

1    **Climate change, biotic yield gaps and disease pressure in cereal crops**

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9

10    **Abstract**

11    Plant diseases are major causes of crop yield losses and exert a financial burden via expenditure on  
12    disease control. The magnitude of these burdens depends on biological, environmental and  
13    management factors, but this variation is poorly understood. Here we model the effects of weather on  
14    potential yield losses due to fungal plant pathogens (the biotic yield gap,  $Y_{gb}$ ) using experimental  
15    trials of fungicide-treated and untreated cereal crops in the UK, and project future  $Y_{gb}$  under climate  
16    change. We find that  $Y_{gb}$  varies between 10 and 20 % of fungicide-treated yields depending on crop,  
17    and increases under warmer winter and wetter spring conditions.  $Y_{gb}$  will increase for winter wheat  
18    and winter barley under climate change, while declining for spring crops because drier summers  
19    offset the effects of warmer winters. Potential disease impacts are comparable in magnitude to the  
20    effects of suboptimal weather and crop varieties.

21

22 **Introduction**

23

24 Sustainable intensification of agriculture aims to increase food production without exacerbating  
25 environmental impacts, thereby avoiding the need to further expand agriculture into natural  
26 ecosystems to satisfy growing market demand <sup>1,2</sup>. A key metric for intensification is the crop yield  
27 gap, which is the fractional difference between the potential yield in a region under irrigated or  
28 rainfed conditions and the average yield actually achieved by farmers <sup>1,3</sup>. The yield gap depends on  
29 numerous factors including crop genotype, nutrient deficiency, water stress, solar radiation, growing  
30 season temperatures, management factors (e.g. reliance on manual labour) and the effects of weeds,  
31 pests and diseases <sup>1,3,4</sup>. Yield gaps shrink with economic development, as wealthier countries are able  
32 to invest more in technology, training, fertilizer and crop protection, but tend toward 20% as further  
33 improvements become economically and ecologically undesirable <sup>5</sup>.

34

35 While recent research has quantified the contribution of suboptimal crop genetics and management to  
36 yield gaps, biotic burdens like weeds, pests and diseases tend to be ignored <sup>3,5</sup>. Expert opinion  
37 suggests that around one fifth to one third of crop production is lost to pests and diseases globally <sup>6</sup>,  
38 but little is known about how these losses vary in time and space. Observed losses are potential losses  
39 reduced by expenditure on measures like weeding, disease-resistant seed, and agrochemical  
40 herbicides, pesticides and fungicides <sup>1,7,8</sup>. Here, we focus on the impacts of fungal diseases. Disease  
41 risk varies with pathogen virulence, crop susceptibility and environmental factors like weather <sup>8,9</sup>. Pest  
42 and disease life cycles are strongly determined by weather conditions, and many weather-driven  
43 models have been developed to predict occurrence or infection risk and thereby support decisions on  
44 when to apply control measures <sup>8</sup>. Similarly, climate change, particularly warming, has driven  
45 historical increases in pest and disease incidence <sup>10</sup> and is likely to cause significant shifts in pest and  
46 disease risks in future <sup>11,12</sup>. In contrast with disease risk, the effects of weather and climate change on  
47 yield losses to biotic agents are poorly understood.

48

49 Quantifying potential yield losses to biotic agents and why these vary is key to understanding an  
50 important component of crop yield gaps, and how to reduce them. The potential biotic yield gap ( $Y_{gb}$ )  
51 can be defined as the fractional difference in yield between crops that have been protected against  
52 losses to biotic agents ( $Y_t$ ) and those that are unprotected ( $Y_c$ ) keeping crop variety and environment  
53 constant, i.e.  $Y_{gb} = 1 - \frac{Y_c}{Y_t}$ .  $Y_{gb}$  can be considered as a measure of disease pressure or disease burden,  
54 as it indicates the importance of disease to a particular cropping system. Potential losses can be  
55 estimated by controlled field experiments that compare protected (e.g. fungicide-treated) with control  
56 (untreated) yields. Such experiments are generally undertaken by agencies responsible for crop  
57 variety selection when determining pest or disease resistance levels, most often to fungal pathogens <sup>13</sup>.

58 Here, we analyse untreated ( $Y_c$ ) and fungicide-treated ( $Y_t$ ) yields from nearly two decades of grain  
59 cultivar trials in the UK to quantify  $Y_{gb}$  attributable to fungal pathogens (Supplementary Table 1), and  
60 to test the hypothesis that the fungal disease burden will increase with climate change. Further, we  
61 quantify the contribution of crop variety differences and interannual (climatic) variability to trial  
62 yields, and estimated the relative contributions of changing temperature and moisture to  $Y_{gb}$ .

63

## 64 **Results**

65

### 66 *Yields and the biotic yield gap*

67 Yields varied among crops and between spring and winter varieties of wheat and barley (Fig. 1,  
68 Supplementary Fig. 1). Mean  $Y_t$  per site (averaged across all varieties) over the study period was  $10.6 \pm 1.7$  (sample SD)  $t \text{ ha}^{-1}$  for winter wheat,  $7.2 \pm 1.4 t \text{ ha}^{-1}$  for spring wheat,  $9.1 \pm 1.4 t \text{ ha}^{-1}$  for winter  
69 barley,  $7.3 \pm 1.2 t \text{ ha}^{-1}$  for spring barley and  $7.2 \pm 1.5 t \text{ ha}^{-1}$  for spring oats.  $Y_t$  tended to increase over  
70 time for winter and spring barley but not for the other crops. Mean  $Y_c$  per site was  $8.3 \pm 1.7 t \text{ ha}^{-1}$  for  
71 winter wheat,  $6.1 \pm 1.2 t \text{ ha}^{-1}$  for spring wheat,  $7.4 \pm 1.2 t \text{ ha}^{-1}$  for winter barley,  $6.6 \pm 1.3 t \text{ ha}^{-1}$  for  
72 spring barley and  $6.3 \pm 1.4 t \text{ ha}^{-1}$  for spring oats.  $Y_c$  followed similar temporal trends to  $Y_t$ , increasing  
73 for barley but not changing over the study period for the other crops. The mean difference between  $Y_t$   
74 and  $Y_c$  for each individual variety trial was  $2.3 \pm 1.6 t \text{ ha}^{-1}$  for winter wheat,  $1.4 \pm 1.2 t \text{ ha}^{-1}$  for spring  
75 wheat,  $1.7 \pm 1.2 t \text{ ha}^{-1}$  for winter barley,  $0.8 \pm 0.8 t \text{ ha}^{-1}$  for spring barley and  $1.0 \pm 1.0 t \text{ ha}^{-1}$  for spring  
76 oats. The mean biotic yield gap ( $Y_{gb}$ ) attributable to fungal pathogens per site was  $0.21 \pm 0.12$  for  
77 winter wheat,  $0.17 \pm 0.13$  for spring wheat,  $0.18 \pm 0.10$  for winter barley,  $0.11 \pm 0.09$  for spring  
78 barley and  $0.13 \pm 0.11$  for spring oats. No trends were apparent in  $Y_{gb}$  over time for any crop.

