

# 1 Spatiotemporal analysis of axonal 2 autophagosome-lysosome dynamics 3 reveals limited fusion events trigger 4 two-step maturation

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9 **Abstract** Macroautophagy is a homeostatic process required to clear cellular waste including  
10 aggregated proteins and dysfunctional organelles. Neuronal autophagosomes form  
11 constitutively in the distal tip of the axon and are actively transported toward the soma, with  
12 cargo degradation initiated en route. Cargo turnover requires autophagosomes to fuse with  
13 lysosomes to acquire degradative enzymes; however, the timing and number of these fusion  
14 events in the axon have proven difficult to detect using microscopy alone. Here we use a  
15 quantitative model, parameterized and validated using data from live and fixed imaging of  
16 primary hippocampal neurons, to explore the autophagosome maturation process on a cellular  
17 scale. We demonstrate that retrograde autophagosome motility is independent from lysosomal  
18 fusion, and that most autophagosomes fuse with only a few lysosomes by the time they reach  
19 the soma. Furthermore, our imaging and model results highlight the two-step maturation of the  
20 autophagosome: fusion with a lysosome or late endosome is followed by the slow degradation of  
21 the autophagosomal inner membrane before actual cargo degradation can occur. Together,  
22 rigorous quantitative measurements and mathematical modeling elucidate the dynamics of  
23 autophagosome-lysosome interaction and autophagosomal maturation in the axon.

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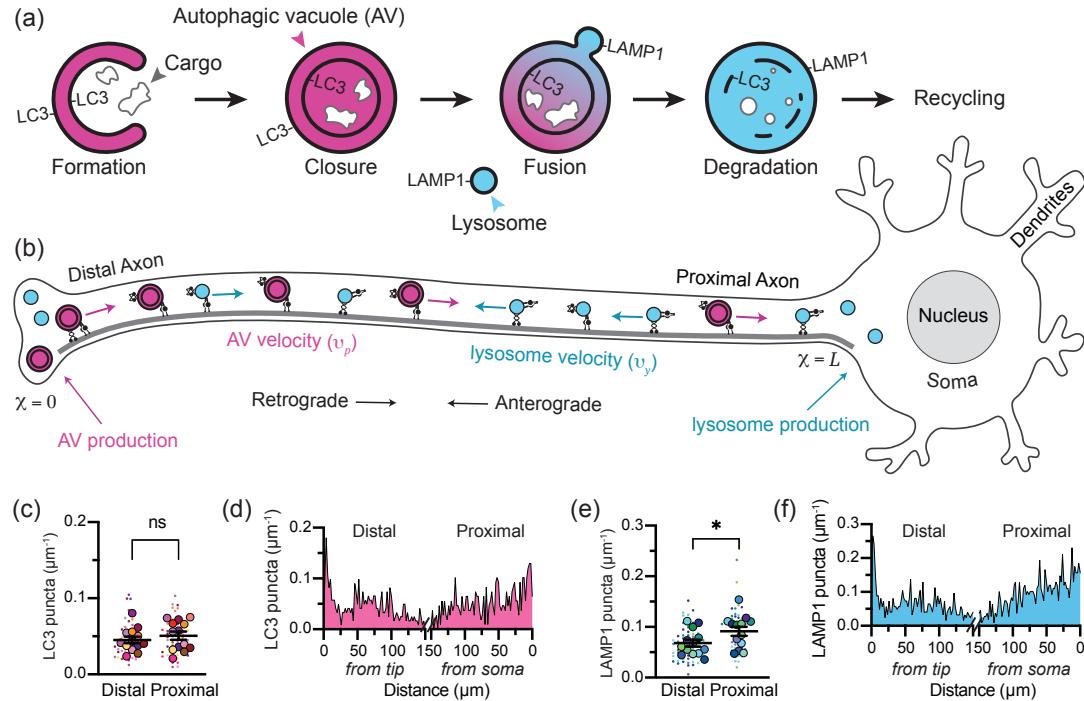
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## 25 **Introduction**

26 Neurons are terminally differentiated cells that last throughout the lifetime of the organism. One  
27 important pathway for maintaining cellular health and homeostasis over this long time period  
28 is macroautophagy (hereafter: autophagy), the formation of "self-eating" double-membraned or-  
29 ganelles that engulf and degrade cellular waste in order to recycle macromolecular components  
30 (Figure 1A) (Yin *et al.*, 2016). Defects in neuronal autophagy are implicated in most neurodegener-  
31 ative disorders including Parkinson's disease, Alzheimer's disease, and Amyotrophic Lateral Scler-  
32 osis (Wong and Holzbaur, 2015). Further, genetically blocking autophagic vacuole (AV) formation  
33 causes neurodegeneration in mice (Hara *et al.*, 2006; Komatsu *et al.*, 2006). Given the importance  
34 of autophagy in the maintenance of neuronal homeostasis, it is essential to gain a quantitative  
35 understanding of the pathway, to elucidate how perturbations in rates of autophagy may either  
36 negatively or positively affect neuronal health.

37 Neuronal AVs form constitutively at synaptic sites and in the distal tip of the axon (Figure 1B),  
38 where they clear aged proteins and organelles from the presynaptic region (Maday *et al.*, 2012;  
39 Goldsmith *et al.*, 2022). However, the vast majority of protein and organelle production occurs in

40 the soma (*Misgeld and Schwarz, 2017; Farfel-Becker et al., 2019; Koltun et al., 2020*). Thus, neu-  
41 ronal AVs must traverse the length of the axon, up to 1m in humans, to recycle their cargo (*Maday*  
42 and *Holzbaur, 2014; Stavoe and Holzbaur, 2019*). AVs acquire molecular motors after formation  
43 to drive their transit to the soma (*Fu et al., 2014; Cheng et al., 2015; Cason et al., 2021*). En route,  
44 axonal AVs mature by fusing with endolysosomes, organelles containing the digestive enzymes  
45 necessary to break down autophagosomal cargo (*Maday et al., 2012; Cason et al., 2021*). Degrada-  
46 tively active endolysosomes, known as lysosomes, are produced in the soma and actively delivered  
47 to the axon to fuse with AVs (*Farfel-Becker et al., 2019; Roney et al., 2021*). The maturation of AVs  
48 during transport from the axonal tip to the soma is a well-studied phenomenon. However, it has  
49 proven experimentally difficult to study the fusion between AVs and endolysosomes along the  
50 axon, precluding a quantitative understanding of the spatiotemporal dynamics of maturation.



**Figure 1. Neuronal autophagosomes form in the distal axon and fuse with lysosomes during transit to the soma.** (a) Schematic illustrating the autophagy pathway. The developing phagophore engulfs cargo and seals its double membrane to form an autophagic vacuole (AV). The inner and outer membranes are initially decorated with lipidated LC3; however, the LC3 on the outer membrane is cleaved off following closure. AVs fuse with late endosomes and LAMP1-containing lysosomes to acquire degradative enzymes. The autophagic cargo is then broken down and the resulting macromolecules are recycled by the cell. (b) Schematic illustrating axonal autophagy. AV biogenesis primarily occurs in the distal tip of the axon, while lysosome biogenesis occurs in the soma. AVs are transported retrograde toward the soma, while lysosomes move processively in both anterograde and retrograde directions. During this microtubule-based transport, the two organelles encounter one another and have some probability of fusing to facilitate AV maturation. In this study, the distal axon is defined as within 250 $\mu\text{m}$  of the axon tip and the proximal axon as within 250 $\mu\text{m}$  of the soma, with the total axon length ( $\chi = L$ ) determined experimentally. (c) Linear density of endogenous LC3 puncta, detected with RRID:AB\_881433 or RRID:AB\_11150489.  $n = 13$  trials; unpaired t test ( $p = 0.4504$ ). (d) Spatial distribution of LC3+ puncta.  $n = 1059$  puncta; 2 $\mu\text{m}$  bins. (e) Linear density of LAMP1 puncta, detected with RRID:AB\_1026176 or RRID:AB\_2134500.  $n = 13$  trials; unpaired t test ( $p = 0.0489$ ). (f) Spatial distribution of LAMP1 puncta.  $n = 1720$  puncta; 2 $\mu\text{m}$  bins.

The following figure supplements are available for Figure 1:

**Figure 1-Figure supplement 1.** Antibody validation.

**Figure 1-Figure supplement 2.** Axon length *in vitro*.

**Figure 1-Figure supplement 3.** Axonal AV density in live neurons.

51 Mathematical modeling has been used previously to explore the interactions between motile organelles in narrow cellular projections (*Mogre et al., 2020; Agrawal and Koslover, 2021*) such as neuronal axons and fungal hyphae. These studies highlighted the importance of the cross-sectional geometry of the cellular region, as well as organelle production rates and transport dynamics for understanding the interaction probability between organelles (*Agrawal and Koslover, 2021; Williams et al., 2016; Mogre et al., 2020, 2021*). We therefore sought to dissect the mechanisms underlying autophagosomal maturation in the axon by developing a spatially resolved quantitative model of this phenomenon, parameterized from experimental data.

59 In this work, we construct a comprehensive model of organelle transport, interaction, and maturation during axonal autophagy. The model reproduces features of organelle distribution and maturation observed using endogenous staining and live-cell imaging in primary hippocampal neurons. We incorporate the branched geometry of neuronal axons, and highlight the role of simple parameters including production rates, fusion probability, and motility dynamics. Furthermore, we show that AV maturation is in fact a two-step process, wherein AV-endolysosome fusion is followed by the slow degradation of the inner AV membrane before cargo breakdown can begin. Our quantitative model is used to extract a time-scale for this previously under-appreciated second step of AV maturation. The two-way interplay between experimental measurements and mathematical modeling presented in this work sheds light on the multi-step mechanisms and spatiotemporal distribution of neuronal autophagosome maturation.

## 70 Results

### 71 Autophagic vacuoles mature in the axon under endogenous conditions

72 Previous studies both *in vitro* and *in vivo* have detected AV maturation by assessing colocalization 73 between fluorescent markers for lysosomes and AVs (*Maday et al., 2012; Stavoe et al., 2016; Hill et al., 2019; Cason et al., 2021*). Most commonly, the protein LC3 (microtubule associated protein 75 1 light chain 3) or one of its orthologs is used to label AVs. Lysosomes are commonly labeled by 76 lysosome-associated membrane protein 1 (LAMP1); however, LAMP1 also localizes to more immature 77 endocytic compartments and even Golgi-derived carrier vesicles, especially when overexpressed 78 (*Cheng et al., 2018; Farfel-Becker et al., 2019; Lie et al., 2021*). Additionally, we worried 79 exogenous overexpression of LC3 or LAMP1 may affect the quantity of AVs and/or endolysosomes, 80 given their roles in organelle biogenesis and turnover (*Yu et al., 2010; Ma et al., 2012; Shibutani and Yoshimori, 2014; Stavoe et al., 2019*). We therefore performed rigorous immunofluorescence 82 measurements to determine the density and spatial distribution of LC3-containing and LAMP1- 83 containing organelles in the axon under endogenous expression conditions.

84 In brief, we dissected primary rat hippocampal neurons at embryonic day 18, plated at a low 85 density on glass coverslips, then fixed and permeabilized without detergents after 7-10 days *in* 86 *vitro*. We then probed for LC3 or LAMP1 using two independent primary antibodies each that were 87 validated both commercially and in house (Figure 1—figure supplement 1). We used a primary 88 antibody against the microtubule-associated protein tau to trace axons (Figure 1—figure supplement 89 2) and facilitate the identification of proximal (within 250 $\mu$ m of the soma) and distal (within 90 250 $\mu$ m of the axon tip) axonal segments that did not overlap with adjacent cells. We then acquired 91 Z-stacks at 60 $\times$  magnification and analyzed the resulting maximum projections to quantitate the 92 number of LC3 and LAMP1 puncta per  $\mu$ m of axon.

93 The number of LC3 puncta in the distal and proximal axon were roughly the same, with an 94 average of 1 punctum every 20 $\mu$ m ( $\approx 0.05\mu\text{m}^{-1}$ ) (Figure 1C). The linear density detected using 95 immunofluorescence is consistent with what we detect using fluorescently-tagged LC3 in the axons of 96 live primary hippocampal neurons and live iPSC-derived neurons (Figure 1—figure supplement 3), 97 as well as published studies quantifying LC3 in the axons of live primary dorsal root ganglia (DRG) 98 neurons ( $\approx 0.05\mu\text{m}^{-1}$ ) (*Maday et al., 2012*) and primary cortical neurons ( $\approx 0.06\mu\text{m}^{-1}$ ) (*Lee et al., 2011*). The distribution of LC3 in the distal axon showed a mildly higher density in the tip/growth

100 cone and a similar density along the proximal axon (Figure 1D).

101 LAMP1 puncta were slightly more dense, with the number of LAMP1 puncta marginally higher  
102 in the proximal axon (1 punctum every  $\approx 10\mu\text{m}$ ;  $\approx 0.09\mu\text{m}^{-1}$ ) than the distal axon (1 punctum  
103 every  $\approx 15\mu\text{m}$ ;  $\approx 0.07\mu\text{m}^{-1}$ ) (Figure 1E). The LAMP1 puncta density detected using immunofluo-  
104 rescence is consistent with published studies quantifying LAMP1 puncta in live hippocampal ( $\approx$   
105  $0.08\mu\text{m}^{-1}$ ) (Boecker *et al.*, 2020) or iPSC-derived i<sup>3</sup> neurons ( $\approx 0.08\mu\text{m}^{-1}$ ) (Boecker *et al.*, 2020), and  
106 slightly lower than that seen using fluorogenic lysosomal enzyme activity sensors in DRG neurons  
107 ( $\approx 0.14\mu\text{m}^{-1}$ ) (Farfel-Becker *et al.*, 2019). In the distal region, we observed some accumulation of  
108 LAMP1 puncta in the axon tip/growth cone (Figure 1F).

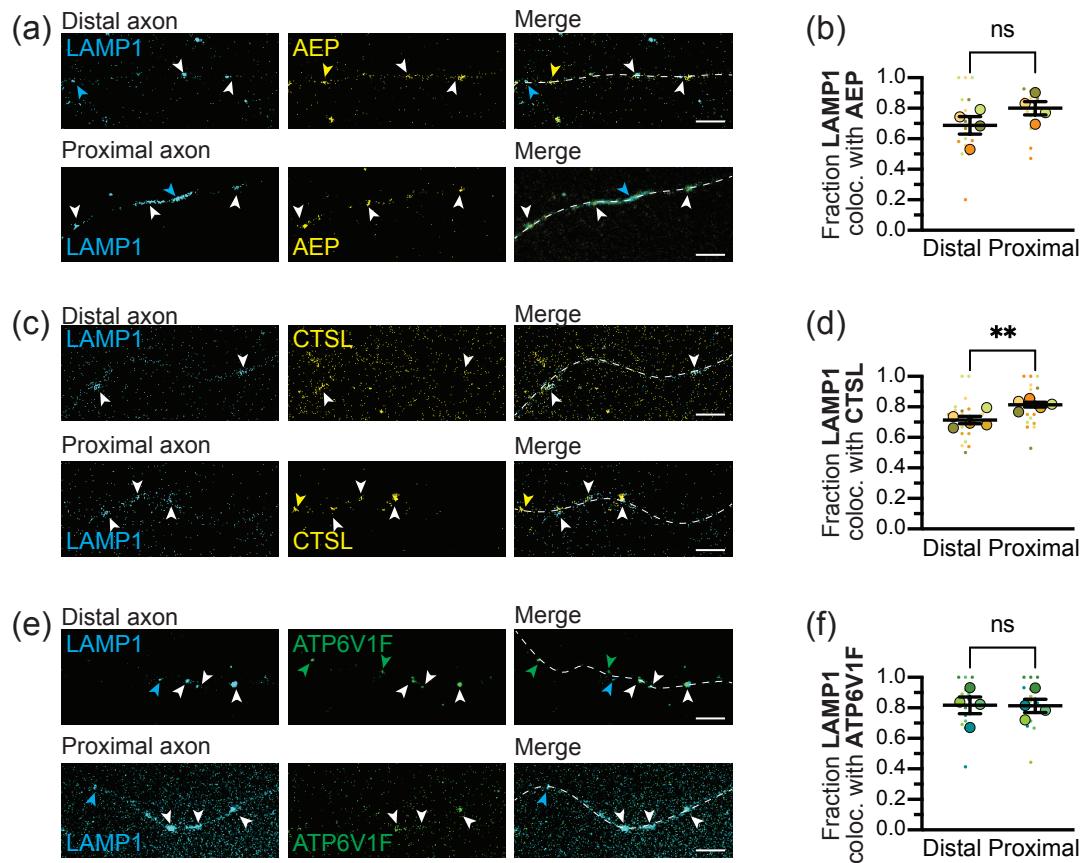
109 Endogenous LAMP1 localizes to degradative compartments

110 Some recent studies have proposed that only a small fraction of axonal lysosomes are degra-  
111 datively competent (Gowrishankar *et al.*, 2015; Cheng *et al.*, 2018; Farfel-Becker *et al.*, 2019). Studies  
112 in non-neuronal cells have also proposed a lysosomal activity gradient wherein lysosomes closer to  
113 the nucleus are more mature and proteolytically active than those farther from the nucleus (John-  
114 son *et al.*, 2016; Ferguson, 2018). We therefore examined the degradative capacity of lysosomes  
115 along the axon by measuring the colocalization between endogenous LAMP1 and the endogenous  
116 lysosomal enzymes asparagine endopeptidase (AEP) and Cathepsin L (CTSL) (Figure 2A-D). Across  
117 the axon, roughly three-quarters of the LAMP1 colocalized with lysosomal enzymes. Lysosomal  
118 proteases require low pH to function, so we probed for the presence of the lysosomal vATPase,  
119 which pumps protons across the membrane to achieve and maintain the lysosome's characteristic  
120 low pH ( $\approx 4.8$ ) (Johnson *et al.*, 2016). The vATPase subunit V1 is cytoplasmic and forms an activated  
121 vATPase when interacting with the transmembrane V0 subunit. Therefore colocalization between  
122 the V1 subunit (ATP6V1F) and membrane-bound LC3 suggests the formation of an active vATPase  
123 on the AV membrane. Again, we saw about 80% colocalization in both the proximal and distal axon  
124 (Figure 2E,F). These data suggest that the population of LAMP1-positive (LAMP1+) lysosomes along  
125 the axon is primarily mature and degradatively competent.

