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2 **Functional autapses form in striatal parvalbumin**
3 **interneurons but not medium spiny neurons**

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18 Short title: Striatal parvalbumin neurons form functional autapses

19

20 **Abstract**

21 Autapses (or self-synapses) selectively form in specific cell types in many brain regions
22 including the neocortex and the hippocampus, where they provide feedback control
23 over self-spiking activities. Previous morphological studies also found putative
24 autapses in medium spiny neurons (MSNs) of the striatum. However, it remains unclear
25 whether striatal neurons indeed form physiologically functional autapses. We
26 performed whole-cell recordings from striatal neurons in acute mouse brain slices, and
27 identify autaptic neurons by the occurrence of prolonged asynchronous release (AR) of
28 neurotransmitter after high-frequency burst of action potentials (APs) in the same cell.
29 To our surprise, we found no autaptic release in all recorded MSNs after the AP burst,
30 even in the presence of Sr^{2+} that should desynchronize and thus prolong synaptic vesicle
31 release. In sharp contrast, we observed robust autaptic AR events in half of the recorded
32 parvalbumin (PV)-positive neurons. Autaptic responses in PV cells were mediated by
33 GABA_A receptors, and the AR strength was dependent on the frequency and the number
34 of APs during the burst. Further simulation results show that autapses regulate burst
35 spiking in PV cells by providing self-inhibition and thus shape network oscillation at
36 certain frequencies. Together, we reveal that, distinct from MSNs, striatal PV neurons
37 form functional autapses, activation of which would regulate self-activities in PV cells,
38 and thereby shape MSN firing and network oscillations.

39

40 **Author summary**

41 Synapses, which usually occur between two neurons, are key structures for signal

42 communication in the nervous system. However, some types of neurons form autapses,
43 where a neuron synapses onto itself. Autaptic transmission provides feedback signal
44 regulating self-spiking activities. Neuronal and network activities in the striatum play
45 critical roles in motor control and other brain functions. Previous studies suggest
46 formation of autapses in striatal principal MSNs, but it remains unclear whether striatal
47 neurons form functional autapses. We performed direct recordings from striatal neurons
48 and examined the occurrence of autaptic transmission in acute brain slices. Surprisingly,
49 we did not detect any autaptic responses in MSNs. A large proportion of striatal PV
50 neurons, however, produced robust autaptic GABA release upon high-frequency
51 stimulation, indicating selective formation of autapses in striatal PV cells. Our
52 computation simulations suggest that autapses provide self-inhibition in PV cells and
53 thereby shape activities in MSNs and striatal network, particularly when PV cells
54 discharge at high frequencies corresponding to a high dopamine state. Together, our
55 findings indicate that PV cells, but not MSNs, in the striatum form physiologically
56 functional autapses. Autapses in PV cells could be essential circuit elements in the
57 striatum and contribute to striatal functions, such as motor control.

58

59 **Keywords:** asynchronous neurotransmitter release; autapse; medium spiny neuron;
60 parvalbumin interneuron; striatum

61

62 **Introduction**

63 Striatum is the largest nucleus in the basal ganglia receiving synaptic inputs from

64 different cortical areas, thalamic nuclei and limbic regions [1]. It plays important roles
65 in various cognitive functions including motor control, emotion processing, learning
66 and memory [2, 3]. In striatum, the most abundant cell type is the projecting
67 GABAergic medium spiny neurons (MSNs), accounting for ~90% of total striatal
68 neurons [4]. Their dendrites are densely covered by spines, a distinct morphological
69 feature of this cell type [5]. MSNs receive information from different brain regions and
70 send output signal to other basal ganglia nuclei, forming the well-known direct and
71 indirect pathways associated with motor control and cognitive functions [6]. In addition,
72 striatum contains various types of interneurons with local axonal arborization
73 regulating MSNs and striatal network activities [1]. Striatal interneurons have distinct
74 morphological and electrophysiological characteristics [7]. Among them, parvalbumin
75 (PV)-expressing interneurons are GABAergic cells with smooth dendrites and a non-
76 adapting high-frequency firing pattern. Although much less abundant (less than 5% of
77 the total) than MSNs, PV cells play key roles in striatal information processing by
78 producing feedforward inhibition onto MSNs [8-11]. Abnormal spiking and synaptic
79 activities in MSNs and PV cells would cause malfunction of the whole basal ganglia
80 network and contribute to the development of brain disorders, such as Parkinson's
81 disease and Huntington's disease [12, 13].

82 Previous studies revealed that GABAergic interneurons in the cortex form massive
83 autaptic connections (known as autapses), i.e. synaptic contacts between the axon of a
84 neuron and its own dendrites or soma [14]. Previous and recent findings also showed
85 that glutamatergic projecting neurons form autaptic connections [15, 16]. Synaptic

86 transmission mediated by autapses generates feedback signal after individual action
87 potentials (APs), providing temporally precise self-control of neuronal spiking
88 activities [16, 17]. In addition to synchronous release (SR) of neurotransmitter release
89 tightly coupled with presynaptic AP generation, delayed asynchronous release (AR)
90 also occurs at autapses [18-20]. Because of this feature of AR at autapses or the
91 conversion of SR to AR with Sr^{2+} [21], it is relatively easy to examine whether a neuron
92 form autaptic connections [18].

93 Previous morphological findings suggest that striatal MSNs may form autaptic
94 contacts [5, 22]. Moreover, *in vivo* recordings also provided indirect
95 electrophysiological evidence that autapses may exist in MSNs [23]. It remains unclear,
96 however, whether MSNs form physiologically functional autapses. Since PV
97 interneurons in the striatum show similar morphological and electrophysiological
98 properties to those in the cortex, it is of interest to know whether striatal PV cells
99 provide feedback regulation of spiking activity via autapses [19, 20].

100 With the apparent occurrence of asynchronous neurotransmitter release in
101 physiological condition or in the presence of Sr^{2+} in the bath solution, we have shown
102 abundant autaptic connections in cortical pyramidal cells [16]. In this study, with
103 similar experimental protocols, we examined the occurrence of autaptic AR in both PV
104 cells and MSNs. Surprisingly, we found that PV cells, but not MSNs, form functional
105 autapses. Furthermore, our computational simulations of individual neurons and striatal
106 networks suggest functional roles of PV cell autapses in regulating neuronal activities
107 in both self-activity and network oscillations.

108

109 **Results**

110 **MSNs do not form functional autapses**

111 To examine whether MSNs and PV cells form functional autapses, we selectively

112 recorded these cells in coronal slices containing the dorsal striatum of PV-CRE::Ai9

113 mice (P45-70). PV cells were identified by their expression of tdTomato, while MSNs

114 were identified by their characteristic morphological and electrophysiological features.

115 As reported previously [24], MSNs recorded in our experiments showed a medium-

116 sized cell body, spiny dendrites (Fig 1A and B), and a delayed firing pattern in response

117 to current pulses just above the firing threshold (Fig 1C and D). Meanwhile, consistent

118 with the presence of M-current in MSN [25], a hyperpolarizing current pulse at a near-

119 threshold V_m level would cause rebound firing (Fig 1C). Our recording showed that the

120 resting V_m of MSNs was -75.7 ± 1.2 mV and the input resistance was 68.8 ± 9.7 M Ω (n

121 = 22 cells). Consistent with previous studies [26, 27], the AP half-width of MSNs (0.83

122 ± 0.07 ms, n = 22) was much broader than that of PV cells (0.46 ± 0.02 ms, see below).

123

124 **Fig 1. Striatal MSNs do not form functional autapses.**

125 (A) DIC (left) and fluorescent images (right) of an MSN loaded with Alexa Fluor-488.

126 (B) A representative image of a recorded MSN with avidin staining. Note the densely

127 distributed spines in the dendrites (inset). Arrowheads indicate some of the spines. (C)

128 Representative traces showing voltage responses to positive step current injections (left

129 and middle) and rebound firing immediately after a hyperpolarizing pulse (right). Inset,

130 expanded action potential. (D) Plot of spike frequency as a function of injected currents
131 (F-I curve) in MSNs. (E) Representative current traces in voltage clamp mode. Note
132 that there was no obvious change in IPSC event number before and after the train
133 stimulation in two conditions (with and without Sr^{2+}). (F) Group data showing changes
134 in IPSC event frequency after the train stimulation (see the method section). Note that
135 none of the increments exceed 10 Hz. ns, not significant. Error bars represent s.e.m.

136

137 In voltage clamp mode, the membrane potential (V_m) of MSNs were held at -70
138 mV. With a high-Cl⁻ (~75 mM) internal solution in patch pipettes, inhibitory
139 postsynaptic currents (IPSCs) should be inward at the holding potential (calculated
140 reversal potential: -15 mV). Since fast glutamatergic synaptic events were blocked by
141 kynurenic acid (Kyn, 1.5 mM), all inward synaptic currents should be IPSCs. We
142 stimulated MSNs with trains of brief voltage pulses (50-100 mV, 1 ms in duration, up
143 to 60 pulses) at frequencies from 50 to 200 Hz. As reported previously in neocortical
144 neurons [16, 18], if the recorded neuron form functional autapses and show prolonged
145 AR, or autapses with SR only but in the presence of Sr^{2+} , an increase in synaptic events
146 immediately after the train stimulation should be detected. Surprisingly, we found no
147 significant increase in IPSC event number after the train stimulation in both
148 experimental conditions, with or without 5 mM Sr^{2+} in the bath (Fig 1E). With train
149 stimulation of 60 pulses at 200 Hz in the absence of Sr^{2+} (normal ACSF), the increment
150 of IPSC event frequency after the stimulation was -0.30 ± 0.73 Hz ($n = 11$, Fig 1F).
151 Similar results were obtained in the presence of Sr^{2+} (1.26 ± 0.69 Hz, $n = 12$). Therefore,

152 distinct from previous morphological observations [5, 22] and indirect
153 electrophysiological evidence [23], our results indicate that striatal MSNs tend not to
154 form functional autapses.

155 **Autapses form in striatal PV cells**

156 We performed similar experiments in PV cells with tdTomato expression. Close
157 examination of their morphology revealed that PV cells possessed smooth dendrites
158 and dense axon collaterals (Fig 2A and B), similar to previous studies [7, 9, 28]. PV
159 cells had a resting potential of -73.3 ± 1.3 mV (mean \pm s.e.m., $n = 26$ cells) and input
160 resistance of 87.3 ± 9.1 M Ω . They showed unique electrophysiological properties,
161 including non-adaptive fast-spiking pattern (up to 199 ± 18 Hz, $n = 26$ cells, Fig 2C
162 and D) and short duration of AP waveforms (half-width: 0.46 ± 0.02 ms). Consistent
163 with previous findings, most of the recorded PV cells exhibited stutter firing pattern in
164 response to a series of current steps with increasing current amplitudes (Fig 2C) [28].

165

166 **Fig 2. Electrophysiological properties and autaptic responses in PV cells.**

167 (A) DIC (left) and tdTomato fluorescent image (right) of a recorded PV cell. (B) A
168 representative image of a recorded PV cell with post hoc staining. (C) Example voltage
169 responses evoked by current pulses. Note the generation of a single AP (left) and the
170 stutter firing pattern (right) evoked by the indicated current steps. Inset, expanded
171 action potential. (D) F-I curve of PV cells. (E) Barrages of autaptic events (arrowheads)
172 occurred immediately after the cessation of AP burst evoked by a strong current pulse.
173 Error bars represent s.e.m.

174

175 We frequently observed voltage fluctuations after AP bursts evoked by positive
176 current steps (Fig 2E). These fluctuations contained barrages of depolarizing
177 postsynaptic potentials (PSPs). Since fast glutamatergic synaptic events were blocked
178 by 1.5 mM Kyn, these PSPs should be inverse inhibitory postsynaptic potentials
179 (IPSPs) at the resting V_m . Since PV cells release GABA at their axon terminals and
180 usually hyperpolarize the postsynaptic neurons under physiological conditions, the
181 discharge of PV cells would unlikely drive other neurons to generate APs [19, 20].
182 Therefore, the PSP barrages occurred immediately after PV cell burst were unlikely
183 caused by polysynaptic events through the network. They actually reflect asynchronous
184 GABA release at autapses of the recorded PV cell, similar to those of fast-spiking cells
185 in mouse and human neocortex [19].

186 **Autapses are abundant in PV cells and mediated by GABA_A
187 receptors**

188 Similarly, in voltage clamp mode, we observed barrages of autaptic currents
189 immediately after the train stimulation in PV cells (Fig 3A). In response to a train of
190 stimulation with 60 APs at 200 Hz, autaptic events reflecting post-train asynchronous
191 release (PT-AR) of GABA lasted for 329 ± 35 ms with a total number of 11.0 ± 1.3
192 events ($n = 55$ cells, Fig 3A, see Methods). These autaptic events could be completely
193 blocked by the bath application of GABA_A receptor antagonist, picrotoxin (PTX, 50
194 μ M, $n = 10$, Fig 3B and C), indicating that the autaptic transmission is mediated by
195 GABA_A receptors.