79

### 80

### 81 *Maximum attainable yield and components of the yield gap*

82 While  $Y_t$  estimates yield in the absence of fungal pathogens, the effects of genetic variation among  
83 varieties, growing season climate, and site-specific edaphic factors may reduce yield below what is  
84 potentially possible for a crop. We estimated the maximum attainable yield ( $Y_{max}$ ) for each crop from  
85 the top 5 % of all  $Y_t$  values across all trials. We detected no spatial trends in  $Y_t$  except for an increase  
86 with latitude in spring oats (Supplementary Table 2), and therefore estimated  $Y_{max}$  across all sites  
87 rather than as a function of location. Mean  $Y_{max}$  was  $14.5 t \text{ ha} \pm 0.1 t \text{ ha}^{-1}$  (bootstrap SD) for winter  
88 wheat,  $10.1 \pm 0.1 t \text{ ha}^{-1}$  for spring wheat,  $12.1 \pm 0.05 t \text{ ha}^{-1}$  for winter barley,  $10.0 \pm 0.03 t \text{ ha}^{-1}$  for  
89 spring barley and  $10.5 \pm 0.1 t \text{ ha}^{-1}$  for spring oats. We estimated the contribution of variety (genetic)  
90 differences to yield by the mean absolute error (MAE) of  $Y_t$  among varieties within sites and years  
91 ( $Y_{gg}$ ). Over the study period,  $Y_{gg}$  was  $0.4 t \text{ ha}^{-1}$  for winter wheat,  $0.3 t \text{ ha}^{-1}$  for spring wheat,  $0.4 t \text{ ha}^{-1}$   
92 for winter barley,  $0.3 t \text{ ha}^{-1}$  for spring barley and  $0.6 t \text{ ha}^{-1}$  for spring oats. The MAE of  $Y_t$  within  
93 varieties and sites across years gave an estimate of the contribution of climatic variation to the yield

94 gap ( $Y_{gc}$ ). Over the study period,  $Y_{vc}$  was 1.0 t ha<sup>-1</sup> for winter wheat, 0.7 t ha<sup>-1</sup> for spring wheat, 0.7 t ha<sup>-1</sup> for winter barley, 0.6 t ha<sup>-1</sup> for spring barley and 0.7 t ha<sup>-1</sup> for spring oats.

96

97 Taking winter wheat as an example, we decomposed the gap between  $Y_{max}$  and  $Y_{min}$  (the mean of the  
98 lowest 5 %  $Y_c$  values) into biotic ( $Y_{gb}$ ), genetic ( $Y_{gg}$ ) and climatic ( $Y_{gc}$ ) components (Fig. 2). In this  
99 case,  $Y_{gg}$  and  $Y_{gc}$  were the empirical 95 % confidence intervals of  $Y_t$  deviations rather than MAE,  
100 indicating the difference between best and worst varieties within trials, and best and worst years  
101 within varieties. This indicated that mean losses to disease were of similar magnitude to varietal  
102 effects, but smaller than the effects of interannual climatic variation. Modelled potential yields and  
103 achieved yields for rainfed wheat<sup>14</sup> lie within the range of  $Y_{max}$  and  $Y_{min}$ .

104

#### 105 *Weather and the biotic yield gap*

106 We correlated  $Y_{gb}$  with site-specific monthly temperature, relative humidity (RH) and precipitation  
107 over the growing season to determine the most important weather variables driving fungal disease  
108 pressure (Fig. 3). Winter temperatures and summer RH were most strongly positively correlated with  
109  $Y_{gb}$  in winter wheat and in barley, while spring and summer precipitation were most important in  
110 spring wheat. Early spring temperature and early summer RH were most strongly correlated with  $Y_{gb}$   
111 in spring oats. We selected the single months with the strongest temperature and RH (or precipitation)  
112 correlations for each crop for predictive modelling. The correlations for the best predictor months  
113 varied between 0.22 and 0.57 (Supplementary Table 3). Inclusion of additional months in the models  
114 was unnecessary because weather is temporally autocorrelated (a warmer February tends to follow a  
115 warmer January etc). Model selection determined that, over the range of monthly temperature and  
116 humidity values in the data, the relationships with  $Y_{gb}$  were best explained by additive linear terms,  
117 except for spring oats for which there was an interaction between March temperature and May  
118 humidity (Supplementary Fig. 2, Supplementary Table 4). Fitted values for the models were strongly  
119 correlated ( $r > 0.41$ ) with observations (Supplementary Table 4).

120

#### 121 *Climate change and the biotic yield gap*

122 We estimated  $Y_{gb}$  across crop production areas in the UK with our models, under recent historical  
123 (2002 – 2020) and projected future climates (2021 – 2040 and 2061 – 2080). Wheat production is  
124 currently concentrated in central and eastern England, barley in central southern England and eastern  
125 England and Scotland, and oat production occurs at low densities across the country (Supplementary  
126 Fig. 3). We employed the Met Office UKCP18 RCP8.5 projections at 5 km resolution for both the  
127 historical and future climates. We used current crop distributions for all estimates and did not try to  
128 project potential future crop distributions. Mean  $Y_{gb}$  weighted by crop area was around one fifth for  
129 winter wheat, spring wheat and winter barley, and one tenth for spring barley and spring oats over the  
130 recent historical period (Fig. 4, Supplementary Table 5). For all crops  $Y_{gb}$  increased towards the South

131 and West (Fig. 4). On average,  $Y_{gb}$  increased slightly in the two future periods for winter wheat and  
132 winter barley, but declined slightly for the spring crops (Supplementary Table 5). Our results were  
133 robust to model perturbations in future climate projections, with mean standard deviations below  
134 0.015 % across the production areas of each crop (Supplementary Figs. 4 – 8, Supplementary Table  
135 6).