126 Most AVs mature along the axon

127 Next, we quantified colocalization between LC3 and LAMP1 and found that roughly 50% of the AVs  
128 in the distal axon colocalized with LAMP1 (Figure 3A,B). Considering our optical resolution (200nm)  
129 these colocalized puncta likely represent fused or fusing organelles. A higher number of AVs were  
130 positive for LAMP1 in the proximal axon; thus, an additional  $\approx 25\%$  of AVs fused with a LAMP1+  
131 organelle in the axon shaft during transit to the soma (Figure 3A,B). About 30% of the LAMP1 across  
132 the axon colocalized with LC3, and there was no difference between the distal and proximal axon  
133 (Figure 3-figure supplement 1).

134 To determine whether LC3-positive (LC3+) organelles were fusing with degradative lysosomes,  
135 we assessed colocalization with endogenous lysosomal enzymes AEP and CTS. Roughly half of the  
136 LC3 puncta in the distal axon colocalized with lysosomal enzymes (Figure 3C-F). Colocalization with  
137 either enzyme increased in the proximal axon, indicating further fusion of AVs with endolysosomes  
138 during translocation toward the soma. We also looked for colocalization of endogenous LC3 with  
139 the V1 subunit of the vATPase, a marker of active proton pumps. Again, roughly half of the LC3  
140 puncta in the distal axon colocalized with ATP6V1F, and an additional  $\approx 30\%$  of AVs appeared to  
141 acquire ATP6V1F during transit along the axon shaft (Figure 3G,H). We therefore conclude that half  
142 of the axonal AV population fuses with a degradatively active lysosome prior to leaving the distal  
143 axon, while an additional quarter of the axonal AV population fuses with an active lysosome along  
144 the mid-axon prior to reaching the proximal axon and soma.

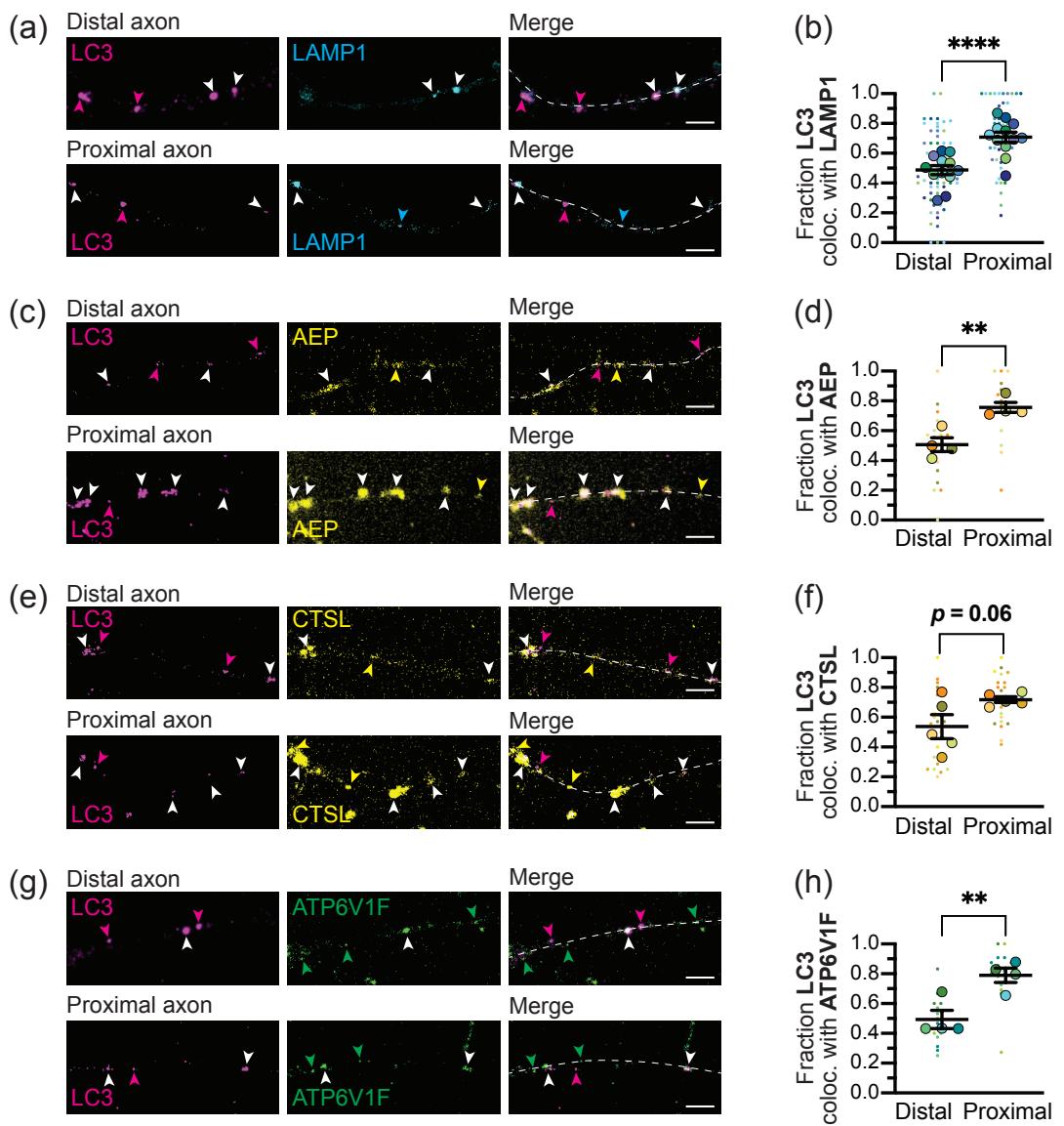


**Figure 2. Lysosomes throughout the axon are degradatively competent.** Maximum projections (a) and quantification (b) showing LAMP1 and asparagine endopeptidase (AEP) overlap in the distal and proximal axon.  $n = 4$  trials; unpaired t test ( $p = 0.1684$ ). Maximum projections (c) and quantification (d) showing LAMP1 and Cathepsin L (CTSL) overlap in the distal and proximal axon.  $n = 5$  trials; unpaired t test ( $p = 0.0073$ ). Maximum projections (e) and quantification (f) showing LAMP1 and V-type proton ATPase subunit F (ATP6V1F) overlap in the distal and proximal axon.  $n = 4$  trials; unpaired t test ( $p = 0.9588$ ). Dashed line represents axon. Cyan arrows, LAMP1 alone; yellow/green arrows, respective marker alone; white arrows, colocalization. Scale bar, 5  $\mu$ m. Fractions are all over the total LAMP1+ puncta in that region. Bars throughout show mean  $\pm$  SEM. Dashed line represents axon. ns,  $p > 0.05$ ; \*\*,  $p < 0.01$ .

145 **Mathematical modeling elucidates interplay of transport and fusion in autophagosome-  
146 lysosome distributions**

147 We next proceeded to develop a coarse-grained mathematical model for axonal AV maturation  
148 through fusion with endolysosomes. The model is parameterized against experimental data and  
149 aims to elucidate how organelle transport and interaction parameters dictate the spatial distribu-  
150 tion of lysosomes, AVs, and fusion events.

151 Because axons are much longer than they are wide, we simplify the model system to a one-  
152 dimensional domain of length  $L = 1055\mu\text{m}$ , representing the average length of primary hippocam-  
153 pal axons at 7-10 days *in vitro* (Figure 1—figure supplement 2). The model includes the biogenesis  
154 of AVs in the distal axon tip (*Maday and Holzbaur, 2014*) and the production of lysosomes in the  
155 soma (*Farfel-Becker et al., 2019*), along with switches between different motility states (*Fu et al.,*  
156 *2014; Cason et al., 2021*) and AV-lysosome fusion events (Figure 4). We explore organelle distribu-  
157 tions both with stochastic agent-based simulations of discrete particles and in a mean-field sense,  
158 by solving for the continuous spatial densities of different organelle states.



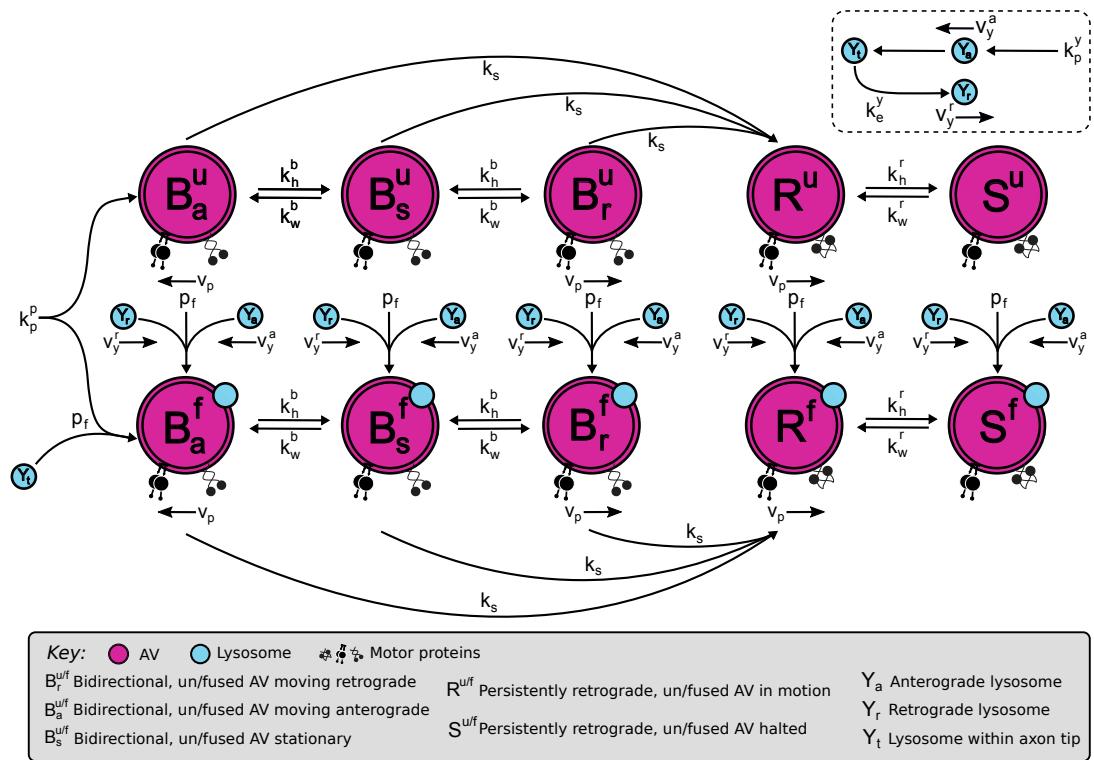
**Figure 3. Spatial distribution of LC3 colocalization with lysosomal markers under endogenous conditions.** Maximum projections (a) and quantification (b) showing LC3 and LAMP1 overlap in the distal and proximal axon.  $n = 12$  trials; unpaired t test ( $p < 0.0001$ ). Maximum projections (c) and quantification (d) showing LC3 and AEP overlap in the distal and proximal axon.  $n = 4$  trials; unpaired t test ( $p = 0.0045$ ). Maximum projections (e) and quantification (f) showing LC3 and CTSL overlap in the distal and proximal axon.  $n = 5$  trials; unpaired t test ( $p = 0.0605$ ). Maximum projections (g) and quantification (h) showing LC3 and ATP6V1F overlap in the distal and proximal axon.  $n = 4$  trials; unpaired t test ( $p = 0.0090$ ). Magenta arrows, LC3 alone; cyan/yellow/green arrows, respective lysosomal marker alone; white arrows, colocalization. Scale bar, 5  $\mu$ m. Fractions are all over the total LC3 puncta in that region. Bars throughout show mean  $\pm$  SEM. Dashed line represents axon. ns,  $p > 0.05$ ; \*,  $p < 0.05$ ; \*\*,  $p < 0.01$ ; \*\*\*\*,  $p < 0.0001$ .

The following figure supplement is available for Figure 2:

**Figure 3-Figure supplement 1.** LAMP1 puncta colocalized with LC3.

**159 Model for AV transport and distribution**

**160** In the model, AVs are formed at the distal axon tip ( $x = 0$ ) at rate  $k_p$ . Nascent AVs engage in short  
**161** bidirectional movements, or remain relatively stationary (Fu *et al.*, 2014; Cason *et al.*, 2021). In  
**162** the distal axon, the majority (roughly 80%) of LC3+ AVs move less than 10  $\mu$ m over the course of  
**163** a 1-3 minute video acquisition (Figure 5A). Such puncta are either completely stationary or in a

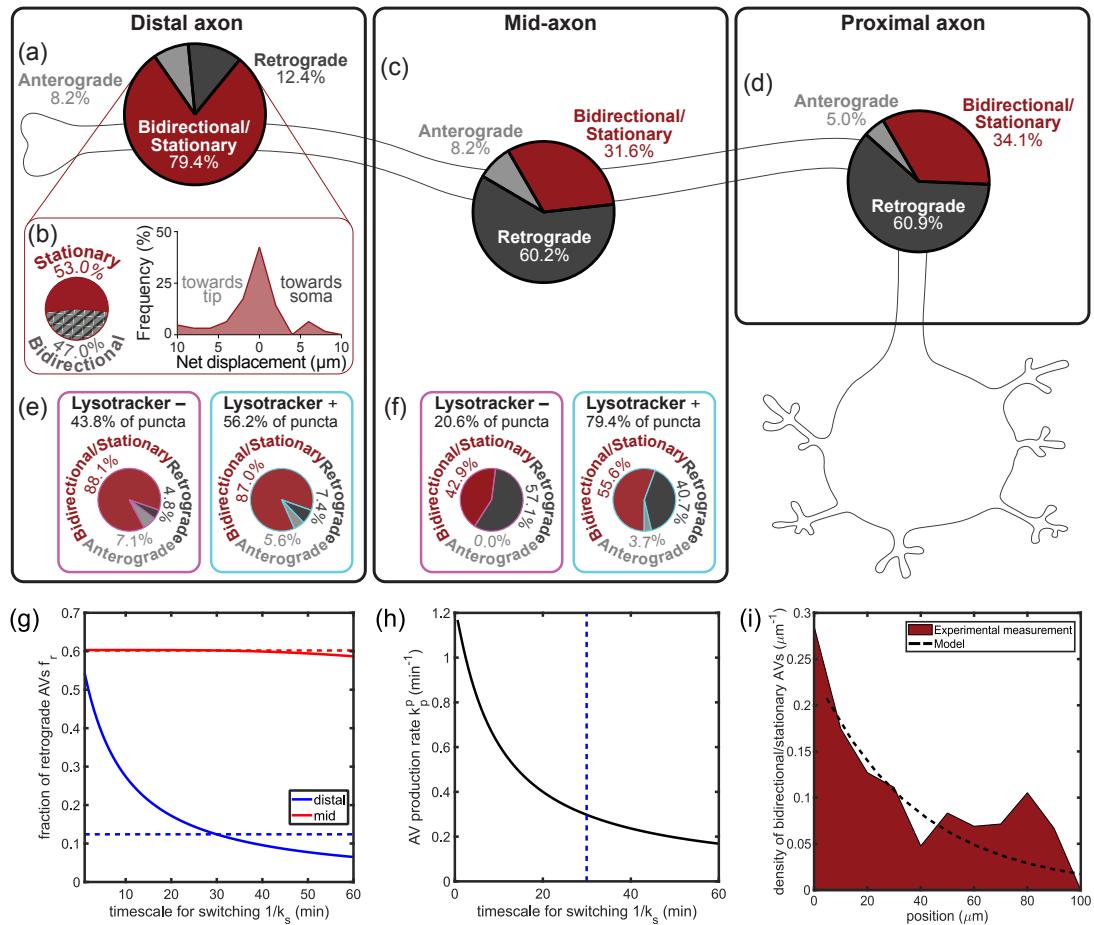


**Figure 4. Mathematical model describes AV motility and endolysosome fusion as interconverting states.** Magenta states indicate AVs, with top row corresponding to AVs that have not fused with an endolysosome and are bidirectional ( $B_a^u, B_r^u, B_s^u$  depending on current motion anterograde, retrograde, or stationary, respectively) or persistently retrograde ( $R^u$ : in motion,  $S^u$ : paused). Bottom row shows corresponding states for AVs that have fused with an endolysosome. Lysosome states ( $Y_a$ : anterograde,  $Y_r$ : retrograde,  $Y_t$ : tip localized) are shown in cyan. Transitions between states are marked by arrows with the corresponding transition rates labeled.

