

*RUNNING HEAD: Hormonal contraceptive use and dopamine synthesis*

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3 **Striatal dopamine synthesis and cognitive flexibility differ between**  
4 **hormonal contraceptive users and non-users**

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## Abstract

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36 In rodents and nonhuman primates, sex hormones are powerful modulators of dopamine  
37 neurotransmission. Yet little is known about hormonal regulation of the dopamine system in the  
38 human brain. Using Positron Emission Tomography (PET), we address this gap by comparing  
39 hormonal contraceptive users and non-users across multiple aspects of dopamine function:  
40 dopamine synthesis capacity via the PET radioligand 6-[<sup>18</sup>F]fluoro-m-tyrosine ([<sup>18</sup>F]FMT),  
41 baseline D2/3 receptor binding potential using [<sup>11</sup>C]raclopride, and dopamine release using  
42 methylphenidate-paired [<sup>11</sup>C]raclopride. Participants consisted of 36 healthy women (n=21  
43 naturally cycling; n=15 hormonal contraceptive users), and men (n=20) as a comparison group.  
44 A behavioral index of cognitive flexibility was assessed prior to PET imaging. Hormonal  
45 contraceptive users exhibited greater dopamine synthesis capacity than naturally cycling  
46 participants, particularly in dorsal caudate, and greater cognitive flexibility. Further, across  
47 individuals the magnitude of striatal DA synthesis capacity was associated with cognitive  
48 flexibility. No group differences were observed in D2/3 receptor binding or dopamine release.  
49 Analyses by sex alone may obscure underlying differences in DA synthesis tied to women's  
50 hormone status. Hormonal contraception (in the form of pill, shot, implant, ring or IUD) is used  
51 by ~400 million women worldwide, yet few studies have examined whether chronic hormonal  
52 manipulations impact basic properties of the dopamine system. Findings from this study begin to  
53 address this critical gap in women's health.

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62 Sex hormones are powerful neuromodulators of learning and memory (1). Accumulating  
63 evidence suggests that sex hormones' influence extends to the regulation of dopamine (DA) (2–  
64 5), itself a neuromodulator of higher order cognitive functions (6–8). In rodents and nonhuman  
65 primates, 17 $\beta$ -estradiol (E2) and progesterone (P) modulate DA synthesis and release, alter DA-  
66 D2 receptor availability, and modify the basal firing rate of dopaminergic neurons (9–15). For  
67 example, E2 administration produces a dose-dependent increase in striatal DA (11) and  
68 modulates goal-directed behavior (16) in rodents. Progesterone has a bimodal effect on striatal  
69 DA concentration, with increases in DA in the first 12 hours after P perfusion, and inhibitory  
70 effects 24h post-infusion. Further, surgical removal of the ovaries reduces tyrosine hydroxylase–  
71 immunoreactive neurons in the substantia nigra (17) and prefrontal cortex (18). Estrogen  
72 receptors are localized to regions that receive major projections from midbrain DA neurons,  
73 including prefrontal cortex (PFC), dorsal striatum, and the nucleus accumbens (19). Despite the  
74 substantial literature supporting sex hormones' role in DA neuromodulation in rodents and  
75 nonhuman primates, little is known about hormonal regulation of the dopamine system in the  
76 human brain.

77 Indirect evidence in humans suggests that estradiol modulates dopamine-dependent  
78 cognitive function and prefrontal cortex activity (20–22,22,23). For example, Jacobs and  
79 D'Esposito found evidence that estradiol regulates PFC activity and working memory  
80 performance, and the direction of the effect depends on an individual's basal PFC dopamine tone  
81 (indexed by catechol-O-methyltransferase activity) (20). Additional evidence suggests that  
82 menstrual cycle phase influences dopamine-dependent motor and cognitive functions, including  
83 response time on tests of manual coordination, working memory and cognitive flexibility  
84 (24,25), and immediate reward selection bias (26).

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85 Molecular PET imaging provides a more direct assessment of dopaminergic activity *in*  
86 *vivo* in the human brain. Findings of sex differences in DA synthesis capacity (27), DA release  
87 (28–30), and DA transporter density (31,32) again suggest a role for sex steroid hormones in  
88 modulating aspects of DA functioning. Additional evidence comes from PET studies of women  
89 in different phases of the menstrual cycle or during the menopausal transition. Wong et al. (33)  
90 observed fluctuations in DA-D2 receptor density across the menstrual cycle in healthy  
91 premenopausal women, and Pohjalainen et al. (34) observed greater variability in DA-D2  
92 receptor density in premenopausal versus postmenopausal women, with the suggestion that  
93 greater variability was attributable to hormonal fluctuations across the menstrual cycle. Evidence  
94 is mixed, however, with some studies reporting no significant associations between DA signaling  
95 and menstrual cycle phase or serum estradiol concentrations (35–37).