136

137 There were marked spatial patterns in the projected future changes in  $Y_{gb}$  (Fig. 5). For winter wheat  
138 and winter barley,  $Y_{gb}$  tended to increase in Wales, East Anglia, northern England and Scotland. For  
139 spring wheat the greatest declines in  $Y_{gb}$  were projected in the South West while small increases  
140 occurred in Scotland. For spring barley, the change in  $Y_{gb}$  was projected to be negative over most of  
141 England and Wales, and positive in northern Scotland. Spring oats are less commonly planted across  
142 the UK, but there was some indication of an increase in  $Y_{gb}$  in Wales with declines elsewhere.

143 Overall, our results suggest that on average the changes in  $Y_{gb}$  will be relatively minor, but that some  
144 regions will experience large increases or decreases in fungal disease pressure depending on the crop.  
145 In particular, spring crops will see overall decreases in  $Y_{gb}$ , while winter crops will see increases. This  
146 difference between winter and spring crops is attributable to projected changes in temperature and  
147 moisture in winter and summer (Supplementary Figs. 9 – 10). Winter temperatures (December to  
148 February) increase less than summer temperatures (June to August), with the largest increases  
149 expected in the south. Winters are expected to get wetter, particularly in the north, while summers are  
150 expected to become drier in the south and wetter only in northern Scotland. Most winter wheat and  
151 barley production occurs in regions that will warm substantially in December and become drier in  
152 May (Fig. 6). These trends have opposing effects on  $Y_{gb}$ , meaning that much of the production area is  
153 expected to experience relatively small changes in  $Y_{gb}$ . In contrast, most of the production area for  
154 spring barley occurs in areas expected to experience only moderate March warming, but substantial  
155 drying in July. This results in declines in  $Y_{gb}$  for the majority of the production area. Most of the  
156 production area for spring oats is expected to experience only moderate changes in temperature and  
157 moisture, with relatively minor associated changes in  $Y_{gb}$ .

158

## 159 **Discussion**

160

161 Our results show that fungal disease pressure on grain crops in the UK, as measured by  $Y_{gb}$ , amounts  
162 to between one tenth and one fifth of yield in variety trials.  $Y_{gb}$  tends to increase with winter  
163 temperatures and summer moisture, and  $Y_{gb}$  is greater in wheat and in winter barley than in spring  
164 barley and spring oats. Projections of  $Y_{gb}$  under future climates using these models suggests that  
165 change in disease pressure will be moderate on average, but spatially variable and dependent upon the  
166 crop growing season. Winter varieties are more likely to see increases in disease pressure due to  
167 warming winters, which are only partially offset by drying summers. Spring varieties of wheat and

168 barley are likely to see declines in disease pressure due to summer drying. These changes could  
169 influence the relative importance of spring and winter varieties in the UK in future. While our models  
170 cannot be reliably extrapolated outside the UK, the strong predictive power of our relatively simple  
171 models suggests that our approach could be applied to other regions where suitable agricultural trial  
172 data are available.

173

174 We did not attempt to relate  $Y_{gb}$  to incidences of specific fungal diseases. AHDB provides disease  
175 incidence scores for a number of pests and pathogens for each crop, but available records are highly  
176 incomplete making statistical estimation of impacts difficult. Septoria Tritici Blotch (STB, caused by  
177 *Zymoseptoria tritici*) has been the most important disease of winter wheat in the UK for several  
178 decades<sup>15</sup>. Other significant fungal diseases of winter wheat include brown rust (caused by *Puccinia*  
179 *triticina*), yellow rust (*Puccinia striiformis*), the soilborne disease take-all (*Gaeumannomyces tritici*),  
180 glume blotch (*Phaeosphaeria nodorum*), powdery mildew (*Blumeria graminis*), tan spot  
181 (*Pyrenophora tritici-repentis*), eyespot (*Oculimacula* spp.), sharp eyespot (*Rhizoctonia cerealis*), and  
182 Fusarium ear blight (*Fusarium* spp.)<sup>15</sup>. Farm surveys between 1999 and 2019 suggest that incidences  
183 of most diseases are rather variable over time, with glume blotch, powdery mildew, eyespot and sharp  
184 eyespot declining somewhat and Fusarium ear blight emerging<sup>15</sup>. Temporal and spatial dynamics of  
185 fungal diseases of spring wheat, barley and oats are less well characterized than those of winter wheat.

186

187 Fungal plant pathogens show a range of climatic tolerances<sup>16</sup>, therefore the suite of diseases affecting  
188 different crops may well change in future with warming and other global change drivers<sup>12</sup>. For  
189 example, improvements in air quality in recent decades may have allowed STB to overtake glume  
190 blotch as the most important winter wheat disease in the UK<sup>17</sup>, although changes in fungicide  
191 application regimes are also implicated<sup>15</sup>. A combination of climate change, landscape management  
192 and crop breeding may allow a previously important disease, stem rust (caused by *Puccinia graminis*  
193 f.sp. *tritici*), to return<sup>18</sup>. Our projections of future  $Y_{gb}$  assumed that fungal disease responses to  
194 weather would remain constant, though this may not be tenable if the pathogen assemblage changes.  
195 However, general trends in fungal pathogen responses to climate change have been reported. For  
196 example, soilborne fungal pathogens tend to increase in relative abundance in response to warming<sup>19</sup>.  
197 Replication of our methods in other regions, thereby extending the climate envelope for model  
198 parameterization, could help to determine the generality of the patterns we have detected.

199

200 We assumed that fungicide applications in trials completely prevented yield losses. In the UK,  
201 fungicides are applied to nearly all crop areas with between three and four sprays applied to winter  
202 wheat during the growing season<sup>15</sup>. The most consistently important fungicide classes have been  
203 demethylation inhibitors. Use of strobilurins has declined due to resistance evolution, while succinate  
204 dehydrogenase inhibitors and chlorothalonil use has increased<sup>15</sup>. Details of experimental fungicide

205 applications are not reported by AHDB, but we assumed that the manufacturer-recommended dosage  
206 and timings were implemented. Farmers tend to apply less than the recommended dosage, though this  
207 fraction increased from around 0.4 to around 0.8 between 1999 and 2019<sup>15</sup>. Fungicides are required  
208 because genetic resistance to fungal pathogens provides insufficient protection. Resistance to STB  
209 and Wheat mildew (*Blumeria graminis* f. sp. *tritici*) is polygenic and partial, but tends to be durable  
210 over time, while resistance to rusts and Barley mildew (*Blumeria graminis* f. sp. *hordei*) is monogenic  
211 and persists for a few years before being overcome by evolution of virulence in the pathogen<sup>20</sup>.  
212 Variation in resistance will be a major determinant of the variability in  $Y_{gb}$  among tested varieties.  
213

