

# 1 Molecular basis of SARS-CoV-2 Omicron variant evasion from shared 2 neutralizing antibody response

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## 31 **Keywords**

32 COVID-19, SARS-CoV-2 variants, human monoclonal antibodies, Cryo-EM structure,  
33 neutralizing antibodies

## 34 **Abstract**

35 A detailed understanding of the molecular features of the neutralizing epitopes  
36 developed by viral escape mutants is important for predicting and developing vaccines  
37 or therapeutic antibodies against continuously emerging SARS-CoV-2 variants. Here, we  
38 report three human monoclonal antibodies (mAbs) generated from COVID-19 recovered  
39 individuals during first wave of pandemic in India. These mAbs had publicly shared near  
40 germline gene usage and potently neutralized Alpha and Delta, but poorly neutralized  
41 Beta and completely failed to neutralize Omicron BA.1 SARS-CoV-2 variants. Structural  
42 analysis of these three mAbs in complex with trimeric spike protein showed that all three  
43 mAbs are involved in bivalent spike binding with two mAbs targeting class-1 and one  
44 targeting class-4 Receptor Binding Domain (RBD) epitope. Comparison of  
45 immunogenetic makeup, structure, and function of these three mAbs with our recently  
46 reported class-3 RBD binding mAb that potently neutralized all SARS-CoV-2 variants  
47 revealed precise antibody footprint, specific molecular interactions associated with the  
48 most potent multi-variant binding / neutralization efficacy. This knowledge has timely  
49 significance for understanding how a combination of certain mutations affect the binding  
50 or neutralization of an antibody and thus have implications for predicting structural  
51 features of emerging SARS-CoV-2 escape variants and to develop vaccines or therapeutic  
52 antibodies against these.

## 53 **Introduction**

54 SARS-CoV-2 Omicron subvariants are continuously emerging and escaping therapeutic  
55 monoclonal antibodies (mAbs) and vaccines (1-3). Mutations acquired in the spike  
56 protein of SARS-CoV-2 variants, a target for neutralizing antibodies (nAbs), are primarily  
57 responsible for this immune escape (1, 4). Identifying nAbs / non-nAbs to these variants  
58 and determining their prevalence in human population allows us to understand the  
59 shared mechanisms of immune protection among diverse populations (5, 6). Since the  
60 emergence of COVID-19, >11,000 SARS-CoV-2 mAbs have been identified (7). Among  
61 these, nAbs encoded by human antibody heavy chain variable germline genes such as  
62 IGHV3-53/3-66, IGHV1-58, IGHV3-30 and IGHV1-69 are commonly observed in many  
63 individuals across the globe (7). These related rearrangements, known as a public  
64 antibody response, suggest a shared immune response with a similar genetic makeup and

65 modes of antigen recognition that has been found in large number of individuals infected  
66 with influenza, dengue, malaria, HIV and SARS-CoV-2 (5, 6, 8–13). Mapping the  
67 immunogenetic makeup, structure, and function of these public clonotypes allows us to  
68 better understand how certain mutations affect the binding of an antibody and thus  
69 potentially expedite antibody re-purposing for emerging variants. It is established that  
70 SARS-CoV-2 variants bearing K417N/N501Y mutations evade IGHV3-53/3-66 RBD mAbs  
71 (5, 13). These antibodies are primarily encoded by near germline sequences and are  
72 commonly found in populations residing in distinct geographical regions (5, 12, 13).  
73 However, SARS-CoV-2 variant evasion from the IGHV3-30 shared antibody response is  
74 unclear.

75 We recently published a panel of 92 RBD-binding monoclonal antibodies (mAbs) isolated  
76 from five individuals infected with the ancestral SARS-CoV2 strain in India and identified  
77 a potent class-3 broad-spectrum antibody capable of neutralizing all highly evasive  
78 Omicron variants (14, 15). Here, we focused on three mAbs that potently neutralize the  
79 ancestral WA.1 strain, but differentially neutralize SARS-CoV-2 variants for further  
80 characterization. The immunogenetic analysis confirms that all three mAbs were  
81 encoded by IGHV3-53/66 and IGHV3-30 genes and were publicly shared (14). While the  
82 Cryo-EM structure of all three mAbs showed bivalent spike binding, two mAbs (002-02  
83 and 034-32) targeted the class-1 RBD epitope whereas mAb 002-13 targeted a relatively  
84 conserved class-4 epitope. Detailed look of molecular interactions at each mAb's epitope-  
85 paratope surface allowed us to predict how mutations of certain residues in key variants  
86 of concern (VOCs) might impact antibody functionality and their role in immune evasion.

## 87 **Results**

### 88 ***Identification and characterization of shared human mAbs to SARS-CoV-2***

89 In this study, we have selected three out of 92 previously identified RBD-specific mAbs  
90 for further characterization (14). These three mAbs, referred to as 002-13, 002-02, and  
91 034-32 have heavy chain VJ pairings encoded by IGHV3-30, IGHD2-8, IGHJ4; IGHV3-66,  
92 IGHD4-17, IGHJ4 and IGHV3-53, IGHD1-1, IGHJ6 immunoglobulin genes, respectively,  
93 whereas their light chain VJ pairings were encoded by IGLV6-57, IGLJ2; IGK3-20, IGKJ4  
94 and IGK1-9, IGKJ3 genes, respectively (**Figure 1A**). Genetic analysis of these three mAbs  
95 showed that heavy chain variable (V)-genes of all three mAbs were encoded by a shared

96 public antibody response (**Figure 1B, 1C, 1D, 1E and S1**) as documented in the CoV-  
97 AbDab database of all RBD-specific mAbs (n=6520) isolated from SARS-CoV-2  
98 infected/vaccinated individuals (7). Interestingly, the antibody gene IGHV3-30,IGHJ4 of  
99 002-13 mAb is the most frequent VJ pairing used by SARS-CoV-2 RBD mAbs (**Figure 1D**).  
100 Heavy chain V-gene IGHV3-30 of mAb 002-13 is the second most frequently used IGHV  
101 gene among all RBD mAbs (**Figure 1B**). Interestingly, 002-13 like shared mAbs exhibit  
102 the presence of a conserved CxGGxC motif in their 22-residue long complementarity  
103 determining region (CDR) H3 (CDRH3) (**Figure S1A**) encoded by a IGHD2-8 gene (7). The  
104 IGHV genes of 002-02 (IGHV3-66) and 034-32 (IGHV3-53) have already been described  
105 earlier in detail as a shared clonotype antibody response that shows the characteristic  
106 motifs of NY and SGGS in their CDRH1 and CDRH2 regions, respectively, preferred IGHD4-  
107 17 gene and a short CDRH3 length of 9 – 12 amino acids with high sequence diversity (5,  
108 12, 13) (**Figure S1B and S1C**) (7).

109 Next, we revealed that all three mAbs strongly bind spike protein with Kd values in low  
110 nM to pM range, by both BLI (**Figure S2**) and Mesoscale binding assay (Mesoscale  
111 Discovery) (**Figure 2A**). Additionally, in agreement with binding data they all potently  
112 neutralize the ancestral WA.1 live virus in a focus-reduction neutralization mNeonGreen  
113 (FRNT-mNG) assay (**Figure 2B and 2C**) (14, 15). Taken together, these results confirm  
114 high binding affinity and potent neutralizing capacity of all three shared mAbs against the  
115 SARS-CoV-2 WA.1 strain.

### 116 ***Epitope mapping of mAbs 002-02, 002-13 and 034-32***

117 To delineate the molecular determinants conferring epitope recognition and to  
118 understand the mechanism of their potent neutralization against WA.1 strain, we solved  
119 the Cryo-EM structures of WA.1 spike-6P (Spike-hexapro) in complex with each of the  
120 three mAbs (002-13, 002-02 and 034-32) in their native full-length IgG form (**Figure 3**  
121 **and 4**). The structures show bivalent binding modes for all three mAbs, revealing two  
122 distinct neutralization mechanisms (**Figure S4**). Below we summarize our observations.

