate pathway information in DL architectures: methods that use a pathway layer  
107       connecting genes to pathway nodes (*explicit* models) such as PathDNN (Deng, et al., 2020) and  
108       ConsDeepSignaling (CDS) (Zhang, et al., 2021), and those that do not directly use a pathway layer  
109       (*implicit* models) such as HiDRA (Jin and Nam, 2021), and PathDSP (Tang and Gottlieb, 2021).

110

111 Our baseline models included a traditional machine learning model (random forests), a black-box  
112 fully connected neural network with a similar architecture to those of the interpretable models,  
113 as well as “naive” predictors and “random-pathway” predictors, two important baselines that  
114 have been largely overlooked in previous studies. The naive predictor uses the average drug  
115 response of samples in the training set and reports that for each testing sample. This baseline is  
116 particularly important in controlling for inflation of prediction performance due to distinct range  
117 of log IC50 (natural log of the half maximal inhibitory concentration, a drug sensitivity measure)  
118 of different drugs. In other words, it is possible to obtain a good approximation of drug response  
119 by simply knowing the identity of the drug, resulting in artificially inflated performance metrics.  
120 Each random-pathway predictor exactly matches the architecture and pipeline of an  
121 interpretable model, but randomly assigns genes to pathways, while preserving the size of each  
122 pathway. These baselines allow us to determine whether potential performance improvement  
123 of an interpretable model is truly due to the added value of the biological information, or instead  
124 is a technical artifact of modifying the model architecture.

125

126 Our analysis showed that overall, incorporating pathway information *does not* lead to improved  
127 prediction performance, confirming the observations reported by Kuenzi et al. (Kuenzi, et al.,  
128 2020) for their proposed model. In particular, in many cases a simple black-box multilayer  
129 perceptron (MLP) achieves the best performance. Moreover, even in instances that performance  
130 improvement compared to an MLP or a naive predictor was observed, a similar performance was  
131 achieved using randomly generated pathways. This suggests that such improvements should not

132 be attributed to the biological information carried by pathway collections and is likely a technical  
133 artifact. We also observed that the strategy used to include pathway information in the models  
134 has a significant influence on the performance, and explicit models seem to perform worse  
135 compared to implicit models. Finally, Reactome pathways seemed to provide slightly better  
136 predictions compared to other pathway collections.

137

## 138 **Methods**

### 139 **Data preprocessing and uniform dataset formation**

140 To form uniform datasets for our analyses, we first evaluated different data modalities and  
141 datasets used by each of the pathway-based models (Supplementary Table S1). In these studies,  
142 gene expression (GEx), somatic mutation (Mut), and copy number variation (CNV) of samples  
143 were used, while for drugs their targets (T) or their Morgan fingerprints (FP) capturing their  
144 chemical structure were used. In order to maintain fairness and consistency of model  
145 performance comparisons, for each choice of pathway collection we compiled a uniform dataset  
146 that was used by all models evaluated in this study (three uniform datasets in total). These  
147 datasets are freely available in <https://zenodo.org/record/7101665#.YzS79HbMKUk>.

148

149 We collected GEx, Mut, CNV, and drug sensitivity data (in the form of log IC50) of 959 cancer cell  
150 lines (CCLs) from Genomics of Drug Sensitivity in Cancer (GDSC) (Yang, et al., 2013) database. We  
151 obtained drug target information from STITCH (Szklarczyk, et al., 2016) and drug structural data  
152 from PubChem (Kim, et al., 2021). Protein-protein interactions (PPI) that were used by one of the  
153 models were obtained from the STRING database (Szklarczyk, et al., 2019) (only experimental

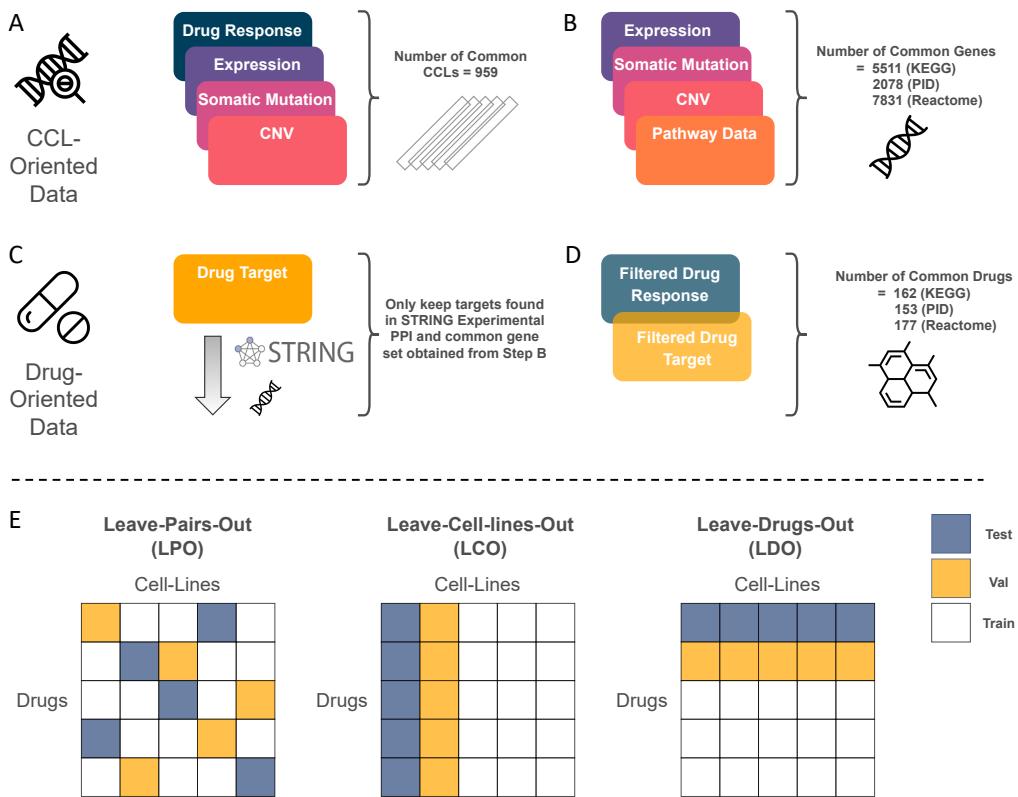
154 PPIs were used). Finally, gene-pathway membership information was obtained from KEGG (Kyoto  
155 Encyclopedia of Genes and Genomes) (Kanehisa and Goto, 2000), PID (Pathway Interaction  
156 Database) (Schaefer, et al., 2009), and Reactome (Fabregat, et al., 2017). Supplementary Table  
157 S2 outlines the data used in this study and their sources. We obtained drug response data in the  
158 form of log IC50 values and removed duplicate drugs that came from different experimental  
159 batches. In such cases, we kept the drug whose response was measured across a larger number  
160 of CCLs. We collected drug InChI (International Chemical Identifier) strings (Heller, et al., 2015)  
161 from PubChem and used the RDKit (Landrum, 2006) software to generate 512-bit Morgan  
162 fingerprints for these drugs. We obtained drug target data from the STITCH database, where we  
163 only kept drug targets with confidence score larger than 800 (out of 1000) and coming from the  
164 “experimental” and “database” channels.

165  
166 **Table 1:** Summary of pathway-specific uniform datasets.

Pathway Database	Num. CCLs	Num. Drugs	Num. Unique Drug Targets	Num. (Drug, CCL) Pairs	Num. Pathways	Num. Unique Genes
KEGG	959	162	446	118,896	332	5511
PID	959	153	321	112,781	196	2078
Reactome	959	177	493	128,324	1608	7831

167  
168 We performed log2(FPKM+1) normalization on the GEx data and removed genes whose  
169 expression showed low variability across different CCLs (standard deviation < 0.1). We also  
170 removed genes for which there were no somatic mutations, CNV, pathway information, drug  
171 target data, and STRING Experimental PPI information. This formed our common gene set (Figure  
172 1A and 1B). In parallel, drug targets that were not present in the common gene set above or in  
173 the PPI network were excluded. Only drugs that had both log IC50 measurements and drug

174 targets were kept in the final uniform datasets (Figure 1C and 1D). The PPI network was involved  
 175 in the data preprocessing step as PathDSP (Tang and Gottlieb, 2021) incorporated it to perform  
 176 pathway enrichment analysis. Since we needed the uniform datasets to be usable by all models,  
 177 we included this step in the pre-processing procedure.