196

197 **Fig 3. Autaptic transmission is mediated by GABA_A receptors and autaptic PV**
198 **cells are abundant.**

199 (A) Top: An example current trace showing the barrages of post-train asynchronous
200 release (PT-AR) events (arrowheads). The PV cell was stimulated with voltage pulses
201 to evoke 60 APs at 200 Hz (holding potential: -70 mV). Bottom: Plot of synaptic event
202 frequency versus time (bin size: 50 ms). The dotted line indicates the onset of AP burst.
203 (B) Example traces before and after the application of 50 μ M PTX. (C) Group data
204 showing the effect of PTX on PT-AR duration and event number. (D) An example
205 current trace showing the occurrence of PT-AR in a recorded PV cell bathed with Sr²⁺-
206 containing ACSF. (E) Group data comparing the PT-AR duration and event number in
207 two conditions (Ctrl vs. Sr²⁺). (F) The percentage of autaptic cells in all recorded PV-
208 positive neurons. **, p < 0.01.

209

210 Previous studies showed that asynchronous neurotransmitter release occurs
211 selectively in certain types of synapses. For example, AR is much stronger in output
212 synapses of neocortical pyramidal cell onto somatostatin-containing neurons as
213 compared to those onto PV neurons [29]; hippocampal granule cells receive greater AR
214 from CCK cells than that from PV neurons [30]. To exclude the possibility that some
215 autaptic PV cells might have only SR, but no detectable AR, we added SrCl₂ (5 mM,
216 see Methods) to the bath solution so that autaptic GABA release could be
217 desynchronized [21] (Fig 3D). In our experiments, the presence of Sr²⁺ significantly

218 increased the PT-AR duration from 387 ± 43 to 586 ± 63 ms (control, $n = 27$; Sr^{2+} , $n =$
219 21 , $P = 9.97 \times 10^{-3}$, two-sample Student's t test). The number of AR events also slightly
220 increased from 13.1 ± 1.7 to 15.8 ± 2.1 , but with no significant difference ($P = 0.31$,
221 Wilcoxon rank sum test, Fig 3E).

222 We next sought to examine the percentage of PV cells that form autapses. In
223 recorded PV cells, we applied high-frequency stimulations (20-60 APs, 150-200 Hz),
224 with or without Sr^{2+} in ACSF, and monitored the occurrence of post-train autaptic
225 events (see Methods). With this method of AR detection, however, we found that the
226 percentage of PV cells with autaptic AR in Sr^{2+} solution (50.0%, $n = 21/42$) was similar
227 to that in control condition (without Sr^{2+} , 46.6%, $n = 61/131$, Fig 3F), and also similar
228 to that found in cortical PV neurons [19]. These probabilities should be underestimated
229 because slice preparation reduced the complexity of neuronal dendrites and axons.
230 Together, these results indicate that autapses are abundant in striatal PV cells and
231 autaptic AR occurs in almost every autaptic cell.

232 **Autaptic AR strength depends on stimulation intensity**

233 Next, we investigated the dependence of autaptic AR strength on the intensity of
234 neuronal activity. We changed the number and the frequency of voltage pulses and
235 monitored the strength of autaptic AR by measuring the PT-AR duration and counting
236 AR events. Consistent with previous findings, the strength of autaptic AR was
237 positively correlated with the number or frequency of the stimuli [18] (Fig 4A and B).
238 When the number of stimulation pulses (200 Hz) increased from 20 to 40 and 60, the
239 average duration of PT-AR increased from 50.6 ± 24.7 to 151 ± 58 and 251 ± 81 ms

240 (ANOVA and post-hoc Tukey's test, $n = 12$, $P = 0.034$), the average number of AR
241 events increased from 2.31 ± 1.28 to 5.33 ± 2.30 and 9.67 ± 3.44 (ANOVA and post-
242 hoc Tukey's test, $n = 12$, $P = 0.044$). Similar dependence of AR strength was observed
243 when we increased the frequency of stimulation pulses. We stimulated the PV cells
244 with a range of frequencies (from 20 to 200 Hz) and found a progressive increase in the
245 PT-AR duration (ANOVA and post-hoc Tukey's test, $n = 15$, $P = 8.66 \times 10^{-7}$) and event
246 number ($n = 15$, $P = 5.68 \times 10^{-6}$, Fig 4C and D). In response to 60 APs at 50 Hz, the
247 average duration and event number of PT-AR were 19.8 ± 9.2 ms and 0.81 ± 0.38 ,
248 respectively, significantly less than those at 200 Hz (204 ± 41 ms, $P = 2.14 \times 10^{-4}$; 6.50
249 ± 1.48 , $P = 5.56 \times 10^{-4}$, $n = 15$).

250

251 **Fig 4. The strength of autaptic AR is dependent on spiking intensity of PV cell.**

252 (A) Representative traces showing autaptic currents with different stimulation pulse
253 number (at 200 Hz). Arrowheads indicate the end time of PT-AR. (B) Group data
254 showing the PT-AR duration and event number in response to different number of
255 stimulation pulses at 200 Hz. (C) and (D) Similar as in A and B, but with 60 pulses at
256 different stimulation frequencies. *, $p < 0.05$; **, $p < 0.01$; ***, $p < 0.001$. Error bars
257 represent s.e.m.

258

259 **Autapse regulates spiking activity of single PV cell**

260 Next, we attempted to explore the physiological roles of autapses in striatal PV cells.
261 Based on mathematical models of individual PV cells and striatum networks containing

262 not only the PV interneurons but also the principal cells, D1 and D2 MSNs [31],
263 together with models of SR and AR [32, 33], we examined the specific functions of
264 synchronous GABA release or its combination with AR (i.e. SR alone, or SR+AR) in
265 regulating spiking activity of PV cells and MSNs.

266 We added autapses (with or without AR) to the dendritic compartment of the PV
267 neuron model (see Methods) and compared the differences in firing rate and profile of
268 AP burst (Fig 5A-C). Similar to previous studies [34], our PV cell model also showed
269 two distinct electrophysiological characteristics, stuttering and γ resonance (Fig 5B and
270 C). The strength of autaptic transmission was set to experimental observations (Fig 3A)
271 [19, 33]. Considering that the AR strength was underestimated because some of the
272 autaptic contacts were lost during slicing procedures, we set the standard AR
273 parameters ($AR = 1$, corresponding to the model parameter $\tau_{AR} = 150$ ms) similar to the
274 strongest AR observed in our experiments, reflecting a condition that the dendrite
275 branches and axon collaterals were relatively more preserved (Fig 5D). In agreement
276 with the experimental findings, the strength of simulated AR (both PT-AR event
277 number and duration) in PV cell model showed dependence on the stimulus number
278 and frequency (Fig 5E and F).

279

280 **Fig 5. Autapses regulate spiking activity in a single PV cell (simulations).**

281 (A) Schematics showing three simulation conditions, PV cell without autapse (No Aut,
282 i), with autapse (Aut, i.e. SR alone, ii) and autaptic AR (SR+AR, iii), in a model of
283 single PV cell. The PV cell received tonic excitation ($14 \mu A/cm^2$) and Poisson noise

284 with a rate of 100 inputs per second (see Methods). (B) Spiking activities of the PV cell
285 model in corresponding conditions shown in A. (C) Spectrograms of the voltage traces
286 in B. (D) An example trace of autaptic currents with AR strength = 1 (i.e. $\tau_{AR} = 150$
287 ms) when the PV neuron was allowed to discharge 60 APs at 200 Hz. (E) Plots of PT-
288 AR duration and event number as a function of the number of stimuli at 200 Hz ($n =$
289 100 trials). Note the increase in duration and event number as the AR strength increased
290 from 0.5 to 1 and 2. (F) Similar as in E, but with 60 APs at different stimulation
291 frequencies. (G) Group data showing the effects of autapses (SR only) on the spiking
292 frequency, burst duration and interval in single PV cell. (H) Group data comparing the
293 firing rate and burst profile with different AR strength. **, $p < 0.01$; ***, $p < 0.001$; ns,
294 not significant.

295

296 In order to mimic two physiological conditions, i.e. baseline and high dopamine
297 (DA) states, we injected two different background currents (7 and 14 μ A/cm²) together
298 with the same noise current (Poisson noise) to the modeled PV cell. We then compared
299 the firing patterns with (two conditions: SR only, SR+AR) and without autapses (Fig
300 5B). At the baseline DA level (S1 Fig), autaptic SR alone decreased the spike frequency
301 and the duration of spike burst, but increased the interval between bursts. However,
302 adding AR showed no further effect on these spiking properties. At the high DA level
303 (Fig 5), we found that SR alone reduced the average firing rate ($n = 10$ trials, $P = 5.75$
304 $\times 10^{-5}$, two-sample Student's t test) and the average duration of bursts ($P = 2.00 \times 10^{-3}$,
305 two-sample Student's t test), but had no significant effect on the burst interval ($P = 0.47$,

306 Wilcoxon rank sum test, Fig 5G). When we added AR to the autapse (SR+AR), we also
307 observed a slight decrease in the firing rate ($n = 40$ trials, $P = 1.21 \times 10^{-9}$, ANOVA) and
308 the burst duration ($P = 1.31 \times 10^{-3}$) as the AR strength increased from 0 to 2. Increasing
309 AR strength also prolonged the interval between bursts significantly ($P = 7.87 \times 10^{-3}$,
310 Fig 5H).

311 **PV cell autapses regulate MSN firing and striatal oscillations**

312 Next, we examined the functional role of autapses in PV cells in the regulation of striatal
313 neuronal and network activity. In a simulated network composed of 50 PV neurons,
314 100 D1 MSNs and 100 D2 MSNs, we also set the input currents of PV cells to 7 and
315 $14 \mu\text{A/cm}^2$ to mimic the baseline and high DA states, respectively. At the baseline DA
316 level (S2 Fig), PV cells discharged at low frequencies (~ 9 Hz), adding autaptic SR alone
317 (SR alone, $U_{sr} = 1$, $\tau_{sr} = 20$ ms) exerted marginal effect on PV cell spiking activity
318 and the power at beta and low gamma bands. As expected, adding AR (SR+AR, $\tau_{AR} =$
319 150 and 300 ms for AR strength 1 and 2, respectively) showed no further effect on both
320 neuronal and network activity (S2 Fig), due to the absence of AR at low firing rates
321 (Fig 4 and 5).

322 At the high DA level (Fig 6), PV cells discharged around 40 Hz, consistent with
323 that found in movement state [35]. Similar to single PV cell simulations, adding
324 autapses had no significant effect on burst interval ($P = 0.070$, two-sample Student's t
325 test) but significantly reduced burst duration ($P = 7.01 \times 10^{-9}$) and the average spiking
326 frequency of PV cells in the network model ($n = 10$ trials, $P = 1.83 \times 10^{-4}$ for PV cells,
327 two-sample Student's t test, Fig 6A-G). By contrast, autaptic SR alone increased the

328 activity in both D1 ($n = 10$ trials, $P = 3.59 \times 10^{-5}$, two-sample Student's t test) and D2
329 MSNs ($n = 10$ trials, $P = 2.66 \times 10^{-4}$). At the network level, the power density of certain
330 frequencies in the gamma bands (75-85 Hz) showed a dramatic decrease ($n = 10$ trials,
331 $P = 1.83 \times 10^{-4}$, Wilcoxon rank sum test), but those of other frequency bands were
332 significantly increased (Fig 6E and G).

333

334 **Fig 6. PV cell autapses regulate striatal neuronal and network activities**
335 **(simulations).**

336 (A) Schematics showing three simulation conditions in striatal network model: PV cells
337 without autapse (No Aut, i), with autapse (Aut, SR alone, ii) and autaptic AR (SR+AR,
338 iii). The network model contained 50 PV cells, 100 D1 and 100 D2 MSNs. PV cells
339 received tonic excitation ($14 \mu\text{A}/\text{cm}^2$) and Poisson noise, while D1 and D2 MSNs
340 received tonic excitation with a strength of 1.29 and $1.09 \mu\text{A}/\text{cm}^2$, respectively,
341 corresponding to a high dopamine state. AR strength 1 corresponds to $\tau_{\text{AR}} = 150 \text{ ms}$.

342 (B) Raster plots of the three types of striatal neurons in the corresponding conditions

343 shown in A. (C) Example local field potential (LFP) traces in the three conditions. (D)

344 Spectrograms of the LFP traces in C. (E) Mean power spectral analysis of the LFP

345 traces in three conditions. (F) Group data showing the effect of autapses (SR alone) on

346 the firing rate of distinct cell types and the PV cell burst duration and interval. (G)

347 Changes of the power density at LFP frequencies. (H) Group data comparing the firing

348 rate, burst duration and interval with different AR strengths. (I) Changes of the power

349 density at indicated frequencies. *, $p < 0.05$; **, $p < 0.01$; ***, $p < 0.001$; ns, not

350 significant.

351

352 In following simulations, we added autaptic AR to PV cells. We found that autaptic
353 AR slightly decreased the firing rate of PV neurons ($n = 40$, $P = 3.18 \times 10^{-5}$, ANOVA,
354 Fig 6H). In sharp contrast to SR alone, AR had no effect on burst duration ($P = 0.563$,
355 ANOVA) but slightly increased the burst interval ($P = 6.61 \times 10^{-5}$, ANOVA, Fig 6H). A
356 marginal increase in firing frequency was observed in D1 MSNs, but not D2 MSNs. An
357 increase in AR strength significantly enhanced the power density of theta (6-8 Hz, $P =$
358 5.78×10^{-3} , ANOVA) and gamma bands (30-75 Hz, $P = 0.0133$; 75-85 Hz, $P = 2.07 \times 10^{-8}$),
359 but not those of other bands (Fig 6I). Together, these simulations suggest a role of
360 autaptic AR in the regulation of striatal neuronal and network activities.