123 **mAb 002-13:** The Cryo-EM structure of 002-13 in complex with WA.1 spike-6P (**Figure**  
124 **3 and S3**) resolved at 3.8 Å global resolution revealed a conserved epitope on the inner  
125 face of the RBD, aligning with RBD-7/class-4 epitopes only accessible in up conformation.  
126 There is clear intra-spike bivalent binding, where each Fab region of the full-length IgG

127 recognizes adjacent RBDs in a spike trimer (**Figure 3A and S4**). 002-13 mAb, belongs to  
128 the public clonotype encoded by IGHV3-30 and IGLV6-57 that have not been structurally  
129 characterized before. Notably, the 22-residue long CDRH3 region encoded by IGHD2-8  
130 gene of 002-13 mAb contains a CxGGxC motif which is shared by other 81 RBD-specific  
131 mAbs (**Figure S1A**) documented in CoV-AbDab database (7). Like other class-4  
132 antibodies, 002-13 RBD binding is dominated by the heavy chain contributing ~76% of  
133 total interaction with a total buried surface area of ~887 Å<sup>2</sup> (**Figure 3B**) (16, 17). Most of  
134 the heavy chain interactions are mediated through the CDR3 region that forms a foot-like  
135 loop, stabilized by an intra-loop disulfide bond between residues C105 and C110 of  
136 CxGGxC motif (**Figure 3C and 3D**). We observe that multiple interactions involving the  
137 residues in RBD region S371- C379 and the heavy chain CDR3 loop are responsible for  
138 epitope recognition (**Figure 3C**). Heavy chain CDR2 residues D57 and S56 engage RBD  
139 residue K386 through a salt bridge and hydrogen bond (**Figure 3E**). The light chain of  
140 002-13 contributes minimally to RBD binding, only the CDR2 loop of light chain comes  
141 into RBD proximity to make a hydrogen bond with the side chain of RBD residue T415  
142 (**Figure 3F**). Although 002-13 binds outside the Receptor Binding Motif (RBM) surface,  
143 it can sterically block ACE2 binding through its light chain orientation as previously  
144 observed in ACE2 competition profiling (14).

145 Structural comparison of 002-13 with another class-4 monoclonal antibody (COVA1-16  
146 and CR3032) shows a distinct binding pose for 002-13 (**Figure 3G**), additionally, a unique  
147 small side chain-containing sequence in CxGGxC motif of the heavy chain CRD3 loop  
148 allows it to go much deeper into the RBD pocket facilitating extensive interactions in this  
149 region compared to other class-4 mAbs (**Figure 3H**).

150 We then marked key mutations present in Beta (yellow), Delta (red) and Omicron (green)  
151 variants within the 002-13 epitope surface and observed that while all VOC except for  
152 Omicron carry no mutations, Omicron carries three mutations (S371L, S373P and S375F)  
153 within the 002-13 epitope (**Figure 3I**). This suggests that while the binding and  
154 neutralization of 002-13 mAb will be preserved for most SARS-CoV-2 variants, it might  
155 be impacted towards Omicron as these mutations are known to induce a local  
156 conformational change in the Omicron RBD structure and thus, could exclusively evade  
157 Omicron (18, 19).

158 **mAb 002-02 and 034-32:** Antibodies 002-02 and 034-32 were isolated from two  
159 different individuals and are encoded by public clonotype genes IGHV3-53/3-66 (**Figure**  
160 **1A**). They both show very similar properties with high binding specificity towards SARS-  
161 CoV-2 RBD, effectively compete ACE2 and potently neutralize WA.1 (14) (**Figure 2**). To  
162 define the details of epitope recognition, we determined the Cryo-EM structure of each  
163 002-02 (**Figure 4 and S5**) and 034-32 (**Figure S6 and S7**) in complex with WA.1 Spike-  
164 6P at a resolution of 3.8 and 4.3 Å, respectively. For both complexes, we observe intra-  
165 spike bivalent binding, where each Fab region of IgG binds two neighboring RBDs in the  
166 spike trimer in the “up” conformation. The RBD that does not engage in binding Fab  
167 remains in the “down” conformation (**Figure 4A**). Both mAb structures recognize  
168 epitopes in the top RBD pocket that aligns with the RBM surface suggesting direct ACE2  
169 competition and based on this they are classified as RBD-2/class-1 antibodies. Since 002-  
170 02 and 034-32 recognize the RBD in a very similar manner, we focus our structural  
171 analysis on mAb-RBD recognition in the locally refined map for 002-02.

172 While all CDR loops are involved in epitope recognition (**Figure 4A and 4B**), most RBD  
173 contacts are dominated by the heavy chain, contributing ~70% of the total of 1058 Å<sup>2</sup> of  
174 buried surface between mAb and RBD (**Figure 4B**). Primary interactions in the heavy  
175 chain are mediated by CDR1 and CDR2 regions. Most mAbs that belong to class-1  
176 antibodies are encoded by public clonotype genes IGHV3-53/IGHV3-66 (5, 13). The  
177 common features among these mAbs include a conserved NY and SGGS motif in CDR1 and  
178 CDR2 regions, respectively, that contribute significantly toward RBD binding (12). We  
179 also observed a network of hydrogen bonds with the RBD through the CDR2 SGGS motif.  
180 The side chain of S53 and S56 in the CDR2 heavy chain engages in a hydrogen bond with  
181 side chains of Y421 and D420 in RBD, respectively (**Figure 4C**). However, the CDR3 loop  
182 heavy chain residues in this mAb class varies more. In 002-02, the heavy chain CDR3  
183 residue D101 forms a hydrogen bond with K417 and Y453 in the RBD (**Figure 4D**). In the  
184 light chain, CDR1 and CDR3 make some contact with the inner left side of the RBD. The  
185 S30 and Y32 residue in the CDR1 region of the light chain makes a hydrogen bond with  
186 Q498 and R403 in RBD, respectively (**Figure 4E**). Also, the S93 in the CDR3 region of the  
187 light chain interacts with Y505 and D405 (**Figure 4F**).

188 Like 002-13, we also mapped mutations found in Beta (yellow), Delta (red) and Omicron  
189 (green) variants onto the 002-02 / 034-32 epitope (**Figure 4G**). While Delta carries no

190 mutation within 002-02 / 034-32 epitope surface, three of the Beta mutations (K417N,  
191 E484K, N501Y) fell within its epitope, suggesting no variation in binding and  
192 neutralization for Delta but weakened binding and neutralization for Beta. However, six  
193 Omicron mutations (K417N, S477N, Q493R, G496S, Q498R and N501Y) lied within the  
194 002-02/ 034-32 epitope surface and predicted to evade Omicron binding and  
195 neutralization. Collectively, based on these observations both 002-02 and 034-32 mAbs  
196 will be less or ineffective towards both Beta and Omicron variants.

197 ***Assessing binding and neutralization breadth towards SARS-CoV-2 variants***

198 To link the paratope mutation landscape in VOC to the antibody function, we tested  
199 binding and neutralization of these three mAbs against SARS-CoV-2 variants. In  
200 agreement with the structure-based prediction, the binding of 002-13 (class-4 antibody)  
201 remained unaffected towards Alpha, Beta and Delta variants as these variants contain no  
202 mutations within the 002-13 epitope and showed moderately reduced (~2.7-fold)  
203 binding to Omicron (**Figure 3I, Figure 5A and 5G**). In agreement with binding data, the  
204 neutralization potency of 002-13 remained unperturbed in Alpha, Beta, Delta and  
205 showed no observable neutralization of the Omicron virus (**Figure 5E and 5G**). Along  
206 that line, binding of 002-02 and 034-32 (class-1 antibodies) retained for Alpha and Delta  
207 variants to the same affinity as of WA.1, showed 3-fold and 150-fold reduced affinity to  
208 Beta, respectively, and no observable binding to Omicron (**Figure 5B, 5C and 5G**).  
209 Following this trend both 002-02 and 034-32 neutralize Alpha and Delta variants with  
210 the same potency as WA.1, showed 4-fold and 17-fold reduced potency to Beta,  
211 respectively and complete loss of neutralization to Omicron (**Figure 5E, 5F and 5G**). This  
212 is further supported by the fact that unique K417N mutation (present in Beta but not in  
213 Delta) would result in a loss of a hydrogen bond with D101 in heavy chain CDR3 (**Figure**  
214 **4D**) and subsequent Beta-variant specific loss of binding and neutralization for 002-02  
215 and 34-32. This was also confirmed by a 2-fold decrease in the calculated ddG value of -  
216 46.23 +/- 10.5 kcal/mol based on molecular mechanics/ Poisson-Boltzmann surface area  
217 (MM/PBSA) free energy for the single K417N mutant in 002-02-spike structure  
218 compared to the WA.1 ddG value of -82.62 +/- 9.57 (**Figure S8**).  
219 Altogether, this data catalogues the epitope class-specific antibody susceptibility towards  
220 existing SARS-CoV-2 variant and can inform their action on a newly emerging variant.