214 We statistically modelled  $Y_{gb}$  in relation to weather while the majority of studies have focussed on  
215 processes like infection rate or some measure of disease risk<sup>8,12,21–23</sup>. Process-based, or mechanistic,  
216 models of infection risk tend to be driven by hourly meteorological data<sup>22</sup>, though some large-scale  
217 studies have employed monthly averages<sup>12</sup>. Temperature responses are usually humped, with the  
218 maximum infection rate occurring at optimum temperature. In contrast, we detected a linear response  
219 to temperature. This may indicate that UK crop production occurs at temperatures below the optima  
220 for important fungal pathogens. The effect of moisture is commonly modelled as an increasing  
221 function of humidity, or a binary process whereby infection can only take place during periods in  
222 which leaf surfaces are wet<sup>22</sup>. While these models of disease risk can be used in disease control  
223 decision-making, or to estimate risks under future climates, they do not directly estimate potential  
224 yield losses. In the UK, potential yields of rainfed crops ( $Y_w$ ) estimated from crop models<sup>5,14</sup> vary  
225 between 11.2 and 12.9 (mean 11.5) t ha<sup>-1</sup> for wheat and 8.5 and 9.8 (mean 8.9) t ha<sup>-1</sup> for barley,  
226 depending on climate zone<sup>5</sup>. Achieved yields ( $Y_a$ ) vary between 7.3 and 8.1 t ha<sup>-1</sup> (mean 7.8 t ha<sup>-1</sup>)  
227 for wheat and 5.6 and 6.3 t ha<sup>-1</sup> (mean 6.0 t ha<sup>-1</sup>) for barley. Oats are not modelled, and winter and  
228 spring varieties are not differentiated<sup>5</sup>. The modelled yield gap between  $Y_w$  and  $Y_a$  is therefore 3.7 t  
229 ha<sup>-1</sup> for wheat and 2.9 t ha<sup>-1</sup> for barley. While we cannot estimate the contribution of different causes  
230 (weather, variety selection, pests and diseases) precisely, our results demonstrate that potential losses  
231 from pathogens are a similar magnitude to other yield gap drivers (Fig. 2), and that climate change  
232 will differentially affect varieties and could therefore influence cropping patterns.  
233

## 234 **Methods**

235

### 236 *Crop and Yield data*

237 We analysed yield data for crop variety trials conducted by the Agriculture and Horticulture  
238 Development Board (AHDB) from 2002 to 2020. AHDB hosts archives of recommended lists of  
239 cereals and oilseed that provide independent information on yield and quality performance,  
240 agronomic features, disease pressure and market options to assist with variety selection<sup>24</sup>. This list is  
241 updated each year and provides information based on the analysis of hundreds of UK trials conducted

242 since 2002. No information is provided for fungicide usage in trials. We also obtained the  
243 approximate spatial coordinates for the trial locations for data analysis and mapping by matching  
244 names of trial locations to locations using GeoNames<sup>25</sup> and Google map search (Supplementary Fig.  
245 11). Data were cleaned to remove sites and varieties with missing data for yields. Yield from  
246 fungicide-treated ( $Y_t$ ) and untreated ( $Y_c$ ) trials was used to calculate the fungal disease-related yield  
247 gap ( $Y_{gb}$ ) as:

$$248 \text{ Potential biotic yield gap} (Y_{gb}) = 1 - \frac{\text{Untreated yield} (Y_c)}{\text{Treated yield} (Y_t)}$$

249 As each site had data for different varieties and cultivars,  $Y_{gb}$  and disease pressure information, mean  
250 values per site per year were used for subsequent analyses.

251

252 Fungicide-treated ( $Y_t$ ) and untreated ( $Y_c$ ) yields were available for winter wheat (289 varieties),  
253 spring wheat (47), winter barley (147), spring barley (154) and spring oats (45). Site locations varied  
254 geographically between Limavady, Northern Ireland (6.98 °W, 55.07 °N) in the west and Morley,  
255 Norfolk (1.03 °E, 52.56 °N) in the east, and West Charleton, Devon (3.76 °W, 50.27° N) in the south  
256 and Kinghorn, Fife (3.10° E, 56.18° N) in the north (Supplementary Fig. 1). The number and  
257 locations of trial sites varied over time, and the number and composition of varieties in the trials  
258 varied among sites and over time. The total number of crop varieties tested per year remained roughly  
259 stable, with a mean of 42 winter wheat, 9 spring wheat, 22 winter barley, 22 spring barley, and 10  
260 spring oat varieties tested per year.

261

### 262 *Crop map*

263 Crop distribution data for the UK were obtained from the EUCROPMAP 2018<sup>26,27</sup>. This map is  
264 produced using Sentinel S1A and S1B Synthetic Aperture Radar observations for 2018 and random  
265 forest-based classification algorithms. The map provides detailed spatial information on 19 crop types  
266 in the EU for 2018 at 10-m resolution, with high accuracy. Pixels for each AHDB crop were extracted  
267 and aggregated to a 1 km x 1 km grid generated using the *Fishnet* tool in ArcMap (ESRI ArcGIS  
268 Desktop, release 10.8). This grid was generated on the same 1 km grid as the HadUK-Grid Gridded  
269 Climate Observations. The count of crop pixels in each grid cell was then used to calculate the total  
270 area (hectares) of crop under cultivation and, subsequently, the fractional area under crop cultivation  
271 ( $A_F$ ) in each 1 km grid cell for further analysis (Supplementary Fig. 3).