178  
 179 **Figure 1:** Construction of pathway-specific uniform datasets and data splitting approaches. (A)  
 180 cancer cell lines (CCLs) with available data for drug response, gene expression, somatic mutation,  
 181 and copy number variation (CNV) were selected. (B) Genes shared between different sources of  
 182 data were identified. Genes that were not present in any pathway were removed. (C) Drug target  
 183 genes that were not found in the common gene set obtained from Step B and the STRING  
 184 Experimental protein-protein interaction (PPI) network were removed. (D) Drugs and small  
 185 molecules that had measured log IC50 values and drug target information were selected. E)  
 186 Model input data was split into five folds, with the training, validation, and test set ratio of 3: 1:  
 187 1. Folds in the leave-pairs-out (LPO) validation scheme are formed by randomly selecting  
 188 mutually exclusive (CCL, drug) pairs, whereas in leave-cell-lines-out (LCO) and leave-drugs-out  
 189 (LDO) validation schemes, mutually exclusive cell lines and drugs are randomly selected,  
 190 respectively.

191

192 Figure 1 illustrates the process of constructing the pathway-specific uniform datasets. Since each  
193 source of pathway collection contained different number of genes, the final dataset for each  
194 collection was slightly different. Table 1 summarizes the number of CCLs, drugs, genes, and  
195 pathways for each pathway collection in the uniform dataset, while Supplementary Table S3  
196 provides details about CCLs and drugs.

197

### 198 **Model evaluation and data split**

199 We split our data randomly into five disjoint folds, where the training, validation, and test ratio  
200 was 3: 1: 1. The validation set was used for hyperparameter tuning and the test set was used for  
201 final model evaluation. The details of hyperparameter tuning, model training, and final  
202 architectures are provided in Supplementary File S2. We adopted three data splitting methods  
203 (validation schemes) to generate these folds: leave-pairs-out (LPO), leave-cell-lines-out (LCO),  
204 and leave-drugs-out (LDO), as depicted in Figure 1E. These three strategists were adopted to  
205 comprehensively assess the models for different drug response prediction tasks (for unseen (CCL,  
206 drug) pairs, unseen CCLs, and unseen drugs, respectively), and to determine in which one of these  
207 tasks (if any) pathway incorporation improves model prediction performance. To ensure fairness,  
208 same folds were used for all models.

209

210 We evaluated the performance of each model using two main performance measures:  
211 Spearman's correlation coefficient (SCC) and root mean squared error (RMSE), but various other  
212 measures are also reported in supplementary tables. First, for a fixed CCL, the predicted values  
213 across all drugs of the test set were compared with the measured log IC50 values to calculate a

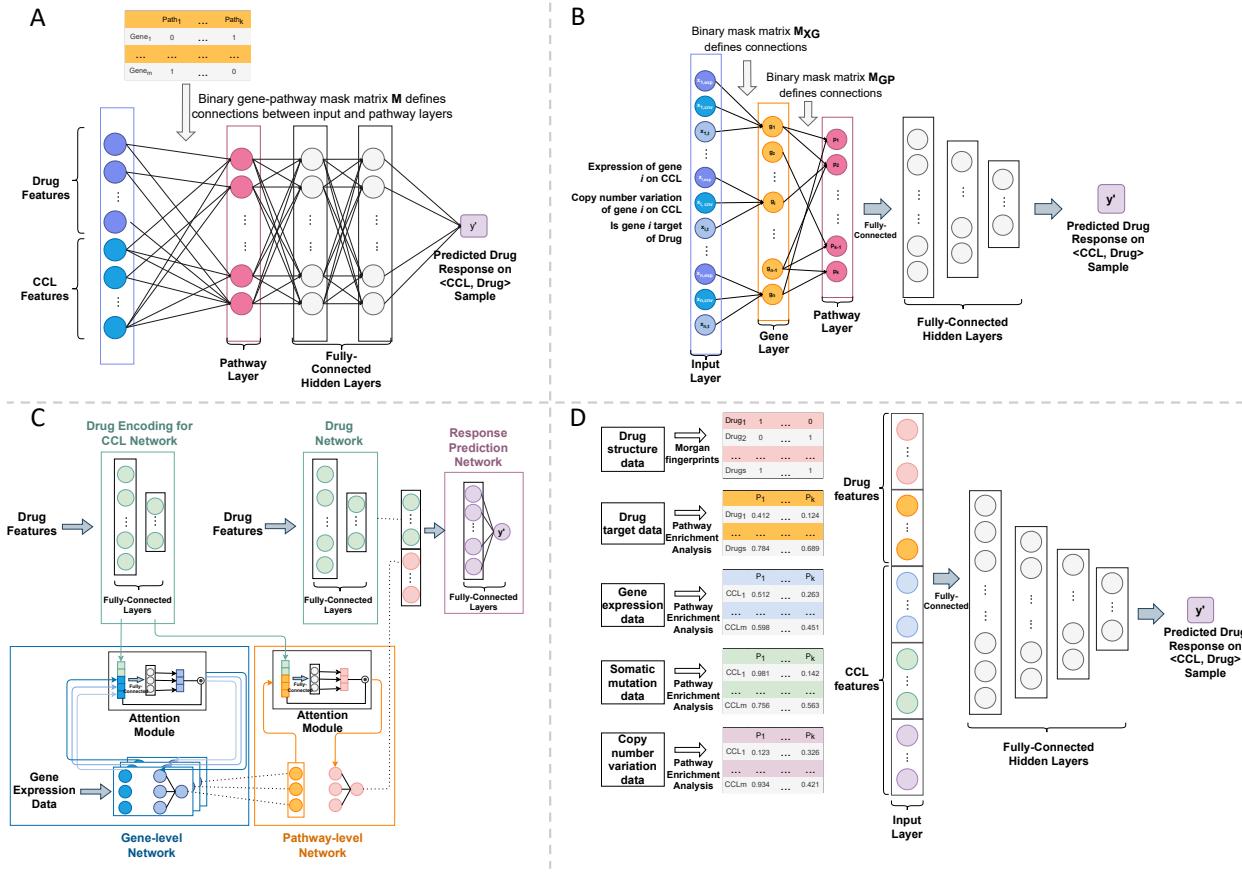
214 CCL-specific performance measure (SCC or RMSE). Then, the mean and standard deviation of the  
215 performance measure was calculated across all CCLs.

216

217 **Overview of interpretable models and their variants**

218 To study the effect of incorporating pathway information on drug response prediction, we  
219 selected four pathway-based state-of-the-art models: PathDNN (Deng, et al., 2020),  
220 ConsDeepSignaling (CDS) (Zhang, et al., 2021), HiDRA (Jin and Nam, 2021), and PathDSP (Tang  
221 and Gottlieb, 2021). We selected these models since 1) they use similar types of information for  
222 CCLs and drugs and utilize gene-pathway membership in their architectures (instead of other  
223 types of prior information such as hierarchical relationships of gene ontologies), 2) they all  
224 showed improved drug response prediction performance in their original studies compared to  
225 their black-box counterparts, and 3) they represent two important categories of implicit and  
226 explicit models (as discussed earlier). While other important models also exist (e.g., DrugCell  
227 (Kuenzi, et al., 2020)), they did not satisfy the conditions above. For example, DrugCell (unlike  
228 the models above) uses the hierarchical structure of gene ontologies and pathways, making it  
229 rather difficult to compare against the models above in a fair manner, since it takes advantage of  
230 more detailed information. Moreover, the original study of DrugCell showed that while including  
231 prior information improved interpretability of their model, it did not improve the performance  
232 of drug response prediction compared to its black-box counterpart. Due to the reasons above,  
233 we decided to exclude it from this analysis.

234



235

236 **Figure 2:** An overview of pathway-based models considered in this study. A) PathDNN uses cancer  
 237 cell line (CCL) gene expression profiles as CCL features and drug target information as drug  
 238 features. The input features (genes) are connected to the pathway nodes through gene-pathway  
 239 membership. The pathway layer is followed by a set of fully connected layers. B) ConsDeepSignaling (CDS)  
 240 takes gene expression profile and copy number variation as CCL features and drug target information as drug features. Each node in the gene layer represents a  
 241 gene and is connected to its corresponding input features in the input layer (through connection  
 242 matrix  $M_{XG}$ ). Connections between the gene and pathway layer are defined by gene-pathway  
 243 membership (binary connection matrix  $M_{GP}$ ). A set of fully connected layers follow the pathway  
 244 layer. C) HiDRA has a hierarchical network architecture. It uses gene expression profiles as CCL  
 245 features. Drug target information and structural data can be both used as drug features. The  
 246 pathway information is incorporated using an attention module, where a small neural network  
 247 is dedicated to each pathway. Pathway activation scores are calculated by the gene-level network  
 248 and are concatenated with drug feature embeddings learned by the drug encoding network to  
 249 generate the final input to the drug response prediction network. D) In PathDSP, drug target,  
 250 gene expression, somatic mutation, and copy number variation data are processed using  
 251 pathway enrichment analysis to from matrices of enrichment scores, which act as input features  
 252 to the model, which is a set of fully connected layers.