96 An underexplored population for studying hormonal influences on DA function is women  
97 using hormonal contraception. Hormonal contraception (HC; in the form of pill, shot, implant,  
98 ring or IUD) is used by ~400 million women worldwide (38), yet few studies have examined  
99 whether chronic hormone manipulations affect basic properties of the dopamine system. In the  
100 present study, we probed the impact of hormonal contraception on multiple properties of the DA  
101 system using molecular PET imaging techniques, offering new insights into the relationship  
102 between sex hormones and DA neurotransmission in the human brain. The study consisted of  
103 young, healthy women and men, and paired pharmacological manipulation of the DA system  
104 with PET imaging to assess synthesis capacity (radioligand [<sup>18</sup>F]fluoro-m-tyrosine), D2 receptor  
105 availability (radioligand [<sup>11</sup>C]raclopride) and DA release (radioligand [<sup>11</sup>C]raclopride paired with  
106 methylphenidate). This provides a unique opportunity to characterize differences in DA synthesis  
107 capacity, basal DA receptor occupancy, and stimulated DA release in a single cohort. Next, we

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108 investigated sex differences in DA neurotransmission. Finally, we examined whether differences  
109 in DA neurotransmission were associated with DA-dependent cognition, using a behavioral  
110 assessment of cognitive flexibility (39,40).

111 **Methods**

112 **Participants**

113 Participants consisted of 57 adults (mean age = 21.16, SD = 2.37, range: 18–28 years), including  
114 37 women and 20 men (n = 28 Asian, 10 Hispanic or Latino, 9 White (not Hispanic or Latino), 2  
115 Black or African-American, 3 more than one race or ethnicity, 2 other, and 3 declined to state).  
116 Participants underwent PET and MRI imaging as part of a parent study on dopaminergic  
117 mechanisms of cognitive control (e.g., see (40)). PET data from this sample have previously  
118 been described in (41). This study was approved by Institutional Review Boards at the  
119 University of California, Berkeley and Lawrence Berkeley National Laboratory. Participants met  
120 the following eligibility criteria: (1) 18–30 years old, (2) right-handed, (3) current weight of at  
121 least 100 pounds, (4) able to read and speak English fluently, (5) nondrinker or light drinker  
122 (women: <7 alcoholic drinks/week; men: <8 alcoholic drinks/week), (6) no recent history of  
123 substance abuse, (7) no history of neurological or psychiatric disorder as confirmed by clinician  
124 interview, (8) no current psychoactive medication or street drug use, (9) not pregnant, and (10)  
125 no contraindications to MRI. Most participants completed three PET scans over the course of  
126 two separate sessions: [<sup>18</sup>F]FMT, and [<sup>11</sup>C]raclopride + placebo and [<sup>11</sup>C]raclopride +  
127 methylphenidate on the same day; the exceptions were one participant (a naturally-cycling  
128 woman) who did not complete the FMT scan due to technical issues, one participant (a naturally-  
129 cycling woman) who did not produce reliable Raclopride scan data due to technical issues, and  
130 two participants (hormonal contraceptive users) who did not complete Raclopride scans.

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131 *FMT sample.* Women were categorized based on hormone status: naturally cycling (NC, no  
132 current reported use of hormonal contraception; n = 21, avg. age = 22.67 years, SD = 2.77) and  
133 current users of hormonal contraception (HC, n = 15, avg. age = 20.43 years, SD = 1.91). Types  
134 of hormonal contraception used included: combined oral contraception (OC, n = 10), vaginal  
135 ring (n = 1), implant (n = 2), injection (n = 1), and hormonal intrauterine device (IUD, n = 1).

136 *RAC sample.* RAC data from one NC participant did not pass quality control and two HC users  
137 (combined OC) did not have RAC sessions, yielding a final sample of 21 NC women (avg age =  
138 20.67, SD = 1.91) and 13 HC users (avg age = 22.69, SD = 2.81).

139 In our secondary analyses, participants were grouped by self-reported sex (male, n = 20; female,  
140 n = 37), and hormone status (male, NC, HC). Men and women did not differ significantly in age  
141 or BMI, however HC users were older than males ( $p = .03$ ,  $d = 0.85$ ) and NC participants ( $p =$   
142 .01,  $d = 0.94$ ) by 25 months on average (Table 1).

143 **Structural MRI Scan**

144 Images were acquired using a Siemens 3 T Trio Tim scanner with a 12-channel coil. Each  
145 participant was scanned on 3 occasions using a high-resolution T1-weighted magnetization  
146 prepared rapid gradient echo (MPRAGE) whole brain scan (TR=2,300 ms; TE=2.98 ms; FA=9°;  
147 matrix=240 × 256; FOV=256; sagittal plane; voxel size=1 × 1 × 1 mm; 160 slices). The three  
148 MPRAGE scans were aligned, averaged, and segmented using FreeSurfer version 5.1  
149 (<http://surfer.nmr.mgh.harvard.edu/>) and the averaged template was used for coregistration with  
150 the PET data.

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153 **[<sup>18</sup>F]FMT PET Data Acquisition**

154 Participants underwent an [<sup>18</sup>F]FMT PET scan to measure dopamine synthesis capacity. Detailed  
155 methods are provided in (39). PET data were acquired using a Siemens Biograph Truepoint 6  
156 PET/CT scanner (Siemens Medical Systems, Erlangen, Germany) ~1 hour after participants  
157 ingested 2.5 mg/kg of carbidopa to minimize the peripheral decarboxylation of [<sup>18</sup>F]FMT. After  
158 a short CT scan, participants were injected with approximately 2.5 mCi of [<sup>18</sup>F]FMT as a bolus  
159 in an antecubital vein ( $M \pm SD$ ; specific activity =  $947.30 \pm 140.26$  mCi/mmol; dose =  $2.43 \pm 0.06$   
160 mCi). Dynamic acquisition frames were obtained over 90 min in 3D mode (25 frames total:  $5 \times$   
161  $1, 3 \times 2, 3 \times 3, 14 \times 5$  min). Data were reconstructed using an ordered subset expectation  
162 maximization algorithm with weighted attenuation, corrected for scatter, and smoothed with a  
163 4mm full width at half maximum (FWHM) kernel.