361

362 **Discussion**

363 In this study, we show that autaptic contacts occur in PV interneurons in the striatum.
364 By contrast, no functional autaptic connections were found in the striatal principal cell
365 type, MSNs. Synaptic events mediated by GABA_A receptors could be observed after a
366 high-frequency AP burst in PV cells, reflecting asynchronous GABA release at its
367 autapses. We further found that the AR strength is dependent on the frequency and the
368 number of APs. Our simulation results suggest that autapses regulate spiking activities
369 of PV cells by providing self-inhibition. At the network level, activation of PV cell
370 autapses also regulates spiking activities of MSNs and shapes striatal network
371 oscillations.

372 PV-positive cells can be found in different brain regions, contributing significantly
373 to information processing in a variety of brain circuits [36]. In the neocortex and
374 hippocampus, PV neurons have been confirmed to have functional autapses; similar to
375 certain types of conventional synapses, these autapses possess two modes of
376 neurotransmitter release, synchronous and asynchronous mode [17, 19, 37]. Recordings
377 from acute cortical slices revealed that the percentage of autaptic PV cells in rodent
378 (~85%) is more than that found in human (64.3%) [17, 19]. These percentages could be
379 underestimated because slicing procedures would cut some neurites and thus reduce the
380 complexity of dendritic and axonal branches. In striatum, we found that about half of
381 the recorded PV cells formed autaptic connections in normal physiological condition
382 (i.e. normal bath solution at body temperature). Since adding Sr^{2+} to the bath solution
383 desynchronizes neurotransmitter release and thus delays the occurrence of autaptic
384 events [15, 16, 18], the percentage of autaptic PV cells should be more accurate.
385 However, the percentage with Sr^{2+} was similar to that found in normal bath solution,
386 indicating that AR occurs in physiological conditions.

387 Do principal MSNs form autapses? Early studies in 1970s reported that some MSN
388 axon collaterals target the soma or proximal dendrites of the same cell in monkey
389 striatum [5]. Later, Park and colleagues performed intracellular recordings in rats and
390 found the occurrence of recurrent inhibition in the recorded MSN when they stimulated
391 the substantia nigra, providing indirect evidence for possible existence of autapses [23].
392 In cultured MSNs, Shi and Rayport observed autaptic PSPs [22]. In our experiments,
393 we revisited this early question in striatal slices obtained from young adult mice.

394 Surprisingly, we failed to detect any autaptic responses in the recorded MSNs in both
395 normal and Sr²⁺-contained bath solution. Therefore, our results indicate that MSNs do
396 not form functional autapses, distinct from those previous observations. Reasons for
397 the contradictory findings could be as follows. First, the morphologic intersection of
398 axons and dendrites observed in previous studies does not necessarily mean a synaptic
399 structure. Second, *in vivo* observation of recurrent inhibition in MSNs may result from
400 polysynaptic transmission. Last, cultured neurons may form redundant synaptic
401 connections including autapses. Therefore, we believe that MSNs do not form
402 functional autapses. However, it remains to be further examined with electron
403 microscopy whether MSNs form silent autaptic contacts [38].

404 What are the functional roles of PV autapses? It has long been thought that autapses
405 would regulate the firing pattern of neurons, thus affecting network oscillations [39].
406 Synchronous GABA release enhances temporal precision of APs in neocortical PV
407 cells [40]. Autapses could promote synchronized firing in neocortical PV cells,
408 allowing them to follow gamma oscillations [41]. Meanwhile, the autaptic AR
409 decreases PV cell spike reliability and desynchronizes the local network [20, 40]. In
410 our simulations, we demonstrate that autaptic SR of GABA shortens the burst duration
411 in PV neurons, allowing earlier rebound firing in MSNs. In contrast, adding autaptic
412 AR prolongs the burst interval of PV cells and thus allows wider time window for MSN
413 to discharge. The activation of MSNs and PV cells will lead to a change of network
414 oscillation. Indeed, the high gamma band power was significantly decreased if we
415 added SR. However, in the presence of AR, both theta and gamma power were

416 significantly increased (Fig 6). Since AR only occur at high frequencies of PV cells, it
417 should only play a role at high DA levels. As expected, adding AR showed no additional
418 effect on neuronal and network activity when PV cells discharge at low frequencies (i.e.
419 baseline DA level). Therefore, we mainly focused on physiological contribution of
420 autapses at states when PV cell discharge at high frequencies.

421 Feedback inhibition provided by autaptic SR would reduce PV cell spike frequency
422 and shorten its burst duration. Because AR occurs not only during the burst but also
423 after the burst, AR-induced prolonged hyperpolarization would prevent the emergence
424 of next burst in PV cells, thus increasing the burst interval and prolonging MSNs firing.
425 Since PV cells show fast-spiking firing pattern and are able to discharge up to 220 Hz
426 (Fig 2), they contribute largely to high-frequency LFP oscillations. Indeed, we found a
427 decrease in PV cell spike frequency and a shift of power density from high gamma to
428 other bands after adding autaptic SR (Fig 6E-G). Since the frequency of AR events
429 would change progressively after PV cell burst, it should shape LFP oscillations at
430 different bands. We observed increases of gamma band power after introducing AR to
431 the network model. The accumulation of AR events as a whole would cause slow
432 hyperpolarization after PV cell burst, which may contribute to the enhancement of theta
433 band power. Therefore, PV cell autapses shape neuronal activity in both PV cells and
434 MSNs, and regulate network activity via both SR and AR.

435 Different bands of network activity may play distinct roles in brain functions. The
436 theta band oscillation has been linked to cognitive behavioral states, such as working
437 memory and decision-making [42, 43]. Gamma oscillation is associated with the

438 initiation of movement [44]. Striatal theta oscillation coherence between other brain
439 regions such as hippocampus and amygdala has been shown to facilitate information
440 exchange, and gamma oscillation helps to organize the active neurons in various brain
441 regions [45]. The contribution of PV cell autapses to these bands may play critical roles
442 in proper motor execution and cognition. It has been speculated that dysfunction of
443 interneurons in the striatal network could be an important mechanism for neurological
444 diseases such as Parkinson's disease [46]. It remains to be examined whether the
445 strength of autaptic connections and their role in the regulation of network oscillations
446 are pathologically altered in different brain disorders.

447 Together, our results show striatal PV interneurons, but not MSNs, develop
448 functional autapses. Considering the important role of PV cells in the striatal network,
449 we believe that their autapses and the two GABA release modes are fundamental circuit
450 elements and physiological mechanisms, contributing to basal ganglia functions such
451 as motor control and emotion processing. In addition, PV cell autapses could be a key
452 target for the development of new therapies for striatum-related diseases. Our findings
453 also suggest that autaptic connections should be considered when interpreting the
454 function of basal ganglia and building computational models.

455

456 **Materials and methods**

457 **Ethical statement**

458 For each experiment, animals of similar age were randomly assigned. The use and
459 care of experimental animals are in line with the guidelines of the Animal Advisory

460 Committee at Fudan University and the State Key Laboratory of Cognitive
461 Neuroscience and Learning, Beijing Normal University.

462 **Slice preparation**

463 Wild-type C57BL/6J mice and PV-CRE::Ai9 mice (postnatal 45-70 days) were
464 used to prepare striatal slices. Animals were housed with *ad libitum* access to water and
465 food and with a 12-h light/12-h dark cycle. We anesthetized the mouse with sodium
466 pentobarbital (50 mg/kg, intraperitoneal injection), followed by decapitation when
467 there was no sensorimotor reflex. We dissected out the brain and immersed it into 0 °C
468 aerated (95% O₂ and 5% CO₂) sucrose-based ACSF (i.e. without NaCl, but with 126
469 mM sucrose) slicing solution. Tissue blocks were cut coronally with a thickness of 300
470 µm in this solution using a vibratome (Leica VT1200S). Slices were collected and then
471 incubated in aerated ACSF at 35 °C. The ACSF contained (in mM) 126 NaCl, 2.5 KCl,
472 2 MgSO₄, 2 CaCl₂, 26 NaHCO₃, 1.25 NaH₂PO₄ and 25 dextrose (315 mOsm, pH 7.4).
473 After 40 min of incubation, slices were maintained in the same solution at room
474 temperature until use.

475 **Electrophysiological recording**

476 Slices were transferred to the recording chamber perfused with aerated ACSF (34
477 ~ 35 °C) at a rate of 1.2 ml/min. Striatal neurons were visualized using an upright
478 infrared differential interference contrast microscope (BX51WI or BX61WI with two-
479 photon imaging system, Olympus). MSNs were identified by their medium-sized cell
480 bodies and densely distributed dendritic spines, together with their electrophysiological
481 properties (see the main text). PV cells were identified by the expression of tdTomato,

482 together with their fast-spiking firing pattern and narrow AP waveforms. After
483 recording, the recorded neurons were further identified using avidin staining.

484 Patch pipettes had impedance of 5-8 M Ω when filled with a high Cl⁻ internal
485 solution containing (in mM) 71 KCl, 72 K-gluconate, 2 MgCl₂, 2 Na₂ATP, 10 HEPES
486 0.2 EGTA, and 0.2% biocytin (286 mOsm, pH 7.2). The reversal potential of Cl⁻ was
487 approximately -15 mV. In order to better visualize the morphology of recorded neurons
488 during recording, we added Alexa Fluor-488 (50 μ M) to the internal solution. Whole-
489 cell recording was achieved using a MultiClamp 700B amplifier (Molecular Devices).
490 Signals were filtered at 10 kHz and then sampled by Micro 1401 micro3 at 50 kHz
491 using Spike2 software. Firing patterns and membrane properties were examined by step
492 current injections (500 ms in duration, -100 pA to 1,100 pA in amplitude) in current
493 clamp mode. To examine the occurrence of autaptic AR, we stimulated the recorded
494 cell with trains of brief step voltage pulses (10-60 pulses, 0.5-2 ms in pulse duration,
495 20-200 Hz) in voltage clamp mode. Amplitude of voltage pulses was carefully adjusted
496 to ensure successful generation of APs (i.e. action currents in voltage clamp mode) for
497 each brief pulse.

498 Kynurenic acid (1.5 mM) was added to the bath solution to block fast
499 glutamatergic transmission (mediated by both NMDA and AMPA receptors). In the
500 Sr²⁺ experiments, we added 5 mM SrCl₂ to ACSF, but reduced the concentration of
501 both CaCl₂ and MgSO₄ to 1 mM. In some experiments, we perfused the slices with 50
502 μ M picrotoxin (PTX, Tocris) to examine whether autaptic responses were mediated by
503 GABA_A receptors.

504 After recordings, slices were fixed with 4% paraformaldehyde (PFA, for more than
505 12 h) and stained with Alexa Fluor-488 conjugated avidin. The z stack images (0.7 μ m
506 between successive images) of individual cells were acquired by a confocal microscope
507 (A1 plus, Nikon) equipped with a 60 \times objective.

508 **Computational Models**

509 Neuron models of striatal PV interneurons and MSNs were similar to those
510 previously reported [31]. Synaptic currents (I_{GABA}) mediated by synchronous and
511 asynchronous GABA release are formulated as in our previous work [33] and that from
512 Volman and colleagues [32]. The model parameters can be found in Table 1.