221 **Discussion**

222 Understanding how SARS-CoV-2 mAbs achieve broad neutralization or are rendered  
223 ineffective by viral mutations provides insight not only about natural immunity, but is  
224 critical to develop broadly effective therapeutic mAbs and guide vaccine design (5, 12,  
225 20–22). Moreover, defining antibody-antigen interactions is critical for the rapid re-  
226 evaluation of existing antibody-based therapeutics towards continuously emerging  
227 SARS-CoV-2 variants. This, overlaid with the immuno-genetic makeup of the antibodies  
228 shared by large population further informs our understanding of the public immune  
229 response and their antigenic drift from variants. For example, certain antibody responses  
230 are repeatedly shared among large number of individuals regardless of their genetic  
231 origins, as has been observed previously during different pathogen infections including  
232 influenza, dengue, HIV and Malaria (8–11). With SARS-CoV-2, these are encoded by  
233 IGHV3-53/66, IGHV1-58, IGHV3-30 and IGHV1-69 which are found both following  
234 natural infection and post-vaccination (5, 6, 12). Such information can be collectively  
235 used to fine tune the immune response focused on broad and potent neutralizing  
236 epitopes through antigen design for a universal vaccine (20, 22). Recently, based on the  
237 information from shared public clonotypes of HIV-1 bnAbs, a V2-apex region specific  
238 immunogen has been successfully designed (23).

239 We recently reported the isolation of 92 SARS-CoV-2 RBD-specific mAbs from COVID-19  
240 recovered individuals from India during the first wave of the pandemic and identified a  
241 broadly neutralizing class-3 antibody (002-S21F2), capable of neutralizing all omicron  
242 subvariants (14). Out of 92, three SARS-CoV-2 nAbs (002-13, 002-02 and 034-32)  
243 characterized in this study, belong to shared public antibody responses. Sequence  
244 analysis of 6520 published SARS CoV-2 RBD specific mAbs define 002-13 as a public  
245 clonotype encoded by IGHV3-30,IGHJ4 genes with >80% of these exhibiting IGHD2-8  
246 gene usage and presence of CxGGxC motif in their CDRH3 region that have not been  
247 structurally characterized (7). While the other two mAbs, 002-02 and 034-32 mAbs are  
248 encoded by shared IGHV3-53/3-66 antibody genes as previously shown by others (5, 12,  
249 13). The Cryo-EM structures for these three mAbs in complex with trimeric spike protein  
250 show class-4 epitope recognition by 002-13 and class-1 epitope recognition by 002-02  
251 and 034-32. The structures further allowed us to define their epitope-paratope interfaces  
252 in detail in relation to the locations of SARS-CoV-2 variants mutations to predict viral

253 immune escape. While there was no observable difference in the antibody functionality  
254 for variants containing mutations that lie outside the mapped epitope surface of a  
255 particular antibody, there was a remarkable drop in binding affinity, and neutralization  
256 of the antibody when the mutations mapped to the antibody footprint. Most broad  
257 neutralizing antibodies recognize all variants antigen that either carry no mutations  
258 within their epitopes or the mutations in epitope region are favored by mAb specific  
259 molecular interactions as we observed for class-3 mAb 002-S21F2 (14). Here, we show  
260 all three mAbs potently neutralized the ancestral WA.1 strain, but differentially  
261 neutralize other variants, primarily due to the presence of evading mutations present in  
262 their epitope antigenic sites, similar to the other well characterized mAbs recognizing the  
263 same epitope classes (**Figure 5H**) (7). Major mutations responsible for Beta evasion are  
264 K417N, E484A for 002-02 and 034-32 mAbs, also observed previously for IGHV3-53/3-  
265 66 shared antibody responses (5, 12). Omicron, which contains six epitope mutations  
266 (K417N, S477N, Q493R, G496S, Q498R and N501Y) within 002-02/034-32 and three  
267 mutations (S371L, S373P and S375F) within 002-13 binding site, would collectively lead  
268 to major immuno-escape, especially as some mutation residues participate in direct  
269 interaction with mAb. Although 002-13 showed only moderate reduction in binding  
270 affinity, it showed no neutralization towards Omicron suggesting additional factors might  
271 play a role in 002-13 specific Omicron escape. One explanation could be that Omicron  
272 mutations that favor Spike “up” conformation would likely promote ACE2 interaction and  
273 reduce 002-13 mAb competition (24). Our findings suggest that immune pressures  
274 exerted by the shared antibody response to SARS-CoV-2 are likely to cause evolution  
275 variants with mutations in the class-4 antibody epitope residues S371, S373 and S375.  
276 These mutations must be tracked to find effective solutions to combat emerging variants.  
277 Further, the structure guided prediction made for three SARS-CoV-2 shared nAbs that  
278 potently neutralized the WA.1 strain holds true towards the functional efficacy of these  
279 mAbs against SARS-CoV-2 variants, including Omicron.

280 In summary, this study vastly improves our understanding of how Omicron escaped from  
281 shared antibody responses to SARS-CoV-2 elicited during the natural infection and has  
282 implications towards concepts for fast-tracking effective broad range therapeutics  
283 against continuously emerging SARS-CoV-2 variants.

284 **Materials and Methods**

285 **SARS-CoV-2 RBD-specific ELISA binding assays**

286 The recombinant SARS-CoV-2 RBD gene was cloned, expressed, purified and ELISAs were  
287 performed as previously described (14, 15, 25). Briefly, purified RBD was coated on 96-  
288 well MaxiSorp plates (Thermo Fisher, #439454) at a concentration of 1  $\mu$ g/mL in  
289 phosphate-buffered saline (PBS) at 4°C overnight. The plates were washed with PBS  
290 containing 0.05% Tween-20. Three-fold serially diluted purified mAb was added and  
291 incubated at room temperature for 1 hr. Plates were washed and the SARS-CoV-2 RBD  
292 specific IgG signal was detected by incubating with horseradish peroxidase (HRP)  
293 conjugated - anti-human IgG (Jackson ImmunoResearch Labs, #109-036-098). Plates  
294 were then washed thoroughly and developed with o-phenylenediamine (OPD) substrate  
295 (Sigma, #P8787) in 0.05M phosphate-citrate buffer (Sigma, #P4809) pH 5.0, containing  
296 0.012% hydrogen peroxide (Fisher Scientific, #18755). Absorbance was measured at  
297 490 nm.