254

255 Models with an *explicit* pathway layer (e.g., PathDNN and CDS) typically define a gene and a  
256 pathway layer with connections between these layers reflecting gene-pathway membership  
257 (Figure 2A-2B). The input layer of this category of models contains drug and cell line features at  
258 gene level. As a result, only drug gene targets can be used with these models and Morgan  
259 Fingerprint (and other structural data) is not usable without altering the model architecture. The  
260 pathway layer is then connected to a group of fully connected layers to predict drug response for  
261 a given sample. The inclusion of the pathway layer allows identification of important pathways  
262 for a particular drug treatment or cancer type through post-hoc feature importance analysis.

263

264 Models that *implicitly* incorporate pathway information take various forms. For example, HiDRA  
265 (Jin and Nam, 2021) uses a gene-level and pathway-level attention module to calculate pathway  
266 importance scores, where a small-scale neural network is dedicated to each pathway by only  
267 using features associated with the member genes of that specific pathway as inputs (Figure 2C).  
268 On the other hand, PathDSP uses a classic fully connected feedforward architecture, but the input  
269 features are pathway-enrichment scores rather than gene-level features (Figure 2D). See  
270 Supplementary Files S1 and S2 for details regarding models' architectures and their training  
271 procedure.

272

273 Each of the pathway-based models used different data modalities in their original study  
274 (Supplementary Table S1). We tested all models using the three pathway collections discussed  
275 earlier. For implicit models, we tried both drug targets (T) and Morgan fingerprints (FP); however,  
276 for explicit models, only drug targets could be used due to their requirements that the drug

277 features must be at gene level. For CCL features, we used all data modalities used by the original  
278 study. However, since gene expression data was used by all models (alone or in combination with  
279 other omics data, Supplementary Table S1), we also implemented model variants that only  
280 utilized GEx data. This ensured that one architecture is not given an unfair advantage due to  
281 access to a larger number of modalities. Table 2 provides a summary of all variations of the  
282 models considered in this study.

283

284 **Table 2:** List of evaluated models.  $\Delta$  = universal baseline,  $\diamond$  = model-specific random pathway  
285 baseline,  $\star$  = original pathway-based model,  $\blacksquare$  = model variant, GEx = gene expression, CNV =  
286 copy number variation, Mut = somatic mutation, T = drug target data, FP = Morgan fingerprint  
287 (drug structural data)

Model Name	Model Variant Name	Cell Line Features	Drug Features
Five-Layer MLP	MLP (GEx, FP) $\Delta$	GEx	FP
	MLP (GEx, T) $\Delta$	GEx	T
Naive Predictor	Naive Predictor $\Delta$	N/A	N/A
Random Forests	RF (GEx, FP) $\Delta$	GEx	FP
	RF (GEx, T) $\Delta$	GEx	T
PathDNN (Deng, et al., 2020)	PathDNN (GEx, T) $\star$	GEx	T
	PathDNN_rand (GEx, T) $\diamond$	GEx	T
CDS (Zhang, et al., 2021)	CDS (GEx, CNV, T) $\star$	GEx, CNV	T
	CDS_rand (GEx, CNV, T) $\diamond$	GEx, CNV	T
	CDS (GEx, T) $\blacksquare$	GEx	T
	CDS_rand (GEx, T) $\diamond$	GEx	T
HiDRA (Jin and Nam, 2021)	HiDRA (GEx, FP) $\star$	GEx	FP
	HiDRA_rand (GEx, FP) $\diamond$	GEx	FP
	HiDRA (GEx, T) $\blacksquare$	GEx	T
	HiDRA_rand (GEx, T) $\diamond$	GEx	T
PathDSP (Tang and Gottlieb, 2021)	PathDSP (GEx, CNV, MuT, FP, T) $\star$	GEx, CNV, Mut	FP, T
	PathDSP_rand (GEx, CNV, MuT, FP, T) $\diamond$	GEx, CNV, Mut	FP, T
	PathDSP (GEx, FP) $\blacksquare$	GEx	FP
	PathDSP_rand (GEx, FP) $\diamond$	GEx	FP
	PathDSP (GEx, T) $\blacksquare$	GEx	T
	PathDSP_rand (GEx, T) $\diamond$	GEx	T

288

289 **Baseline Models**

290 We used four types of baseline models to benchmark the pathway-based models and their  
291 variants. First, we used a multilayer perceptron (MLP) with five layers as a universal baseline for  
292 all models. This MLP represents a black-box feedforward neural network that is often used for  
293 benchmarking of other deep learning architectures (including the pathway-based models). Since  
294 all pathway-based models had a variant trained with GEx data, along with drug targets (or  
295 Morgan fingerprints), we trained two MLP models, MLP (GEx, FP) and MLP (GEx, T), representing  
296 the data input options above (Table 2).

297

298 The second type of baseline used in our study was a predictor that simply calculates the average  
299 drug sensitivity measure of samples in the training set and reports their average for all samples  
300 in the test set (henceforth referred as naive predictor). More specifically, the naive predictor does  
301 not use any CCL or drug features, but instead simply relies on the identity of the CCL or the drug  
302 (depending on the data splitting strategy). As shown in Supplementary Figure S1, in the LCO setup  
303 and for a (CCL, drug) pair in the test set, the naive predictor reports the average response of all  
304 CCLs in the training set to that drug. As a result, all CCLs in the test set will have the same response  
305 value for a drug (i.e., only the drug identity determines the response). On the other hand, in the  
306 LDO setup and for a (CCL, drug) pair, the average response of the CCL to all drugs in the training  
307 set is reported as the prediction (i.e., only the identity of the CCL determines the response). In  
308 the case of LPO, the averaging is done across all drugs and all CCLs corresponding to a (CCL, drug)  
309 in the test set. The naive predictors reveal the performance of a model that does not learn the

310 relationship between the input features and output drug response and can control for inflation  
311 in the performance metrics.

312

313 The third type of baselines correspond to model-specific baselines that have the exact same  
314 architecture of a pathway-based model (with all their input data), but instead of gene-pathway  
315 membership information from pathway databases use randomly generated pathways. This type  
316 of baseline model (shown with a suffix of “\_rand” in Table 2) allows us to determine if the  
317 (potential) performance improvement of a pathway-based model is due to the added value of  
318 biological information, or instead is a technical artifact. Let a pathway collection (e.g., KEGG)  
319 contain  $m$  pathways  $P_i, i = 1, 2, \dots, m$ , each with  $N_i$  genes. Then, a randomly generated pathway  
320 collection was produced by randomly assigning  $N_i$  genes to pathway  $P_i$ . We evaluated the  
321 performance of each pathway-based model with multiple randomly generated pathway  
322 collections to determine the mean, standard deviation and histogram of the performance metrics  
323 of these random pathway baselines.

324

325 Finally, the fourth type of baselines correspond to traditional machine learning algorithms,  
326 namely random forests (RF). We trained two variations of RF, one with (GEx, T) as input and one  
327 with (GEx, FP) as input.

328

329 **Cross-dataset analysis by predicting drug responses in CTRPv2 using models trained on GDSC**  
330 In addition to the analysis performed using GDSC, we also assessed the generalizability of the  
331 deep learning models by performing a cross-dataset analysis. Following the guidelines in a

332 previous study (Sharifi-Noghabi, et al., 2021), we trained the models using area under the dose  
333 response curves (AUC) from GDSC dataset to predict AUC of drugs in CTRPv2 (Rees, et al., 2016).  
334 For drugs in CTRPv2 dataset, we used their gene expression profile from the cancer cell line  
335 encyclopedia (CCLE) (Barretina, et al., 2012). All models were trained using Reactome pathway  
336 collection, gene expression and drug targets. Since in this dataset, the gene expressions were  
337 quantified using transcript per million (TPM), we also used TPM values for the training set (GDSC).  
338 Only common genes between GDSC and CCLE were included. The rest of the preprocessing steps  
339 were as described earlier in the manuscript.

340

## 341 **Results**

342 **Models that incorporate KEGG pathway information implicitly outperformed explicit models**  
343 Since KEGG was the most commonly used pathway collection in the original studies  
344 (Supplementary Table S1), we used the uniform dataset that we formed for this collection to  
345 comprehensively evaluate all models. We first focused on GEx data to represent CCLs since all  
346 models used GEx modality in their original studies. We also used drug targets to represent  
347 compounds since all models could take advantage of this data modality (Morgan fingerprints are  
348 not compatible with PathDNN and CDS). Table 3 shows SCC and RMSE values for LCO, LDO, and  
349 LPO data splitting strategies (see Supplementary Table S4 for other performance measures and  
350 Supplementary Table S5 for statistical tests comparing these models).