513 **Table 1. Description of the computational models. Models are summarized in**
514 **panel A and detailed in panels B-G.**

A Striatal Net Work Model Summary	
Populations	PV cells, D1 MSNs and D2 MSNs
connectivity	Random convergent connections
Neuron model	Hodgkin-Huxley model
Transmission modes	Synchronous release (SR) and asynchronous release (AR)
Input	A constant current, Poisson noise and Gaussian noise
Measurements	Spike activity, spike burst and local field potential (LFP)

515

B Populations	
Name	Size
PV neuron	N = 50 cells
D1 MSN	N = 100 cells
D2 MSN	N = 100 cells

516

C		Connectivity	
Name	Source	Target	Pattern
PV-PV _{GABA}	PV cell	PV cell	Chance of synaptic connection: 58% $g_{GABA} = 0.005 \text{ mS/cm}^2$ for high Dopamine (DA) state and $g_{GABA} = 0.1 \text{ mS/cm}^2$ for baseline DA state
PV-PV _{GJ}	PV cell dendrite	PV cell dendrite	Chance of gap junction: 33% $g_{GJ} = 0.3 \text{ mS/cm}^2$ for high DA state and $g_{GJ} = 0.15 \text{ mS/cm}^2$ for baseline DA state
PV _{Aut}	PV cell	Dendrite of itself	Chance of connection: 100% for autapse conditions (SR alone and SR + AR); 0 for no autapse conditions $g_{GABA} = 0.1 \text{ mS/cm}^2$
MSN-MSN	MSN	MSN	Chance of connection: 100% $g_{GABA} = 0.001 \text{ mS/cm}^2$
PV-MSN	PV cell	MSN	Chance of connection: 37.5% $g_{GABA} = 0.006 \text{ mS/cm}^2$

517

D		Neuron Model
Name	Function	
The dynamics of membrane potential (V_m) of each neuron	$c_m \frac{dV}{dt} = -\sum I_{memb} - \sum I_{syn} + I_{inp}$ V (membrane voltage) has units of mV. I (Currents) has units of $\mu\text{A}/\text{cm}^2$. c_m (membrane capacitance) = 1 mF/cm^2 I_{memb} : intrinsic membrane currents I_{syn} : synaptic currents I_{inp} : current input	
The membrane currents	$I_{memb} = \bar{g}(m^n h^k)(V - E_{ion})$ \bar{g} : a constant maximal conductance E_{ion} is a constant reversal potential The activation (m) and inactivation (h) gating	

	variables have n^{th} and k^{th} order kinetics.
The dynamics of each gating variable	$\frac{dm}{dt} = \frac{m_\infty - m}{\tau_m}$
The steady-state of gating variable	$m_\infty = \alpha_m / (\alpha_m + \beta_m)$
The time constant of decay	$\tau_m = 1 / (\alpha_m + \beta_m)$
PV cell (two compartments)	
The V_m of somatic (V) and dendritic compartment (V_d)	$c_m \frac{dV}{dt} = -I_{Na} - I_K - I_L - I_D - I_{syn} + I_{ds}$ $c_m \frac{dV_d}{dt} = -I_{Na} - I_K - I_L - I_D - I_{syn} + I_{inp} + I_{sd}$
Steady state of gating variables of sodium current (I_{Na})	$m_\infty = \frac{1}{1 + \exp[-(V + 24)/11.5]}$ $h_\infty = \frac{1}{1 + \exp[(V + 58.3)/6.7]}$ $\tau_h = 0.5 + \frac{14}{1 + \exp[(V + 60)/12]}$
Somatic sodium currents parameters	$\bar{g}_{Na} = 112.5 \text{ mS/cm}^2$ $E_{Na} = 50 \text{ mV}$ $n_{Na} = 3$ $k_{Na} = 1$
Steady state of gating variables of potassium current (I_K)	$n_\infty = \frac{1}{1 + \exp[-(V + 12.4)/6.8]}$ $\tau_n = (0.087 + \frac{11.4}{1 + \exp[(V + 14.6)/8.6]}) (0.087 +$
Somatic potassium current parameters	$\bar{g}_K = 225 \text{ mS/cm}^2$ $E_K = -90 \text{ mV}$ $n_K = 2$ $k_K = 0$
Steady state gating variables of D current (I_D)	$a_\infty = \frac{1}{1 + \exp[-(V + 50)/20]}$ $b_\infty = \frac{1}{1 + \exp[(V + 70)/6]}$
Somatic D current parameters	$\bar{g}_D = 4 \text{ mS/cm}^2$ $E_D = -90 \text{ mV}$ $n_D = 3$ $k_D = 1$

Somatic leak current parameters	$\bar{g}_L = 0.25 \text{ mS/cm}^2$ $E_L = -70 \text{ mV}$ $n_L = 0$ $k_L = 0$
Electrical coupling of somatic and dendritic compartment	$I_{sd} = 0.5(V_{soma} - V_{dend})$ $I_{ds} = 0.5(V_{dend} - V_{soma})$ I_{sd} : current from somatic to dendritic compartment I_{ds} : current from dendritic to somatic compartment
Dendritic conductance parameters	Conductances in dendritic compartment (g_{Na} , g_K , g_D , g_L) are 1/10 the strength of those in somatic compartment
MSNs	
Membrane potential V_m	$c_m \frac{dV}{dt} = -I_{Na} - I_K - I_L - I_M - I_{syn} + I_{inp}$
The rate functions for sodium current activation (m) and inactivation (h) variables	$\alpha_m = \frac{0.32(V + 54)}{1 - \exp[-(V + 54)/4]}$ $\beta_m = \frac{0.28(V + 27)}{\exp[(V + 27)/5] - 1}$ $\alpha_h = 0.128\exp[-(V + 50)/18]$ $\beta_h = \frac{4}{1 + \exp[-(V + 27)/5]}$
Sodium current parameters	$\bar{g}_{Na} = 100 \text{ mS/cm}^2$ $E_{Na} = 50 \text{ mV}$ $n_{Na} = 3$ $k_{Na} = 1$
The rate functions for potassium current of the activation gate	$\alpha_m = \frac{0.032(V + 52)}{1 - \exp[-(V + 52)/5]}$ $\beta_m = 0.5\exp[-(V + 57)/40]$
Potassium current parameters	$\bar{g}_K = 80 \text{ mS/cm}^2$ $E_K = -100 \text{ mV}$ $n_K = 4$ $k_K = 0$
Leak current parameters	$g_L = 0.1 \text{ mS/cm}^2$ $E_L = -67 \text{ mV}$ $n_L = 0$ $k_L = 0$

The rate functions for M-current activation gate	$\alpha_m = \frac{Q_s 10^{-4} (V + 30)}{1 - \exp[-(V + 30)/9]}$ $\beta_m = \frac{Q_s 10^{-4} (V + 30)}{1 - \exp[(V + 30)/9]}$ $Q_s = 3.2094$
M current parameters	$\bar{g}_m = 1.25 \text{ mS/cm}^2$ $E_m = -100 \text{ mV}$ $n_m = 1$ $k_m = 0$

518

E		Synaptic transmission modes
<i>GABA</i> current	$I_{GABA} = g_{GABA} s(V - E)$	s: the gating variable for GABAergic synaptic transmission $E = -80 \text{ mV}$
Gap junction current	$I_{elec} = g_{GJ}(V_{d_j} - V_{d_i})$	
GABA conductance gate variables	$\frac{ds(t)}{dt} = -\frac{s(t)}{\tau_{decay}} + \frac{0.5 \cdot q(t) \cdot (1 - s(t))}{\tau_{rise}}$ $\tau_{decay} = 13 \text{ ms}$ $\tau_{rise} = 0.25 \text{ ms}$	
Total transmitter release	$q(t) = q_{sr}(t) + q_{ar}(t)$	
SR amount	$q_{sr}(t) = x_0 n_{sr}(t) \sum_k \delta(t - t_k)$	$x_0 = 5$ is the amount of neurotransmitter contained in a vesicle n_{sr} : number of released vesicles by SR t_k : the arriving moment of the k th spike
AR amount	$q_{ar}(t) = x_0 n_{ar}(t) \sum_m \delta(t - t_m)$	n_{ar} : number of released vesicles by AR

	t_m : the stochastic release time of AR, in each simulation time bin dt , the release probability is $P(t=t_m) = 0.01$
SR probability	$\frac{du_{sr}(t)}{dt} = -\frac{u_{sr}(t)}{\tau_{sr}} + U_{sr}[1 - u_{sr}(t)] \sum_m \delta(t - t_m)$ <p>u_{sr}: SR probability caused by the activation of varied calcium sensors</p> <p>$U_{sr} = 1$ denotes the increments of release probability induced by an action potential via SR</p> <p>$\tau_{sr} = 20$ ms</p>
AR probability	$\frac{du_{ar}(t)}{dt} = -\frac{u_{ar}(t)}{\tau_{ar}} + U_{ar}[1 - u_{ar}(t)] \frac{(Ca(t) - Ca_{baseline})^4}{(Ca(t) - Ca_{baseline})^4 + K_a^4}$ <p>u_{ar}: AR probability caused by the activation of varied calcium sensors</p> <p>$Ca(t)$: residual calcium in presynaptic terminal at a given time t</p> <p>$U_{ar} = 0.4$ denotes the increments of release probability induced by an action potential via AR</p> <p>$\tau_{ar} = 150$ ms for AR strength, condition 1 (SR+AR)</p> <p>$\tau_{ar} = 0.01$ ms for AR strength, condition 0 (Aut, i.e. SR alone)</p> <p>$K_a = 0.8$ is the calcium affinity of AR machinery</p> <p>$Ca_{baseline} = 0.05$ is the baseline intracellular calcium level</p>
Available vesicle numbers	$dN(t) = r(t) - n_{ar}(t) \sum_m \delta(t - t_m) dt - n_{sr}(t) \sum_k \delta(t - t_k) dt$
Synaptic vesicle replenishment	$r(t) \sim B(N_F - N(t), dt/\tau_d)$ <p>$N_F = 10$ is the total number of vesicles</p> <p>$\tau_d = 15$ is the time constant of vesicle replenishment</p>
SR vesicles	$n_{sr}(t) \sim B(N(t), u_{sr}(t_+))$ <p>t_+: the moment of just after the arrival of an action potential.</p>
AR vesicles	$n_{ar}(t) \sim B(N(t), u_{ar}(t))$

Residual calcium level	$\frac{dCa(t)}{dt} = -\beta \frac{Ca^2}{Ca^2 + K_p^2} + \gamma \log \frac{Ca_{outside}}{Ca} \sum_m \delta(t - t_m) + \beta \frac{Ca}{Ca}$ <p>$Ca_{outside} = 0.002$ is the extracellular calcium concentration</p> <p>$\beta = 0.02$ is the maximal rate with which residual calcium is cleared from synaptic terminal by active pumps</p> <p>$K_p = 0.8$ is the affinity of a pump for calcium</p> <p>$\gamma = 0.001$ controls the amount of per-spike increase in residual synaptic calcium</p>
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519

F Input	
Name	I_{inp}
PV cell	Tonic current input $I_{app} = 14 \mu\text{A}/\text{cm}^2$ for high DA state, and $I_{app} = 7 \mu\text{A}/\text{cm}^2$ for baseline DA state
	Poisson input: 50 uncorrelative homogeneous Poisson processes firing at 2 Hz with synaptic conductance fixed at 0.3 nS
MSN	Tonic current input $I_{app} = 1.29 \mu\text{A}/\text{cm}^2$ for D1 MSNs and $I_{app} = 1.09 \mu\text{A}/\text{cm}^2$ for D2 MSNs to model the high DA state, and $I_{app} = 1.19 \mu\text{A}/\text{cm}^2$ for D1 and D2 MSNs at baseline DA state
	Gaussian noise has a mean 0, standard deviation 1

520

G Measurements	
Spike activity	Raster plots and spiking rates
Burst	any occurrence of at least two spikes with an inter-spike interval (ISI) less than 16 ms [47]
LFP	Sum of all synaptic currents in all cells. To eliminate transients due to initial conditions, LFP is evaluated 1 s after the simulation onset.

521

522 For a single PV neuron, we reduced the number of PV neurons to 1 and focused

523 only on its spiking activity and membrane potential V_m . We simulated the absence of
524 autapses (No Aut) by adjusting the chance of autaptic connection to 0. For autaptic PV
525 cells, we simulated conditions with different strength of AR by adjusting the parameter
526 τ_{ar} . To examine the contribution of autapses to network oscillation, we normalized (z-
527 score) the simulated LFP before plotting the power spectrum. All simulations were run
528 on MATLAB software (version R2021a). All differential equations were integrated
529 using a fourth-order Runge-Kutta algorithm with time step 0.01 ms.

530

531 **Data Analysis**

532 Spike2, MiniAnalysis and MATLAB software were used for data analysis. All
533 measurements were taken from different cells. Unless otherwise stated, data presented
534 in the main text was mean \pm s.e.m. The error bars in figures were also s.e.m.

535 The frequency of spontaneous IPSC events was obtained from 2-s baseline current
536 just before the stimulation onset, and considered as the baseline frequency. For a
537 particular neuron, if the frequency of IPSC events within 300 ms after the train
538 stimulation (60 pulses at 200 Hz) is 10 Hz higher than that of the baseline, it would be
539 considered as an autaptic neuron with asynchronous GABA release. The PT-AR
540 instantaneous frequency was also calculated. The termination time of PT-AR IPSC
541 barrage is the time of the last IPSC event before the AR frequency reaches the baseline
542 frequency. The PT-AR duration is the time period between the cessation of the train
543 stimulation and the end of the AR barrage.

544 For two independent observations with normal distribution ($P > 0.05$, Shapiro

545 Wilk's test), we used two-sample Student's *t* test. Non-normal data were compared with
546 Wilcoxon rank sum test. Kruskal-Wallis test for analysis of variance (ANOVA), and
547 Tukey's test for post-hoc analysis, were used for comparisons of multiple groups.
548 Datasets were considered to be significantly different if $P < 0.05$.

549

550 **Data availability statement**

551 All relevant data are within the manuscript and the Supporting Information files. Raw
552 electrophysiological traces are available from the corresponding authors upon request.
553 Computation codes for simulations are available in a GitHub repository at
554 <https://github.com/xuanwangbnu/Aut-A-S>. DOI: 10.5281/zenodo.6397521.