164 **[<sup>11</sup>C]Raclopride PET Data Acquisition**

165 Participants received two [<sup>11</sup>C]raclopride PET scans an average of 21.65 days before or after the  
166 [<sup>18</sup>F]FMT scan (median = 7 days) to measure D2/3 receptor occupancy and dopamine release. To  
167 measure baseline D2/3 receptor occupancy, participants ingested a placebo pill approximately 1  
168 hour before [<sup>11</sup>C]raclopride scan 1. The placebo scan was always performed first. To measure  
169 dopamine release, participants ingested 30 mg ( $M \pm SD$  mg/kg:  $0.46 \pm 0.08$ ) of methylphenidate  
170 ~ 1 hour before [<sup>11</sup>C] raclopride scan 2. Endogenous DA release was measured as the percent  
171 signal change (PSC) in non-displaceable binding potential (BPND) from [<sup>11</sup>C]raclopride scan 1  
172 to [<sup>11</sup>C]raclopride scan 2 ((placebo [<sup>11</sup>C]raclopride – methylphenidate [<sup>11</sup>C]raclopride)/placebo  
173 [<sup>11</sup>C]raclopride). Scans were conducted on the same day, 2 hours apart and participants were  
174 blind to whether placebo or methylphenidate was administered. For both [<sup>11</sup>C]raclopride scan 1  
175 and [<sup>11</sup>C] raclopride scan 2, after a short CT scan, participants were injected with approximately

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176 10 mCi of [<sup>11</sup>C]raclopride as a bolus in an antecubital vein. Dynamic acquisition frames were  
177 obtained over 60 min in 3D mode (19 frames total: 5 × 1, 3 × 2, 3 × 3, 8 × 5). Reconstruction  
178 was performed as described above.

179 **PET Data Analysis**

180 PET data were preprocessed using SPM8 software (Friston et al, 2007). To correct for motion  
181 between frames, images were realigned to the middle frame. The first five images were summed  
182 prior to realignment to improve realignment accuracy, as these early images have relatively low  
183 signal contrast. Structural images were coregistered to PET images using the mean image of  
184 frames corresponding to the first 20 min of acquisition as a target. The mean image for the first  
185 20 min was used rather than the mean image for the whole scan time because it provides a  
186 greater range in image contrast outside of striatum thus making it a better target for  
187 coregistration.

188 [<sup>18</sup>F]FMT. Graphical analysis for irreversible tracer binding was performed using Patlak  
189 plotting (42,43) implemented using inhouse software and Matlab version 8.2 (The MathWorks,  
190 Natick, MA). Without measurement of the arterial input function [<sup>18</sup>F]FMT PET analysis used  
191 reference region models. Cerebellar gray matter was used as the reference region because this  
192 region shows very little tracer uptake, and has an extremely low density of DA receptors and  
193 metabolites relative to striatum (44–47). The most anterior 1/4 of cerebellar gray was removed  
194 from the reference region to limit contamination of signal from the substantia nigra and ventral  
195 tegmental area.  $K_i$  images were generated from PET frames corresponding to 25 to 90min  
196 (48,49), which represent the amount of tracer accumulated in the brain relative to the reference  
197 region.

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198 *[<sup>11</sup>C]Raclopride.* For [<sup>11</sup>C]raclopride PET, reversible tracer binding was quantified using  
199 simplified reference tissue model analysis (SRTM; (50)). Specifically, a basis function version of  
200 the SRTM was applied as previously described (51) with posterior cerebellar gray matter used as  
201 the reference region. The SRTM analysis was performed using inhouse software provided by Dr  
202 Roger Gunn and Matlab version 8.2. SRTM analysis was used to determine BP<sub>ND</sub>, which can be  
203 defined as: BP<sub>ND</sub>= f<sub>ND</sub>B<sub>avail</sub>/K<sub>D</sub> where B<sub>avail</sub> is the concentration of D2/3 receptors, K<sub>D</sub> is the  
204 inverse of the affinity of the radiotracer for D2/3 receptors, and f<sub>ND</sub> is the free fraction of the  
205 ligand in the nondisplaceable tissue compartment (52,53).

206 **Regions of Interest**

207 An ROI approach was used to test relationships between hormonal status and PET measures of  
208 dopaminergic function in striatal subregions. Striatal subregions were manually drawn in native  
209 space on each participant's averaged MPRAGE MRI scan using Mango software. The dorsal  
210 caudate, dorsal putamen, and ventral striatum were segmented as described in (54). Inter-rater  
211 reliability was high for manually drawn striatal subregions (see (39)).