351

352 PathDSP (GEx, T) outperformed all models in LCO and LPO data splitting schemes, while its  
353 performance was close to MLP (GEx, T) baseline in the LDO scheme. Compared to the naive

354 predictor, PathDSP (GEx, T) had a better performance in all evaluations, where the highest  
 355 difference was observed under the LPO validation scheme with 37% lower average RMSE. Overall,  
 356 the implicit models (HiDRA and PathDSP) outperformed the universal baselines (MLP and naive  
 357 predictor) for the majority of evaluations, while the explicit models (PathDNN and CDS) did not  
 358 outperform them in a considerable number of evaluations (Table 3 and Supplementary Table S4).

359

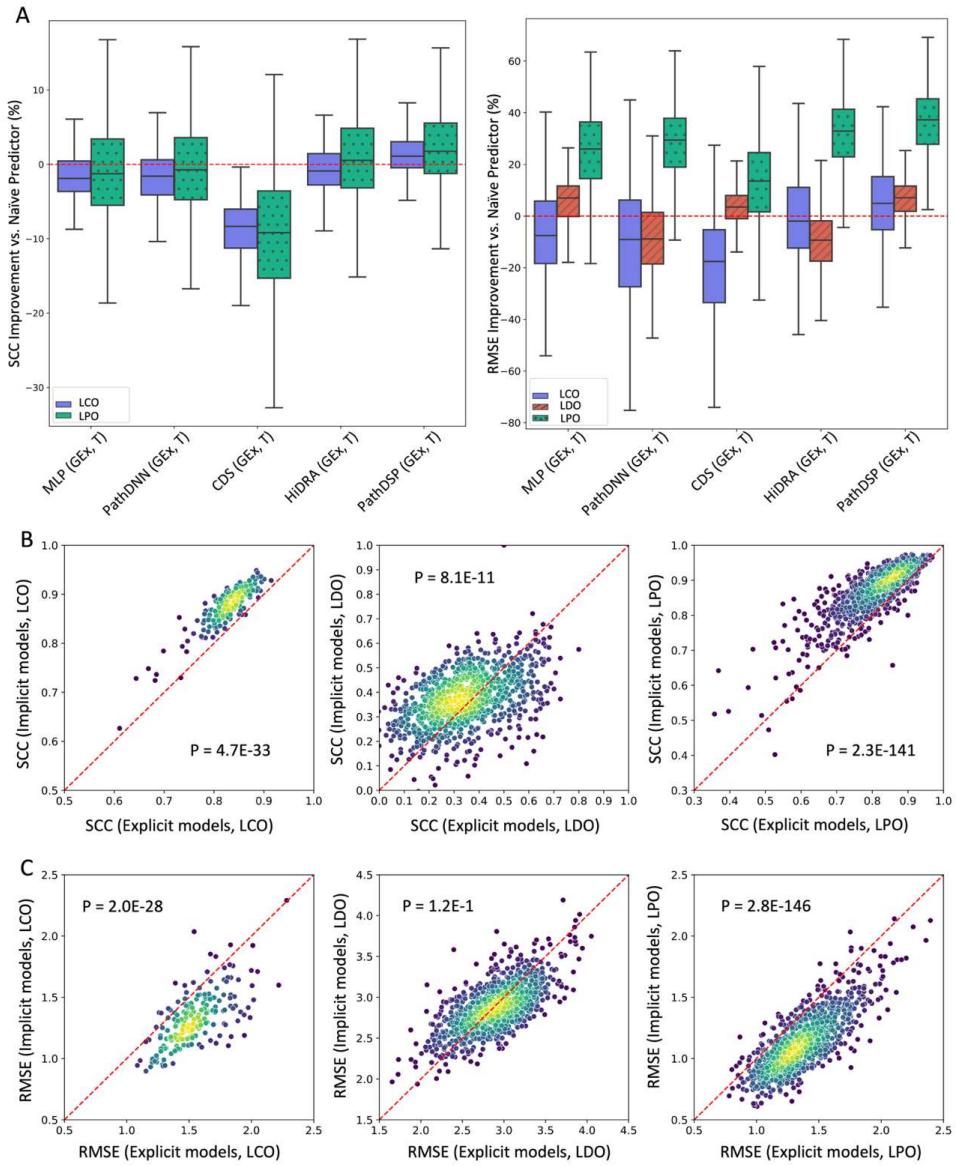
360 **Table 3:** Performance of pathway-based models using KEGG collection, with gene expression  
 361 (GEx) and drug targets (T) as inputs. The mean and standard deviations (std) are calculated across  
 362 cancer cell lines (CCLs). The best performing model is bold-faced, while worst performing model  
 363 is underlined. Models are ranked by their leave-cell-lines-out (LCO) RMSE. The following symbols  
 364 are used in this table:  $\Delta$  = universal baseline,  $\star$  = original pathway-based model,  $\blacksquare$  = model  
 365 variant,  $\uparrow$  = higher value indicates better performance,  $\downarrow$  = lower value indicates better  
 366 performance. \* The leave-drugs-out (LDO) Spearman's correlation coefficient (SCC) cannot be  
 367 calculated for the naive predictor since in this case it outputs the same value for all CCLs. For  
 368 performance of these models based on Pearson correlation coefficient, R-squared, mean squared  
 369 error (MSE), and concordance index, see Supplementary Table S4. Supplementary Figure S2  
 370 provides visualization of these values in the form of bar plots.

Model Name	LCO		LDO		LPO	
	SCC $\uparrow$ ( $\pm$ std)	RMSE $\downarrow$ ( $\pm$ std)	SCC $\uparrow$ ( $\pm$ std)	RMSE $\downarrow$ ( $\pm$ std)	SCC $\uparrow$ ( $\pm$ std)	RMSE $\downarrow$ ( $\pm$ std)
PathDSP (GEx, T) $\blacksquare$	<b>0.882</b> ( $\pm$ 0.045)	<b>1.283</b> ( $\pm$ 0.230)	0.380 ( $\pm$ 0.146)	<b>2.648</b> ( $\pm$ 0.287)	<b>0.876</b> ( $\pm$ 0.074)	<b>1.103</b> ( $\pm$ 0.256)
RF (GEx, T) $\Delta$	0.867 ( $\pm$ 0.042)	1.329 ( $\pm$ 0.205)	<u>0.342</u> ( $\pm$ 0.175)	2.955 ( $\pm$ 0.474)	0.824 ( $\pm$ 0.096)	1.349 ( $\pm$ 0.301)
HiDRA (GEx, T) $\blacksquare$	0.864 ( $\pm$ 0.048)	1.368 ( $\pm$ 0.253)	0.356 ( $\pm$ 0.156)	<u>3.110</u> ( $\pm$ 0.400)	0.863 ( $\pm$ 0.078)	1.174 ( $\pm$ 0.260)
Naive Predictor $\Delta$	0.871 ( $\pm$ 0.045)	1.373 ( $\pm$ 0.292)	NA *	2.826 ( $\pm$ 0.274)	0.855 ( $\pm$ 0.087)	<u>1.742</u> ( $\pm$ 0.280)
MLP (GEx, T) $\Delta$	0.858 ( $\pm$ 0.042)	1.420 ( $\pm$ 0.231)	<b>0.382</b> ( $\pm$ 0.160)	2.686 ( $\pm$ 0.366)	0.845 ( $\pm$ 0.086)	1.294 ( $\pm$ 0.286)
PathDNN (GEx, T) $\star$	0.857 ( $\pm$ 0.044)	1.494 ( $\pm$ 0.292)	<u>0.342</u> ( $\pm$ 0.179)	3.054 ( $\pm$ 0.485)	0.851 ( $\pm$ 0.083)	1.245 ( $\pm$ 0.273)
CDS (GEx, T) $\blacksquare$	<u>0.789</u> ( $\pm$ 0.098)	<u>1.603</u> ( $\pm$ 0.254)	0.345 ( $\pm$ 0.156)	2.724 ( $\pm$ 0.317)	<u>0.769</u> ( $\pm$ 0.106)	1.508 ( $\pm$ 0.304)

371 Next, we assessed the improvement provided by each deep learning method compared to the  
372 corresponding naive predictor (Figure 3A). With regards to RMSE, all these models provided  
373 improvement for the majority of CCLs in the LPO framework, which is expected since the  
374 prediction task in LPO is significantly easier than LCO and LDO. However, in LDO and LCO, many  
375 models could not provide a lower RMSE compared to the naive predictor. The improvement was  
376 even less in terms of SCC (Figure 3A). However, PathDSP outperformed the naive predictor for  
377 the majority of CCLs in all data splitting setups in terms of RMSE and SCC.