555

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562

563 **Competing interests**

564 The authors declare no financial, personal, or professional interests that could have
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566

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569

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708

709 **Supporting information**

710 **S1 Fig. Autapses regulate spiking activity in single PV cell (baseline DA state).**

711 (A) Schematics showing three simulation conditions, PV cell without autapse (No Aut,

712 i), with autapse (Aut, i.e. SR alone, ii) and autaptic AR (SR+AR, iii), in a model of

713 single PV cell. The PV cell received tonic excitation ($7 \mu\text{A}/\text{cm}^2$) and Poisson noise. (B)

714 Spiking activities of the PV cell model in corresponding conditions shown in A. (C)

715 Spectrograms of the voltage traces in B. (D) Group data showing the effects of autapses

716 on the spiking frequency, burst duration and interval in single PV cell. (E) Group data

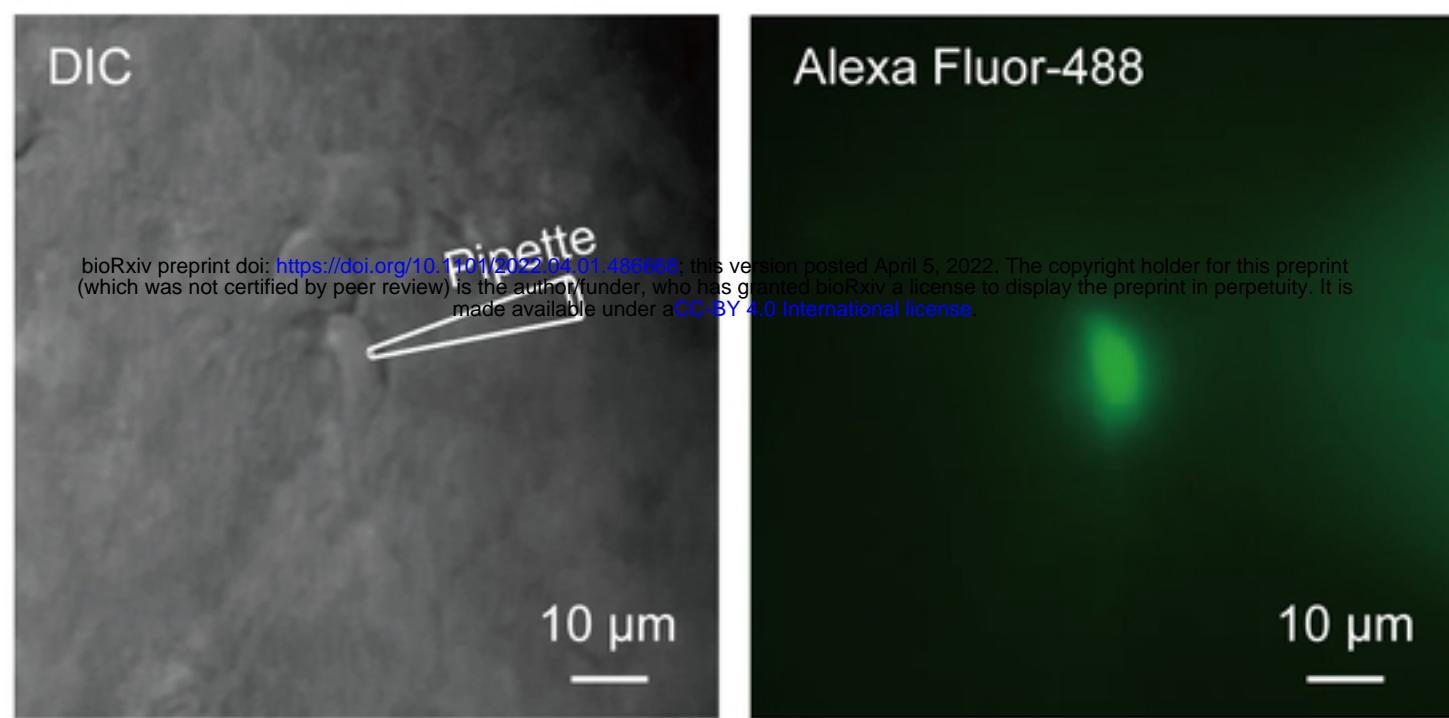
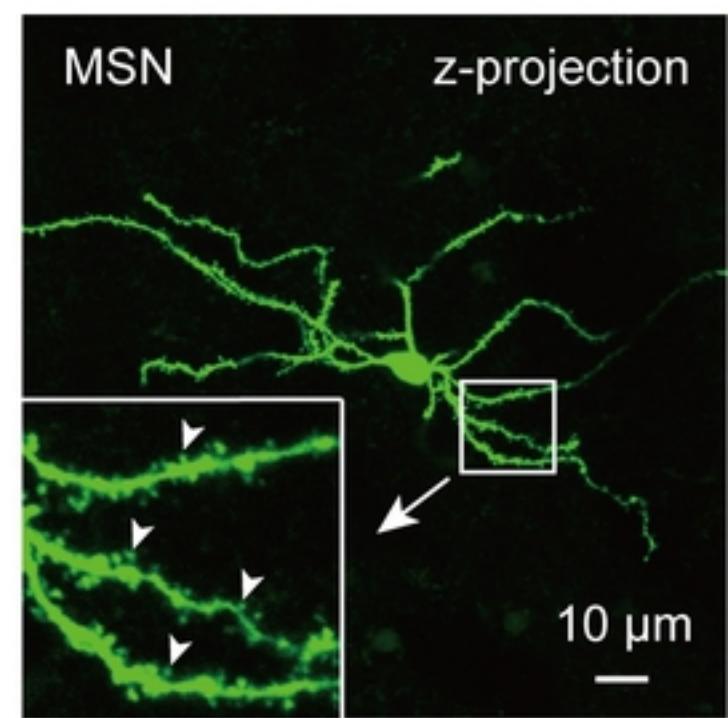
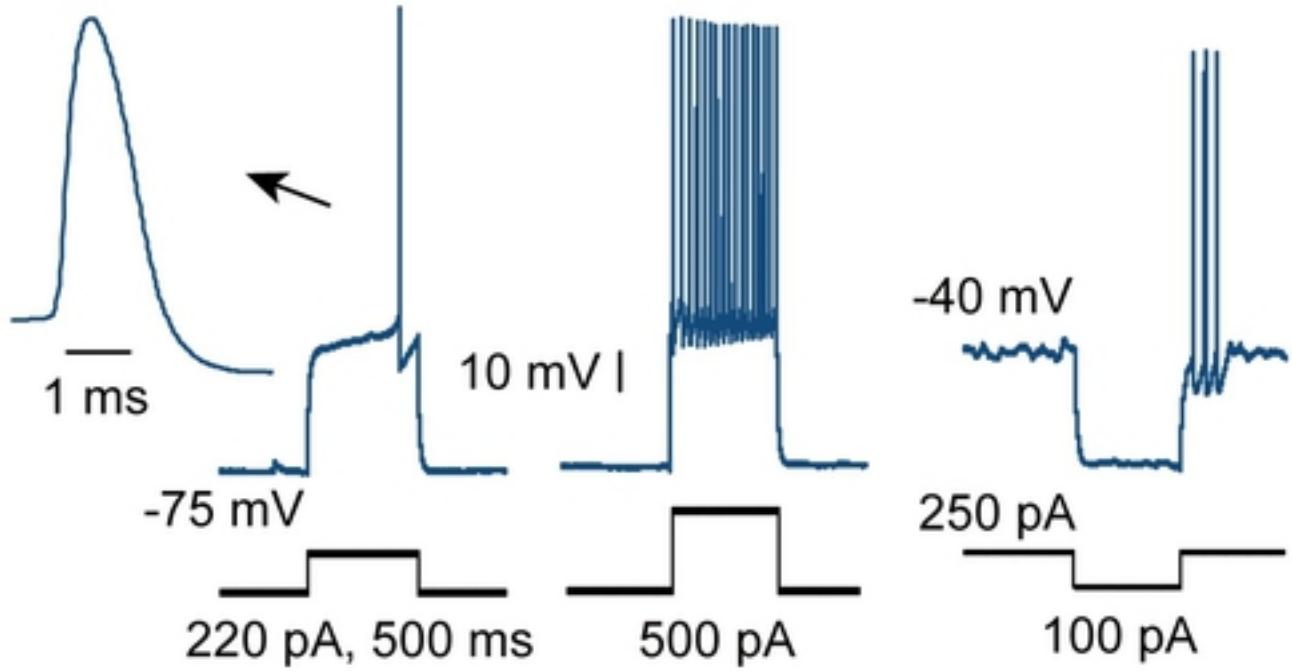
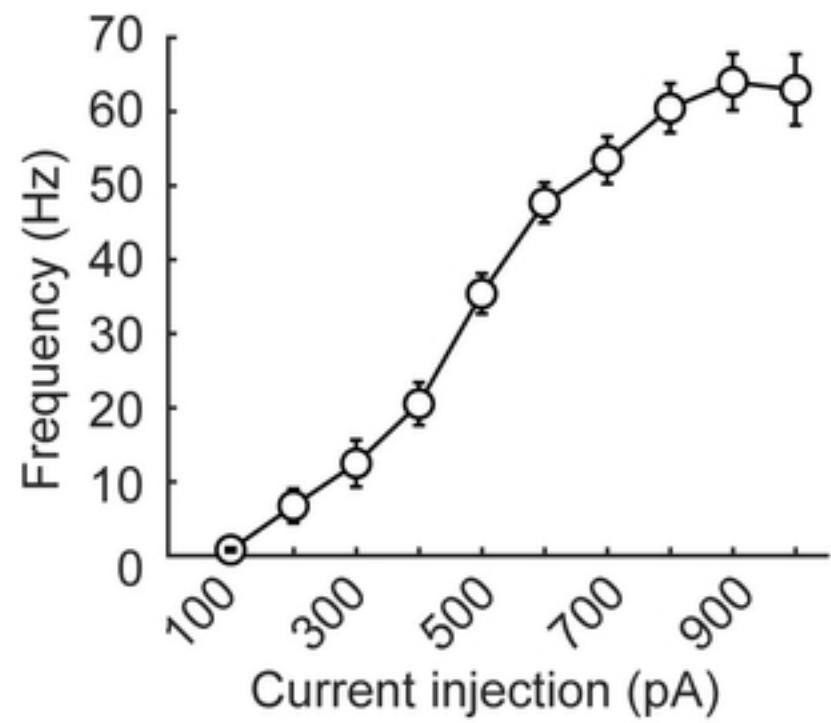
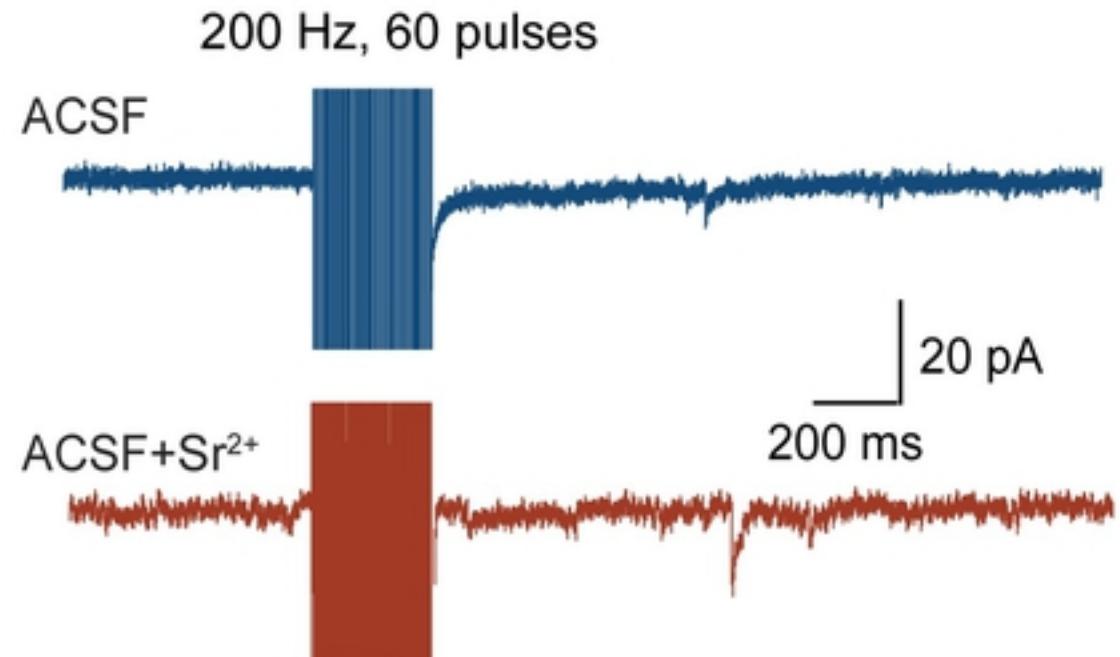
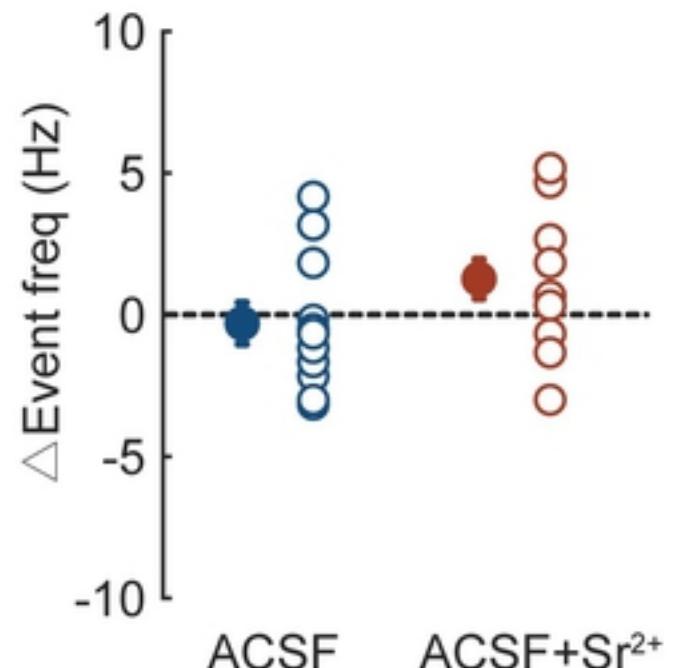
717 comparing the firing rate and burst profile with different AR strength. *, $p < 0.05$; **, p

718 < 0.01 ; ***, $p < 0.001$; ns, not significant.