212 As we did not hypothesize an effect of hemisphere, ROI values for our three ROIs (dorsal  
213 caudate, dorsal putamen, and ventral striatum) were analyzed as voxel-weighted averages of left  
214 and right hemisphere PET values as follows:

215 
$$(\text{L value} \times \text{L ROI volume} + \text{R value} \times \text{R ROI volume}) / \text{Combined R + L ROI volume.}$$

216 All analyses on striatal values were conducted on partial volume corrected ROIs (PVC; (55)).  
217 PVC was performed in native space (non-normalized data) and corrects for between-subject  
218 differences in the inclusion of white matter and CSF in the measured volumes. To apply the PVC  
219 in native space, we used FreeSurfer-generated ROIs for gray matter cortical and subcortical

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220 regions, white matter, and cerebral spinal fluid. All statistical analyses were conducted using R  
221 (version 1.2.5001)

222 **Cognitive Paradigm**

223 The task was an adaptation of the task-switching paradigm developed by Armbruster,  
224 Ueltzhöffer, Basten, and Fiebach (56) and is described in detail in (40). Briefly, on each trial,  
225 participants were required to respond quickly to digits between 1 and 9 (excluding 5) that  
226 appeared in different shades of gray against a black background. On 82% of trials, a single digit  
227 appeared above a central fixation. For these “ongoing task” trials, participants performed an  
228 operation (odd/even or low/high decisions) on the digit and responded by pressing the index  
229 finger of either their left or right hand. On the remaining 18% of trials, two digits appeared on  
230 the screen simultaneously, one above and one below the fixation cross. The relative brightness of  
231 the upper and lower digits varied and encoded a task cue. When the upper digit was brighter (6%  
232 of trials), participants were instructed to ignore the lower digit and continue to apply the ongoing  
233 task rule to the upper digit (“distractor trials”). When the lower digit was brighter (6% of trials),  
234 participants were signaled to switch attention to the lower and to apply the alternate task rule to it  
235 (“switch trials”). On the final third of these trials (6% of total trials), the difference in brightness  
236 between the upper and lower digits was reduced (“ambiguous trials”). Ambiguous trials were not  
237 considered in our analyses. Participants performed a total of 990 trials distributed across three  
238 blocks with brief interposed breaks. Cognitive testing occurred prior to PET imaging. *Distractor*  
239 *cost* was calculated as the difference between performance accuracy on “distractor” trials and  
240 “ongoing” trials, and *switch cost* was calculated as the difference between performance accuracy  
241 on “switch” versus “ongoing” trials. One NC participant did not undergo cognitive testing,

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242 resulting in a final sample of 20 NC women (avg age = 20.67, SD = 1.91) and 15 HC users (avg  
243 age = 22.69, SD = 2.81)

244 **Statistical Analysis**

245 *Impact of hormone status on DA neurotransmission.* Since hormonal contraceptive (HC) users  
246 were older than naturally cycling (NC) participants, to compare markers of dopaminergic  
247 signaling between HC and NC groups, we conducted  $2 \times 4$  ANCOVA (hormone group  $\times$   
248 bilateral region of interest, controlling for the effects of age) for measures of interest (FMT  $K_i$ ,  
249 [ $^{11}\text{C}$ ]raclopride BP<sub>ND</sub> and percent signal change (PSC) in [ $^{11}\text{C}$ ]raclopride BP). We investigated  
250 significant main effects with post-hoc one-way ANCOVAs to determine which regions were  
251 driving the effect, controlling for the effects of age. Statistically significant findings that survived  
252 Bonferroni correction for multiple comparisons are noted ( $p_{\text{Bf}} .05/3$  regions = .0167). Partial  
253 effect sizes ( $\eta^2$ ) are reported for statistically significant findings.

254 Welch's  $t$ -tests were used to compare distractor costs and switch costs between our  
255 comparison groups. One NC participant with unusable task data was omitted from these  
256 analyses. Finally, as a follow-up to observed differences between NC and HC women, switch  
257 costs were correlated with [ $^{18}\text{F}$ ]FMT  $K_i$  PVC striatal values to evaluate a relationship between  
258 performance and DA synthesis.

259 *Sex differences in DA neurotransmission.* To compare aspects of DA signaling by sex and  
260 hormone status, we conducted  $2 \times 3$  mixed ANCOVA (group  $\times$  bilateral region of interest,  
261 controlling for age) for measures of interest (FMT  $K_i$ , [ $^{11}\text{C}$ ]raclopride BP and percent signal  
262 change (PSC) in [ $^{11}\text{C}$ ]raclopride BP). Welch's  $t$ -tests were conducted to compare distractor costs  
263 and switch costs by sex.

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264 Finally, to determine whether differences in hormonal status within women influenced  
265 the detection of sex differences, we conducted  $3 \times 3$  mixed ANCOVA (group  $\times$  bilateral region  
266 of interest, controlling for age) for each measure of interest (FMT  $K_i$ , [ $^{11}\text{C}$ ]raclopride BP and  
267 percent signal change (PSC) in [ $^{11}\text{C}$ ]raclopride BP). Significant main effects were investigated  
268 using post-hoc one-way ANCOVAs, again to control for the effects of age.

## 269 **Results**

### 270 **271 DA neurotransmission differs with hormonal contraceptive use**

#### 272 Striatal [ $^{18}\text{F}$ ]FMT $K_i$

273 [ $^{18}\text{F}$ ]FMT PET data was obtained to assess DA synthesis capacity in the striatum. ANCOVA  
274 revealed significant main effects of age ( $F(1,33) = 4.844, p = .035, \eta^2 = 0.13$ ), hormone status  
275 ( $F(1,33) = 7.753, p = .009, \eta^2 = 0.19$ , **Fig. 1**) and region ( $F(2,68) = 207.859, p < .00001, \eta^2 =$   
276 0.86). Regional effects were expected as previously reported (41). There was no significant  
277 interaction between hormone status and region. Results from post-hoc one-way ANCOVAs  
278 indicate that hormonal contraceptive users exhibited greater FMT  $K_i$  values compared to  
279 naturally cycling participants, with the largest effect in dorsal caudate ( $F(1,33) = 9.611, p_{Bf} =$   
280 .004).  $K_i$  values differed marginally between hormonal contraceptive users and naturally cycling  
281 participants in dorsal putamen ( $F(1,33) = 3.966, p = .055$ ) and ventral striatum ( $F(1,33) = 3.754,$   
282  $p = .061$ ) (**Table 2**).