378

379 Next, we sought to directly compare the performance of explicit models against implicit models.  
380 For this purpose, we calculated the average performance of the two implicit (PathDSP (GEx, T),  
381 HiDRA (GEx T)) and the two explicit (PathDNN (GEx, T), CDS (GEx, T)) models for each CCL, and  
382 used a two-sided Wilcoxon signed rank test to assess if one strategy outperforms the other  
383 (Figure 3B). Based on SCC, the implicit strategy significantly outperformed the explicit strategy  
384 that utilizes a pathway layer, for all three data splitting strategies. A similar pattern was observed  
385 using RMSE, but for LDO strategy the difference was not statistically significant. These results  
386 further confirm the observation that utilizing an explicit pathway layer does not seem to perform  
387 well in prediction of drug response. Supplementary Figure S4 also shows similar scatter plots in  
388 which the cancer types of cell lines are depicted, which does not suggest a cancer type-specific  
389 pattern.



390

391 **Figure 3:** Performance of deep learning models in different data splitting setups. A) The  
 392 improvement of each model versus naive predictor. Box plots show the distribution of  
 393 performance improvement for cancer cell lines (CCLs). Each box shows the range between 25<sup>th</sup>  
 394 and 75<sup>th</sup> percentiles, while whiskers show the range of the improvement (excluding outliers). See  
 395 Supplementary Figure S3 in which the performance improvement of each datapoint (CCL) is also  
 396 depicted. Spearman's correlation coefficient (SCC) for naive predictor cannot be calculated in  
 397 leave-drugs-out (LDO). B-C) Performance of implicit pathway models versus explicit models that  
 398 use a pathway layer. Each circle represents a CCL. The color of each circle represents the density  
 399 of circles in its vicinity, where yellow indicates higher density and blue indicates lower density. P-  
 400 values are calculated using a two-sided Wilcoxon signed-rank test. The average performance of  
 401 explicit models (PathDNN and CDS) is shown on the x-axis, while the performance of implicit  
 402 models (PathDSP and HiDRA) is shown on the y-axis. Panel B shows the performance in terms of  
 403 SCC, while panel C shows it in terms of RMSE. See Supplementary Figure S4 in which the cancer  
 404 types of CCLs are also depicted.

405

406 **Morgan fingerprints of compounds were more informative than drug targets for predicting**  
407 **response of unseen cell lines**

408 Since three of the considered deep learning models (MLP, HiDRA, and PathDSP) can utilize both  
409 drug targets (T) and Morgan fingerprints (FP) to represent drugs, we sought to determine which  
410 compound representation is most informative for drug response prediction. As can be seen in  
411 Figure 4, in all three models, using FP to represent compounds in most cases was superior in  
412 terms of SCC in predicting unseen CCLs (LCO) or in predicting unseen CCL-drug pairs (LPO) (Two-  
413 sided Wilcoxon signed-rank  $P < 0.05$ , except for PathDSP LCO). On the other hand, in all three  
414 models drug targets were more informative in predicting the response of unseen drugs (LDO).  
415 However, one should note that none of the three models performed very well in the LDO data  
416 splitting setup and more informative compound representations (e.g., transcriptomic changes in  
417 response to compounds (El Khili, et al., 2022) or DL models that directly learn compound  
418 representations (Zagidullin, et al., 2021)) may be necessary for such an application to allow  
419 generalization to new compounds.

420

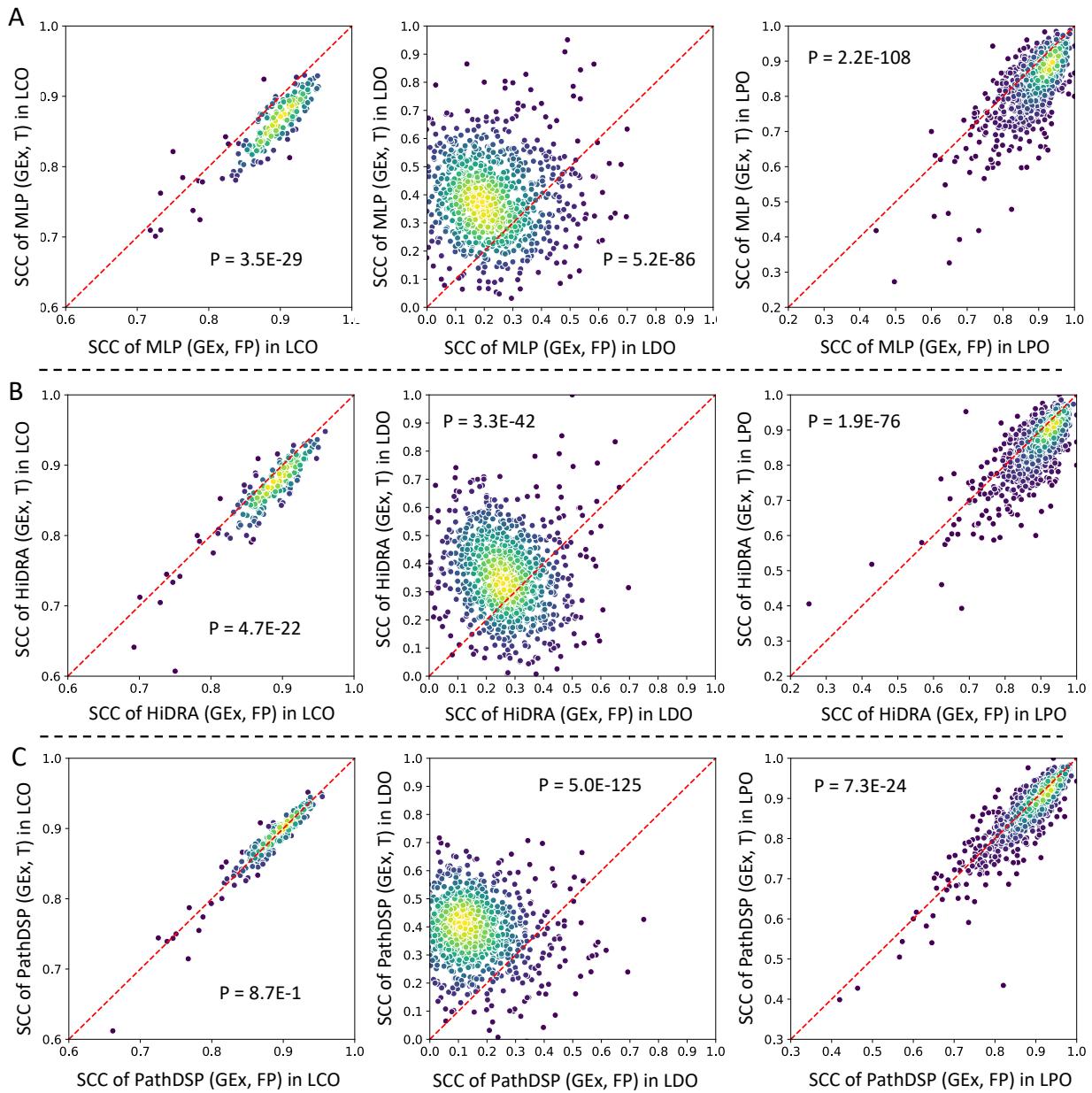
421 It is worth noting that although PathDSP (GEx, T) and HiDRA (GEx, T) both outperformed the MLP  
422 (GEx, T) baseline in LCO and LPO evaluation, MLP (GEx, FP) baseline outperformed all other  
423 models, independent of which CCL or drug representations they used in both LCO and LPO  
424 (Supplementary Table S4). This is an important observation that shows that a simple MLP  
425 baseline, when used with appropriate inputs could achieve comparable or better results  
426 compared to various interpretable models. This observation is concordant with the observation

427 in (Kuenzi, et al., 2020), where the authors found that the interpretable version of their model  
428 resulted in comparable performance to the matched black-box model. Interestingly, RF (GEx, FP)  
429 provided the best performance in terms of SCC and RMSE in LCO, showing that sometimes  
430 traditional machine learning methods can achieve similar or better results compared to deep  
431 learning methods, an observation also made in (Chen and Zhang, 2022).