298 **Live SARS-CoV-2 neutralization assay**

299 Neutralization titers to SARS-CoV-2 were determined based on either a focus-reduction  
300 neutralization mNeonGreen (FRNT-mNG) assay on Vero cells or FRNT assays based on  
301 Vero TMPRSS2 cells as previously described (14, 15). Briefly, 100 pfu of SARS-CoV-2  
302 (2019-nCoV/USA\_WA1/2020), Alpha, Beta, Gamma, Delta and Omicron variants were  
303 used on Vero TMPRSS2 cells. Purified monoclonal was serially diluted three-fold in  
304 duplicate starting at 10  $\mu$ g/ml in a 96-well round-bottom plate and incubated for 1 h at  
305 37°C. This antibody-virus mixture was transferred into the wells seeded with Vero-  
306 TMPRSS2 cells the previous day at a concentration of  $2.5 \times 10^4$  cells/well. After 1 hour,  
307 the antibody-virus inoculum was removed and 0.85% methylcellulose in 2% FBS  
308 containing DMEM was overlaid onto the cell monolayer. Cells were incubated at 37°C for  
309 16-40 hours. Cells were washed three times with 1X PBS (Corning Cellgro) and fixed with  
310 125  $\mu$ l of 2% paraformaldehyde in PBS (Electron Microscopy Sciences) for 30 minutes.  
311 Following fixation, plates were washed twice with PBS and 100  $\mu$ l of permeabilization  
312 buffer, was added to the fixed cells for 20 minutes. Cells were incubated with an anti-  
313 SARS-CoV spike primary antibody directly conjugated with alexaflour-647 (CR3022-  
314 AF647) for up to 4 hours at room temperature. Plates were then washed twice with 1x  
315 PBS and imaged on an ELISPOT reader (CTL Analyzer). Foci were counted using Viridot

316 (counted first under the “green light” set followed by background subtraction under the  
317 “red light” setting). IC<sub>50</sub> titers were calculated by non-linear regression analysis using the  
318 4PL sigmoidal dose curve equation on Prism 9 (Graphpad Software). Neutralization titers  
319 were calculated as 100% x [1- (average foci in duplicate wells incubated with the  
320 specimen) ÷ (average number of foci in the duplicate wells incubated at the highest  
321 dilution of the respective specimen)].

### 322 **Immunogenetic analyses of antibody genes**

323 The plasmid sequences were verified by Sanger sequencing (Macrogen sequencing, South  
324 Korea). The immunogenetic analysis of both heavy chain and light chain germline  
325 assignment, framework region annotation, determination of somatic hypermutation  
326 (SHM) levels (nucleotides) and CDR loop lengths (amino acids) was performed with the  
327 aid of IMGT/HighV-QUEST ([www.imgt.org/HighV-QUEST](http://www.imgt.org/HighV-QUEST)) (26).

### 328 **Expression of human monoclonal antibodies**

329 All transfections were done as described earlier (14). Briefly, expi293F cells were  
330 transfected with antibody expression plasmids at a density of 2.5 million cells per/ml  
331 using 1 mg/ml PEI-Max transfection reagent (Polysciences). Supernatants were  
332 harvested 4-5 days post-transfection and tested for their SARS-CoV-2 RBD binding  
333 potential by enzyme-linked immunosorbent assay (ELISA). Supernatant with positive  
334 RBD binding signals was next purified using Protein A/G beads (Thermo Scientific),  
335 concentrated using a 30 kDa or 100 kDa cut-off concentrator (Vivaspin, Sartorius) and  
336 stored at 4°C for further use.

### 337 **Electrochemiluminescence antibody binding assay**

338 Binding analysis of SARS-CoV-2 mAb to spike protein was performed using an  
339 electrochemiluminescence assay as described earlier (14). Briefly, V-PLEX COVID-19  
340 Panel 24 (Meso Scale Discovery) was used to measure the IgG1 mAb binding to SARS-  
341 CoV-2 spike antigens following the manufacturer’s recommendations. antigen coated  
342 plates were blocked with 150 µl/well of 5% BSA in PBS for 30 minutes. Plates were  
343 washed 3x with 150 µl/well of PBS with 0.05% Tween between each incubation step.  
344 mAbs were serially diluted for concentrations ranging from 10 µg/ml to 0.1 pg/ml and

345 50  $\mu$ l/well were added to the plate and incubated for two hours at room temperature  
346 with shaking at 700rpm. mAb antibody binding was then detected with 50  $\mu$ l/well of MSD  
347 SULFO-TAG anti-human IgG antibody (diluted 1:200) incubated for one hour at room  
348 temperature with shaking at 700rpm. 150  $\mu$ l/well of MSD Gold Read Buffer B was then  
349 added to each plate immediately before reading on an MSD QuickPlex plate reader.

350 **Octet BLI analysis**

351 Octet biolayer interferometry (BLI) was performed using an Octet Red96 instrument  
352 (ForteBio, Inc.) as described earlier (14). A 5  $\mu$ g/ml concentration of each mAb was  
353 captured on a protein A sensor and its binding kinetics were tested with serial 2-fold  
354 diluted RBD (600 nM to 37.5 nM) and spike hexapro protein (100 nM to 6.25 nM). The  
355 baseline was obtained by measurements taken for 60 s in BLI buffer (1x PBS and 0.05%  
356 Tween-20), and then, the sensors were subjected to association phase immersion for  
357 300 s in wells containing serial dilutions of RBD or trimeric spike hexapro protein. Then,  
358 the sensors were immersed in BLI buffer for as long as 600 s to measure the dissociation  
359 phase. The mean  $K_{on}$ ,  $K_{off}$  and apparent KD values of the mAbs binding affinities for RBD  
360 and spike hexapro were calculated from all the binding curves based on their global fit to  
361 a 1:1 Langmuir binding model using Octet software version 12.0.

362 **Spike protein expression and purification**

363 SARS-CoV-2 Spike-6P trimer protein carrying WA.1 was expressed and purified by  
364 transfecting expi293F cells using WA.1-spike-6P plasmids as described previously (14).  
365 Transfections were performed as per the manufacturer's protocol (Thermo Fisher).  
366 Briefly, expi293F cells ( $2.5 \times 10^6$  cells/ml) were transfected using ExpiFectamine<sup>TM</sup> 293  
367 transfection reagent (ThermoFisher, cat. no. A14524). The cells were harvested 4-5 days  
368 post-transfection. The spike protein was purified using His-Pur Ni-NTA affinity  
369 purification method. Column was washed with Buffer containing 25 mM Imidazole, 6.7  
370 mM NaH<sub>2</sub>PO<sub>4</sub>.H<sub>2</sub>O and 300 mM NaCl in PBS followed by spike protein elution in elution  
371 buffer containing 235 mM Imidazole, 6.7 mM NaH<sub>2</sub>PO<sub>4</sub>.H<sub>2</sub>O and 300 mM NaCl in PBS.  
372 Eluted protein was dialyzed against PBS and concentrated. The concentrated protein was  
373 loaded onto a Superose-6 Increase 10/300 column and protein eluted as trimeric spike  
374 collected. Protein quality was evaluated by SDS-PAGE and by Negative Stain-EM.

### 375 **Negative Stain – Electron Microscopy (NS-EM)**

376 Spike protein was diluted to 0.05 mg/ml in PBS before grid preparation. A 3  $\mu$ L drop of  
377 diluted protein (~0.025 mg/ml) was applied to previously glow-discharged, carbon-  
378 coated grids for ~60 sec, blotted and washed twice with water, stained with 0.75% uranyl  
379 formate, blotted and air-dried. Between 30-and 50 images were collected on a Talos  
380 L120C microscope (Thermo Fisher) at 73,000 magnification and 1.97  $\text{\AA}$  pixel size. Relion-  
381 3.1 (27) or Cryosparc v3.3.2 (28) was used for particle picking and 2D classification.

### 382 **Sample preparation for Cryo-EM**

383 SARS-CoV-2 spike-6P trimer incubated with the mAb (full-length IgG) at 0.7 mg/ml  
384 concentration. The complex was prepared at a 0.4 sub-molar ratio of mAb to prevent  
385 inter-spike crosslinking, mediated by bi-valent binding of intact antibody. The complex  
386 was incubated at room temperature for ~5 min before vitrification. Three  $\mu$ L of the  
387 complex was applied onto a freshly glow-discharged (PLECO easiGLOW) 400 mesh,  
388 1.2/1.3 C-Flat grid (Electron Microscopy Sciences). After 20 s of incubation, grids were  
389 blotted for 3 s at 0 blot force and vitrified using a Vitrobot IV (Thermo Fisher Scientific)  
390 under 22°C with 100% humidity.