#### 283 Striatal [ $^{11}\text{C}$ ]Raclopride BP

284 [ $^{11}\text{C}$ ]Raclopride PET data was obtained to measure D2/3 receptor binding potential.  
285 [ $^{11}\text{C}$ ]Raclopride BP differed significantly by region ( $F(2,64) = 389.281, p < .0001, \eta^2 = 0.92$ ).  
286 Regional effects were expected as previously reported (41). There was no significant main effect

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287 of age ( $F(1,31) = 3.795, p = .061$ ) or hormone status ( $F(1,31) = 0.09, p = .76$ ) on [ $^{11}\text{C}$ ]raclopride  
288 BP<sub>ND</sub> values, nor was there an interaction between hormone status and region ( $F(2,64) = 0.815, p = .447$ ) (see Supplemental Table 1 for values).

290 Percent Signal Change in Striatal [ $^{11}\text{C}$ ]Raclopride BP

291 Methylphenidate-paired [ $^{11}\text{C}$ ]raclopride PET data was acquired to measure DA release.  
292 [ $^{11}\text{C}$ ]Raclopride BP PSC values differed significantly by region ( $F(2,64) = 389.281, p < .0001, \eta^2 = 0.92$ ). Again, regional effects were expected as previously reported (41). There were no  
293 significant effects of age ( $F(1,31) = 3.795, p = .061$ ) or hormone status ( $F(1,31) = 0.092, p = .76$ ) on [ $^{11}\text{C}$ ]raclopride BP PSC values, nor was there an interaction between status and region  
294 ( $F(2,64) = 0.815, p = .45$ ) (see supplemental Table 1 for values).

297 **DA neurotransmission does not differ by sex**

298 Striatal [ $^{18}\text{F}$ ]FMT K<sub>i</sub>

299 We observed a main effect of region on FMT values ( $F(2,108) = 358.424, p < .0001, \eta^2 = 0.87$ ),  
300 no main effect of sex ( $F(1,53) = 0.415, p = .52$ ), and no interaction between sex and region  
301 ( $F(2,108) = .032, p = .97$ ).

302 Striatal [ $^{11}\text{C}$ ]Raclopride BP

303 We observed a main effect of region on [ $^{11}\text{C}$ ]raclopride BP values ( $F(2,104) = 479.362, p < .0001, \eta^2 = 0.90$ ), but no main effect of sex ( $F(1,52) = 0.084, p = .77$ ), and no interaction  
304 between sex and region ( $F(2,104) = 1.453, p = .24$ ).

306 Striatal [ $^{11}\text{C}$ ]Raclopride BP Percent Signal Change

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307 Again, we observed a main effect of region on percent signal change in [<sup>11</sup>C]raclopride BP  
308 values ( $F(2,104) = 5.383$ ,  $p = .006$ ,  $\eta^2 = 0.09$ , but no main effect of sex ( $F(1,51) = 0.089$ ,  $p =$   
309  $.77$ ), and no interaction between sex and region ( $F(2,104) = 1.488$ ,  $p = .23$ ).

310 **Differences in DA neurotransmission by sex and hormone status**

311 **Striatal [<sup>18</sup>F]FMT K<sub>i</sub>**

312 Despite differences in striatal DA synthesis capacity within women based on hormone status,  
313 men did not differ appreciably from women in either hormone group. ANCOVA revealed  
314 significant main effects of group ( $F(2,52) = 5.058$ ,  $p = .010$ ,  $\eta^2 = 0.16$ ) and region ( $F(2,106) =$   
315  $116.5$ ,  $p < .00001$ ,  $\eta^2 = 0.60$ ) (**Fig. 2**). There was no significant effect of age ( $F(1,52) = 1.444$ ,  $p$   
316  $= .235$ ) and no interaction between group and region ( $F(4,106) = 0.166$ ,  $p = .96$ ). Post-hoc  
317 Tukey's HSD test confirmed that the main effect of hormone status was driven by previously  
318 reported significant differences between naturally cycling and hormonal contraceptive groups ( $p$   
319  $= .004$ ), with no differences between males vs. HC ( $p = .20$ ) or vs. NC ( $p = .12$ ).

320 **Striatal [<sup>11</sup>C]Raclopride BP**

321 We identified a significant effect of region ( $F(2,102) = 476.183$ ,  $p < .0001$ ,  $\eta^2 = 0.90$ ), however  
322 there was no significant main effect of age ( $F(1,50) = 1.330$ ,  $p = .25$ ) or hormone status ( $F(2,50)$   
323  $= 0.044$ ,  $p = .96$ ), nor an interaction between the two factors ( $F(4,102) = 1.049$ ,  $p = .39$ ).