432

#### 433 **Integrating multiple data modalities improves performance of PathDSP and CDS**

434 Among pathway-based methods that we considered in this study, two of them (CDS and PathDSP)  
435 used multiple data modalities in their original study (Supplementary Table S1). Table 4 compares  
436 the performance of these methods when data modalities chosen by the original study were used  
437 as inputs against their performance when only GEx was used (see Supplementary Table S6 for  
438 comparison of these models using two-sided Wilcoxon signed rank tests). The original PathDSP  
439 model uses GEx, somatic mutation, and CNV as CCL features, as well as Morgan fingerprints and  
440 drug targets as compound features, which for clarity we denote as PathDSP (GEx, CNV, MuT, FP,  
441 T). PathDSP (GEx, CNV, MuT, FP, T) outperformed both PathDSP (GEx, T) and PathDSP (GEx, FP)  
442 in 5 out of 6 evaluations (all except RMSE in LCO, Table 4). The original CDS model uses GEx and  
443 CNV as CCL features and drug targets as compound features, which for clarity we denote as CDS  
444 (GEx, CNV, T). The original CDS (GEx, CNV, T) model also outperforms CDS (GEx, T) in all  
445 evaluations except for LCO approach. Overall, these results suggest that using multiple data  
446 modalities can improve the performance of each model. However, it is important to remind that  
447 MLP (GEx, FP) outperformed all models (including the multi-modality versions of PathDSP and  
448 CDS) in LCO and LPO evaluations (Supplementary Table S4).



449

450 **Figure 4:** Performance of three models when using drug targets or Morgan fingerprints (FP) to  
 451 represent drugs in terms of Spearman's correlation coefficient (SCC). Each circle represents a  
 452 cancer cell line (CCL). The color of each circle represents the density of circles in its vicinity, where  
 453 yellow indicates higher density and blue indicates lower density. P-values are calculated using a  
 454 two-sided Wilcoxon signed-rank test. For all models, the mean SCC when using FP was higher in  
 455 leave-pairs-out (LPO) and leave-cell-lines-out (LCO), and lower in leave-drugs-out (LDO)  
 456 compared to when using drug targets (T). A) Performance of MLP (GEx, T) versus MLP (GEx, FP).  
 457 B) Performance of HiDRA (GEx, T) versus HiDRA (GEx, FP). C) Performance of PathDSP (GEx, T)  
 458 versus PathDSP (GEx, FP). Only models that could utilize both FP and T to represent drugs were  
 459 used for this analysis.

460 **Table 4:** Performance of CDS and PathDSP using KEGG collection, with different input choices.  
 461 The mean and standard deviations (std) are calculated across cancer cell lines (CCLs). For each  
 462 method, the input choice that performs best is bold-faced. Since CDS can only use drug targets  
 463 to represent compounds, the only considered baseline for it is CDS (GEx, T). The following  
 464 symbols are used in this table: ★ = original pathway-based model, ■ = model variant, ↑ = higher  
 465 value indicates better performance, ↓ = lower value indicates better performance.  
 466 Supplementary Figure S5 provides visualization of these values in the form of bar plots.

467

Model Name	LCO		LDO		LPO	
	SCC ↑ (±std)	RMSE ↓ (±std)	SCC ↑ (±std)	RMSE ↓ (±std)	SCC ↑ (±std)	RMSE ↓ (±std)
PathDSP (GEx, CNV, Mut, FP, T) ★	<b>0.883</b> (±0.044)	1.308 (±0.276)	<b>0.470</b> (±0.130)	<b>2.477</b> (±0.286)	<b>0.893</b> (±0.068)	<b>1.020</b> (±0.239)
PathDSP (GEx, T) ■	0.882 (±0.045)	<b>1.283</b> (±0.230)	0.380 (±0.146)	2.648 (±0.287)	0.876 (±0.074)	1.103 (±0.256)
PathDSP (GEx, FP) ■	0.882 (±0.043)	1.297 (±0.227)	0.139 (±0.146)	2.944 (±0.327)	0.887 (±0.068)	1.051 (±0.243)
CDS (GEx, CNV, T) ★	0.777 (±0.049)	1.625 (±0.189)	<b>0.378</b> (±0.164)	<b>2.606</b> (±0.320)	<b>0.776</b> (±0.083)	<b>1.478</b> (±0.287)
CDS (GEx, T) ■	<b>0.789</b> (±0.098)	<b>1.603</b> (±0.254)	0.345 (±0.156)	2.724 (±0.317)	0.769 (±0.106)	1.508 (±0.304)

468

469 **Randomly generated pathways provide comparable results to biological pathway collections**  
 470 **for prediction of drug response in unseen cell lines**

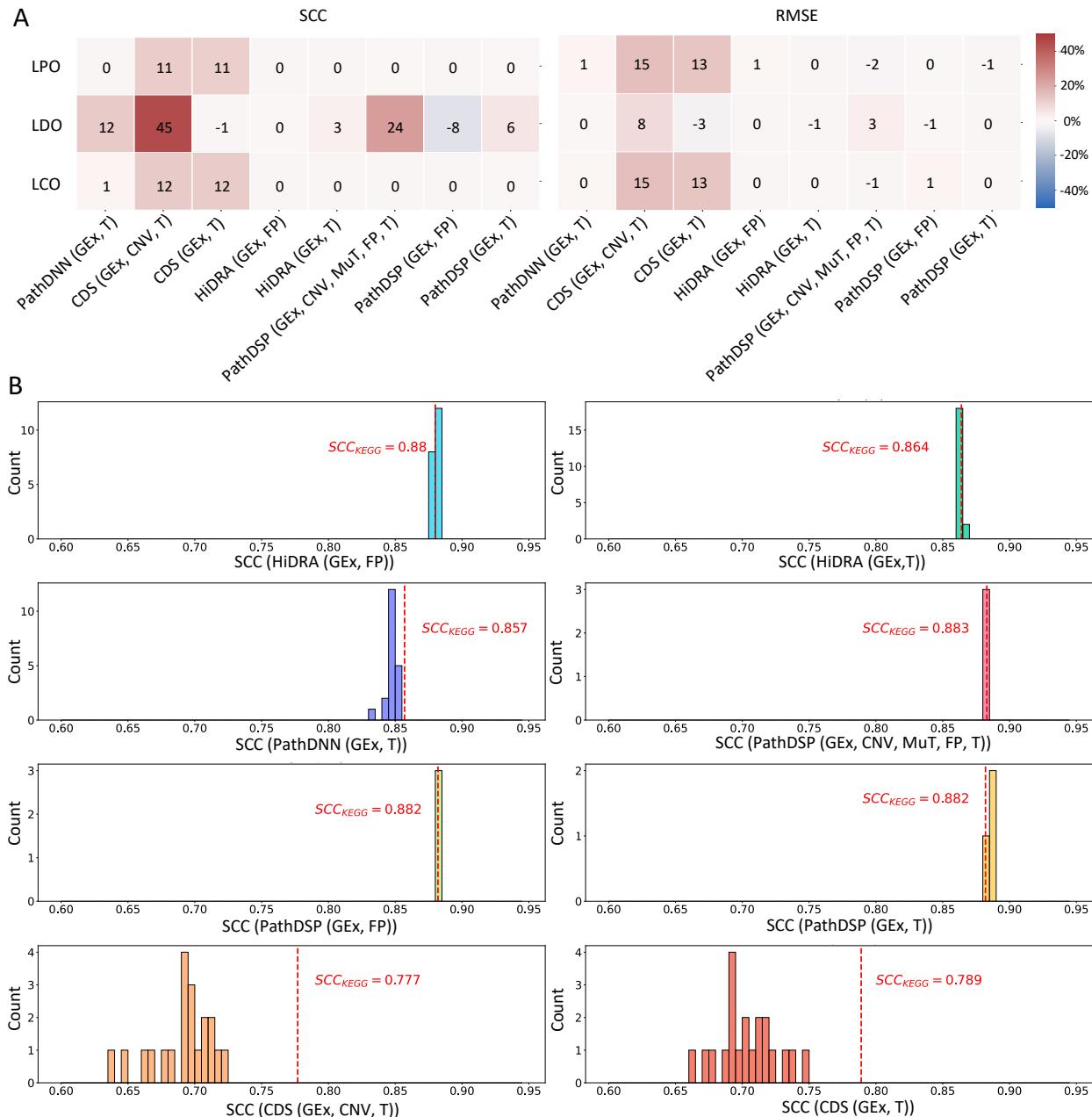
471 Next, we sought to determine whether the performance of pathway-based models can be  
 472 attributed to the biological information in the pathways, or if randomly generated pathways can  
 473 also result in a similar performance. For this purpose, we randomly assigned genes to pseudo-  
 474 pathways while matching the size of the pathways in the KEGG collection. Figure 5A shows the  
 475 percentage of improvement in the form of a heat map, where we compared the original pathway-  
 476 based models (PathDNN, CDS, HiDRA, PathDSP) and their model variants with their  
 477 corresponding random pathway baselines. Figure 5B and Supplementary Figures S6-S10 show

478 the distribution of SCC and RMSE of different models for each randomly generated pathway  
479 collection in different validation schemes.