164 bidirectional state consisting of short anterograde or retrograde runs interspersed with stationary  
 165 periods (Figure 5B). The pause-free velocity in the bidirectional state is set to  $v_p = 0.75\mu\text{m/s}$  in both  
 166 directions (Boecker *et al.*, 2020). The spatial densities of retrograde, anterograde, and stationary  
 167 AVs in the bidirectional state are described by  $B_r(x), B_a(x), B_s(x)$ .

168 We subdivide this population of stationary/bidirectional AVs (with  $< 10\mu\text{m}$  displacements) further  
 169 by classifying as stationary all particles that have a range  $< 3\mu\text{m}$  during the 1-3 minute imaging  
 170 period. This gives the fraction stationary among the non-processive population as  $f_s \approx 53\%$   
 171 (Figure 5B). The remaining particles are classified as bidirectional. The average run-length in a  
 172 consistent direction for such particles is estimated as  $\lambda = 2\mu\text{m}$ , corresponding to a rate constant  
 173  $k_h^b = v_p/\lambda$  for transition from either the anterograde or retrograde into a stationary state. Given  
 174 the approximately symmetric histogram of the AV displacements for the bidirectional/stationary  
 175 population (Figure 5B), we assume that the bidirectional motion is largely unbiased, with rate con-  
 176 stant  $k_w^b/2$  for transitioning from a stationary state into either an anterograde or retrograde run.  
 177 The restarting rate is estimated according to the observed stationary fraction, as  $k_w^b = k_h^b(1/f_s - 1)$ .

178 All bidirectional AVs have a constant rate  $k_s$  of switching to a processive retrograde motile state  
 179 [density  $R(x)$ ] with constant velocity  $v_p$ . While only 12.4% of LC3+ puncta are retrograde in the distal  
 180 axon (Figure 5A), this fraction rises to  $\approx 60\%$  in the mid-axon and proximal regions (Figure 5C,D),  
 181 with concomitantly fewer bidirectional and stationary particles observed in those regions. Past  
 182 studies of axonal autophagosome dynamics suggested that fusion with an endolysosome was a  
 183 prerequisite for switching to retrograde motility (Cheng *et al.*, 2015). We analyzed the motility of  
 184 AVs which did (Lysotracker+) or did not (Lysotracker-) colocalize with Lysotracker, a dye that labels



**Figure 5. Production and motility switching rates determine spatial densities of bidirectional and retrograde AVs.** (a) Motility of mCherry-LC3+ puncta in the distal axon ( $n = 36$  cells). The majority of puncta exhibited nonprocessive motility ( $< 10\mu\text{m}$  net displacement over the course of a 1-3 minute video), with  $< 15\%$  moving anterograde ( $\geq 10\mu\text{m}$  towards the tip) or retrograde ( $\geq 10\mu\text{m}$  towards the soma). (b) Among the non-processive puncta (from  $n = 13$  cells), approximately half are classified as stationary, with an overall trajectory range  $< 3\mu\text{m}$ . The remaining puncta are classified as bidirectional. (c) The displacement distribution among non-processive puncta is approximately symmetric, indicating unbiased motion. (d-e) Motility states of mCherry-LC3+ puncta within the mid- (b,  $n = 40$  cells) or proximal (c,  $n = 35$  cells) axon. The majority of puncta exhibited retrograde ( $\geq 10\mu\text{m}$  towards the soma) motility. (f-g) Motility states of mCherry-LC3+ puncta within the distal (d) or mid-axon (e), separated based on the fusion state determined by colocalization with Lysotracker. The retrograde moving fraction ( $\geq 10\mu\text{m}$  net displacement) among fused and unfused AVs was not significantly different within the distal ( $n = 14$  cells,  $p=0.6933$ , Fisher's exact test) or mid-axon ( $n = 7$  cells,  $p = 0.6722$ , Fisher's exact test). (h) From quantitative modeling, predicted fraction of AVs exhibiting retrograde motility within the distal (blue) and the mid axon (red), plotted against the timescale for switching ( $\tau_s = 1/k_s$ ). The observed fractions within hippocampal axons are denoted by the corresponding dashed lines. (i) Model AV production rate  $k_p^P$  required to achieve the measured LC3+ density in the distal axon, plotted against the timescale for switching. The dashed line denotes the switching time obtained in (h) by fitting the retrograde fraction in the distal region. (i) Distribution of stationary/bidirectional AVs (with  $\leq 10\mu\text{m}$  net displacement) in the distal axon. The dashed black line denotes the distribution predicted by the mathematical model ( $B_s + B_r + B_a + S$ ).

185 acidified compartments and can therefore be used as a proxy for fusion with endolysosomes. In  
186 both the distal and mid-axon, Lysotracker+ AVs were no more likely to exhibit retrograde motion  
187 than Lysotracker- AVs, implying the motility switch is not connected to fusion (Figure 5E,F). To  
188 minimize model parameters, we therefore assume a single constant switching rate regardless of  
189 whether an AV has fused with an endolysosome.

190 Given our model assumes AVs are produced at the distal tip, we would expect that most LC3+  
191 puncta found in the mid- and proximal axon must have arrived there after undergoing the switch  
192 to a retrograde moving state. To account for the remaining stationary puncta observed in these  
193 regions, we assume that a processively retrograde AV can switch into a temporary paused state  
194 with rate  $k_h^r$  and can resume its retrograde motion with rate  $k_w^r$ . The density of such paused AVs  
195 is defined by  $S(x)$ . The model is insensitive to the absolute rates  $k_h^r, k_w^r$ . However, the ratio  $k_h^r/k_w^r$   
196 sets the ratio for paused to retrograde AVs ( $S(x)/R(x)$ ) throughout the mid- and proximal axon.

197 The mean-field model comprises a set of steady-state equations for the densities of AVs in each  
198 state:

$$\frac{dB_a}{dt} = v_p \frac{dB_a}{dx} - (k_s + k_h)B_a + \frac{1}{2}k_w B_s = 0 \quad (1a)$$

$$\frac{dB_r}{dt} = -v_p \frac{dB_r}{dx} - (k_s + k_h)B_r + \frac{1}{2}k_w B_s = 0 \quad (1b)$$

$$\frac{dB_s}{dt} = k_h(B_r + B_a) - (k_w + k_s)B_s = 0 \quad (1c)$$

$$\frac{dR}{dt} = -v_p \frac{dR}{dx} + k_s(B_r + B_a + B_s) - k_h^r R = 0 \quad (1d)$$

$$\frac{dS}{dt} = k_h^r R - k_w^r S = 0 \quad (1e)$$

$$v_p B_r(0) = k_p^p + v_p B_a(0), \quad B_a(L) = 0, \quad R(0) = 0, \quad (1f)$$

199 where the last trio of equations describes boundary conditions at the distal tip and the cell body.  
200 These linear, homogeneous, first-order equations can be solved using standard matrix methods.  
201 We note that the AV production rate  $k_p^p$  scales the total density of AVs in the domain and does not  
202 affect the relative fraction in each state. We can thus directly fit the remaining unknown parameters  
203  $k_s, k_h^r$  by considering the fraction of AVs in the processively retrograde state in the distal and mid-  
204 axonal regions, respectively. Specifically, we compute the fraction of retrograde AVs among those  
205 in the distal region ( $x < 250\mu\text{m}$ ) of the linear domain, as a function of the switching rate  $k_s$  (Figure 5F).  
206 We compare this value to the experimentally measured fraction retrograde among distal AVs ( $\approx$   
207  $0.124 \pm 0.024$ , pooled across  $n = 36$  cells), defined as puncta that move in the retrograde direction  
208 for  $> 10\mu\text{m}$ . The comparison allows us to extract a fitted parameter  $k_s \approx 0.04\text{min}^{-1}$ . In the absence  
209 of AV pausing after they enter the retrograde state, we would expect the fraction retrograde in the  
210 mid-axonal region to be close to 100%. We adjust the pausing rate to match the experimentally  
211 observed mid-axon fraction retrograde of 60.2% (Figure 5C), setting the ratio  $k_h^r/k_w^r \approx 0.66$ .

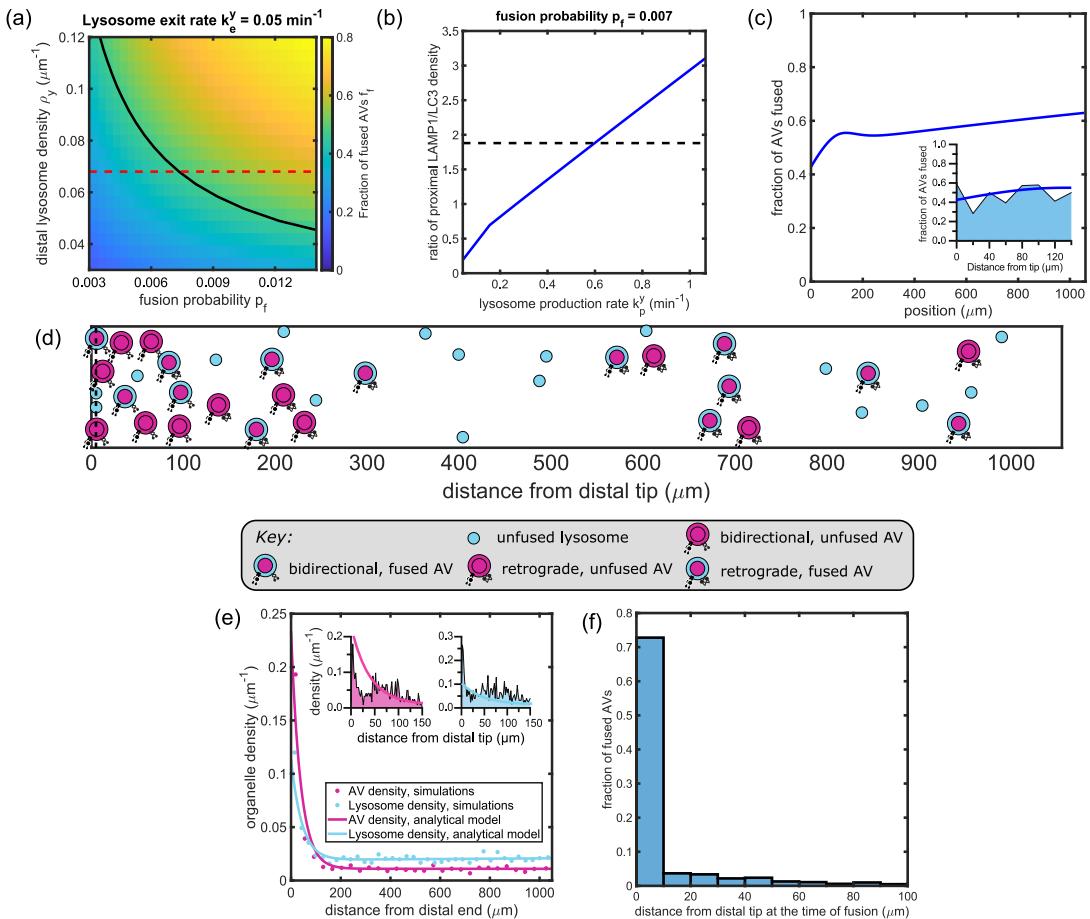
212 The AV production rate can be fitted by scaling the average total density of AVs in the distal  
213 region to match the experimentally measured value  $\rho_p = 0.045 \pm 0.004\mu\text{m}^{-1}$  (Figure 1C). The fitted  
214 value ( $k_p^p \approx 0.30\text{min}^{-1}$ ; Figure 5H) is within the range previously reported in different neuronal cell  
215 types ( $0.12 - 0.6 \text{ min}^{-1}$ ) [Maday and Holzbaur, 2014].

216 The mathematical model predicts that the density of bidirectional autophagosomes should fall  
217 off with distance away from the distal tip. The length-scale for this decrease depends on the rate  
218  $k_s$  of switching into the processive retrograde state, as well as the stopping and restarting rates for  
219 bidirectional AVs. In Figure 5I we show that the model predictions are approximately consistent  
220 with the observed distal distribution of stationary and bidirectional AVs.

## 221 Model for autophagosome-lysosome fusion

222 To explore fusion behavior, the distribution of lysosomes needs to be incorporated into the model.  
223 We assume lysosomes are produced in the soma ( $x = L$ ) with rate  $k_p^y$ . Upon biogenesis, lysosomes

222 enter the axon and move in the anterograde direction towards the axonal tip, at an effective ve-  
 223 locity of  $v_y^a = 1.4\mu\text{m/s}$ . This effective velocity encompasses both the measured pause-free speed  
 224 of anterograde lysosomes in hippocampal neurons and the frequency of pauses (Boecker *et al.*,  
 225 2020). Lysosomes that reach the distal tip of the domain ( $x = 0$ ) without fusing with an AV enter a  
 226 halted state. From there, they have a constant rate  $k_e^y$  of initiating retrograde motion towards the  
 227 soma at an effective velocity of  $v_y^r = 1.1\mu\text{m/s}$ , thus exiting the distal tip (Figure. 6A). The densities  
 228 of anterograde and retrograde lysosomes are defined as  $Y_a(x)$  and  $Y_r(x)$ , respectively. The halted  
 229 state encompasses all lysosomes accumulated in the distal bud of the axon ( $Y_i$ ) without resolving  
 the precise spatial position within that distal bud.



**Figure 6. Model for AV-endolysosome interaction dynamics predicts spatial distributions of fused and unfused organelles.** (a) Fraction of AVs fused within the distal axon  $f_f$ , plotted against the fusion probability  $p_f$ , and the lysosome density in the distal region  $\rho_y$ . The tip-exit rate for lysosomes  $k_e^y$  is set to 0.05 per minute. The solid black line denotes observed value of  $f_f$  based on LC3+ puncta colocalized with LAMP1+ puncta in the distal axon. The dashed red line denotes the observed density of LAMP1+ puncta in the distal axon. (b) The ratio of the linear density of lysosomes to AVs in the proximal axon, plotted against the lysosome production rate. The dashed black line denotes the measured value determined by enumerating LAMP1+ and LC3+ puncta in the proximal axon. (c) Spatial variation in the fraction of AVs fused at different positions along the axon. The inset zooms into the distal region, overlaid with the experimentally observed distribution obtained by enumerating LC3+ puncta colocalized with LAMP1. (d) Snapshot from agent-based simulation of organelle dynamics, after reaching steady-state. For clarity, organelle size and axon cross-section (vertical axis) is not shown to scale. Video of simulation is provided as Figure 6 – Supplemental Video 1. (e) The linear density of LC3+ puncta (magenta) and LAMP1+ puncta (cyan) along the axon. Solid lines are obtained from mean-field model, and dots from stochastic simulations. Insets show comparison to experimentally measured densities in distal region, from Fig. 1(d,f). (f) Histogram of position at first fusion for individual AVs, extracted from simulated trajectories. Vertical axis is normalized to the overall number of AVs that undergo fusion before reaching the soma. The most distal 100 $\mu\text{m}$  are shown.