719 **S2 Fig. PV cell autapses regulate striatal neuronal and network activities (baseline**

720 **DA state).**

721 (A) Schematics showing three simulation conditions in striatal network model: PV cells
722 without autapse (No Aut, i), with autapse (Aut, SR alone, ii) and autaptic AR (SR+AR,
723 iii). The network model contained 50 PV cells, 100 D1 and 100 D2 MSNs. PV cells
724 received tonic excitation ($7 \mu\text{A}/\text{cm}^2$) and Poisson noise, while D1 and D2 MSNs
725 received tonic excitation with a strength of $1.19 \mu\text{A}/\text{cm}^2$, corresponding to a baseline
726 DA state. AR strength 1 corresponds to $\tau_{\text{AR}} = 150 \text{ ms}$. (B) Raster plots of the three types
727 of striatal neurons in the corresponding conditions shown in A. (C) Example local field
728 potential (LFP) traces in the three conditions. (D) Spectrograms of the LFP traces in C.
729 (E) Mean power spectral density of the LFP traces in three conditions. (F) Group data
730 showing the effect of autapses (SR alone) on the firing rate of distinct cell types and the
731 PV cell burst duration and interval. (G) Changes of the power density at LFP
732 frequencies. (H) Group data comparing the firing rate, burst duration and interval in PV
733 cells with different AR strengths. (I) Changes of the power density at indicated
734 frequencies. *, $p < 0.05$; **, $p < 0.01$; ns, not significant.