### 391 **Cryo-EM data acquisition**

392 Single-particle Cryo-EM data for WA.1 spike-IgG complexes of mAb 002-02, 002-13 and  
393 034-32 were collected on a 300 kV Titan Krios transmission electron microscope  
394 (ThermoFisher Scientific) equipped with Gatan K3 direct electron detector behind 30 eV  
395 slit width energy filter. Multi-frame movies were collected at a pixel size of 1.0691  $\text{\AA}$  per  
396 pixel with a total dose of 63 e/ $\text{\AA}^2$  at defocus range of -0.7 to -2.7  $\mu$ m.

### 397 **Cryo-EM data analysis and model building**

398 Cryo-EM movies were motion-corrected by Patch motion correction implemented in  
399 Cryosparc v3.3.1 (28). Motion-corrected micrographs were corrected for contrast  
400 transfer function using Cryosparc's implementation of Patch CTF estimation.  
401 Micrographs with poor CTF fits were discarded using CTF fit resolution cutoff to ~6.0  $\text{\AA}$ .  
402 Particles were picked using a Blob picker, extracted and subjected to an iterative round  
403 of 2D classification. Particles belonging to the best 2D classes with secondary structure

404 features were selected for heterogeneous 3D refinement to separate IgG bound Spike  
405 particles from non-IgG bound Spike particles. Particles belonging to the best IgG bound  
406 3D class were refined in non-uniform 3D refinement with per particle CTF and higher-  
407 order aberration correction turned on. To further improve the resolution of the RBD-IgG  
408 binding interface a soft mask was created covering one RBD and interacting Fab region  
409 of IgG and refined locally in Cryosparc using Local Refinement on signal subtracted  
410 particles. All maps were density modified in Phenix (29) using Resolve Cryo-EM. The  
411 combined Focused Map tool in Phenix was used to integrate high resolution locally  
412 refined maps into an overall map. Additional data processing details are summarized in  
413 **Figure S3-S6 and Table S1-2.**

414 The initial spike models for WA.1 (PDB:7lrt) as well as individual heavy and light chains  
415 of the Fab region of an IgG (generated with AlphaFold) (30) were docked into combine  
416 focused Cryo-EM density maps using UCSF ChimeraX (31). The full Spike-mAb model was  
417 refined using rigid body refinement in Phenix, followed by refinement in Isolde (32). The  
418 final model was refined further in Phenix using real-space refinement. Glycans with  
419 visible density were modelled in Coot and validated by Privateer (33, 34). Model  
420 validation was performed using Molprobity (35). PDBePISA (36) was used to identify  
421 mAb-RBD interface residue, to calculate buried surface area and to identify polar  
422 interaction. Figures were prepared in ChimeraX (31) and PyMOL (37).

#### 423 **Molecular dynamics simulation**

424 Molecular dynamics simulations were carried out to understand the effect of RBD  
425 mutations on the mAb binding. MD simulations were carried using AMBER99SB force  
426 field as implemented in GROMACS 2019. The system was solvated with TIP3P water  
427 model and neutralized with salts ( $[\text{NaCl}] = 0.15 \text{ M}$ ). Electrostatics were calculated using  
428 the PME method [24] with a real space cut-off of 10 Å. Van der Waals interactions were  
429 modelled using Lennard-Jones 6-12 potentials with a 14 Å cut-off. The temperature was  
430 maintained at 300 K using V-rescale; hydrogen bonds were constrained using the LINCS  
431 algorithm [25]. Energy minimization was carried out to reach a maximum force of no  
432 more than 10 kJ/mol using steepest descent algorithm. The time step in all molecular  
433 dynamics simulations was set to 2 fs. Prior to the production run, the minimized systems  
434 were equilibrated for 5ns with NVT and followed with NPT at 300 K.

435 To calculate the Binding energies for the wild and the mutants, 200 snapshots were  
436 extracted from the last 20 ns of the 80ns production run. The extracted 400 frames for  
437 the wild and the variant subjected to MM/PBSA calculations using the gmx MMPBSA tool.  
438 Before executing the calculations using gmx MMPBSA, PBC conditions were removed  
439 from the GROMACS output trajectory and protein-mAb complex were indexed. The  
440 AMBER99SB force field5 was used to determine the internal term (Eint), van der Waals  
441 (EvdW), and electrostatic (Eele) energies. Whereas GB-Neck2 model (igb = 8) was used  
442 to estimate the polar component of the solvation energy (GGB), while the non-polar  
443 solvation free energy (GSA) was calculated using the equation:  $\Delta GSA = \gamma \cdot \Delta SASA + \beta$ .  
444 Here the values of  $\gamma$  and  $\beta$  are 0.0072 kcal·Å<sup>-2</sup>·mol<sup>-1</sup> and 0.

#### 445 **Data availability**

446 Atomic coordinates and Cryo-EM maps for reported structures are deposited into the  
447 Protein Data Bank (PDB) and the Electron Microscopy Data Bank (EMDB) with accession  
448 codes PDB-7U0Q and EMD-26263 for WA.1 Spike-6P in complex with mAb 002-02, PDB-  
449 7U0X and EMD-26267 for WA.1 Spike-6P in complex with mAb 002-013, PDB-7UOW and  
450 EMD-26656 for WA.1 Spike-6P in complex with mAb 034-32. Immunoglobulin sequences  
451 are available in GenBank under accession numbers ON882061 - ON882244. All data  
452 needed to evaluate the conclusions in the paper are present in the paper and/or the  
453 Supplementary Materials. For materials requests, please reach out to the corresponding  
454 author.

#### 455 **Statistical/Data analysis**

456 Statistical analysis was performed with Prism 9.0.

#### 457 **Acknowledgements**

458 We thank Vineet D. Menachery and Pei-Yong Shi (The University of Texas Medical  
459 Branch) for providing the SARS-CoV-2mNG for the neutralization assays; Jason McLellan  
460 (The University of Texas) for providing the SARS-CoV-2 hexapro spike expression  
461 plasmid; Vinay Gupta (BD Biosciences India) and Aditya Rathee (ICGEB-TACF facility) for  
462 single-cell sorting; Satendra Singh and Ajay Singh (ICGEB, New Delhi) for technical  
463 support. The Cryo-EM data sets on the Titan Krios were collected at the National Center

464 for CryoEM Access and Training (NCCAT) and the Simons Electron Microscopy Center  
465 located at the New York Structural Biology Center, supported by the NIH Common Fund  
466 Transformative High Resolution Cryo-Electron Microscopy program (U24 GM129539,)  
467 and by grants from the Simons Foundation (SF349247) and NY State Assembly. We also  
468 thank the staff of Robert P. Apkarian Integrated Electron Microscopy Core (IEMC) at  
469 Emory University, Atlanta for their support with preliminary sample screening on Talos  
470 Arctica.

471 **Funding**

472 This research was supported by the Indian Council of Medical Research VIR/COVID-  
473 19/02/2020/ECD-1 (A.C.). S.K. is supported through DBT/Wellcome Trust India Alliance  
474 Early Career Fellowship grant IA/E/18/1/504307. Both E.A.O and A.P are supported by  
475 the National Institute of Biomedical Imaging and Bioengineering of the National  
476 Institutes of Health (under award numbers 75N92019P00328, U54EB015408, and  
477 U54EB027690) as part of the Rapid Acceleration of Diagnostics (RADx) initiative. A.P. is  
478 also supported through CCHI grant 5U19 AI14237-04 (subaward 000520244-SP008-  
479 SC014). Both K.N. and E.S.R. are supported through Dengue Translational Research  
480 Consortia National Biopharma Mission BT/NBM099/02/18 (A.C.). K.G. was supported  
481 through DBT grant BT/PR30260/MED/15/194/2018 (A.C, K.M). C.W.D. is supported  
482 through the National Institute of Allergy and Infectious Diseases (NIAID) U19 AI142790,  
483 Consortium for Immunotherapeutics against Emerging Viral Threats. Work done in M.S.S.  
484 lab was funded in part with Federal funds from the National Institute of Allergy and  
485 Infectious Diseases, National Institutes of Health, Department of Health and Human  
486 Services, under HHSN272201400004C (NIAID Centers of Excellence for Influenza  
487 Research and Surveillance, CEIRS). This work was supported in part by grants (NIH  
488 P51OD011132 and NIH/NIAID CEIRR under contract 75N93021C00017 to Emory  
489 University) from the National Institute of Allergy and Infectious Diseases (NIAID),  
490 National Institutes of Health (NIH) and by intramural funding from the NIAID. This work  
491 was also supported in part by the Emory Executive Vice President for Health Affairs  
492 Synergy Fund award, COVID-Catalyst-I3 Funds from the Woodruff Health Sciences Center  
493 and Emory School of Medicine, the Pediatric Research Alliance Center for Childhood  
494 Infections and Vaccines and Children's Healthcare of Atlanta, and Woodruff Health  
495 Sciences Center 2020 COVID-19 CURE Award.