230 We assume a constant probability of fusion  $p_f$  each time an AV and a lysosomal particle pass  
 231 each other. Upon fusion, the endolysosome disappears, and the autophagosome is marked as  
 232 fused. In our initial model, we assume that the ability of the AV to fuse with subsequent endolysosomes  
 233 is lost after the initial fusion event. This is consistent with a model wherein the fusion machinery is inhibited following fusion (Saleeb *et al.*, 2019).

For a given retrograde-moving AV at position  $x$ , the flux of anterograde-moving lysosomes passing by it per unit time can be expressed as  $(v_p + v_y^a)Y_a(x)$ , where the prefactor is the relative velocity of the two particles. Similarly, the flux of retrograde lysosomes passing by a retrograde AV is given by  $|v_p - v_y^r|Y_a(x)$ , and analogous expressions can be formed for all combinations of lysosome motility (anterograde or retrograde) and AV motility (anterograde, retrograde, or stationary). The rate at which fusion occurs is proportional to this flux multiplied by the fusion probability. We therefore write the mean-field equations for unfused AV densities:

$$\frac{dB_a^u}{dt} = v_p \frac{dB_a^u}{dx} - \left( k_s + k_h^b + p_f |v_y^a - v_p| Y_a + p_f (v_p + v_y^r) Y_r \right) B_a^u + \frac{1}{2} k_w^b B_s^u = 0 \quad (2a)$$

$$\frac{dB_r^u}{dt} = -v_p \frac{dB_r^u}{dx} - \left( k_s + k_h^b + p_f (v_p + v_y^a) Y_a + p_f |v_y^r - v_p| Y_r \right) B_r^u + \frac{1}{2} k_w^b B_s^u = 0 \quad (2b)$$

$$\frac{dB_s^u}{dt} = k_h^b (B_r^u + B_a^u) - \left( k_w^b + k_s + p_f v_y^a Y_a + p_f v_y^r Y_r \right) B_s^u = 0 \quad (2c)$$

$$\frac{dR^u}{dt} = -v_p \frac{dR^u}{dx} + k_s (B_a^u + B_r^u + B_s^u) - \left( p_f (v_p + v_y^a) Y_a + p_f |v_y^r - v_p| Y_r \right) R^u - k_h^r R^u + k_w^r S^u = 0, \quad (2d)$$

$$\frac{dS^u}{dt} = k_h^r R^u - \left( k_w^r + p_f v_y^a Y_a + p_f v_y^r Y_r \right) S^u = 0 \quad (2e)$$

(2f)

where  $B_r^u$ ,  $B_a^u$ ,  $B_s^u$  are densities of unfused AVs in the bidirectional retrograde, anterograde, and stationary states, respectively.  $R^u$  and  $S^u$  denote densities of unfused AVs in the persistently retrograde state that are currently moving or paused, respectively. The corresponding equations for lysosome densities are given by

$$\frac{dY_a}{dt} = v_y^a \frac{dY_a}{dx} - p_f \left( |v_y^a - v_p| B_a^u + (v_p + v_y^a) (B_r^u + R^u) + v_y^a (B_s^u + S^u) \right) Y_a = 0 \quad (3a)$$

$$\frac{dY_r}{dt} = -v_y^r \frac{dY_r}{dx} - p_f \left( (v_p + v_y^r) B_a^u + |v_y^r - v_p| (B_r^u + R^u) + v_y^r (B_s^u + S^u) \right) Y_r = 0, \quad (3b)$$

where  $Y_a$  and  $Y_r$  are the densities of lysosomes moving in the anterograde and retrograde direction, respectively. For this system of equations, the boundary conditions are:

$$v_y^a Y_a(0) = k_e^a Y_t + p_f (v_p B_a^u(0) + k_p^p) \quad (4a)$$

$$v_p B_r^u(0) = (1 - p_f Y_t) \left( k_p^p + v_p B_a^u(0) \right), \quad B_a^u(L) = 0, \quad R^u(0) = 0 \quad (4b)$$

$$v_y^r Y_r(0) = k_e^r Y_t, \quad v_y^a Y_a(L) = k_p^p, \quad (4c)$$

235 where the first equation gives the steady-state condition for lysosome halted at the distal tip.

236 This set of non-linear equations is solved numerically as described in the Methods to obtain  
 237 the distributions of lysosomes and unfused AVs. The total density of fused AVs, corresponding to  
 238 puncta labeled with both LC3 and LAMP1, can be found as  $F(x) = R + S + B_r + B_a + B_s - (R^u +$   
 239  $S^u + B_r^u + B_a^u + B_s^u)$ . The total density of LAMP1+ puncta is  $Y(x) = Y_a + Y_r + F$ . We fit three additional  
 240 model parameters pertaining to fusion and lysosome behavior ( $p_f$ ,  $k_p^p$ ,  $k_e^p$ ) by matching three  
 241 different metrics to experimentally observed data: the average density of LAMP1+ puncta in the  
 242 distal region ( $\rho_y^{\text{dist}} \approx 0.07 \mu\text{m}^{-1}$ ; Figure 1E), the fraction of AVs that have fused with a lysosome in  
 243 the distal region ( $f_f^{\text{dist}} \approx 0.5$ ) as measured by colocalization with LAMP1 (Figure 3B), and the ratio  
 244 of LAMP1+ puncta to LC3+ puncta in the proximal region ( $R^{\text{prox}} \approx 1.9$ ; Figure 1C,E). The distal and  
 245 proximal regions are defined as segments of length 250  $\mu\text{m}$  from the distal and proximal ends of

**Table 1.** Parameters for modeling organelle dynamics in autophagy

Fixed parameters					
Parameter	Description	Value	Reference		
$L$	Length of the main axon	1055 $\mu\text{m}$	Figure 1 S2		
$v_p$	AV velocity	0.75 $\mu\text{m/s}$	<i>Boecker et al. (2020)</i>		
$v_y^a$	Lysosome velocity (anterograde)	1.3 $\mu\text{m/s}$	<i>Boecker et al. (2020)</i>		
$v_y^r$	Lysosome velocity (retrograde)	1.1 $\mu\text{m/s}$	<i>Boecker et al. (2020)</i>		
Measurements					
Parameter	Description	Value	Reference		
$\rho_p$	Distal LC3 density	(0.045 $\pm$ 0.004) $\mu\text{m}^{-1}$	Figure 1c		
$\rho_y$	Distal LAMP1 density	(0.068 $\pm$ 0.007) $\mu\text{m}^{-1}$	Figure 1e		
$R$	Proximal LAMP1/LC3 ratio	1.88 $\pm$ 0.11	Figure 1c,e		
$f_f$	Distal fused AV fraction	0.49 $\pm$ 0.03	Figure 3b		
$f_r$	Distal retrograde AV fraction	0.12 $\pm$ 0.02	Figure 5a		
Fitted parameters					
Parameter	Description	Value (linear)	Reference	Value (branched)	Reference
$k_s$	AV Retrograde switch rate	0.03 $\text{min}^{-1}$	Figure 5g	0.03 $\text{min}^{-1}$	Figure 7b
$k_h^r/k_w^r$	Retrograde AV halt rate (scaled)	0.66	-	0.60	-
$k_p^p$	AV production rate	0.30 $\text{min}^{-1}$	Figure 5h	0.30 $\text{min}^{-1}$	Figure 7c
$p_f$	Fusion probability	0.007	Figure 6a	0.007	Figure 7d
$k_p^y$	Lysosome production rate	0.60 $\text{min}^{-1}$	Figure 6b	3.37 $\text{min}^{-1}$	Figure 7e
$k_e^y$	Lysosome tip exit rate	0.05 $\text{min}^{-1}$	Figure 6a	0.04 $\text{min}^{-1}$	Figure 7d

246 the domain, respectively. The distal lysosomal density also includes those lysosomes halted in the  
 247 distal tip. The resulting fitted parameter values are listed in Table 1.

248 The distal fraction fused  $f_f^{\text{dist}}$  is largely dependent on the fusion probability and the overall  
 249 density of lysosomes in the distal region, regardless of the combination of production and exit  
 250 rate that yields that density. We plot this fraction fused in Figure 6A, showing that a unique, low  
 251 value of the fusion probability  $p_f \approx 0.007$  matches both observations of the distal lysosomal density  
 252 and the fraction of fused AVs in the distal region. The distal density of lysosomes is determined by  
 253 the balance between the lysosomal production rate and their retrograde exit rate from the distal  
 254 tip. A fixed value of this distal density can be achieved by low production but long pausing at the tip  
 255 (low  $k_p^y$  and  $k_e^y$ ) or rapid production and short pausing (high  $k_p^y$  and  $k_e^y$ ). High production also implies  
 256 that the proximal density of lysosomes will be higher, with approximately linear scaling (Figure 6B).  
 257 We therefore used the measured ratio of LAMP1+ to LC3+ puncta in the proximal region to fit the  
 258 appropriate value for  $k_p^y \approx 0.56\text{min}^{-1}$ .

259 Given the fitted model parameters, one key prediction is the spatial distribution of fused AVs.  
 260 The fraction of AVs that have fused is plotted as a function of spatial position in Figure 6C, showing  
 261 that much of the fusion occurs close to the distal tip, with only a gradual increase in fused fraction  
 262 along the mid- and proximal axon. This result is consistent with experimental observations  
 263 showing a relatively flat profile of fused fraction with distance from the distal tip (Figure 6C inset).

264 To further validate our mean-field model, we make use of stochastic simulations of lysosomal  
 265 and AV particles in a linear domain (see Methods for details). The movement and switching of  
 266 transport states for the simulated particles follow the same rules and use the same parameters as  
 267 described above. Fusion occurs with probability  $p_f$  whenever the particles pass each other. Figure  
 268 6D shows a steady-state snapshot of particle states and positions from a simulation using the fitted

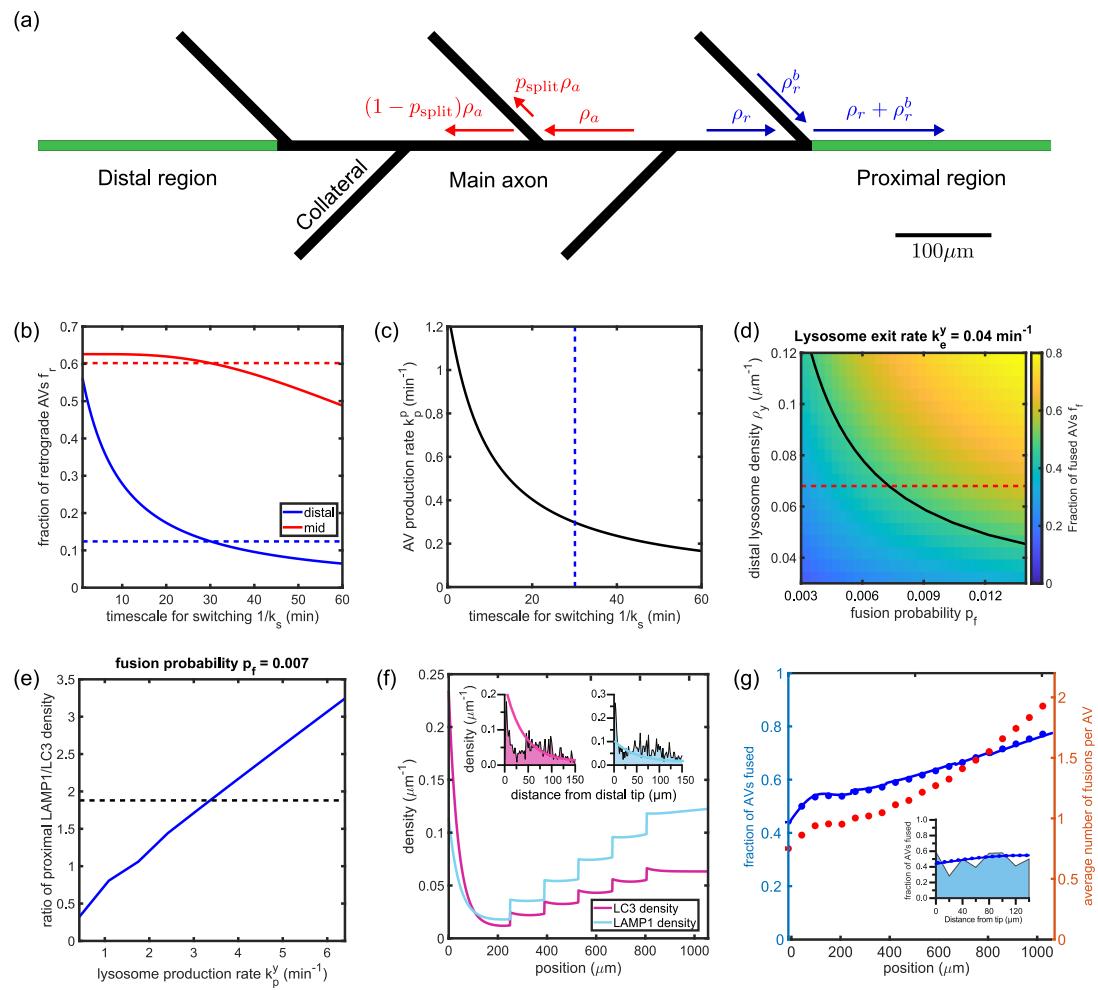
parameters, and Figure 6-Supplemental Video 1 provides a corresponding video of the simulated particle motion. The spatial distributions of both LC3+ and LAMP1+ particles are similar for both the stochastic simulations and the mean-field model (Figure 6E). The simulations further enable direct tracking of where in the domain each individual AV becomes fused. This distribution of the position at first fusion ( $x_f$ ) shows a strong peak at the distal tip (Figure 6F). Given our fitted model parameters, we expect most AVs to fuse either in the distal tip or very soon after exit from the tip, with a smaller broad tail in the distribution corresponding to those that fuse throughout the rest of the axon. We note that this is a prediction of the model, which assumes fusion probability is constant regardless of position or motility state. The predominance of fusions in the far distal tip and their paucity in the mid- and proximal axon is a direct consequence of the observed organelle densities and motility patterns, and the observation that half of AVs in the distal axon are in a fused state. This prediction matches the observation that the fraction fused is largely invariant with distance from the tip, within the distal region of the axon (Figure 6C, inset).

Another prediction of the basic model described here is that the overall density of AVs must fall off with increasing distance from the origin, over a length scale of a few hundred micrometers. This is an inherent consequence of the assumption that AVs are produced in the distal tip and slowly transition to retrograde motility, with only 12% of the distal AVs observed to have made this switch. Because bidirectional organelles spread out slowly from their point of origin, these assumptions imply that the AVs must pile up in the distal region compared to elsewhere in the axon. A modest fall-off in the density of LC3+ puncta is indeed observed within the distal region (Figure 6E, inset). However, the observed LC3+ density is similar in both the distal and proximal regions (Figure 1D). This observation is at odds with the prediction of the model that the proximal AV density should be only 24% of the distal density. One potential explanation for this discrepancy is the non-linear geometry of the axon, with multiple distal tips potentially producing AVs that converge in the proximal region. We therefore expand our model to consider a branched axonal architecture.

Collateral branches supply AVs to maintain a broad axonal distribution  
Neuronal axons form multiple branches known as axon collaterals. The generation of these collaterals enables a neuron to establish robust connectivity with neighboring targets and plays an important role in the development of the central nervous system (Gallo, 2011; Kalil and Dent, 2014). We find that primary hippocampal neurons *in vitro* at DIV 7-10 have an average of  $n_c \approx 5$  axon collaterals per neuron with an average length of  $L_c \approx 164\mu\text{m}$  (Figure 7—figure supplement 1). In order to represent this axonal geometry, we extend our linear mean-field model to include collaterals as one-dimensional branches growing at intervals from the main axon.