A**B****C****D****E****F****Fig 1**

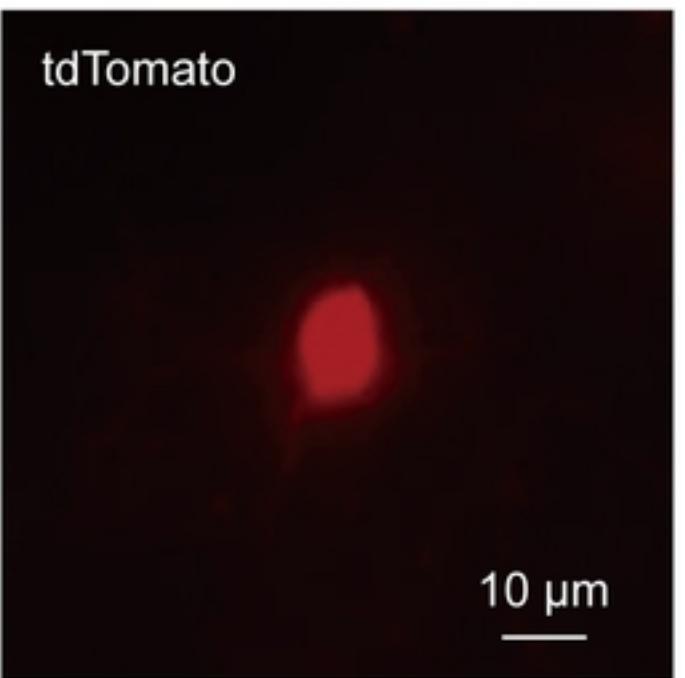
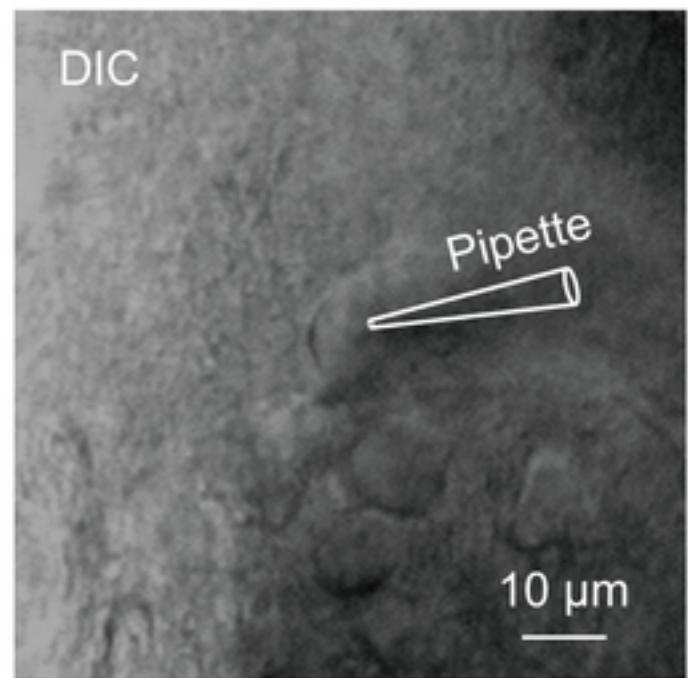
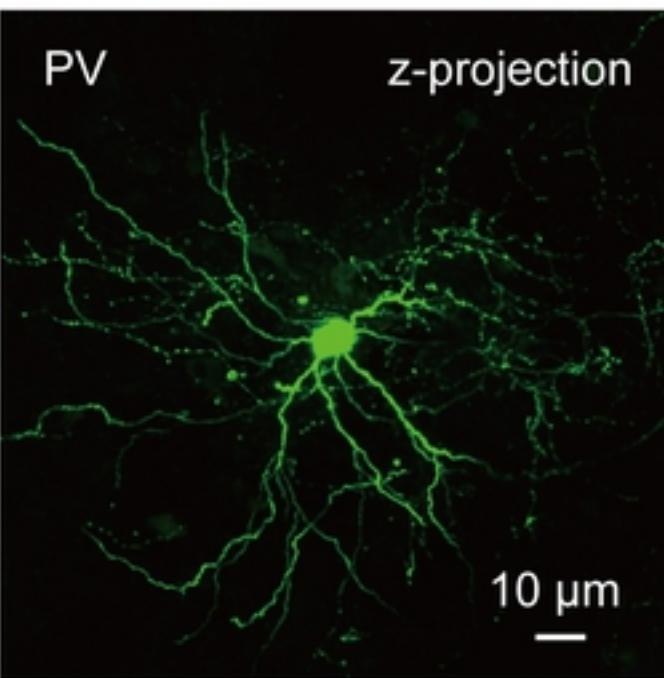
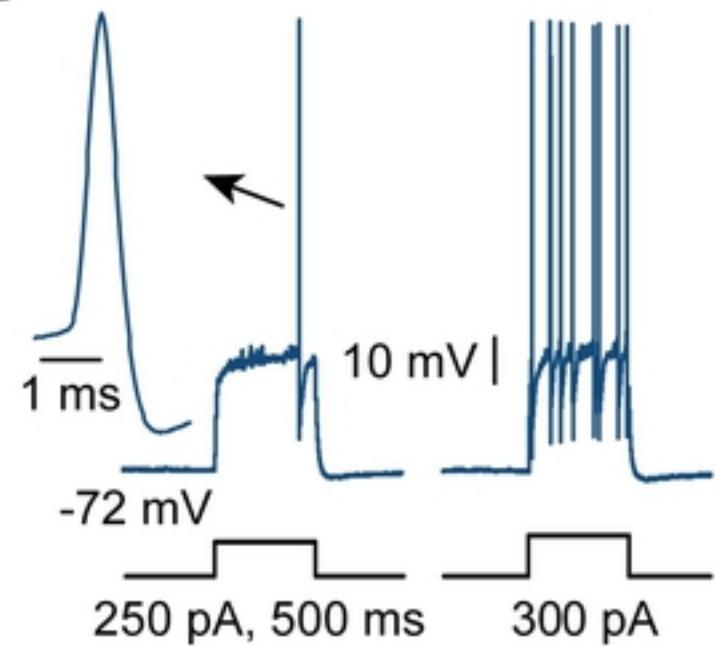
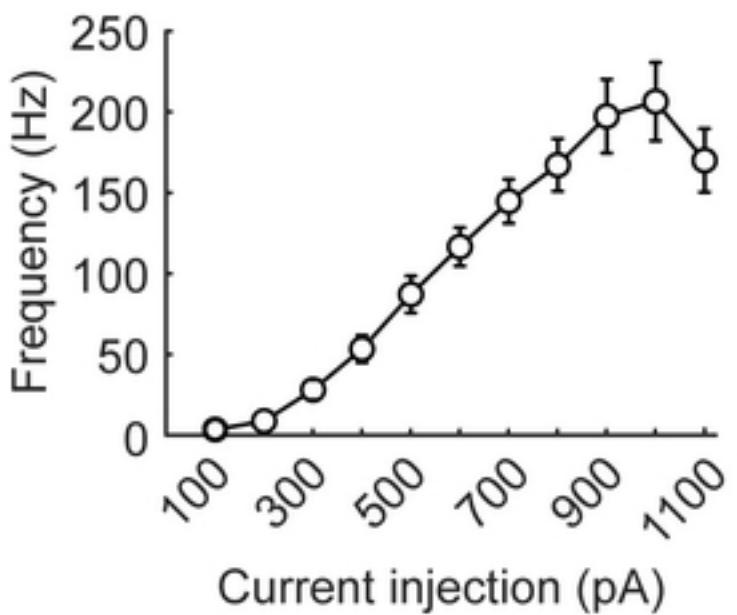
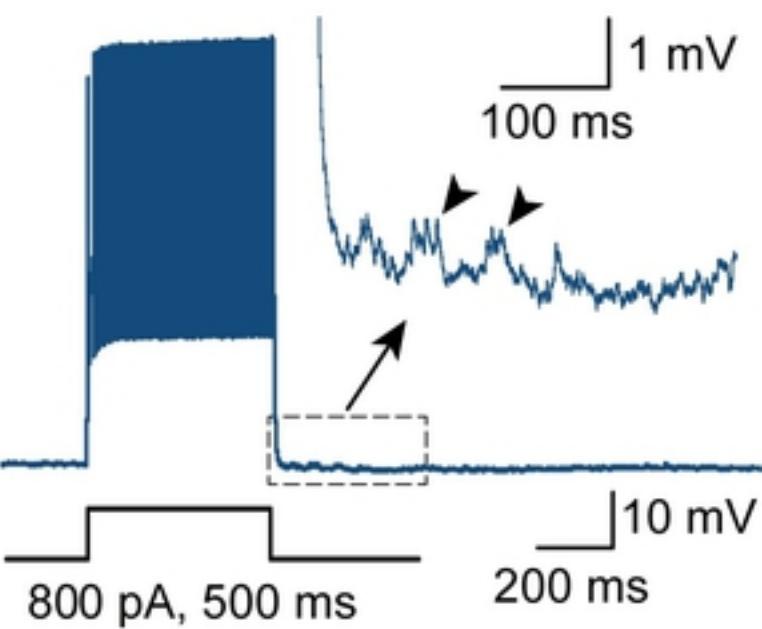
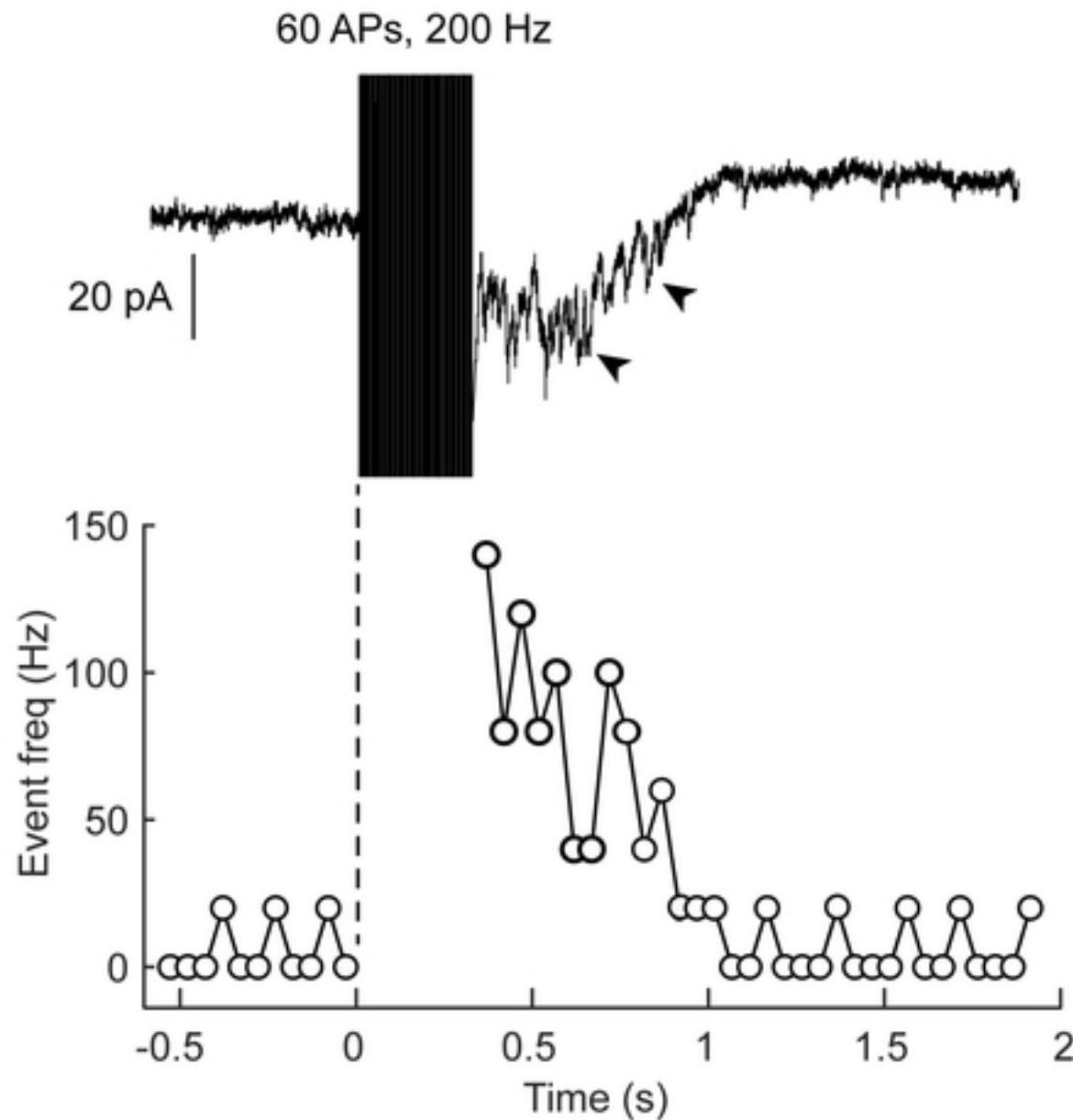
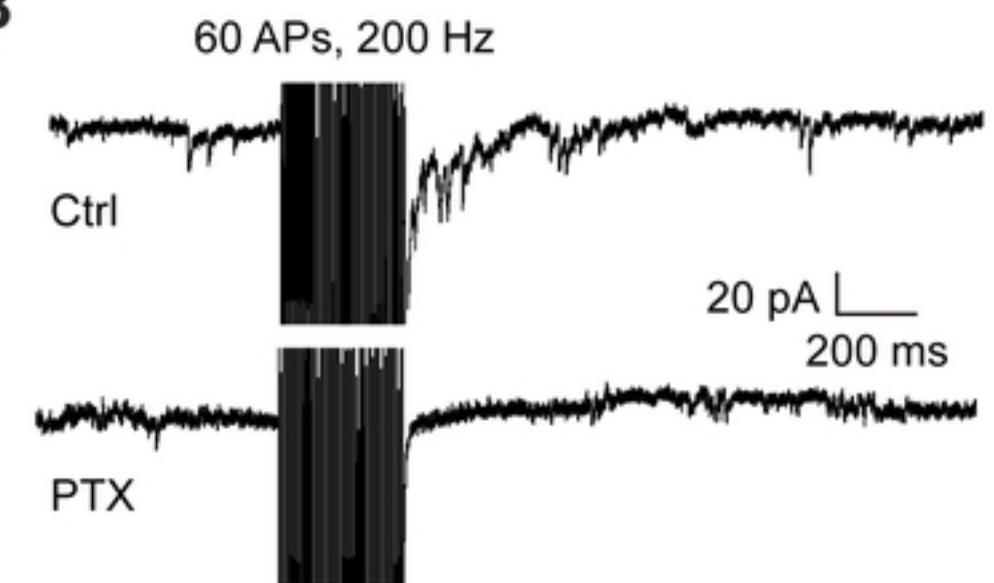
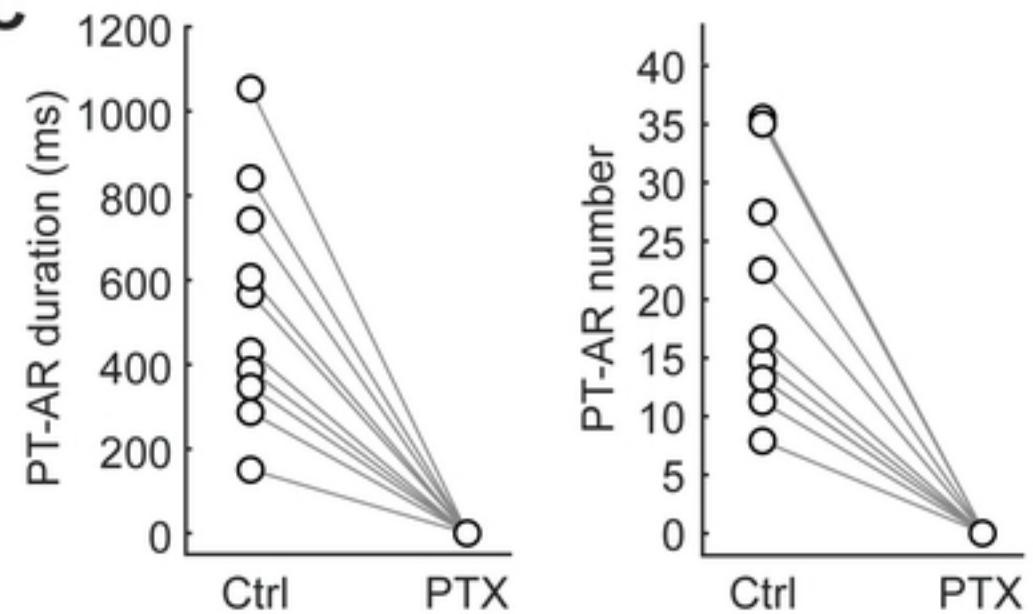
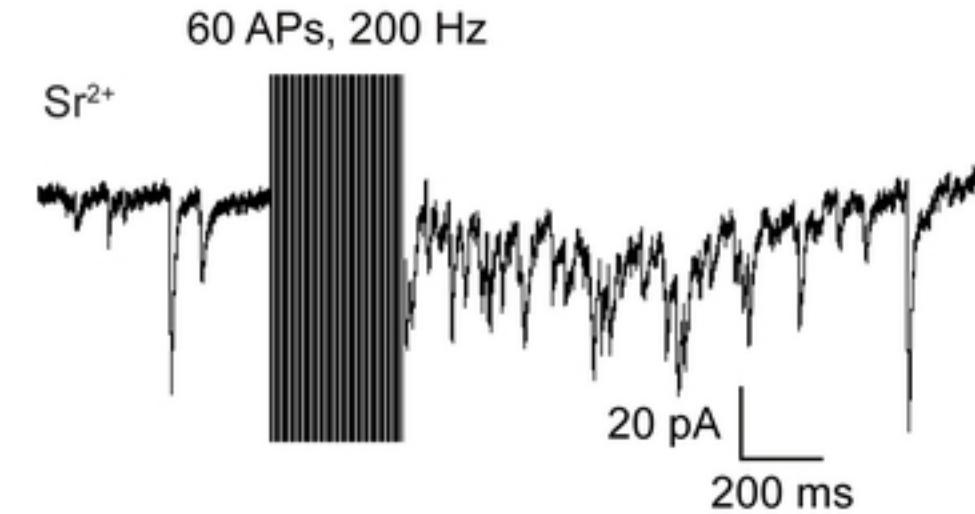
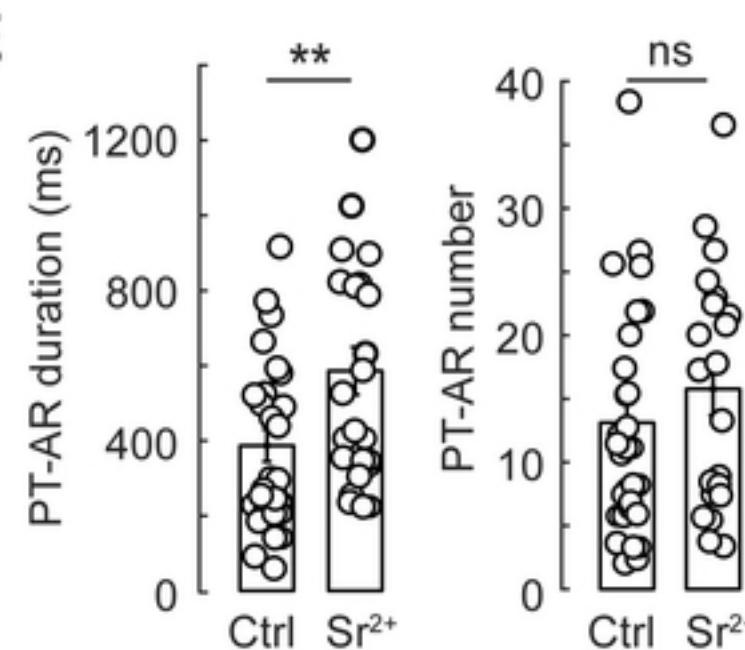
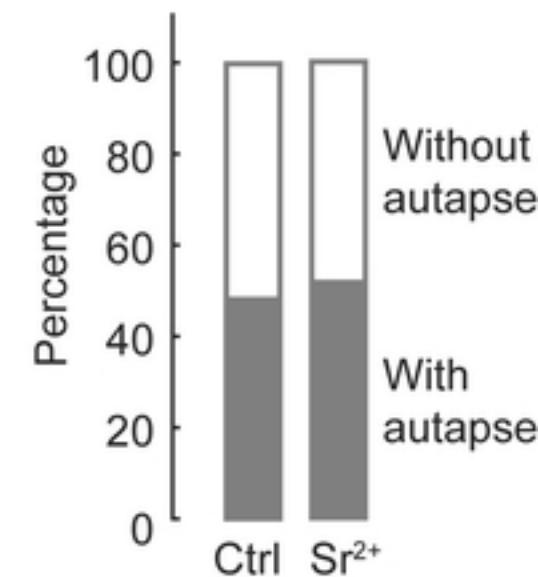
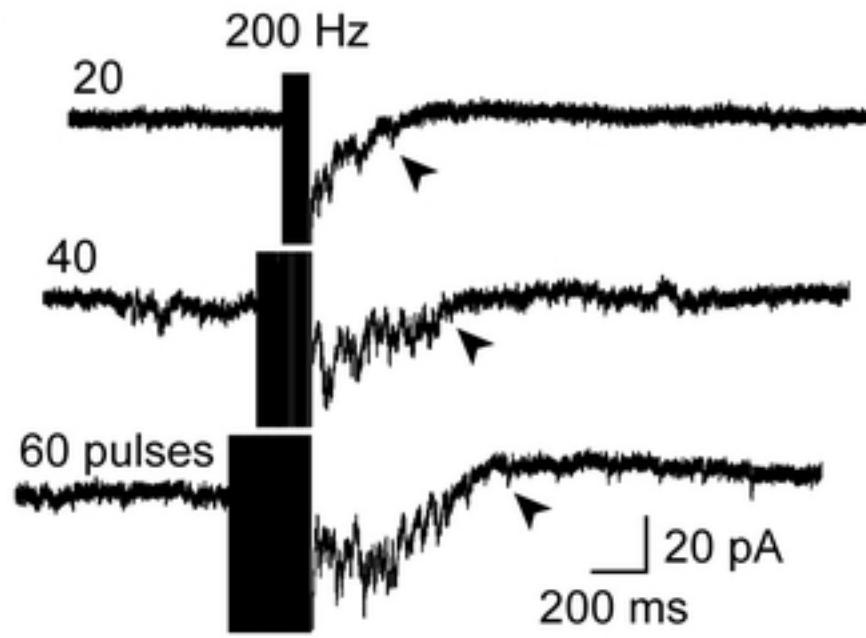
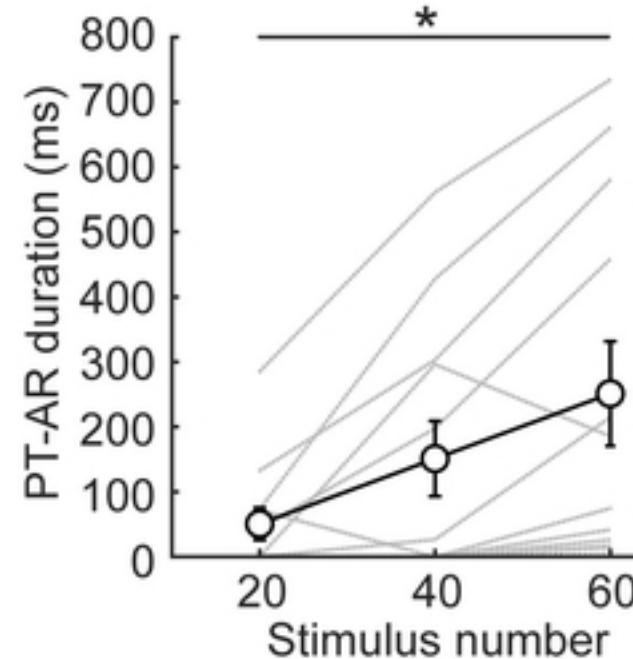
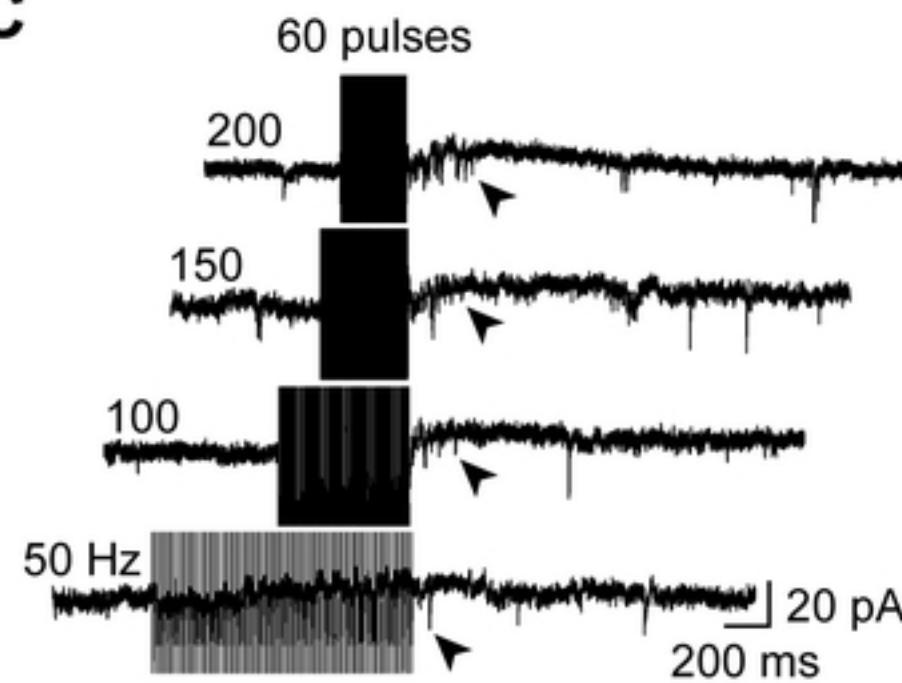
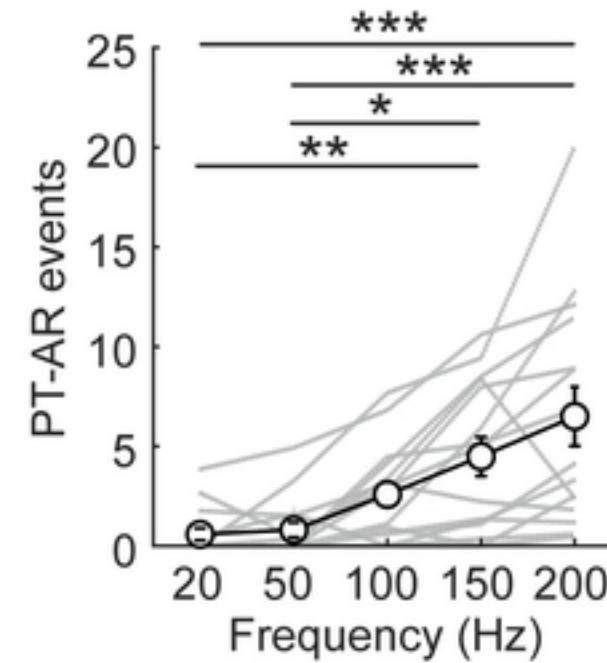
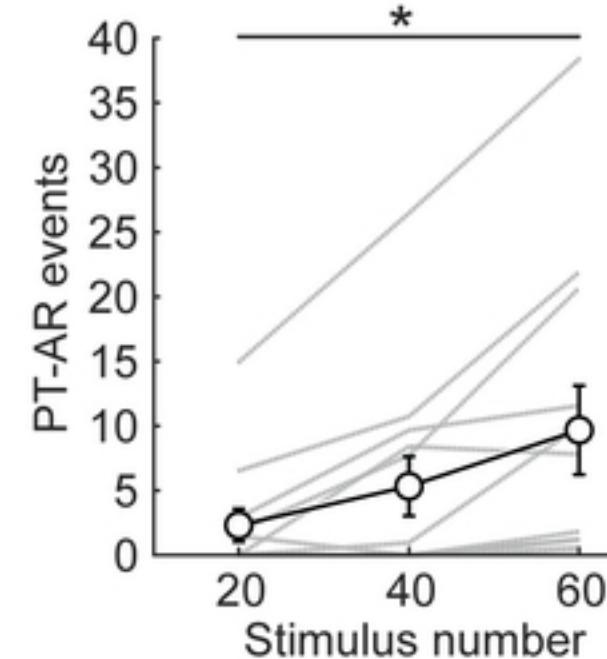
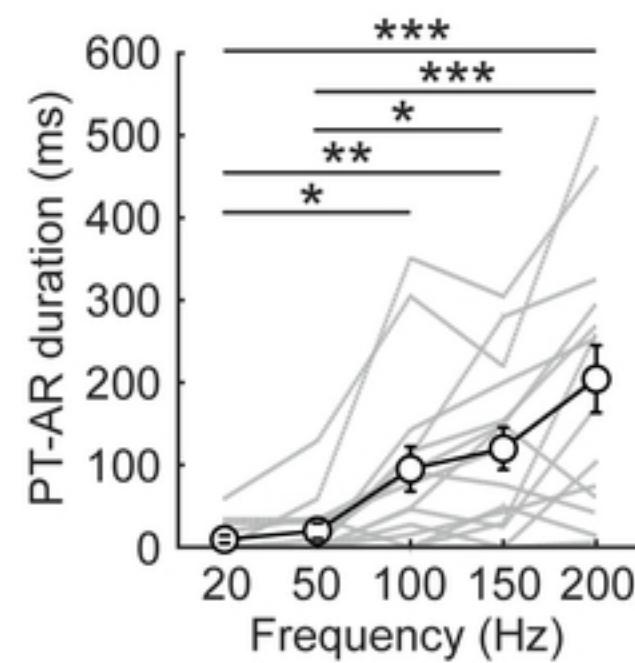
A**B****C****D****E**