324 **Striatal [<sup>11</sup>C]Raclopride BP PSC**

325 There was a significant effect of region ( $F(2,102) = 5.284$ ,  $p = .007$ ,  $\eta^2 = 0.09$ ), no significant  
326 effect of age ( $F(1,50) = 0.400$ ,  $p = .53$ ) or hormone status ( $F(2,50) = 0.081$ ,  $p = .92$ ), and no  
327 significant interaction between the two ( $F(4,012) = 0.750$ ,  $p = .56$ ).

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328 **Individual differences in DA transmission are tied to differences in cognitive flexibility**

329 **Naturally Cycling vs Hormonal Contraceptive Users**

330 There was no statistically significant difference in *distractor cost* between hormonal

331 contraceptive users and naturally cycling participants ( $t(31.9) = 0.093, p = .926$ ; **Fig. 3A**).

332 However, hormonal contraceptive users exhibited significantly reduced *switch cost* compared to

333 naturally cycling participants ( $t(31.0) = -2.256, p = .031; d = -0.74$ ; age-adjusted) (**Fig. 3B**).

334 Across female participants, switch cost was inversely correlated with  $[^{18}\text{F}]\text{FMT K}_i$  values in the

335 dorsal caudate (Pearson's  $r(33) = -0.41, p = .016$ ) and ventral striatum ( $r(33) = -0.34, p = .042$ ),

336 but not in the dorsal putamen ( $r(33) = -0.29, p = .089$ ). Only the effect in the dorsal caudate was

337 statistically significant after correcting for multiple comparisons (**Fig. 4**). By contrast, there were

338 no significant correlations between  $[^{18}\text{F}]\text{FMT K}_i$  values and distractor cost in any ROI (all  $p >$

339 .6). There were no significant correlations among males between  $[^{18}\text{F}]\text{FMT K}_i$  values and

340 switch or distractor costs in any ROI ( $p > .2$  for all).

341 **Men vs Women**

342 We did not observe a difference in switch cost ( $t(46.4) = -0.11, p = .91$ ) or distractor cost ( $t(47.9)$

343  $= -0.47, p = .64$ ) between men and women (**Table 3**).

344 **Men vs Naturally Cycling vs Hormonal Contraceptive Users**

345 We did not observe significant effects of switch cost ( $F(2,52) = 2.428, p = .098$ ) or distractor

346 cost ( $F(2,52) = 0.1, p = .905$ ) between groups (**Table 3**).

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349

## Discussion

350 In this study, hormonal contraceptive users exhibited greater dopamine synthesis capacity (as  
351 measured by [<sup>18</sup>F]FMT  $K_i$ ) and greater cognitive flexibility than naturally cycling participants.  
352 No group differences in D2/3 binding potential (<sup>[11</sup>C]raclopride BP) or DA release  
353 (<sup>[11</sup>C]raclopride BP PSC) were observed. Though synthesis capacity differed significantly  
354 between naturally cycling women and women on hormonal contraceptives, women overall did  
355 not differ appreciably from men. This suggests that investigations into the influence of sex  
356 hormones on DA neurotransmission may be hampered if limited to comparisons between sexes.  
357 Together, these findings lay the groundwork for understanding how global manipulations of the  
358 endocrine system, e.g. via hormonal contraceptives, impact dopamine neurotransmission and  
359 related cognition.

360 **DA synthesis capacity differs by hormone status**

361 Though analyses by sex did not reveal differences in DA neurotransmission, when we applied a  
362 more nuanced lens to the investigation of hormonal influence on DA function, we found that DA  
363 synthesis capacity differed between hormonal contraceptive users and naturally cycling  
364 participants, while D2/3 receptor binding potential and stimulated DA release did not differ  
365 between groups. These findings are consistent with the preclinical literature. For example, in an  
366 ablation-replacement study in ovariectomized rats (11), 17 $\beta$ -estradiol add-back selectively  
367 increased striatal DA synthesis but not release, as measured via local superfusion of E2 into the  
368 caudate nucleus. Similarly, Algeri et al. (57) observed increased DA synthesis in the striatum and  
369 forebrain of intact rats after acute (4 days) and chronic (30 days) oral administration of a  
370 synthetic progestin and an estrogen.

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371 Estradiol's influence on DA synthesis capacity may be mediated by estradiol-induced  
372 increases in phosphorylation of tyrosine hydroxylase (TH) (11), the enzyme that converts  
373 tyrosine to L-dihydroxyphenylalanine (L-DOPA). Another mechanism of action may be the  
374 hormonal regulation of aromatic L-amino acid decarboxylase (AADC) that converts L-DOPA to  
375 DA (and is the target of [<sup>18</sup>F]FMT). AADC activity is dependent on pyridoxal phosphate (PLP),  
376 or Vitamin B<sub>6</sub> (58,59), a nutrient and coenzyme with intermediate concentrations in basal ganglia  
377 (60) that is reduced, in some cases to the point of deficiency, in HC users (61–64). If low levels  
378 of PLP are associated with reduced AADC activity (65), we would expect HC users to exhibit  
379 *reduced* [<sup>18</sup>F]FMT binding relative to naturally cycling women. We observed the opposite  
380 pattern. Without information regarding vitamin B6 status for participants, the relationship  
381 between PLP and [<sup>18</sup>F]FMT binding remains untested.