496 **Author contributions**

497 Experimental work, data acquisition and analysis of data by A.P., S.K., L.L., C.R.C., R.V.,  
498 E.S.R., K.V.G., D.R.R., P.B., V.V.E., M.E.D.G., K.D., P.S., G.M., F.F., N.C., H.V., A.S.N., J.D.R., C.W.D.,  
499 J.W., M.S.S., and E.A.O. Conceptualization and implementation by S.K., A.P., E.O., M.S.S., A.S.,  
500 R.A., M.K.K., A.C. Manuscript writing by S.K., A.P., E.A.O., A.C., and M.K.K. All authors  
501 contributed to reviewing and editing the manuscript.

502 **Competing interests**

503 The International Centre for Genetic Engineering and Biotechnology, New Delhi, India,  
504 Emory Vaccine Center, Emory University, Atlanta, USA, Indian Council of Medical  
505 Research, India and Department of Biotechnology, India have filed a provisional patent  
506 application on human monoclonal antibodies mentioned in this study on which A.C., S.K.,  
507 M.K.K., and A.S. are inventors (Indian patent 202111052088). N.C., H.V., A.S.N., and J.D.R.  
508 are co-inventors on a pending patent related to SARS-CoV-2 WT, Delta and Omicron spike  
509 protein structures and ACE2 Interactions from BoAb assay technology filed by Emory  
510 University (US Patent Application No. 63/265,361, Filed on 14 December 2021). M.S.S.  
511 has previously served as a consultant for Moderna and Ocugen. J.D.R. is a Co-founder and  
512 Consultant for Cambium Medical Technologies. J.D.R. is a Consultant for Secure  
513 Transfusion Services. All other authors declare no competing interests.

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600

601 **Figure Legends**

602 **Figure 1: Genetic information of SARS-CoV-2 RBD specific shared mAbs.** (A)  
603 Immunogenetic information of the three SARS-CoV-2 mAbs. (B) Heavy chain variable  
604 gene distribution of SARS-CoV-2 RBD-specific human mAbs (N=6520) documented in  
605 CoV-AbDab dataset. (C) Light chain variable gene distribution of SARS-CoV-2 RBD-  
606 specific human mAbs documented in CoV-AbDab dataset. (D) Heavy chain VJ-gene bar  
607 plot of SARS-CoV-2 RBD-specific human mAbs documented in CoV-AbDab dataset. (E)  
608 Light chain VJ-gene bar plot of SARS-CoV-2 RBD-specific human mAbs documented in  
609 CoV-AbDab dataset.

610 **Figure 2: Binding, neutralization and affinity analysis of selected mAbs towards the**  
611 **WA.1 strain.** (A) Three SARS-CoV-2 mAbs were tested for binding to the WA.1 RBD  
612 protein. (B) Live virus neutralization curves of the three mAbs against live WA.1 SARS-  
613 CoV-2. Neutralization was determined on using a focus reduction neutralization  
614 mNeonGreen (FRNT-mNG) assay on Vero cells. (C) 50% focus reduction neutralization  
615 titres (FRNT<sub>50</sub>) for the three SARS-CoV-2 mAbs against WA.1 are shown.

616 **Figure 3. Cryo-EM structure of 002-13 in complex with WA.1 Spike trimer explains**  
617 **its broad neutralization activity.** (A) Cryo-EM structure of WA.1 Spike-6P trimer in  
618 complex with mAb 002-13. Overall density map at contour level of 5.4  $\sigma$  showing the  
619 antibody binding in the RBD “up” conformation. Each Spike protomer is shown in gray,  
620 yellow or green; light and heavy chains of each Fab region are shown in blue/ magenta  
621 and light blue/ pink, respectively. A model for one complex between Fab and RBD is  
622 shown to the right. The positions of all Fab complementarity-determining region (CDR)  
623 regions are labelled. (B) Surface representation of RBD with relative positions of all CDR  
624 loops. The mapped epitope surface in the RBD is highlighted in magenta. (C, E, F)  
625 Interaction details at the 002-13-RBD interface. (D) Heavy chain CDR3 loop in density  
626 map. (G) Comparison of 002-13 binding mode with other Class-4 mAbs. (H) Zoom in view  
627 comparing the heavy chain CDR3 loop positions of 002-13 vs COVA1-16. CDR3 amino acid  
628 sequence of 002-13 and COVA1-16 is shown below. (I) Locations of beta (yellow), delta  
629 (red) and omicron (green) mutations on the RBD relative to the 002-13 epitope site  
630 (black outline).

631 **Figure 4. Cryo-EM structure of 002-02 in complex with WA.1 spike trimer.** (A) Cryo-  
632 EM structure of WA.1 spike-6P trimer in complex with mAb 002-02. Overall density map  
633 at contour level of 3.6  $\sigma$  showing the antibody binding two RBDs in the “up” conformation.  
634 Each protomer of Spike is shown in gray, yellow or green; the light and heavy chains of  
635 each Fab region are shown in blue/ magenta and light blue/ pink, respectively. A model  
636 one Fab-RBD complex is shown to the right and the positions of all Fab CDR regions are  
637 labelled. (B) Surface representation of the RBD showing the relative positions of all CDR  
638 loops. The mapped epitope surface in the RBD is highlighted in orange. (C-F) Interaction  
639 details of the 002-02-RBD interface. (G) locations of Beta (yellow), Delta (red) and  
640 Omicron (green) mutations on RBD relative to the 002-02 epitope site (black outline).

641 **Figure 5: Binding affinity and neutralization analysis of selected mAbs against**  
642 **SARS-CoV-2 variants.** (A-C) Three potent neutralizing mAbs were tested for binding to  
643 spike proteins of SARS-CoV-2 WA.1, Alpha, Beta, Delta and Omicron (BA.1) variants of  
644 concern (VOCs). Curves shown are the best fit one-site binding curves calculated by Prism  
645 9.0 (D-F) Live virus neutralization curves and FRNT<sub>50</sub> values of three potent mAbs for