272

### 273 *Climate data*

274 Monthly weather data (2001-2020), including mean air temperature (°C), relative humidity (%), total  
275 rainfall (mm) and sunshine hours (h), were obtained from the HadUK-Grid Gridded Climate  
276 Observations v1.0.3.0 on a 1km and 5km grid over the UK<sup>28</sup>. Weather data from 1km grid were  
277 extracted for AHDB trial sites (point locations, years 2001 - 2020) for each crop from the rasters

278 using the *extract* function of the *raster* package in R v. 4.2.1<sup>29</sup>. Climate model projections for  
279 monthly mean air temperature (°C), relative humidity (%), total rainfall (mm) and sunshine hours (h)  
280 were obtained from UKCP Local Projections on a 5km grid over the UK for 2021-2040 and 2061-  
281 2080<sup>30</sup>. These projections are produced by the Met Office Hadley Centre as part of the UK Climate  
282 Projection 2018 (UKCP18) project and cover three time-periods (1981-2000, 2021-2040 and 2061-  
283 2080) for a high emissions scenario, RCP8.5. Projections for other emission scenarios are not  
284 available. For  $Y_{gb}$  predictions, monthly weather data (2001-2020) from HadUK-Grid Climate  
285 Observations and climate model projections data (2021-2040 and 2061-2080) from UKCP Local  
286 Projections on a 5 km grid were extracted for areas under cultivation for each crop, using the *mask*  
287 function of *raster* package in R<sup>29</sup>.

288

#### 289 *Climate-yield gap relationship estimation*

290 The relationship between  $Y_{gb}$  and climatic variables for each month was explored using simple  
291 correlation and regression analysis. Estimates from correlation and regression analyses were  
292 bootstrapped (1000 iterations) using the *bootstraps* function in the *rsample* package of R<sup>31</sup>, where  
293 each iteration was fitted on a resampled dataset with replacement. We used temporal block  
294 bootstrapping to randomly resample data from a single year with replacement instead of sampling  
295 random monthly observations, to maintain within-year temporal correlations<sup>32</sup>. The bootstrapped  
296 estimates of correlation coefficient and beta slope estimate were visually inspected for consistency  
297 and strength of association between monthly weather variables and observed  $Y_{gb}$ .

298 Our objective was to statistically fit the observed  $Y_{gb}$  to monthly weather variables. The resulting  
299 relationship would be used for further analyses. We used generalized-least-squares (GLS) using the  
300 *gls* function from the *nlme* package in R<sup>33</sup> to fit the model between  $Y_{gb}$  and the significant weather  
301 variables identified through bootstrapping estimates. The GLS regression allowed for a first-order  
302 autoregressive correlation structure in the residuals to account for the correlation over time and among  
303 experimental sites in climate data. The parameters of the GLS regression represented the climate-  
304 driven trends in yield gaps. We compared the models with and without temporal correlation structure,  
305 using *F-test* in the *anova* function, to determine whether inclusion of temporal autocorrelation was  
306 required. Finally, current (2002-2020) and future yield gap levels (2021-2040, 2061-2080) were  
307 predicted and the estimates of the standard error of prediction were calculated for each masked (crop  
308 pixels only) climate dataset pixel (5 km) using the *predictSE.gls* function from the *AICcmodavg*  
309 package in R<sup>34</sup>. The modelled yield gaps were averaged for each time period and climate dataset  
310 pixel. In addition, we made predictions on all 12-member perturbed physics ensembles (UKCP local 5  
311 km) for projections to get uncertainty in  $Y_{gb}$  predictions due to climate model  
312 parameters/physics perturbations<sup>10</sup>.

313

#### 314 *Future climate change impacts on yield gaps (forecast) and climate risk classification*

315 The impact of future climate change on  $Y_{gb}$  was quantified as the change in predicted future  
316 yield gaps (2021-2040, 2061-2080) relative to current yield gaps (2002-2020). The mean yield gap  
317 differences  $\Delta Y_{gb}$  were calculated for each pixel (5 km), weighted by  $A_F$ . We also compared the  
318 relationship between  $\Delta Y_{gb}$  and the change in average future temperature ( $\Delta T$ ) and relative humidity  
319 ( $\Delta RH$ ) levels in each future time slice to identify the strong drivers of  $Y_{gb}$  in each crop.

320

## 321 **References**

322

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395

396 **Data availability**

397 Crop yield trial data were obtained from the Agriculture and Horticulture Development Board  
398 (AHDB); part of this dataset is from the AHDB Recommended Lists. The AHDB Recommended  
399 Lists are managed by a project consortium of AHDB, BSPB, MAGB and UKFM. Data are available

400 from <https://ahdb.org.uk/rl>. All datasets used in the study are freely and openly available from sources  
401 described in the Methods.

402

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404

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408

409 **Authors contributions**

410 DB designed the study. MR analysed the data and prepared the figures. Both authors wrote the  
411 manuscript.

412

413 **Competing interests**

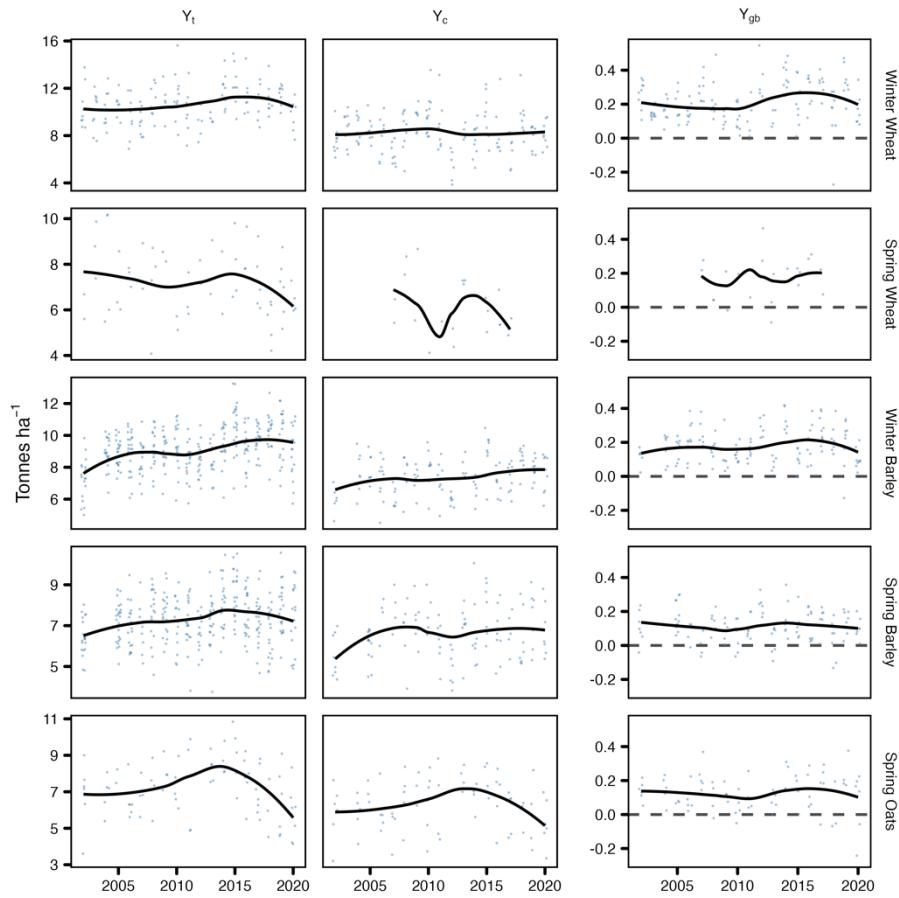
414 The authors declare no competing interests.