382 The selectivity of our findings to differences in AADC activity (as measured with  
383 [<sup>18</sup>F]FMT) and not DA release or D2/3 receptor binding (both measured with [<sup>11</sup>C]raclopride)  
384 also suggests the possibility that other catecholamine systems may be impacted. AADC is a  
385 critical enzyme in the formation of catecholamines in general, including serotonin (60). In rodent  
386 studies, chronic treatment with oral hormonal contraceptives increases brain levels of tryptophan  
387 and serotonin (66–67, reviewed in 68). Future investigations should clarify whether global sex  
388 steroid hormone manipulations alter DA synthesis capacity specifically, or the catecholamine  
389 system generally.

390 While [<sup>18</sup>F]FMT is a straightforward measure of AADC enzyme activity, which should  
391 directly reflect DA synthesis, RAC is a more complex signal. RAC combines several measures,  
392 including the binding potential or number of D2/3 receptors (B<sub>avail</sub>), and the dissociation constant  
393 or how probable the ligand–receptor complex is to dissociate (K<sub>D</sub>). One limitation of our study is

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394 that naturally cycling participants were not staged according to menstrual cycle phase. DA  
395 release and DA-D2 receptor availability vary across the estrus (3,12,13) and menstrual cycles  
396 (10), though see (29,36). In ovariectomized rodents, 17 $\beta$ -estradiol administration augments  
397 striatal D2 receptor density ( $B_{\text{avail}}$ ), but does not influence binding affinity (1/ $K_D$ ) (reviewed in  
398 (69)). Thus, it is possible that differences in DA release and baseline binding potential between  
399 HC users and unstaged NC women exist, but were obscured in our sample. However, data from  
400 Smith and colleagues (37) suggest this is unlikely. In their study, DA release (as measured via  
401 [ $^{18}\text{F}$ ]fallypride paired with D-amphetamine) did not differ between women using hormonal  
402 contraception and naturally cycling women staged within the first 10 days of their menstrual  
403 cycle.

404 Another consideration is that FMT signal increases over the adult lifespan. Braskie et al.  
405 (70) observed greater striatal FMT Ki values in older participants (mean age = 67) relative to  
406 younger participants (mean age = 23). In young adults, higher FMT Ki values in caudate are  
407 associated with increased working memory capacity (7). In contrast, in older adults greater  
408 striatal FMT signal may reflect potential compensation for deficits elsewhere in the DA system  
409 (e.g. prefrontal cortex). In a recent study of DA synthesis and working memory capacity in  
410 cognitively normal older adults, we (71) observed that adults with the highest FMT Ki values  
411 also display the greatest atrophy in posterior parietal cortex, raising the possibility of a  
412 compensatory response with aging. In the present study of younger adults, HC users were  
413 slightly older than NC participants (2 years on average), but the age range of our sample was  
414 limited (18–28 years) and results remained significant after controlling for age. Thus, it is  
415 unlikely that the group differences we observed are attributable to general effects of aging.  
416 Further, our results do not support the idea that higher FMT Ki values reflect suboptimal DA

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417 functioning, given that HC users had higher FMT Ki values and greater cognitive flexibility.  
418 Higher FMT Ki values in young adults have consistently been associated with better cognitive  
419 flexibility (39,72) as well as with working memory capacity (7).

420 **Consistent effects across hormonal contraceptive regimens**

421 The women in our HC group were on different forms of hormonal contraception, including the  
422 combined oral contraceptive pill, vaginal ring, subdermal implant, injection, and hormonal IUD.  
423 Exploratory analyses suggest that the relationship between HC use and potentiated DA synthesis  
424 capacity is independent of route of administration (**Supplemental Figure 1**). Hormonal  
425 contraception (HC) can alter endogenous hormone concentrations to varying extents depending  
426 on the formulation and method of delivery. Oral contraceptives and the depot  
427 medroxyprogesterone injection exert powerful and sustained suppression of endogenous sex  
428 hormone production (73–75), while hormonal IUDs and implants generally exert less  
429 pronounced suppression of endogenous hormone levels (75–79). It is possible that the impact of  
430 HC on DA occurs via altering endogenous hormone levels, but is likely not solely attributable to  
431 endogenous hormone suppression, per se.

432 The synthetic hormones introduced by the HC regimen, not the alteration of endogenous  
433 hormones alone, may be driving changes within the DA system. In one of the few studies of  
434 synthetic hormones' effects on striatal DA, Jori & Dolfini (80) report decreased striatal DA  
435 levels in intact female rats after acute and chronic oral administration of steroid contraceptive  
436 drug combinations (mestranol with either lynestrenol, norethindrone or norethynodrel). While we  
437 did not observe differences in DA receptor binding potential or release, the direction of the effect  
438 on DA synthesis capacity that we observed was similar between users of oral contraception ("the  
439 pill", which is primarily a combination of ethinyl estradiol and progestin) and users of other

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440 forms of hormonal contraception (including implants, injection, and hormonal IUDs) that  
441 primarily contain progestin. This suggests that the progestin component, alone or in concert with  
442 endogenous or exogenous estrogen, could be influencing the observed effects. A general  
443 consensus from animal and human research is that endogenous estradiol augments DA function  
444 (reviewed in (2)), while the influence of progesterone has not been fully characterized (24). Still,  
445 progesterone receptor expression in embryonic DA neurons suggests a potentially powerful role  
446 of progesterone in modulating DA signaling. In a study of mouse embryonic stem cells, Diaz and  
447 colleagues (81) studied the expression of steroid hormone receptors in differentiated DA  
448 neurons. They report that 92% of DA neurons expressed progesterone receptors and only 19% of  
449 these neurons co-expressed tyrosine hydroxylase and ER- $\alpha$ . Other studies report effects of  
450 progesterone, independent of estrogens, on DA release (14,82). Future investigations delineating  
451 the influence of synthetic progestins alone and in combination with ethinyl estradiol on DA-ergic  
452 function will provide mechanistic insight into the results reported here.