480  
481 As can be seen in Figure 5, in the LCO and LPO evaluations, biological pathways provided almost  
482 no improvement compared to their randomly generated counterparts (percentage of  
483 improvement between -2% and 2%) for PathDSP, PathDNN, and HiDRA. CDS was the only  
484 exception for which an improvement of up to 15% was obtained using biological pathways  
485 (specifically for the original CDS (GEx, CNV, T) model). While it is difficult to conclusively  
486 determine why CDS benefits from biological pathways, our conjecture is that this is due to its  
487 unique architecture combined with the use of CNV input data. Moreover, it is important to note  
488 that despite this improvement, CDS (GEx, CNV, T) and CDS (GEx, T) had much worse performance  
489 compared to the other models (Figure 5B and Table 3).

490  
491 In the LDO evaluation, the two models that used Morgan fingerprints to represent compounds,  
492 PathDSP (GEx, FP) and HiDRA (GEx, FP) did not perform better with biological pathways compared  
493 to randomly generated pathways. On the other hand, the majority of models that used drug  
494 targets experienced an improvement compared to randomly generated pathways. We  
495 investigated this behavior further by inspecting the number of drug targets in KEGG pathways  
496 and the randomly generated pathways (Supplementary Figure S11). Comparing the number of  
497 targets in each KEGG pathway with the randomly generated pathways of the same size showed  
498 that in the majority of pathways (230 out of 332 pathways, approximately 70%), the number of  
499 drug targets in the KEGG pathways were larger. Since drug targets are integrated with pathway

500 information to obtain drug embeddings, this difference in the number of drug targets results in  
501 less informative and less distinguishable drug embeddings in the case of randomly generated  
502 pathways. For example, in PathDNN where drug targets are represented as binary features, the  
503 random pathway nodes are connected to many zero-valued drug features. Such nodes do not  
504 participate much in capturing the similarities or differences of drugs, leading to embeddings that  
505 are not as informative as their biological pathway counterparts in capturing patterns of similarity  
506 and dissimilarity of drugs. This observation is also in line with a recent study that showed better  
507 predictions could be obtained for compounds with diverse target classes (Kuenzi, et al., 2020).  
508 The issue mentioned above is particularly important in the case of LDO, since unlike LCO and LPO  
509 where all drugs in the test set have been seen by the model during training, the model must learn  
510 drug similarity/dissimilarity patterns in order to make predictions for new drugs not observed  
511 during training. This results in a deterioration of performance in random-pathway models  
512 (parituclarly in LDO) compared to their biological counterparts observed in Figure 5.



513

514 **Figure 5: Performance of pathway-based models using KEGG or randomly generated pathways.**  
 515 1) Percentage of improvement (or deterioration) of different models when using KEGG compared  
 516 to their mean performance when using randomly generated pathways. B) The histograms show  
 517 the distribution of mean Spearman's correlation coefficient (SCC) of random pathway baselines  
 518 using the leave-cell-lines-out (LCO) validation scheme. Vertical dashed red lines show SCC of the  
 519 model when using KEGG pathways. Twenty random pathway baselines were constructed for each  
 520 model, except for PathDSP models. Since PathDSP requires 1000 permutation tests for each type  
 521 of input data, only three random pathway baselines were constructed due to its extremely high  
 522 computational requirement.

523

524 **Effect of pathway collection choice on drug response prediction**

525 We next sought to investigate which pathway collection is more suitable for the drug response  
526 prediction task. For this purpose, we compared the performance of each pathway-based model  
527 (in their original architecture and using original input features) using each of these collections  
528 (see Supplementary Tables S7 and S8 for the performance of all models and their variants using  
529 PID and Reactome). To ensure a fair comparison, we only included (drug, CCL) pairs in the test  
530 sets that were shared among all three uniform datasets. We used the LCO data splitting approach,  
531 since the overlap among the test samples of the three uniform datasets was largest in this  
532 strategy (21525 pairs versus 3277 in LDO and 851 in LPO).

533

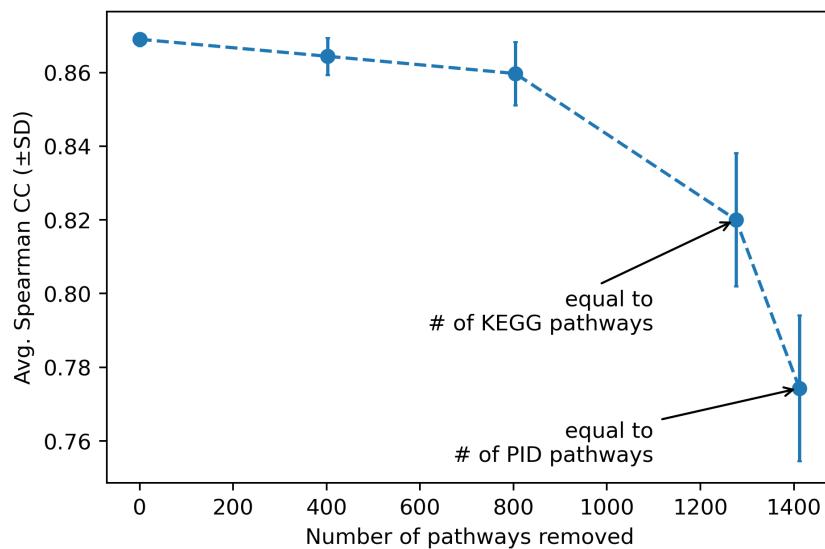
534 **Table 5:** Performance of pathway-based models using different pathway collections. Models with  
535 input data used in their original studies are used in this table. More specifically, the models  
536 correspond to PathDNN (GEx, T), CDS (GEx, CNV, T), HiDRA (GEx, FP), and PathDSP (GEx, CNV,  
537 MuT, FP, T). Mean and standard deviation are calculated across cell lines using the leave-cell-  
538 lines-out (LCO) evaluation. Supplementary Figure S12 provides visualization of these values in the  
539 form of bar plots and Supplementary Table S9 provides comparison of these models using  
540 Wilcoxon signed rank tests.

Pathway Collection	PathDNN		CDS		HiDRA		PathDSP	
	SCC (±std)	RMSE (±std)	SCC (±std)	RMSE (±std)	SCC (±std)	RMSE (±std)	SCC (±std)	RMSE (±std)
Reactome	0.86 (±0.04)	1.35 (±0.24)	0.76 (±0.05)	1.63 (±0.24)	0.88 (±0.05)	1.26 (±0.25)	0.87 (±0.05)	1.29 (±0.25)
PID	0.85 (±0.05)	1.42 (±0.26)	0.78 (±0.06)	1.63 (±0.27)	0.88 (±0.05)	1.28 (±0.29)	0.88 (±0.05)	1.29 (±0.25)
KEGG	0.85 (±0.05)	1.49 (±0.29)	0.77 (±0.05)	1.61 (±0.20)	0.87 (±0.05)	1.29 (±0.26)	0.88 (±0.05)	1.30 (±0.28)

541

542 Table 5 and Supplementary Figure S12 show the mean and standard deviation of SCC and RMSE  
543 of each model using all three pathway collections. Overall, we observed that the performance of

544 most models did not vary drastically based on the choice of pathway collection. However,  
545 Reactome pathway provided slightly better results for the majority of the methods, being the top  
546 performing option for 3 (out of 4 methods) based on RMSE. We hypothesized that this is due to  
547 the larger number of pathway annotations included in this database for our use-case (1608  
548 pathways in Reactome compared to 332 in KEGG and 196 in PID), resulting in a more  
549 comprehensive representation of the input data.



550  
551 **Figure 6:** Performance of PathDNN (GEx, T) with downsampled Reactome pathways. The y-axis  
552 shows the mean (Avg.) and standard deviation (SD) of Spearman's correlation coefficient (SCC)  
553 and the x-axis shows the number of pathways removed from the Reactome collection.  
554

555 To test whether the large number of pathways in Reactome can explain its better performance,  
556 we randomly downsampled the pathways in this collection. Figure 6 shows the SCC for PathDNN  
557 (GEx, T) using different number of pathways removed (x-axis). We focused on PathDNN (GEx, T),  
558 since it achieved its best performance when using Reactome pathway collection (compared to  
559 PID or KEGG). For each value on the x-axis, downsampling was performed ten times and the  
560 results were used to calculate the mean and standard deviation in the LCO setup (Supplementary

561 Table S10 provides details of each run). This figure shows that indeed, the number of pathways  
562 in the Reactome collection plays a major role in its performance: as more pathways are removed,  
563 the performance of PathDNN (GEx, T) deteriorates, with the lowest mean SCC value obtained  
564 when only 196 (equal to the number of pathways in PID) have remained. This signifies that the  
565 comprehensiveness of Reactome has enabled PathDNN to achieve better results. Interestingly,  
566 the performance of this model with PID or KEGG was much better compared to the downsampled  
567 version of Reactome with the same number of pathways (Table 5 and Figure 6). We attribute this  
568 to the increasing probability of removing an important pathway during random downsampling of  
569 Reactome, as well as the quality of the curated pathways in KEGG and PID.