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417 **Figures**

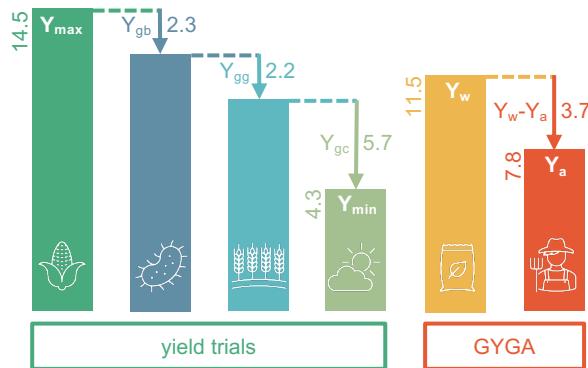
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420 **Figure 1. Distribution of total grain yield (Tonnes  $ha^{-1}$ ) and yield gap ( $Y_{gb}$ ).** Distribution of grain  
421 yield from fungicide treated ( $Y_t$ ) and non-treated ( $Y_c$ ) experimental sites and resultant yield gaps ( $Y_{gb}$ )  
422 in the studied crops from 2002 - 2020. The dashed horizontal line in the right panel indicates no yield  
423 gap. Data points above this line indicate yield gap ( $Y_t > Y_c$ ). However, points below this line indicate  
424 yield gain ( $Y_t < Y_c$ ).

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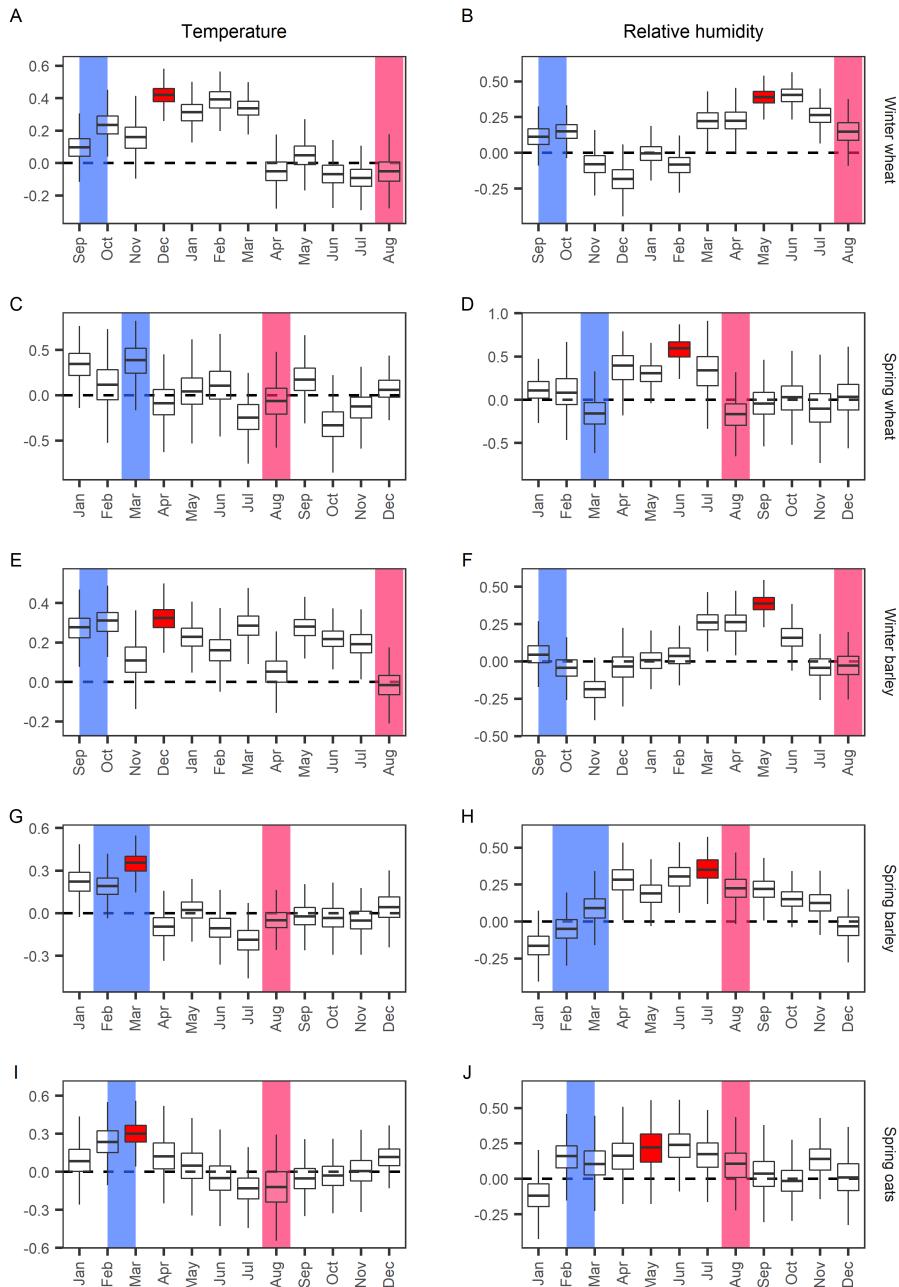


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427 **Figure 2. Yield gap components in winter wheat.**  $Y_{\max}$  and  $Y_{\min}$  are top and bottom 5 % of  $Y_t$  and  
 428  $Y_c$ , respectively, across all trials.  $Y_{\max}$  ( $14.5 \text{ t ha}^{-1}$ ) indicates the highest yield achievable under  
 429 optimal weather conditions in the best sites with the best varieties and no loss to disease.  $Y_{\min}$  ( $4.3 \text{ t}$   
 430  $\text{ha}^{-1}$ ) indicates the yield in the worst sites with the lowest yielding varieties in the worst years with  
 431 large losses to disease.  $Y_{gb}$  ( $2.3 \text{ t ha}^{-1}$ ) is the mean loss to disease.  $Y_{gg}$  ( $2.2 \text{ t ha}^{-1}$ ) indicates the  
 432 difference between the highest and lowest  $Y_t$  of varieties within trials.  $Y_{gc}$  ( $5.7 \text{ t ha}^{-1}$ ) indicates the  
 433 difference between the best and worst  $Y_t$  of a variety within a site. Yield trial results are compared to  
 434 modelled potential rainfed wheat yield for the UK ( $Y_w$ ) and achieved yield ( $Y_a$ ) from the Global Yield  
 435 Gap Analysis<sup>14</sup>. Bar are not to scale.