Our branched model geometry consists of a single main axon along with five collaterals placed at equispaced intervals along the mid-axon, excluding the most distal and most proximal 250 $\mu\text{m}$  regions (Figure 7A). Each collateral is taken to be a linear segment of equal length  $L_c \approx 164\mu\text{m}$ . The end point of each collateral is assumed to be functionally equivalent to the main axon tip, producing AVs at a fixed rate  $k_p^p$  and allowing lysosomes to halt when they reach the distal tip, followed by returning at rate  $k_e^y$  in the anterograde direction. Transport and fusion of AVs and endolysosomes along each branch and each segment of the main axon proceeds identically to the linear model. There are no preexisting studies on how anterograde organelles split between the main axon and a collateral when passing a junction point. In our model we make the relatively simple assumption that anterograde organelles split in proportion to the number of distal tips downstream of the junction. That is, the chances of entering the collateral are 1/2 at the most distal junction, 1/3 at the second-to-last junction, and so forth. This approach allows a similar number of organelles to reach each distal tip in the absence of fusion. Retrograde moving particles that pass a junction continue on upstream along the main axon.

The mean-field densities for AVs and lysosomes obey the same steady-state equations as the linear model (Eq. 1–4), with boundary conditions at the junctions set according to the anterograde



**Figure 7. Mathematical model with branched axon morphology is consistent with measured organelle distributions.** (a) Schematic of the branched axon geometry. All the distributions shown are within the main axon. Green sections indicate the extent of distal and proximal regions. Text in red and blue indicates the boundary conditions at branch junctions for anterograde and retrograde organelles, respectively. Scale bar: 100 μm. (b) Fraction of AVs exhibiting retrograde motility within the distal (blue) and the mid (red) regions of the main axon, plotted against the timescale for switching. Observed fractions in hippocampal neurons are shown with corresponding dashed lines. (c) AV production rate  $k_p^p$  required to achieve the measured LC3+ density in the distal axon, plotted against the timescale for switching. The dashed line denotes the switching time obtained in (b). (d) Fraction of AVs fused within the distal axon  $f_f$ , plotted against the fusion probability  $p_f$ , and the lysosome density in the distal region. The tip-exit rate for lysosomes  $k_e^y$  is set to 0.04 per minute. The solid black line denotes measured value of  $f_f$  based on LC3+ puncta colocalized with LAMP1+ puncta in the distal axon. The dashed red line denotes the density of LAMP1+ puncta observed in the distal axon. (e) The ratio of the lysosome density to AV density in the proximal axon, plotted against the lysosome production rate. Dashed black line denotes the measured value determined by enumerating LAMP1+ and LC3+ puncta in the proximal axon. (f) The linear density of LC3+ puncta (magenta) and LAMP1+ puncta (cyan) along the axon. The inset zooms into the distal region, showing the model prediction overlaid on experimentally observed LC3+ and LAMP1+ densities from Figure 1d and Figure 1f, respectively. (g) Spatial variation in the fraction of AVs fused at different positions along the axon. The solid blue line denotes the fraction fused in the base “one-and-done” model; blue markers give corresponding results for a modified model with unlimited fusion events (see Figure 7—figure supplement 2). The inset zooms into the distal region, overlaid with the experimentally observed distribution obtained by enumerating LC3+ puncta colocalized with LAMP1. Red markers denote the average number of fusions per AV for the unlimited fusion model.

The following figure supplements are available for Figure 7:

**Figure 7—Figure supplement 1.** Number and length of axon collaterals.

**Figure 7—Figure supplement 2.** Parameter fitting for modified model with unrestricted fusion

319 splitting law and the conservation of flux for retrograde organelles. The AV production rate  $k_p^p$ ,  
320 retrograde switch rate  $k_s$ , and pausing rate for retrograde AVs  $k_h^r$  can again be obtained indepen-  
321 dently of the lysosome dynamics by fitting to experimental values of the distal AV density and the  
322 fraction of retrograde AVs in the distal and mid-axonal regions (Figure 7B,C). These fitted values  
323 to not differ substantially from the unbranched case. Parameters for fusion probability ( $p_f$ ) and  
324 lysosome dynamics ( $k_p^y, k_e^y$ ) can also be obtained using similar methods as described for the linear  
325 model (Figure 7D,E). The fitted lysosome production rate for the branched model ( $k_p^y = 3.6\text{min}^{-1}$ ) is  
326 roughly 6-fold higher than the linear model ( $k_p^y = 0.6\text{min}^{-1}$ ) to enable a similar density of lysosomes  
327 to reach each individual distal tip. The fitted parameter values for the branched model are listed  
328 in Table 1.

329 The predicted spatial densities of LC3 and LAMP1 puncta along the main axon are shown in  
330 Figure 7F. At each branch junction point, the density of AVs increases as the retrograde organelles  
331 coming from the collateral join those moving along the main axon. The predicted average density  
332 of AVs in the proximal region is now approximately  $\rho_p^{\text{prox}} \approx 0.06\mu\text{m}^{-1}$ , slightly higher than the distal  
333 density, and comparable to experimental measurements (Figure 1C,D). The model with branched  
334 axon geometry also yields a relatively flat profile for the fraction of AVs that have fused with a lyso-  
335 some (Figure 7G). While the distal fraction fused  $f_f^{\text{dist}} = 0.5$  was used to fit the model parameters,  
336 the proximal fraction fused  $f_f^{\text{prox}} = 0.73$  is a prediction of the model that approximately matches  
337 experimental measurements ( $f_f^{\text{prox}} \approx 0.71 \pm 0.03$ ) (Figure 3B).

338 Modeling unlimited fusion yields a relatively low number of fusion events  
339 Parameterized off experimental measurements, the branched axon model of AV and lysosome  
340 dynamics accurately represents organelle densities in the distal and proximal regions, the typical  
341 fraction of fused organelles within each region, and the fraction of organelles in different motility  
342 states. We note that this model was developed under the assumption that each individual AV can  
343 fuse with at most one endolysosome. However, the actual number of fusion events between an  
344 autophagosome and lysosomes during transit along the axon is unknown. A variety of models  
345 could be developed wherein the probability of fusion  $p_f$  decreases as a function of the number  
346 of fusions already undergone or over time following the initial fusion event. The "one-and-done"  
347 model proposed here constitutes an extreme case where this decrease is very steep so that each  
348 AV immediately becomes incapable of fusion after the first such event.

349 We also consider a model for the opposite limit, where the number of fusions is unlimited and  
350 the fusion probability remains constant, regardless of how many previous endolysosomes have  
351 fused into a given AV. The equations for this alternate model are provided in the Methods section,  
352 and the resulting organelle densities and fraction fused profile are shown in Figure 7 – figure sup-  
353 plement 2. In principle, such a model could allow for a "snow-ball" effect where a single AV sweeps  
354 up large numbers of endolysosomes in successive fusions, leaving very few of them to reach the  
355 distal tips. However, given the fitted model parameters, we find that the average number of fu-  
356 sions accumulated by each AV is quite small: less than 1 in the distal axon, and rising to 2 fusions  
357 by the time an AV reaches the soma (Figure 7G, dashed red curve). This is a direct consequence  
358 of the low value of the fitted fusion probability  $p_f \approx 0.007$ , which also leads to the fraction of AV  
359 with at least one fusion being very similar in both the "one-and-done" and the "unlimited fusions"  
360 model (Figure 7G, blue curves). Thus, a typical AV will have passed an average of  $\approx 270$  lysosomes  
361 by the time it reaches the soma, but will only have fused with a couple of them.

362 The available data described here does not allow us to distinguish whether or not there is a  
363 regulatory process that explicitly prevents an AV from fusing with multiple lysosomes. However,  
364 our quantitative model demonstrates that there is not a 'snow-ball' effect wherein individual AVs  
365 sweep up large numbers of lysosomes in multiple fusion events. Instead, the observed organelle  
366 distributions imply that the average number of fusions per AV is quite low, with only a small fraction  
367 of AV-endolysosome passage events resulting in fusion.

**368 Autophagosome Maturation in Axons is a Two-Step Process**

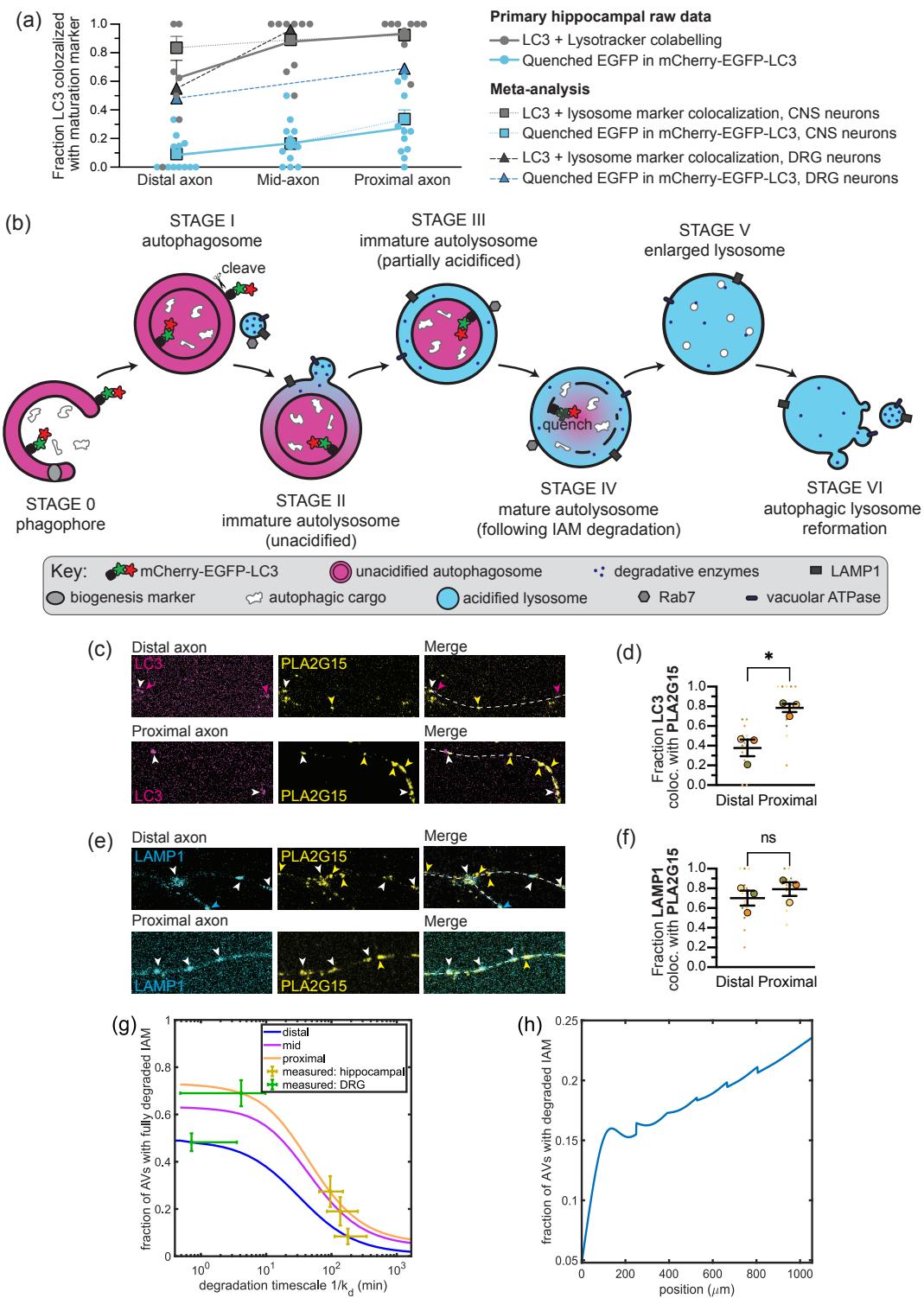
369 Through fusion with an endolysosome, an AV acquires degradative hydrolases and a vATPase  
370 pump responsible for establishing and maintaining the acidic pH necessary for hydrolase func-  
371 tion. In live-imaging studies, AV maturation can be assayed by measuring colocalization between  
372 fluorescent LC3 and the dye LysoTracker, which labels acidified compartments. In our primary hip-  
373 pocampal neurons, we find that the fraction of LC3+ puncta that colabel with LysoTracker is about  
374 60% in the distal axon, with a slow increase to about 90% in the proximal axon (Figure 8A), similar to  
375 the early peak in fusion events and long tail observed in the modeling (Figure 6C,F). These observa-  
376 tions are consistent with published data in multiple neuronal cell types examining the localization  
377 between LC3 and LysoTracker, LAMP1, and the late endosomal membrane protein Rab7 (Figure 8A,  
378 Table 2) (Maday *et al.*, 2012; Maday and Holzbaur, 2014; Cheng *et al.*, 2015; Cason *et al.*, 2021). Ad-  
379 ditionally, previous work using the fluorogenic enzymatic activity sensors MagicRed and MDW941,  
380 which specifically fluoresce when cleaved by the lysosomal hydrolases Cathepsin B and glucocere-  
381 brosidase respectively, showed similar high colocalization with LC3 in the distal axon (Farfel-Becker  
382 *et al.*, 2019), again suggesting early fusion with active endolysosomes (Figure 8A, Table 2).

**Table 2.** Meta-analysis of autophagosome maturation in axons

Cell type	Marker	Distal	Mid	Proximal	Reference
Hippocampal	Rab7	88%	88%	85%	Cason <i>et al.</i> (2021)
Hippocampal	LAMP1	84%	91%	99%	Cason <i>et al.</i> (2021)
Hippocampal	LysoTracker	62%	88%	93%	Cason <i>et al.</i> (2021)
Hippocampal	quenched EGFP	8%	17%	27%	Cason <i>et al.</i> (2021)
Cortical	MagicRed	100%	-	-	Farfel-Becker <i>et al.</i> (2019)
iPSC-derived	quenched EGFP	10%	-	40%	Boecker <i>et al.</i> (2021)
DRG	Rab7	95%	95%	-	Cheng <i>et al.</i> (2015)
DRG	LAMP1	10%	97%	-	Maday <i>et al.</i> (2012)
DRG	LysoTracker	35%	96%	-	Maday <i>et al.</i> (2012)
DRG	MDW941	80%	-	-	Farfel-Becker <i>et al.</i> (2019)
DRG	quenched EGFP	48%	-	69%	Maday <i>et al.</i> (2012)

383 However, use of the dual-color LC3 reporter mCherry-EGFP-LC3 shows a very different rate of  
384 AV maturation. This reporter fluoresces in the red and green wavelengths in nonacidified envi-  
385 ronments, but only red in acidic environments due to the quenching of the EGFP moiety below  
386 pH 5.8 (Campbell and Choy, 2001; Pankiv *et al.*, 2007). Thus, AVs fluorescing red and green can  
387 be considered "immature" while those showing only mCherry fluorescence are "mature." Use of  
388 this reporter in primary hippocampal or iPSC-derived cortical neurons revealed much slower acid-  
389 ification than LysoTracker, with almost no AVs in the distal axon showing acidity-triggered EGFP  
390 quenching (Figure 8A, Table 2) (Cason *et al.*, 2021; Boecker *et al.*, 2021). Furthermore, while all of  
391 the other lysosomal markers labeled the vast majority of AVs by the mid- or proximal axon, less  
392 than half of AVs in the proximal axon of primary hippocampal or iPSC-derived cortical neurons  
393 demonstrated EGFP quenching (Cason *et al.*, 2021; Boecker *et al.*, 2021). The marked difference  
394 between EGFP quenching and AV colabeling with other lysosome markers in these central nervous  
395 system (CNS) neurons is illustrated in Figure 8A. Previous work in dorsal root ganglia (DRG) neurons  
396 likewise shows a delay in EGFP quenching as compared with other markers of lysosomal fusion,  
397 although the gap is not as large (Figure 8A, Table 2) (Maday *et al.*, 2012).