570

### 571 **Cross-dataset performance of pathway-based models**

572 In addition to the analysis performed using GDSC reported earlier, we also assessed the  
573 generalizability of the deep learning models to predict response of drugs in CTRPv2 (Rees, et al.,  
574 2016). For this purpose, we trained the models on GDSC using drug AUC values and assessed their  
575 performance on the prediction of AUC of drugs in CTRPv2. For consistency, all models were  
576 trained using gene expression and drug targets. Supplementary Table S11 shows the results in  
577 various data splitting and evaluation setups. Similar to our previous analyses on GDSC, in LCO and  
578 LPO setup, implicit models performed better compared to explicit models and also outperformed  
579 MLP. However, in the LDO setup MLP baseline achieved the best performance. The performance  
580 of all models on CTRPv2 deteriorated compared to their performance on GDSC, highlighting the  
581 challenging nature of this task.

582

583 **Discussion**

584 Recently, several deep learning methods have been proposed to enable a higher interpretability  
585 of drug response prediction and to improve the prediction performance. In this study, we set out  
586 to investigate four methods that try to achieve these goals by incorporating pathway information  
587 under various validation schemes and answer five important questions discussed earlier. The  
588 models were tested to predict drug response for unseen (CCL, drug) pairs, unseen CCLs, and  
589 unseen drugs. We compared these methods against four types of baseline models, two of which  
590 were usually overlooked in previous studies.

591

592 First, we observed that models that incorporate a dedicated explicit pathway layer and connect  
593 gene nodes in a previous layer to pathways based on pathway membership perform worse  
594 compared to models that implicitly (e.g., using attention mechanisms or pathway enrichment  
595 scores) incorporate pathway information. In fact, in many occasions explicit models'  
596 performance was inferior to a black-box simple MLP model with similar input. This suggests that  
597 direct encoding of gene-pathway membership is not an effective strategy to incorporate pathway  
598 information. The overly sparse connections between the gene and pathway layer may be the  
599 cause for the unsatisfactory performance of these methods (due to a reduction in their capacity),  
600 supported by the observation that their MLP counterparts (with fully connected layers) achieved  
601 a better performance. Another limitation of explicit models is that they can only utilize gene-level  
602 drug representations, limiting usable drug features to drug targets. Our analysis using methods  
603 that could utilize both drug targets and Morgan fingerprints showed the latter to be superior in  
604 prediction of response for unseen CCLs or unseen pairs. However, recent studies have suggested

605 that alternative drug representations such as transcriptomic changes in response to compounds  
606 (El Khili, et al., 2022) or DL-based fingerprints (Zagidullin, et al., 2021) may improve performance  
607 of drug response predictors.

608

609 Our analyses also showed that while implicit models generally performed better in predicting  
610 unseen CCLs and unseen pairs, a comparable performance can be achieved when instead of  
611 biological pathways, randomly generated pathways are used. Moreover, in these validation  
612 setups a black-box MLP that used Morgan fingerprints for drug representation outperformed all  
613 pathway-based models. Put together, these results suggest that to make the models  
614 interpretable, these approaches inevitably make assumptions that cannot fully capture the  
615 nuances of drugs' mechanisms of action in cancer cell lines, resulting in comparable or worse  
616 performance compared to black-box models.

617

618 Our analyses also allowed us to assess the difficulty of drug response prediction in different  
619 setups. While at first glance, Table 3 may suggest that predicting response of unseen drugs are  
620 much more challenging than unseen CCLs, a more appropriate comparison can be made using  
621 Figure 3A, where the models' performance improvement under each validation setup was  
622 compared against a naive predictor. This figure shows that predicting response of unseen pairs  
623 is much easier compared to prediction for unseen CCLs and unseen drugs. This is not surprising,  
624 since this is a transductive setup and drugs and CCLs in the test set are present in the training set  
625 (but not together). This setup is useful for imputation of missing drug response values but cannot  
626 be used to predict response to new CCLs or new drugs. On the other hand, predicting response

627 of unseen drugs and unseen CCLs are much more difficult and most models cannot provide a  
628 better prediction for the majority of CCLs in these two setups (Figure 3A). Moreover, the  
629 performance of all models deteriorated when used to predict the drug response in a different  
630 dataset (CTRPv2), revealing the challenging nature of this task.

631

632 The contrast between conclusions one may draw from Table 3 and Figure 3A (discussed above)  
633 demonstrates the potential for obtaining inflated performance measures in the LCO framework,  
634 in which for a given CCL in the test set, the log IC50 value of different drugs are to be predicted.  
635 Supplementary Figure S13 shows the distributions of log IC50 values for each drug (across CCLs,  
636 panel A) and each CCL (across drugs, panel B) in our dataset. While these values vary across both  
637 drugs and CCLs, the identity of a drug plays a bigger role in determining its log IC50 value  
638 compared to the identity of the CCL to which it was administered (i.e., log IC50 values are more  
639 drug-specific than CCL-specific). Supplementary Figure S13C better clarifies this point by  
640 depicting the histogram of false discovery rates (FDRs) obtained from comparing the local  
641 distribution of log IC50 values per drug (purple) or per CCL (green) and the global distribution of  
642 the log IC50 values using Mann-Whitney U tests. Although for the majority of drugs (93%) drug-  
643 specific log IC50 values (across all CCLs, but for a specific drug) are significantly different (FDR <  
644 0.05) from the global log IC50 values (across all drugs and CCLs), that is true for only 43% of CCLs.  
645 This implies that by simply knowing the identity of a drug, a model can rank different drugs based  
646 on their log IC50 values for an unseen CCL rather well. To overcome this issue and avoid reporting  
647 unrealistically inflated metrics, one should focus on improvement compared to a naive predictor  
648 (an approach that we adopted in this study), or should normalize log IC50 values of each drug

649 across CCLs to make them comparable to each other (an approach that we adopted in (Hostallero,  
650 et al., 2022)).

651  
652 We also compared the performance of different models using three pathway collections, PID,  
653 KEGG, and Reactome. Even though there was not a major difference in the performance of  
654 models when substituting one collection for the other, Reactome collection resulted in slightly  
655 better performance. This seems to be due to the larger number of pathways in this collection  
656 compared to the other two. However, since randomly generated pathway collections also  
657 provided comparable performance based on the models considered in this study, it is not  
658 possible to draw a conclusive determination regarding which pathway collection may be more  
659 useful for the drug response prediction task.

660  
661 This study focused on evaluating the effect of incorporating pathway information from the  
662 perspective of model performance and we did not evaluate these models based on their level of  
663 interpretability. A study that focuses on interpretability aspect of these models would be very  
664 insightful and complementary to the current study. For example, one can take a closer look at  
665 the feature attributions of these pathway-based models using explainers such as DeepLIFT (Deep  
666 Learning Important FeaTures) (Shrikumar, et al., 2017), CXPlain (Schwab and Karlen, 2019), and  
667 SHAP (Shapley Additive exPlanations) (Lundberg and Lee, 2017) to estimate feature importance  
668 and identify genes or other biological features that have substantial influence on the model  
669 predictions. Such analysis can be done for all pathway-based models to check if the most  
670 important/predictive sub-networks or the top contributing genes extracted from each model

671 have any overlap. If such overlap exists between the pathway-based models, further studies can  
672 be done by validating the findings with existing literature or conducting experiments under a lab  
673 setting. Analysis on model interpretability will complement the insights obtained from model  
674 performance evaluation and together provide a more holistic view for the effect of pathway  
675 incorporation on drug response prediction.

676

677 In conclusion, we believe that while interpretability is a very crucial aim in precision medicine,  
678 new models are necessary to enable a higher degree of interpretability while at the same time  
679 improve the drug response prediction performance. In addition, it is not sufficient for these  
680 models to show a better performance compared to their black-box counterparts, and they need  
681 to also evaluate their models against randomly generated pathways (with similar pathway sizes  
682 to the original collection) and naive predictors to control for different types of biases.

683

684 **Data and Code Availability:** Input data for the evaluated models is provided at  
685 <https://zenodo.org/record/7101665#.YzS79HbMKUk>. The implementation of the models are  
686 available at [https://github.com/Emad-COMBINE-lab/InterpretableAI\\_for\\_DRP](https://github.com/Emad-COMBINE-lab/InterpretableAI_for_DRP).

687

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695

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697

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