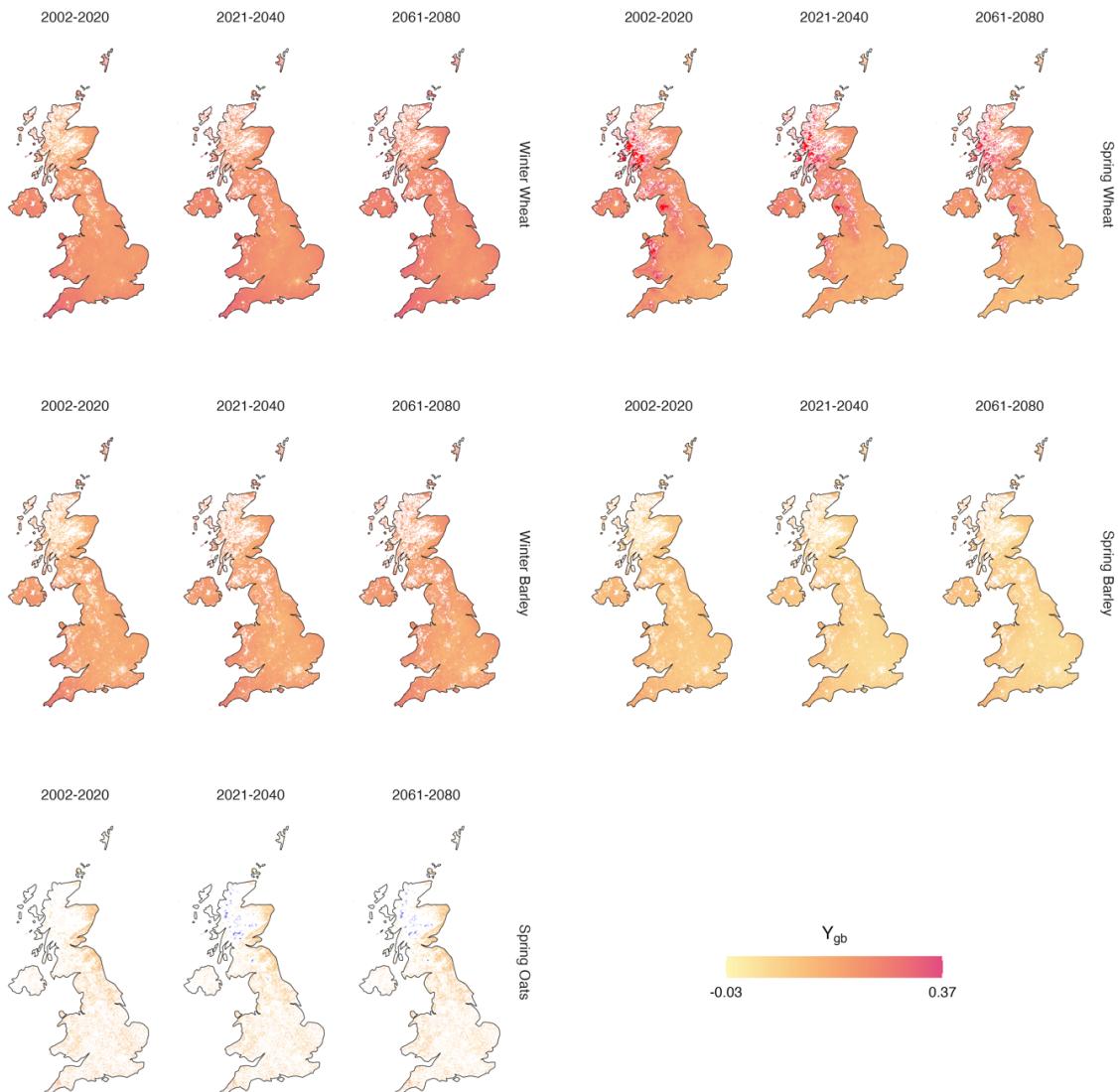
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439 **Figure 2. Bootstrapped estimates of the correlation between yield gap ( $Y_{gb}$ ) and monthly**  
 440 **weather variables.** Boxplots show the distribution (minimum, maximum, median and interquartile  
 441 range) of correlation coefficient ( $r$ ) estimates of the association between  $Y_{gb}$  and monthly temperature  
 442 and relative humidity except for D, representing the correlation estimates between  $Y_{gb}$  and rainfall.  
 443 Dashed horizontal line indicates no correlation. Blue and pink shaded areas indicate planting and  
 444 harvesting times of the studied AHDB crops, respectively. The varying pattern of boxplots indicates  
 445 how the correlation estimates vary for weather variables in each month of the growing season.  
 446 Boxplots filled with red are the months we used climate data for model fitting. We did not find any  
 447 significant association of  $Y_{gb}$  with temperature in spring wheat (C).  
 448