398 The double-membraned nature of AVs is key to reconciling these seemingly disparate obser-  
399 vations (Figure 8B). During biogenesis, the growing phagophore (Stage 0) engulfs cargo such that  
400 the cargo ends up inside the inner autophagosomal membrane (IAM), within the central lumen of  
401 the closed autophagosome (Stage I). When an autophagosome and an endolysosome fuse, the en-  
402 dolysosomal membrane becomes part of the outer membrane and the contents of the endolysoso-



**Figure 8. Distal fusion with endolysosomes is followed by slow inner membrane degradation.** Caption next page.

403 mal lumen are delivered into the intermembrane space. Thus, degradative enzymes and  $\text{H}^+$  ions  
 404 from the endolysosome specifically occupy the space between the outer and inner membranes.  
 405 Because the volume of the intermembrane space is larger than the volume of the endolysosome,  
 406 fusion will cause the pH to rise transiently (Stage II); however, since the fraction of AV colocalized

**Figure 8. Distal fusion with endolysosomes is followed by slow inner membrane degradation. (a)**

Across studies and cell types, markers for AV-endolysosome fusion (LAMP1, Rab7, Lysotracker, MagicRed, MDW941) are acquired more distally than the GFP moiety of mCherry-GFP-LC3 quenches. Circular points represent primary hippocampal raw data,  $n = 8-12$  axons. Lines represent quantities estimated from previous studies (see Table 2). **(b)** When an AV fuses with an endolysosome, the endolysosome's contents enter the intermembrane space and its membrane proteins join the outer membrane. Following IAM degradation, the autophagic cargo, including mCherry-GFP-LC3, is exposed to lysosomal pH and proteases. Following degradation, the recyclable contents are exported and the remaining organelle is broken into smaller lysosomes. The rate of transition from fusion to membrane breakdown is denoted by  $k_d$ . **(c)** Maximum projections showing LC3 and phospholipase A2 group XV (PLA2G15) overlap in the distal and proximal axon. **(d)** Comparison of the total LC3+ puncta colocalized with PLA2G15 in the distal and proximal axon.  $n = 3$  trials; unpaired t test ( $p = 0.0127$ ). **(e)** Maximum projections showing LAMP1 and PLA2G15 overlap in the distal and proximal axon. **(f)** Comparison of the total LAMP1+ puncta colocalized with PLA2G15 in the distal and proximal axon.  $n = 3$  trials; unpaired t test ( $p = 0.4248$ ). Magenta arrows, LC3 alone; yellow arrows, PLA2G15 alone; cyan arrows, LAMP1 alone; white arrows, colocalization. Scale bar, 5  $\mu$ m. Fractions are all over the total LC3+ or LAMP1+ puncta in that region. Bars throughout show median  $\pm$  95% confidence interval. ns,  $p > 0.05$ ; \*\*\*,  $p < 0.001$ . **(g)** Modeled fraction of AVs with degraded inner membrane  $f_d$ , plotted as a function of the degradation time ( $\tau_d = 1/k_d$ ). Plots shown are averages over the most distal (blue) and most proximal (orange) 250  $\mu$ m regions, along with the middle section (pink) of a modeled axon of length 1055  $\mu$ m. Parameters used in the model are the same ones extracted from Figure 7. The degradation times corresponding to measured values of  $f_d$  in the distal, mid-axon, and proximal regions are shown in yellow for hippocampal neurons, and in green for DRGs. **(h)** Distribution of the fraction of AVs with degraded IAM along the axon. The degradation rate is chosen to be the average of predicted values from **(g)** for hippocampal neurons.

407 with endolysosomal markers (Figure 3) is similar to the fraction of Lysotracker+ AVs (Figure 55E),  
408 acidification of the intermembrane space, achieved by activity of vATPase pump(s) in the outer  
409 membrane, likely occurs rapidly following fusion (Stage III). In non-neuronal cells (mouse embry-  
410 onic fibroblasts), Lysotracker has been shown to specifically localize to the intermembrane space  
411 and then collapse inward when the IAM is degraded (*Tsuboyama et al., 2016*).

412 Axonal AVs are condensed due to the narrow diameter of the axon and rarely appear as rings  
413 after leaving the tip, making this intermembrane space and inner membrane collapse difficult to  
414 resolve with conventional fluorescence microscopy. However, once the IAM is broken down we  
415 would expect the central lumen and the cargo therein to be exposed to the acidic pH required to  
416 activate enzymatic degradation (Stage IV). Unlike Lysotracker and the fluorogenic enzyme activity  
417 sensors, the tandem mCherry-EGFP-LC3 marker localizes specifically to the inner lumen of the AV  
418 (Figure 8B). Initially LC3 localizes to both the inner and outer membranes, conjugated to the lipid  
419 phosphatidylethanolamine so the protein extends into the lumen on the inner membrane and into  
420 the cytosol on the outer membrane (*Martens and Fracchiolla, 2020*). The protein extending into  
421 the cytosol is cleaved by the autophagy protease ATG4 (*Kauffman et al., 2018*) leaving only the  
422 luminal protein, and fluorophores, intact. Therefore quenching of the EGFP moiety can be used  
423 as a specific readout of IAM degradation, indicating the point at which the IAM breaks down and the  
424 luminal LC3 and other cargo are exposed to the acidic pH and degradative enzymes (Figure 8B).

425 A careful comparison of the tandem mCherry-EGFP-LC3 marker and other endolysosomal mark-  
426 ers thus reveals autophagic maturation to be a two-step process. The first step involves fusion with  
427 one or more endolysosomes to acquire degradative enzymes and trigger acidification of the inter-  
428 membrane space, yielding an immature autolysosome. The second step involves breakdown of  
429 the inner autophagosomal membrane to enable the enzymes and acidic environment to reach the  
430 lumen, yielding a mature autolysosome.

431 **Modeling slow IAM degradation**

432 Several explanations could account for the distinct spatial distributions of AV-lysosome fusion and  
433 IAM degradation in hippocampal neurons. One possibility is that the endolysosomes which fuse  
434 with AVs in the distal region may be lacking the enzymes responsible for IAM degradation. Recently  
435 the lysosomal lipase LPLA-2 was identified in *C. elegans* through a forward genetic screen as the

436 enzyme responsible for IAM breakdown (*Li et al., 2021*). We probed for the mammalian ortholog  
437 phospholipase A2 group XV (PLA2G15) in fixed primary hippocampal neurons and found that en-  
438 dogenous PLA2G15 was present in axons and colocalized with both endogenous LC3 and LAMP1  
439 (Figure 8C-F). Specifically, about 40% of LC3+ puncta in the distal axon colocalized with PLA2G15,  
440 increasing to about 80% in the proximal axon (Figure 8D). The majority of LAMP1+ puncta colo-  
441 calized with PLA2G15 throughout the axon, with no significant difference between the distal and  
442 proximal axon (Figure 8F). The colocalization between PLA2G15 and both LC3 and LAMP1 was very  
443 similar to the colocalization with other lysosomal enzymes and the vATPase (Figures 2-3). We there-  
444 fore conclude that the phospholipase required for IAM degradation is acquired in the initial AV-  
445 endolysosome fusion event.

446 An alternative explanation for the different spatial profiles of AV-endolysosome fusion and IAM  
447 degradation is a temporal gap: slow kinetics of the IAM degradation could allow time for the AVs  
448 to reach the soma in many cases before completion. We explore this possibility, leveraging our pa-  
449 rameterized one-and-done model for AV fusion in a branched axonal geometry (Figure 7). Namely,  
450 we introduce a single new parameter  $k_d$  describing a constant-rate process for complete inner  
451 membrane degradation in an AV that has undergone fusion with an endolysosome. The model  
452 is then expanded to include a new set of states and spatial distributions ( $B_r^d, B_s^d, B_a^d, R^d, S^d$ ) corre-  
453 sponding to bidirectional, processively retrograde, and paused AV densities where IAM degra-  
454 dation has been completed, following fusion with an endolysosome. The steady-state distributions  
455 of such organelles obey a set of inhomogeneous linear equations that are solved as described in  
456 Methods.

457 To obtain an estimate of the degradation rate  $k_d$ , we consider the fraction of all AVs that are in  
458 the IAM-degraded state [ $f_d = (B_r^d + B_s^d + B_a^d + R^d + S^d)/(B_r + B_s + B_a + R + S)$ ] averaged over the  
459 distal and proximal axonal regions. These fractions are plotted as a function of the degradation  
460 timescale  $\tau_d = 1/k_d$  in Figure 8G. A single value of  $\tau_d \approx 100\text{min}$  can account for the experimentally  
461 observed low values of degraded fraction in both the distal ( $f_d^{\text{dist}} \approx 8\%$ ) and proximal ( $f_d^{\text{prox}} \approx 27\%$ )  
462 axon of hippocampal neurons. This timescale can be compared to the average time required for  
463 a newly formed AV to enter the processive retrograde state ( $1/k_s \approx 30\text{min}$ ) and to move from the  
464 distal tip to the soma ( $L/v_p \approx 20\text{min}$ ) in the fitted model. The predicted spatial profile for AVs with  
465 a degraded inner membrane is nearly flat throughout most of the axon (Figure 8H), analogous to  
466 the fraction of AVs that have undergone a fusion event.

467 We find it takes  $\approx 100\text{min}$  following fusion to degrade the IAM of AVs in primary hippocampal  
468 neurons (Figure 8G). The slow degradation kinetics account for the relatively small fraction of AVs  
469 whose IAM is fully degraded by the time they reach the soma. By comparison, the larger fraction  
470 of quenched EGFP in both proximal and distal regions of DRG neurons (Table 2) implies a much  
471 shorter IAM degradation timescale in these neurons, similar to that seen in mouse embryonic  
472 fibroblasts (*Tsuboyama et al., 2016*). Although detailed fitting is not carried out for this alternate  
473 cell type, using the parameters obtained for hippocampal cells yields a rough estimate of  $\tau_d \approx$   
474  $1 - 10\text{ min}$  for the IAM degradation time in DRG neurons (Figure 8G). This could perhaps be due to  
475 higher expression levels of phospholipase and/or enhanced phospholipase activity, possibilities to  
476 be explored in future studies.

## 477 Discussion

478 The results of this study provide a quantitative perspective on the maturation of neuronal au-  
479 tophagosomes, a key aspect of the autophagy pathway crucial to maintaining the recycling and  
480 turnover of cell components. Previous work both *in vitro* and *in vivo* showed that autophagic vac-  
481 uoles (AVs) form within the distal axon, then fuse with endolysosomes while moving in a retrograde  
482 fashion towards the soma (*Maday et al., 2012; Stavoe et al., 2016; Hill et al., 2019*). Fusion with en-  
483 dolysosomes provides AVs with degradative enzymes necessary to break down their cargo, as well  
484 as the vATPase pump that is necessary to establish and maintain the acidic pH at which degra-  
485 dative enzymes are active (*Yin et al., 2016*). However, the location and timing of fusion events and

486 their relationship to AV maturation remained largely unclear.

487 We leveraged endogenous staining of AV and endolysosomal proteins to quantify the colocalization  
488 and spatial distribution of these organelles along the axons of primary rat hippocampal neurons,  
489 thereby avoiding potential overexpression artifacts. We find that LAMP1 colocalizes readily  
490 with both degradative hydrolases and active vATPase in both the distal and proximal axon (Figure  
491 2). Note that our immunofluorescence is not quantitative and we are unable to detect the number  
492 of vATPase complexes present on each endolysosomal structure. Recent work in nonneuronal  
493 cells would predict endolysosomes in the periphery of the cell likely have only one vATPase each  
494 (*Maxson et al., 2022*). We also cannot quantify the relative load of degradative enzymes, and our  
495 CTSL antibody weakly cross-reacts with the immature form of CTSL, pro-CTSL; however, the presence  
496 of AEP, a protease known to cleave pro-CTSL into mature CTSL, suggests mature CTSL is the  
497 predominant form (*Maehr et al., 2005*). Thus, while we cannot measure degradative activity in a  
498 fixed assay, we conclude the LAMP1+ endolysosomes in the axon are degradatively competent.

499 We find that roughly half of AVs have fused with at least one competent endolysosome by the  
500 time they leave the distal axonal region, as measured by colocalization with LAMP1, degradative  
501 enzymes, and the vATPase (Figure 3). Live-cell imaging of fluorescently tagged LC3 was used to es-  
502 tablish the motility behavior of axonal AVs (Figure 5). We find that the majority of AVs in the distal  
503 axon are stationary or display short, unbiased bidirectional motions, and that the majority of AVs  
504 in the mid- and proximal axon move retrograde towards the soma, consistent with previous studies  
505 (*Maday et al., 2012; Maday and Holzbaur, 2014; Cheng et al., 2015; Cason et al., 2021; Boecker  
506 et al., 2021*). Furthermore, we find that endolysosomal fusion is independent from initiation of ret-  
507 rograde transport, with no difference in motility measurements between AVs positive or negative  
508 for the endolysosomal marker Lysotracker (Figure 5E,F).

509 While direct measurements of colocalization between different organelle labels can indicate  
510 whether at least one fusion event has occurred, they cannot establish how many endolysosomes  
511 a single AV has fused with, nor how many endolysosomes have passed without fusion. We there-  
512 fore developed a mean-field mathematical model to describe the motility and fusion interactions  
513 between AVs and endolysosomes, which allows us to translate the experimental measurements  
514 into a quantitative picture of the behavior of these organelles. Our model is parameterized in  
515 such a way as to reproduce a variety of experimental metrics, including distal and proximal densi-  
516 ties of AVs and endolysosomes, the fraction of fused AVs in the distal region, and the fraction of  
517 retrograde-moving AVs. The model shows that fusion of an AV with an endolysosome is expected  
518 to be a rare event, with fewer than 1% of passage events resulting in a fusion. It also predicts  
519 that a large fraction of AVs will undergo their first fusion while still in the distal region of the axon,  
520 with only a gradual increase in fusions thereafter (Figure 6), consistent with observations in fixed  
521 neurons.

522 Notably, we find that each AV is expected to fuse with only one to a few endolysosomes by the  
523 time it reaches the soma. The comparison between quantitative modeling and experimental data  
524 rules out the possibility of 'snow-balling' AVs that soak up large numbers of endolysosomes while  
525 moving through the axon. This effect could be achieved by regulatory mechanisms that restrict  
526 subsequent fusions (*Saleeb et al., 2019*) or simply as a result of the low probability of fusion upon  
527 passing (*Saleeb et al., 2019; Li et al., 2020; Shen et al., 2021*). Specifically, we hypothesize that  
528 the regulation of the SNARE protein syntaxin-17 (Stx17) may account for the relatively low num-  
529 ber of fusion events predicted by the models. Stx17 resides in the AV outer membrane and forms  
530 a complex with the SNAREs synaptosome-associated protein 29 (SNAP29) and vesicle-associated  
531 membrane proteins 7 and 8 (VAMP7/8) to facilitate fusion (*Itakura et al., 2012*). Stx17 is tightly  
532 regulated via posttranslational modifications, autoinhibition, and interaction with lysosomal mem-  
533 brane proteins to prevent ectopic fusion events (*Saleeb et al., 2019; Li et al., 2020; Shen et al.,  
534 2021*). Regardless of the precise mechanism, the limited number of fusions allows for a broad dis-  
535 tribution of endolysosomes throughout the axon, making them available for interaction with other  
536 organelles even in regions far away from the proximal axon where they are produced.

537 An interesting feature that arises from the quantitative model is the importance of axon geom-  
538 etry in modulating the interactions and distribution of organelles. Specifically, a linear geometry  
539 with AVs produced at the distal tip results in accumulation of AVs in the distal region and their de-  
540 pletion in the proximal axon. This is a direct consequence of the initial bidirectional motion near  
541 their production site, followed by unidirectional retrograde transport to an absorbing boundary at  
542 the soma. However, in a branched axon where AVs are also produced at collateral branch tips, the  
543 AVs are expected to be more broadly distributed throughout the axon (Figure 7). The lysosome  
544 production rate at the soma must be ramped up concomitantly to enable a sufficient density of  
545 lysosomes to reach the main axon and collateral branch distal tips.

546 Fusion with an endolysosome is only the first step in the maturation of an AV. Our results show  
547 that nearly half of axonal AVs fuse with an endolysosome in the distal axon and exhibit concomitant  
548 partial acidification as marked by the pH-sensitive Lysotracker dye. However, only a small fraction  
549 of AVs become fully acidified, as indicated by the quenching of the EGFP moiety of mCherry-EGFP-  
550 LC3 in the AV lumen, by the time they reach the proximal axon (Figure 8A). These observations  
551 support a two-step model of autophagosome maturation, wherein fusion with an endolysosome  
552 allows for the acquisition of endolysosomal markers and acidification of the space between the  
553 outer and inner autophagosomal membrane (IAM), followed by the relatively slow degradation of  
554 the IAM (*Tsuboyama et al., 2016*). It is only when the IAM is degraded that the AV lumen, including  
555 mCherry-EGFP-LC3 and the autophagic cargo, becomes fully acidified and cargo degradation may  
556 begin (Figure 8B). This model is consistent with data from multiple neuronal cell types, wherein  
557 acquisition of endolysosomal markers precedes quenching of the mCherry-EGFP-LC3 reporter (Ta-  
558 ble 2, Figure 8A).