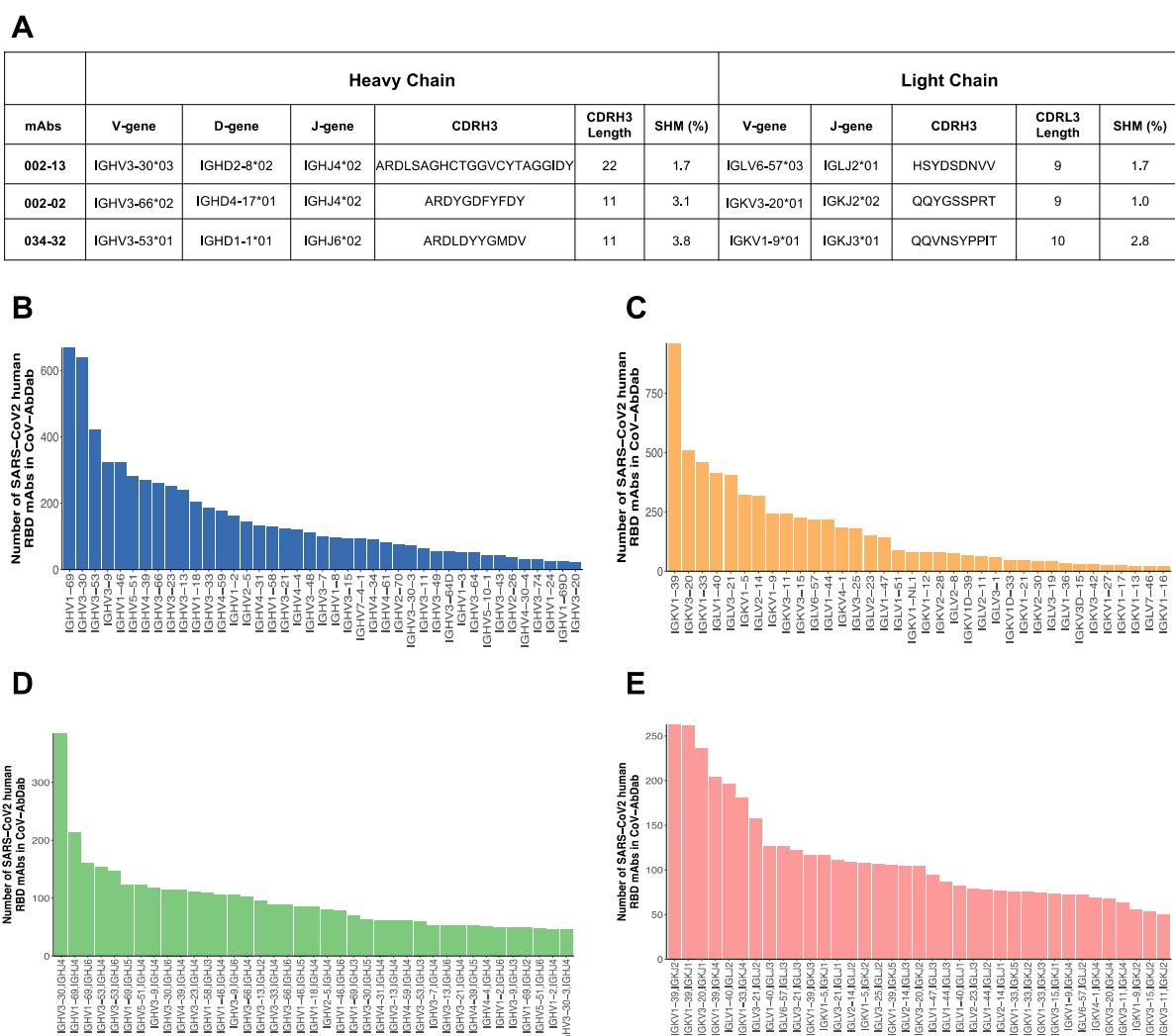
646 WA.1, Alpha, Beta, Delta and Omicron (BA.1) SARS-CoV-2 VOCs are shown. Neutralization  
647 was determined on Vero-TMPRSS2 cells using a focus reduction neutralization assay. **(G)**  
648 Table summarizing the dissociation constant ( $K_D$ ) and neutralization potency of mAbs  
649 against SARS-CoV-2 variants. **(H)** Comparison of three mAbs with other similar RBD  
650 epitope class recognizing mAbs and their reported neutralization to SARS-CoV-2 variants  
651 (variants in Bold show reduced potency) (7).

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654 **Figures**

655 **Figure-1**



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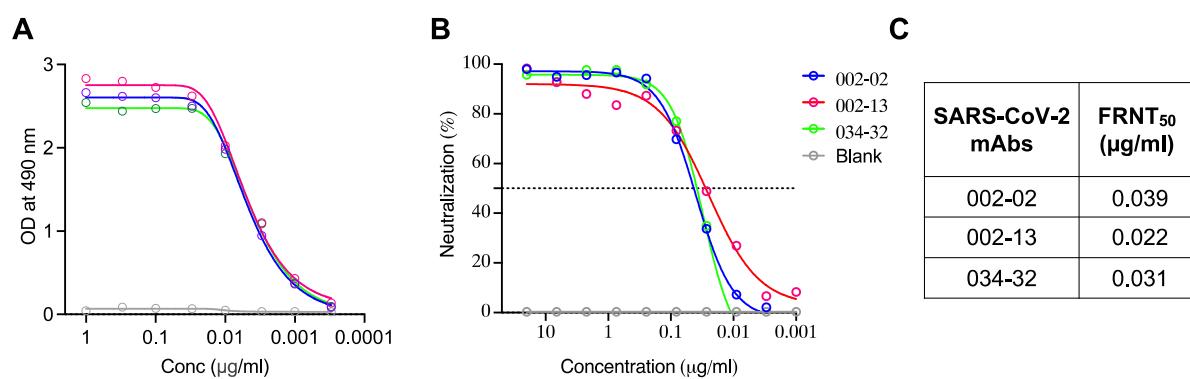
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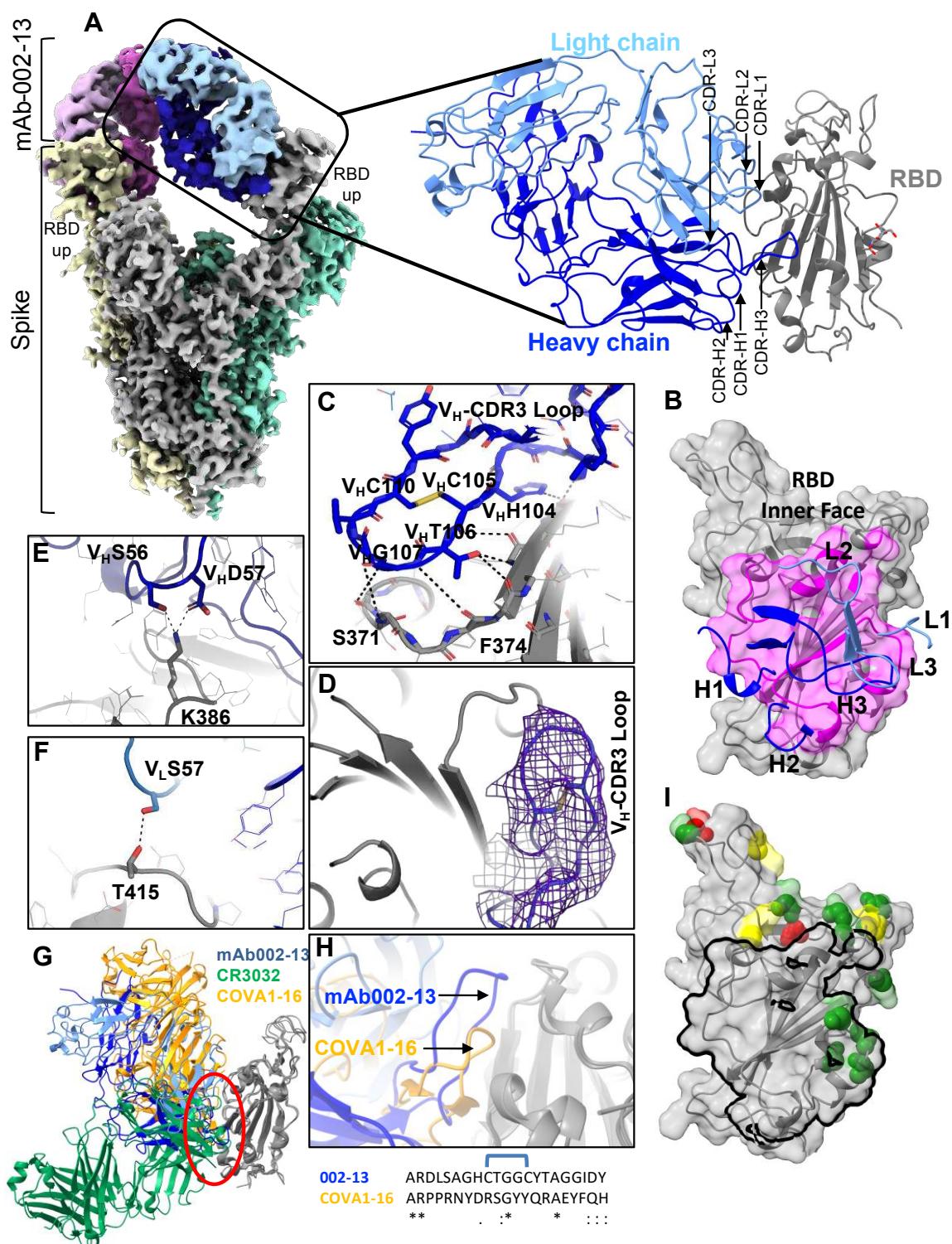
666 **Figure-2**