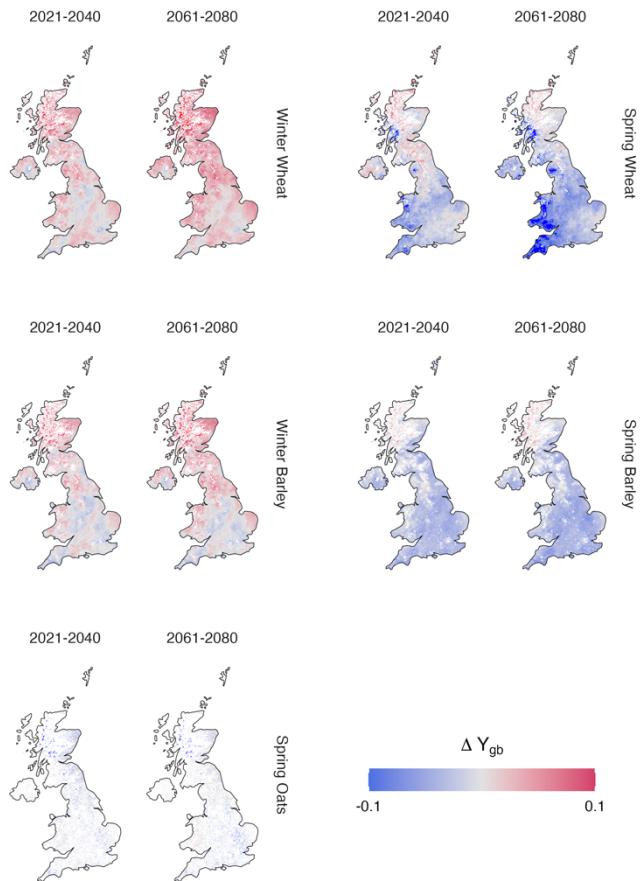


449

450 **Figure 4. Current and future predicted average yield gap ( $Y_{gb}$ ).** Predictions were made on current  
 451 (2002 - 2020) and future (2021 – 2040 and 2061 - 2080) climate pixels of 1km x 1km grid resolution.  
 452 Predicted  $Y_{gb}$  were then summarized for each time slice. Grey indicates either no or low  $Y_{gb}$ , while  
 453 dark blue represents a higher  $Y_{gb}$ . White grid cells contained no hosts and were excluded from the  
 454 analysis. Values outside 1.5 times the interquartile range (IQR) above upper quartile and below lower  
 455 quartile are shown in red and deep blue respectively.

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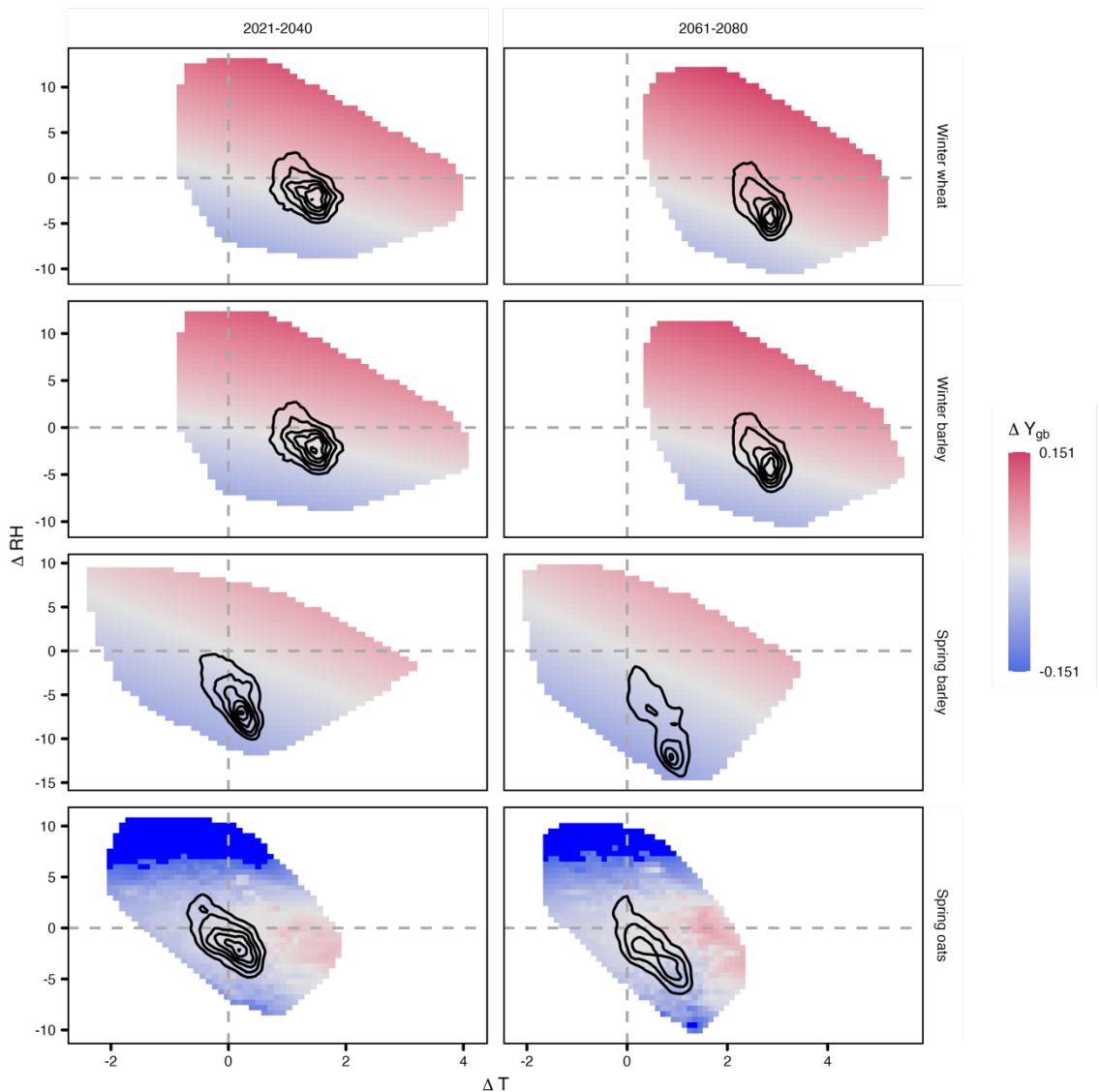


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459 **Figure 5. Average change in future yield gap ( $\Delta Y_{gb}$ ).** Current (2002 - 2020) average predicted  $Y_{gb}$   
 460 levels were subtracted from future (2021 – 2040 and 2061 - 2080) predicted  $Y_{gb}$  levels for each pixel  
 461 at 1km x 1km grid resolution. Red indicates a high  $Y_{gb}$ , while blue indicates high yield gain compared  
 462 to current  $Y_{gb}$  levels. Grey indicates no change. White grid cells contained no hosts and were  
 463 excluded from the analysis. Values outside 1.5 times the interquartile range (IQR) above upper  
 464 quartile and below lower quartile are shown in red and deep blue respectively.

465

466



467

468 **Figure 6. Association between change in average future temperature ( $\Delta T$ ) and relative humidity**

469 **( $\Delta RH$ ) and the interpolated surface of mean yield gap differences ( $\Delta Y_{gb}$ ) in future time slices.**

470 Contour lines represent the aggregated count of data points of association between  $\Delta T$  and  $\Delta RH$ .

471 Values outside 1.5 times the interquartile range (IQR) below lower quartile are shown in deep blue.

472