Fig 2

A**B****C****D****E****F****Fig 3**

A**B****C****D****Fig 4**

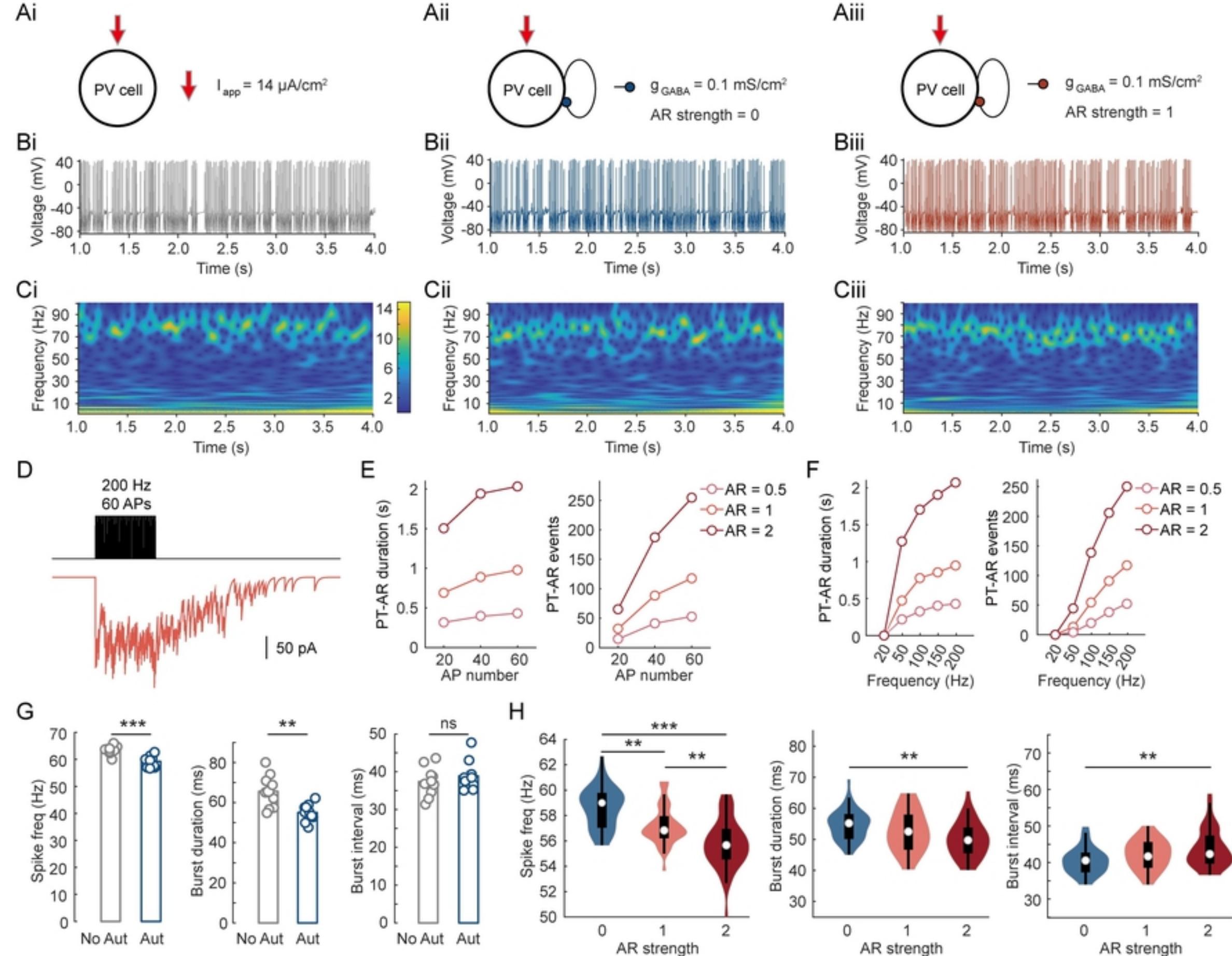


Fig 5

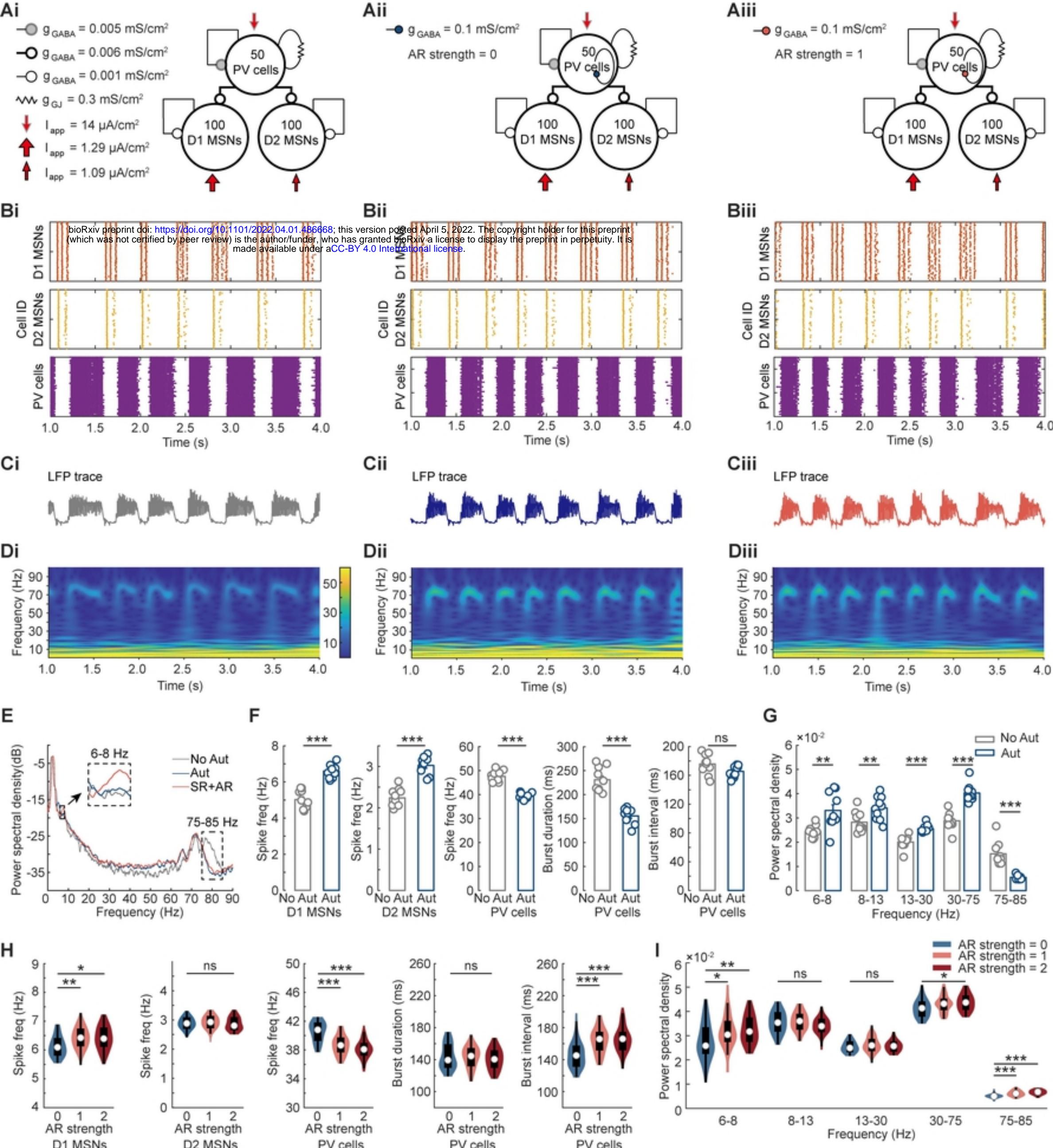


Fig 6