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669 **Figure-3**

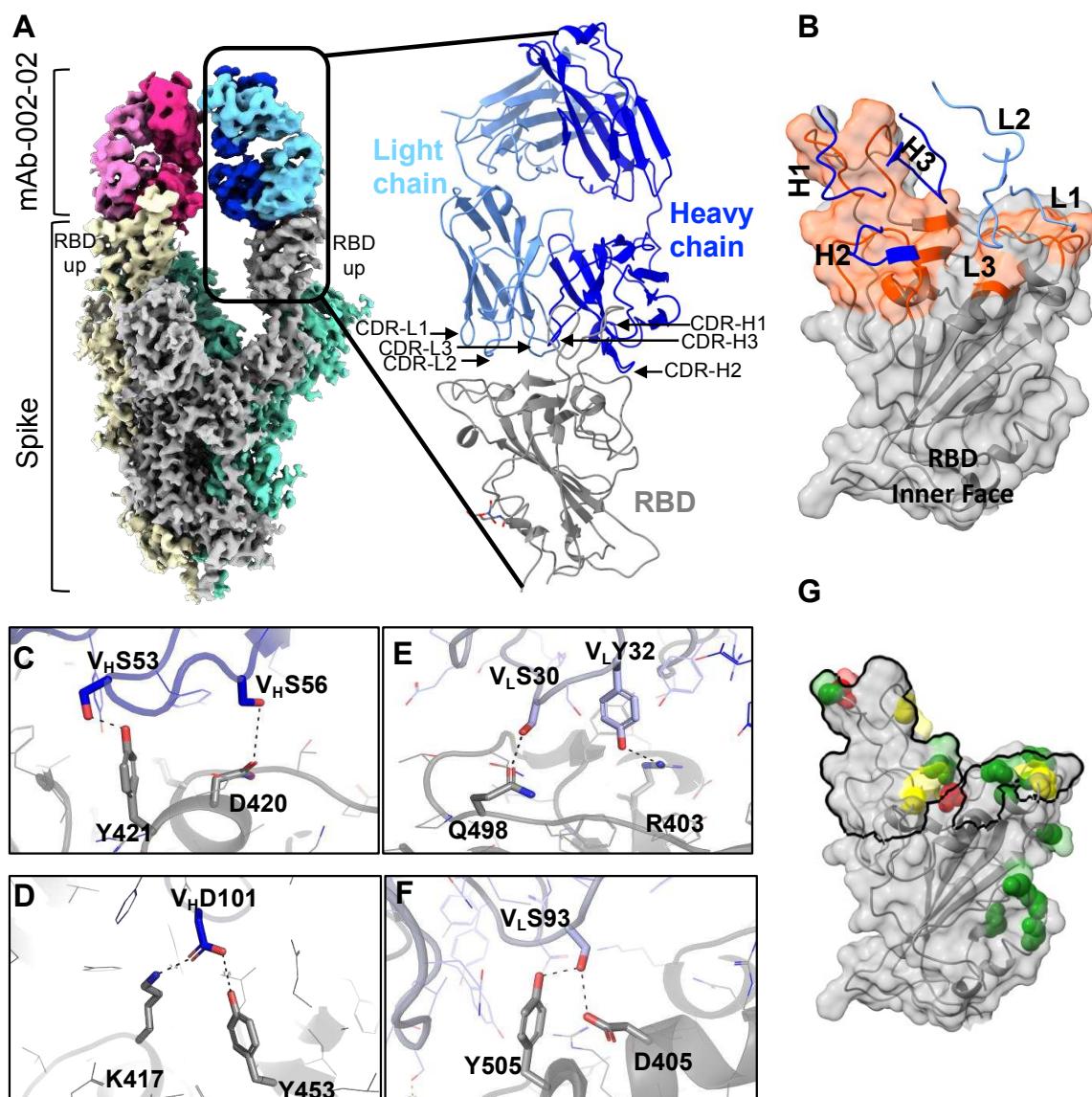


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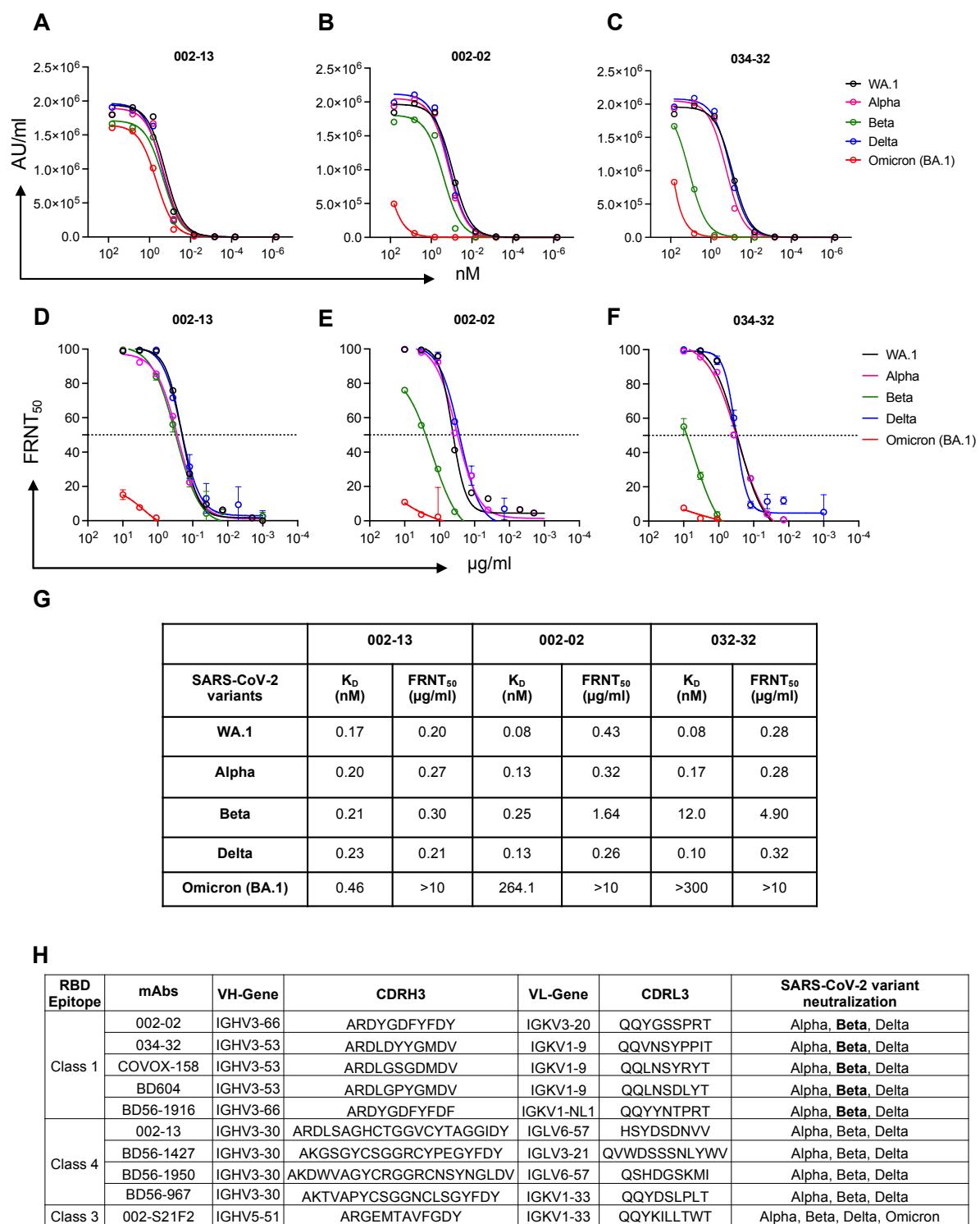
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673 **Figure-4**



681 **Figure-5**



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