559 We incorporate the additional IAM degradation step into our model, and extract a quantitative  
560 estimate of the rate for this process (Figure 8I) using mCherry-EGFP-LC3 quenching as a readout of  
561 IAM degradation. Notably, mCherry-EGFP-LC3 quenching is a marker for IAM degradation only if  
562 the mCherry-EGFP-LC3 proteins on the outer autophagosomal membrane, which extend into the  
563 cytosol, are cleaved. The protease ATG4 is responsible for cleaving LC3 and other members of  
564 its protein family from the outer autophagosomal membrane (*Kauffman et al., 2018*). Given that  
565 ATG4s are also involved in autophagosome formation (*Fujita et al., 2008; Agrotis et al., 2019*), they  
566 are likely to be enriched in the distal axon and therefore we anticipate LC3 is rapidly cleaved from  
567 nascent autophagosomes in the distal axon. Furthermore, work in *C. elegans* neurons showed that  
568 ATG4 activity was required for autophagosome-lysosome fusion (*Hill et al., 2019*), thus mCherry-  
569 EGFP-LC3 should be removed from the outer autophagosomal membrane prior to fusion with  
570 endolysosomes. Therefore we conclude mCherry-EGFP-LC3 quenching is more likely a readout of  
571 IAM degradation rather than a readout of ATG4 activity.

572 We find that a single IAM degradation rate constant is consistent with the mCherry-EGFP-LC3  
573 quenching measurements taken in both the distal and the proximal axon regions. The average  
574 IAM degradation time in primary hippocampal neurons ( $\tau_d \approx 100\text{min}$ ) is more than an order of  
575 magnitude longer than the time between fusion and IAM breakdown observed in mouse embry-  
576 onic fibroblasts ( $\approx 6.6\text{min}$ ) (*Tsuboyama et al., 2016*). However, the rate extracted for DRG neurons  
577 (Figure 8G), derived from previously published data (*Maday and Holzbaur, 2014*), is relatively similar  
578 to that seen in mouse embryonic fibroblasts (*Tsuboyama et al., 2016*). This highlights a difference  
579 not only between neurons and non-neuronal cells, but also between neuronal cell types that will  
580 need to be reconciled by future experimentation.

581 The modeling approach developed here serves as a framework for quantitatively understand-  
582 ing how the interplay between organelle transport and interactions across space and time governs  
583 autophagosome maturation. By combining modeling with direct measurements of organelle motil-  
584 ity in live neurons and fusion under endogenous conditions we have reconciled multiple conflicting  
585 studies of AV maturation and quantitatively connected organelle transport and fusion. Because  
586 autophagy defects are implicated in a variety of neurodegenerative diseases, obtaining a clear pic-  
587 ture of this pathway is an important step towards a mechanistic understanding of such disorders.

## 588 Materials and Methods

### 589 Primary hippocampal culture

590 Sprague Dawley rat hippocampal neurons at embryonic day 18 were obtained from the Neurons  
591 R Us Culture Service Center at the University of Pennsylvania. Cells (immunofluorescence, 180,000  
592 cells; live imaging, 200,000 cells) were plated in 20 mm glass-bottom 35 mm dishes (MatTek) that  
593 were precoated with 0.5 mg/ml poly-L-lysine (Sigma Aldrich). Cells were initially plated in Attach-  
594 ment Media (MEM supplemented with 10% horse serum, 33 mM D-glucose, and 1 mM sodium  
595 pyruvate) which was replaced with Maintenance Media (Neurobasal [Gibco] supplemented with  
596 33 mM D-glucose, 2 mM GlutaMAX (Invitrogen), 100 units/ml penicillin, 100 mg/ml streptomycin,  
597 and 2% B-27 [ThermoFisher]) after 5-20 h. Neurons were maintained at 37 °C in a 5% CO<sub>2</sub> incu-  
598 bator; cytosine arabinoside (Ara-C; final conc. 1 µM) was added the day after plating to prevent  
599 glia cell proliferation. Where applicable, neurons (5-7 DIV) were transfected with 0.35-1.5 µg of  
600 total plasmid DNA using Lipofectamine 2000 Transfection Reagent (ThermoFisher, 11668030) and  
601 incubated for 18-24 h.

### 602 iPSC-derived neuron culture

603 Induced pluripotent stem cells (iPSC) from the KOLF2.1J lineage were cultured, induced, and trans-  
604 fected exactly as described in *Pantazis et al. (2021)* with the following exception: to stably express  
605 doxycycline-inducible hNGN2 using a PiggyBac delivery system, iPSCs were transfected with PB-  
606 TO-hNGN2 vector (gift from M. Ward, NIH, Maryland) in a 1:2 ratio (transposase:vector) using Lipo-  
607 fectamine Stem (ThermoFisher); after 72 hours, transfected iPSCs were selected for 48 hours with  
608 0.5 µg/mL puromycin (Takara).

### 609 Immunofluorescence experiments and analysis

610 Neurons were fixed at 7-10 days *in vitro* for 30 minutes at room temperature using Bouin's solution  
611 (SigmaAldrich, HT10132) supplemented with 8% sucrose and diluted 50% in Maintenance Media.  
612 Bouin's solution was then removed and the cells were washed in PBS before being stored for up  
613 to 6 months in PBS at 4°C. Cells were permeabilized for 8 minutes at -20°C in Optima Methanol  
614 (ThermoFisher, A456-1) and washed in PBS, then blocked for 1 hour at room temperature in block-  
615 ing solution (5% normal goat serum, 1% bovine serum albumin, 0.05% sodium azide). Primary  
616 and secondary antibodies (see Table 3 for manufacturers and dilutions) were diluted in blocking  
617 solution and each left on cells for 1 hour at room temperature, with 3 x 5 min washes in PBS after  
618 each incubation. Cells were mounted in Prolong Gold (ThermoFisher, P36930) and imaged within  
619 48 hours at 100x on a Perkin Elmer UltraView Vox spinning disk confocal on a Nikon Eclipse Ti Mi-  
620 croscope with a Plan Apochromat Lambda 60x 1.40 NA oil-immersion objective and a Hamamatsu  
621 EMCCD C9100-50 camera driven by Volocity (PerkinElmer). Z stacks were acquired in 0.1 – 0.2 µm  
622 steps.

623 Analysis was performed on maximum z projections in ImageJ (<https://imagej.net/ImageJ2>). Us-  
624 ing the tau staining, axons were straightened (line width = 20 pixels) specifically in regions where  
625 they did not overlap with other cells. Because of the potential for cytoplasmic background staining,  
626 analysis was performed manually. However, to avoid bias, any immunofluorescence under an spe-  
627 cific intensity threshold (0.5% for LC3 antibodies; 1% for all other antibodies) was excluded. LC3+  
628 or LAMP1+ structures were defined as punctae ≥ 2 and < 20 pixels in diameter and signals within  
629 7 pixels (≈ 1 µm) were considered colocalized.

### 630 Live-cell neuron imaging and analysis

631 Where applicable, neurons were incubated with Lysotracker (25 nM) for 15-30 min, which was  
632 then removed for imaging. Neurons were imaged in Imaging Media (HibernateE [Brain Bits] sup-  
633 plemented with 2% B27 and 33 mM D-glucose). Autophagosome behavior was monitored in the  
634 proximal (<250 µm from the soma), distal (<250 µm from the distal tip), or mid-axon of 7-8 DIV neu-  
635 rons imaged at a rate of 1 timepoints/sec for 1-3 min. Neurons were imaged in an environmental

636 chamber at 37°C with a Apochromat 100 x 1.49 numerical aperture (NA) oil-immersion objective on  
637 the spinning disk confocal described above. Only cells expressing moderate levels of fluorescent  
638 proteins were imaged to avoid overexpression artifacts or aggregation. It should be noted that the  
639 quality of the primary neuron dissections can affect autophagosomal motility, leading to variable  
640 retrograde fractions.

641 Kymographs were generated in ImageJ using the MultiKymograph plugin (line width = 5) and  
642 analyzed either in ImageJ. Puncta were classified as either anterograde (moving  $\geq 10\mu\text{m}$  towards  
643 the axon tip), retrograde (moving  $\geq 10\mu\text{m}$  towards the soma), or stationary/bidirectional (net move-  
644 ment  $\leq 10\mu\text{m}$  during the video). Because fluorescent LC3 is cytosolic (as well as punctate) and neu-  
645 rrites occasionally crossed in culture, raw videos were referenced throughout kymograph analysis  
646 to ensure only real puncta ( $\geq 1.5$  SD from the axon mean) were included in analyses. All comigra-  
647 tion analyses were performed using kymographs.

**Table 3.** Reagents used in the study

Primary antibodies					
Target	Host	Dilution	Manufacturer	Cat#	RRID
LC3	Rabbit	1:250	Abcam	ab48394	AB_881433
LC3	Mouse	1:50	Santa Cruz	sc-376404	AB_11150489
LAMP1	Sheep	1:50-100	R and D Systems	AF4800	AB_1026176
LAMP1	Rat	1:50-100	DSHB	1d4b	AB_2134500
AEP	Sheep	1:100	R and D Systems	AF2058	AB_2234536
CTSL	Mouse	1:100	Novus	NB100-1775	AB_10124480
ATP6V1F	Mouse	1:100	Novus	NBP2-03498	AB_2904246
PLA2G15	Rabbit	1:125-150	Biorbyt	orb185108	AB_2904247
Tau	Chicken	1:300	Synaptic Systems	314 006	AB_2620049
Secondary antibodies					
Target	Conjugation	Dilution	Manufacturer	Cat#	
Sheep	Alexa Fluor 405	1:1000	Abcam	ab175676	
Rat	Alexa Fluor 405	1:1000	Abcam	ab175671	
Chicken	Alexa Fluor 488	1:1000	ThermoFisher	A11039	
Rabbit	Alexa Fluor 555	1:1000	ThermoFisher	A21429	
Mouse	Alexa Fluor 555	1:1000	ThermoFisher	A21424	
Sheep	Alexa Fluor 594	1:1000	ThermoFisher	A11016	
Rabbit	Alexa Fluor 647	1:1000	ThermoFisher	A31573	
Mouse	Alexa Fluor 647	1:1000	ThermoFisher	A32728	
Materials for live-cell imaging					
Material	Source				
mCherry-EGFP-LC3	Gift from T. Johansen, University of Tromsø				
mScarlet-LC3B	Subcloned from Addgene #21073 and Addgene #85054				
LAMP1-mNeon	Subcloned from Addgene #98882 into PGK vector				
LysoTracker DeepRed	ThermoFisher Cat #L12492				

648 **Statistics for cell-based experiments**

649 All statistical analyses were performed in Prism (GraphPad, San Diego, CA). Unless otherwise indicated,  
650 n indicates the number of trials (superplotting) wherein at least 3 cells were analyzed per trial.  
651 Neither parametricity nor preemptive sample-size (power) analyses were performed; how-

652 ever data appears normally distributed and post-hoc power calculations were used to confirm a  
653 sufficient number of replicates were collected. Statistical measures are described in the legends.

#### 654 **Parameter estimates for bidirectional motility**

655 We use live-cell dynamic imaging to extract estimates of the parameters describing AV bidirectional  
656 motility. Kymographs for LC3+ puncta within the distal 250 $\mu\text{m}$  of the axon were obtained at a tem-  
657 poral resolution of 1frame/sec, for a total imaging period of 1 – 3 min. Manual tracing was used  
658 to extract a total of 49 AV trajectories from the kymographs. For these trajectories, the net dis-  
659 placement was used to classify AVs undergoing long-range retrograde motion ( $> 10\mu\text{m}$  towards  
660 the soma) or anterograde motion ( $> 10\mu\text{m}$  towards the tip), with the remaining particles classified  
661 as in a bidirectional/stationary state. Among the bidirectional/stationary particles, those whose  
662 trajectory showed a range (maximal minus minimal position) below 3 $\mu\text{m}$  were classified as station-  
663 ary and the rest as bidirectional. Among the bidirectional trajectories, we extracted all segments  
664 where the particles moved in a consistent direction (anterograde or retrograde) and found that  
665 the average displacement during such segments was  $\lambda \approx 1.82 \pm 0.16\mu\text{m}$ , motivating our choice of a  
666 2 $\mu\text{m}$  run-length in the model.

#### 667 **Steady state solutions for basic mathematical model**

668 The steady-state densities of AVs in different motility states on a linear domain (Eq. 1) were solved  
669 using elementary matrix methods for a set of homogeneous first-order differential equations with  
670 constant coefficients *Boyce et al. (2021)*. To solve for the steady-state distributions of unfused AVs  
671 and endolysosomes (Eq. 2–4), we used the built-in solver `bvp4c` in Matlab **MATLAB (2021)**, which  
672 provides a 4th-order method for solving boundary value problems on a set of linear regions. Code  
673 for implementing the model for a given set of parameters is provided at <https://github.com/lenafabr/autophagyTransportModel>.

674 The process of solving for state densities on a branched axon remains the same as that for the  
675 linear model within each branch and each contiguous segment of the main axon. Additional  
676 boundary conditions at the junctions are given by:

$$\rho_r^{(m)}(l_m) + \rho_r^{(b)}(l_b) = \rho_r^{(m+1)}(0) \quad (5a)$$

$$\rho_a^{(m)}(l_m) = p_{\text{split}}\rho_a^{(m+1)}(0), \quad \rho_a^{(b)}(l_b) = (1 - p_{\text{split}})\rho_a^{(m+1)}(0), \quad (5b)$$

677 where  $\rho_r^{(m)}$  and  $\rho_r^{(b)}$  denote densities of retrograde organelles ( $B_r, R, Y_r$ ) on a main axon segment and  
678 on a branch, respectively;  $\rho_a^{(m)}$  and  $\rho_a^{(b)}$  denote densities of anterograde organelles ( $B_a, Y_a$ ), and  $l_m, l_b$   
679 are the length of the corresponding main segment and branch. The splitting of densities at each  
680 junction is determined by  $p_{\text{split}} = 1/(b + 1)$ , which is defined such that the fraction of organelles  
681 proceeding each main segment is proportional to the number of distal tips downstream of that  
682 segment.

#### 683 **Modified model with unlimited fusions**

684 For the alternate model where each AV can fuse with an unlimited number of endolysosomes,  
685 Eq. 1 for the total AV density in different motility states, and Eq. 2 for the densities of unfused AVs,  
686 remain valid. The densities of endolysosomes are described by the following equations:

$$\frac{dY_a}{dt} = v_y^a \frac{dY_a}{dx} - p_f \left( |v_y^a - v_p| B_a + (v_p + v_y^a) (B_r + R) + v_y^a (B_s + S) \right) Y_a = 0 \quad (6a)$$

$$\frac{dY_r}{dt} = -v_y^r \frac{dY_r}{dx} - p_f \left( (v_p + v_y^r) B_a + |v_y^r - v_p| (B_a + R) + v_y^r (B_s + S) \right) Y_r = 0, \quad (6b)$$

687 which enable fusion with all AVs regardless of their prior fusion state. The corresponding boundary  
688 conditions are identical to Eq. 4b-c, with an altered condition on endolysosomes at the distal tip:

$$v_y^a Y_a(0) = k_y^e Y_t + p_f (v_p B_a(0) + k_p^p). \quad (7a)$$

682

683 For this set of equations, the endolysosome densities are computed by direct integration. The  
 684 densities of unfused AVs (Eq. 2, 4b,c) are found using the boundary-value problem solver *bvp4c*.

An additional metric of interest for this model is the average number of fusions undergone by AVs found in different regions of the axon. We define the densities  $B_r^*(x)$ ,  $B_a^*(x)$ ,  $B_s^*(x)$ ,  $R^*(x)$  to correspond to the linear density of fusion counts in AVs that are in each of the motility states. For example,  $B_r^*(x)/B_r(x)$  gives the average number of fusions among the bidirectional retrograde AVs found at position  $x$  along the axon. These fusion densities obey the following set of steady-state equations:

$$\frac{dB_a^*}{dt} = v_p \frac{dB_a^*}{dx} - (k_s + k_h)B_a^* + p_f \left( |v_y^a - v_p|Y_a + (v_p + v_y^r)Y_r \right) B_a + \frac{1}{2}k_w B_s^* = 0 \quad (8a)$$

$$\frac{dB_r^*}{dt} = -v_p \frac{dB_r^*}{dx} - (k_s + k_h)B_r^* + p_f \left( (v_p + v_y^a)Y_a + |v_y^r - v_p|Y_r \right) B_r + \frac{1}{2}k_w B_s^* = 0 \quad (8b)$$

$$\frac{dB_s^*}{dt} = k_h (B_r^* + B_a^*) - (k_w + k_s)B_s^* + p_f \left( v_y^a Y_a - v_y^r Y_r \right) B_s = 0 \quad (8c)$$

$$\frac{dR^*}{dt} = -v_p \frac{dR^*}{dx} + k_s (B_a^* + B_r^* + B_s^*) - k_h R^* + p_f \left( (v_p + v_y^a)Y_a + |v_y^r - v_p|Y_r \right) R + k_w^r S^* = 0, \quad (8d)$$

$$\frac{dS^*}{dt} = k_h^r R^* + p_f \left( v_y^a Y_a - v_y^r Y_r \right) S - k_w^r S^*, \quad (8e)$$

685 with boundary conditions at the distal tips and the soma:

$$v_p B_r^*(0) = p_f Y_t \left( k_p^p + v_p B_a(0) \right) + v_p B_a^*(0), \quad R^*(0) = 0, \quad B_a^*(L) = 0. \quad (9)$$

686 Once the endolysosome densities are computed, Eq. 8–9 form a set of linear nonhomogeneous  
 687 equations that are solved using standard matrix methods (*Boyce et al., 2021*).

### 688 Steady state solutions for IAM degradation model

689 We define the density  $B_a^i = B_a - B_a^u - B_a^d$ , for AVs in the bidirectional anterograde state that have fused  
 690 with an endolysosome but have not yet undergone full IAM degradation. Analogous densities are  
 691 defined for the other motility states ( $B_r^i, B_s^i, R^i, S^i$ ).

The dynamic equations for these fused AVs with intact IAM at steady state are given by

$$\frac{dB_a^i}{dt} = v_p \frac{dB_a^i}{dx} - (k_s + k_h^b + k_d)B_a^i + p_f \left( |v_y^a - v_p|Y_a + (v_p + v_y^r)Y_r \right) B_a^u + \frac{1}{2}k_w^b B_s^i = 0 \quad (10a)$$

$$\frac{dB_r^i}{dt} = -v_p \frac{dB_r^i}{dx} - (k_s + k_h^b + k_d)B_r^i + p_f \left( (v_p + v_y^a)Y_a + |v_y^r - v_p|Y_r \right) B_r^u + \frac{1}{2}k_w^b B_s^i = 0 \quad (10b)$$

$$\frac{dB_s^i}{dt} = k_h^b (B_r^i + B_a^i) - (k_w^b + k_s + k_d)B_s^i + p_f (v_y^a Y_a + p_f v_y^r Y_r) B_s^u = 0 \quad (10c)$$

$$\frac{dR^i}{dt} = -v_p \frac{dR^i}{dx} + k_s (B_a^i + B_r^i + B_s^i) - (k_h^b + k_d)R^i + p_f \left( (v_p + v_y^a)Y_a + |v_y^r - v_p|Y_r \right) R^u + k_w^r S^i = 0, \quad (10d)$$

$$\frac{dS^i}{dt} = k_h^r R^i - (k_w^r + k_d)S^i + p_f \left( v_y^a Y_a + v_y^r Y_r \right) S^u = 0 \quad (10e)$$

$$v_p B_r^i(0) = p_f Y_t \left( k_p^p + v_p B_a^u(0) \right) + v_p B_a^i(0), \quad B_a^i(L) = 0, \quad R^i(0) = 0, \quad (10f)$$

692 where  $k_d$  denotes the degradation rate and the endolysosome densities  $Y_a, Y_r$ , as well as the un-  
 693 fused AV densities ( $B_r^u, B_s^u, B_a^u, R^u, S^u$ ) are precalculated as previously described. Equations 10 can  
 694 then be treated as a system of nonhomogeneous linear equations, solvable via standard matrix  
 695 methods (*Boyce et al., 2021*).

### 696 Agent-based stochastic simulations for interacting organelles

697 Organelle interactions are simulated explicitly using custom written FORTRAN 90 code available  
 698 at: <https://github.com/lenafabr/particleDynamics1D>. We simulate a linear domain ( $0 < x < L$ ) of  
 699 length  $L = 1055\mu\text{m}$  with  $x = 0$  denoting the distal axonal tip, and  $x = L$  representing the soma.  
 700 Point-particle endolysosomes spawn at the soma ( $x = L$ ) and move towards the distal tip with a

701 velocity  $v_y^a$ . Upon reaching the distal end, the endolysosomes halt at the tip before engaging in  
702 retrograde motility at a rate  $k_e^y$  with a velocity  $v_y^r$ . Point-particle AVs spawn at the distal tip ( $x = 0$ )  
703 in a bidirectional state moving in the retrograde direction. Motile AVs in the bidirectional state  
704 can halt with a rate  $k_h$ . Halted AVs resume motion at rate  $k_w$ , equally likely in the retrograde or  
705 anterograde direction. AVs from all bidirectional states can switch to processive retrograde state  
706 at a rate  $k_s$ . All motile AVs move at a velocity  $v_p$ , and have a rate  $k_h^r$  for pausing and a rate  $k_w^r$  for  
707 restarting motility.

708 Interactions between an endolysosome and an AV occur whenever the two organelles cross  
709 past each other. Each such passage event results in fusion with a probability  $p_f$ . A fusion event  
710 destroys the lysosome, while the AV particle is marked as fused. The system is evolved forward  
711 in time-steps of  $\delta t = 0.14s$  for a total time of  $4 \times 10^4s$ , which is equivalent to  $30L/v_p$ . At each time  
712 step, the particles step in the appropriate direction a distance  $v\delta t$  (where  $v$  is the corresponding  
713 particle velocity) and undergo a transition event with probability  $1 - e^{-k\delta t}$  (where  $k$  is the rate for  
714 that particular state transition).

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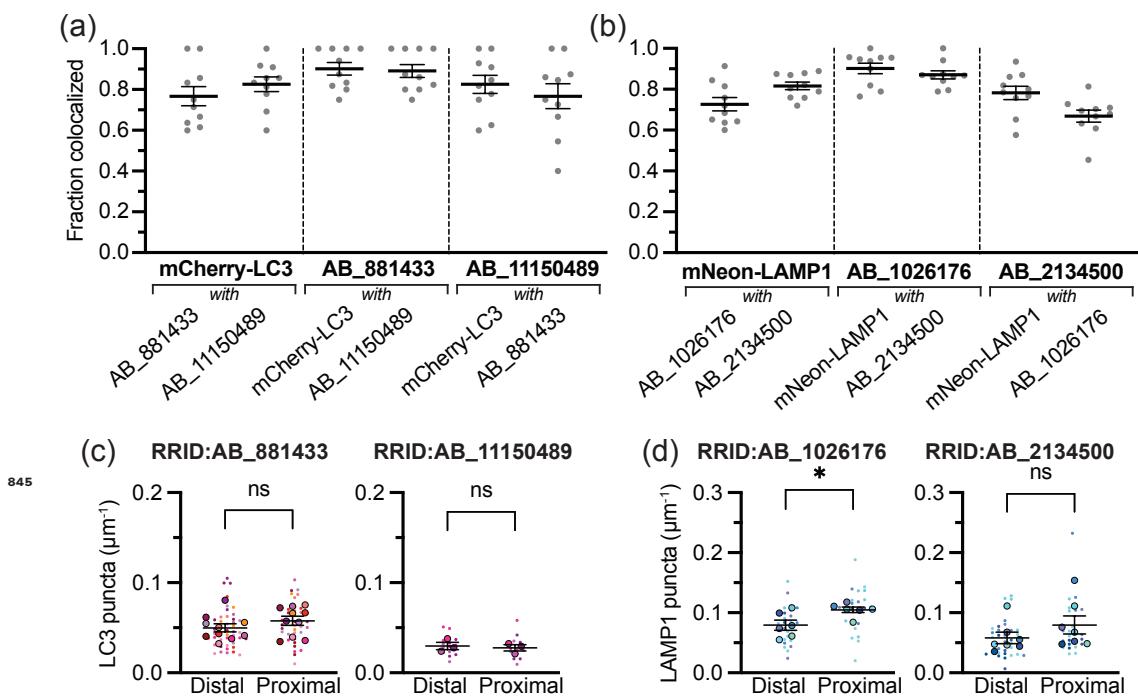
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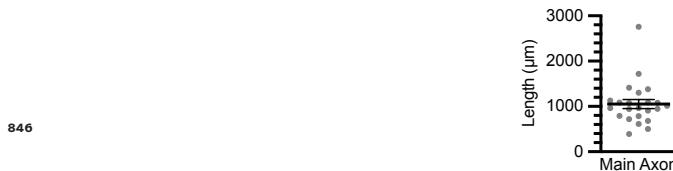
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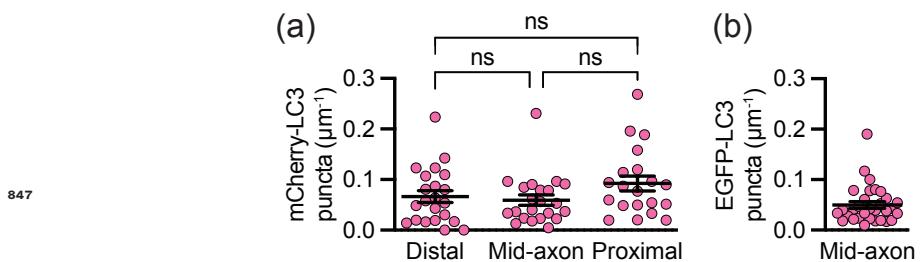
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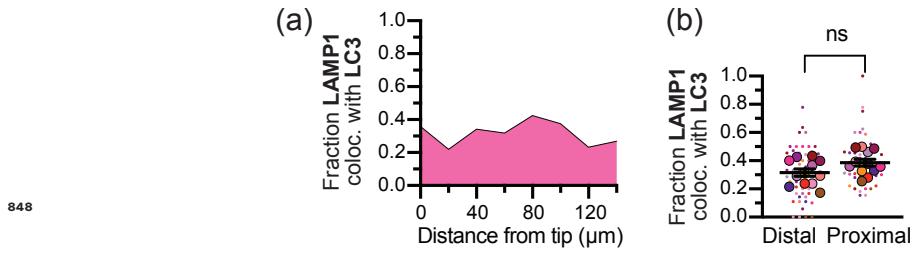
**Figure 1-Figure supplement 1. Antibody validation.** **(a)** Colocalization between overexpressed mCherry-LC3 and both LC3 antibodies.  $n = 10$  axons. **(b)** Colocalization between overexpressed mNeon-LAMP1 and both LAMP1 antibodies.  $n = 10$  axons. **c** Linear density of LC3 puncta, probed with ab48394 (RRID:AB\_881433; left;  $n = 38 - 43$  axons; unpaired t test,  $p = 0.1199$ ) or sc-376404 (RRID:AB\_11150489; right;  $n = 12 - 14$  axons; unpaired t test,  $p = 0.5997$ ). **d** Linear density of LAMP1 puncta, probed with AF4800 (RRID:AB\_1026176; left;  $n = 24$  axons each; unpaired t test,  $p = 0.0174$ ) or 1D4B (RRID:AB\_2134500; right;  $n = 26 - 34$  axons each; unpaired t test,  $p = 0.0497$ ).



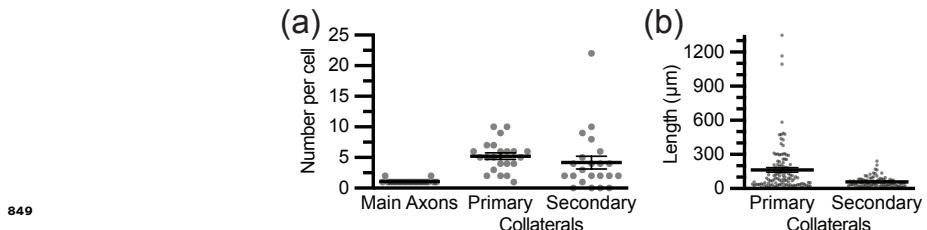
**Figure 1-Figure supplement 2. Axon length *in vitro*.** Length of main axon ( $n = 23$ ) as measured for primary hippocampal neurons at 7-10 days *in vitro*.



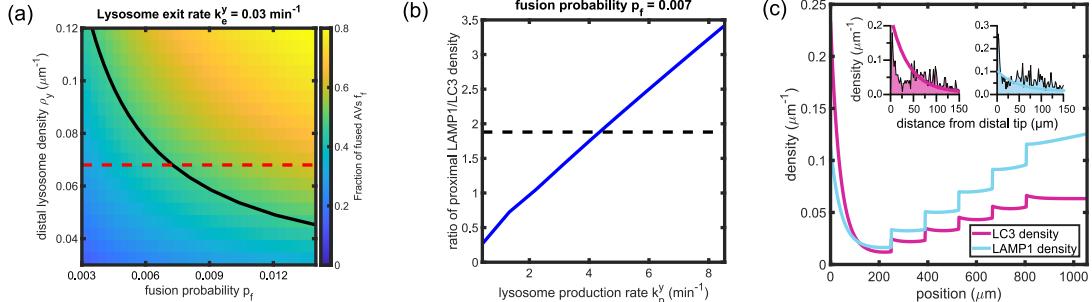
**Figure 1-Figure supplement 3. Linear LC3 density in live neuronal axons.** **(a)** Linear density of overexpressed mCherry-LC3 in primary hippocampal neurons.  $n = 20-22$  axons; one-way ANOVA,  $p = 0.1551$ . **(b)** Linear density of overexpressed EGFP-LC3 in iPSC-derived neurons.  $n = 31$  axons.



**Figure 3-Figure supplement 1. LAMP1 puncta colocalized with LC3.** **(a)** Histogram showing the spatial distribution of LAMP1 puncta colocalized with LC3 in the distal axon.  $n = 773$  puncta; 20  $\mu\text{m}$  bins. **(b)** Comparison of the fraction LAMP1 puncta colocalized with LC3 in the distal and proximal axon.  $n = 12$  trials; unpaired t test ( $p = 0.8411$ ). Dashed line represents axon. ns,  $p > 0.05$ .



**Figure 7-Figure supplement 1. Number and length of axon collaterals.** Primary collaterals are defined as branches off the main axon, while secondary collaterals are branches off the primary collaterals. Bifurcated main axons (whereby a cell may have 2+ axons) are distinguishable from primary collaterals based on branch angle (Gallo, 2011). **(a)** Number of axons or collaterals per cell.  $n = 22$  cells. **(b)** Length of primary ( $n = 116$ ) or secondary collaterals ( $n = 83$ ).



**Figure 7-Figure supplement 2. Modified model with unrestricted fusion predicts small number of fusion events per AV.** **(a)** Fraction of AVs fused within the distal axon  $f_f$ , plotted against the fusion probability  $p_f$ , and the lysosome density in the distal region. The tip-exit rate for lysosomes  $k_e^y$  is set to 0.03 per minute. The solid black line denotes the measured value of  $f_f$  based on LC3+ puncta colocalized with LAMP1+ puncta in the distal axon. The dashed red line denotes the density of LAMP1+ puncta observed in the distal axon. **(b)** The ratio of the lysosome density to AV density in the proximal axon, plotted against the lysosome production rate. Dashed black line denotes the measured value determined by enumerating LAMP1+ and LC3+ puncta in the proximal axon. **(c)** The linear density of LC3+ puncta (magenta) and LAMP1+ puncta (cyan) along the axon. Insets show comparison to experimental data in the distal region, from Figure 1(d,f). **(d)** Spatial variation in the fraction of AVs fused at different positions along the axon (blue), and the average number of fusions per AV (red). The inset zooms into the distal region, overlaid with the observed distribution obtained by enumerating LC3+ puncta colocalized with LAMP1. All calculations were performed on the branched axon geometry shown in Figure 7a.