453 **Hormonal modulation of dorsal caudate vs striatum broadly**

454 We observed a significant difference in DA synthesis capacity between HC and NC groups  
455 across the striatum, and post-hoc tests revealed the strongest effect to be in dorsal caudate  
456 (Figure 1). Thus, it remains unclear whether the effects of hormonal contraception are specific to  
457 dorsal caudate, or broadly alter striatal DA synthesis capacity. In a case study of oral  
458 contraceptive-induced hemichorea using  $^{18}\text{FDG-PET}$ , investigators observed striatal  
459 hypermetabolism, with increased glucose metabolism in the body of the left caudate nucleus  
460 (contralateral to the dyskinesia) (83), suggesting certain caudate-specific effects of oral  
461 contraception.

462 **Individual differences in dopamine synthesis capacity are tied to cognitive flexibility**

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463 Hormonal contraceptive users differed from naturally cycling women on switch cost but not on  
464 distractor cost in this task-switching paradigm, suggesting a specific effect on cognitive  
465 flexibility. This reduced switch cost (i.e., greater cognitive flexibility) in hormone users is  
466 consistent with our observation of greater striatal DA synthesis capacity in hormone users  
467 relative to naturally cycling women. Previous studies have reported an association between task  
468 switching performance and DA synthesis capacity, specifically in the dorsal caudate (39,72,84).  
469 Our results suggest an influence of hormonal contraceptive use on the corticostriatal circuitry  
470 underlying executive functioning. Future studies should consider whether other measures of  
471 executive functioning are influenced, and, by extension, whether dopaminergic medications used  
472 to treat disorders of executive function (e.g. ADHD) exert unique effects with or without  
473 concomitant use of hormonal contraception.

474 **Strengths and Limitations**

475 Together, this study provided a unique opportunity to examine differences in basal dopamine  
476 receptor occupancy, stimulated dopamine release, and dopamine synthesis capacity in a single  
477 cohort, based on women's hormonal contraceptive status. However, a number of limitations  
478 should be considered. First, naturally cycling participants were not staged according to menstrual  
479 cycle phase, and as a result we may not have had sensitivity to detect differences in DA signaling  
480 between contraceptive users and women at different phases of the menstrual cycle (as opposed to  
481 naturally cycling women generally). Second, the route of administration and formulation of the  
482 hormonal contraceptive regimen varied (e.g. patch, pill, IUD, implant). Detailed information on  
483 participants age of initiation and duration of hormone use would enhance our understanding of  
484 the time course with which hormonal contraceptives impacts the DA system.

485 **Conclusions**

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486 This PET imaging study revealed differences in dopamine synthesis capacity between hormonal  
487 contraceptive users and naturally cycling women. Measures of DA binding potential and  
488 stimulated DA release were similar between groups. Hormonal contraception (in the form of pill,  
489 shot, implant, ring or IUD) is used by ~400 million women worldwide (38), yet few studies have  
490 examined whether hormonal manipulations impact basic properties of the dopamine system.  
491 Findings from this study begin to address this critical gap in women's health. Moving forward, it  
492 is important to consider hormone use as a factor in studies of DA function. More broadly, our  
493 findings motivate consideration of the clinical implications of concomitant use of commonly  
494 used DA-based medications and hormonal contraceptives.

495 **End Notes**  
496

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504 **Conflict of interest.** The authors declare no competing financial interests.

505 **SI.** Supplementary information is available at MP's website

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**Table 1.** Participant demographics by sex and hormone status

	<b>Age</b>	<b>BMI</b>
<b>Men (n= 20)</b>	$20.7 \pm 2.1$	$23.8 \pm 5.3$
<b>Women (n = 37)</b>	$21.4 \pm 2.5$	$23.7 \pm 4.2$
<b>Naturally Cycling</b> (NC, n = 22)	$20.6 \pm 2.0$	$23.0 \pm 3.9$
<b>Hormonal Contraception</b> (HC, n = 15)	$22.7 \pm 2.8$	$24.7 \pm 4.6$
<b>NC vs HC</b> cohen's d (Welch's <i>p</i> )	0.94 (.01 <sup>1</sup> )	n.s.
<b>Men vs Women</b>	n.s.	n.s.
<b>Men vs NC vs HC</b> Kruskal–Wallis <i>p</i>	.03	n.s.

<sup>1</sup>Indicates significance with Bonferroni correction (*p* < .0167)

Types of hormonal contraception used (n):

Combined OC (10), Vaginal ring (1), Implant (2), Injection (1), Hormonal IUD (1)

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**Table 2.** Dopamine synthesis capacity ( $[^{18}\text{F}]\text{FMT}$  Ki Values) by group and striatal region of interest

	<b>Dorsal Caudate</b>	<b>Dorsal Putamen</b>	<b>Ventral Striatum</b>
<b>Male</b>	.0278 $\pm$ .0034	.0346 $\pm$ .0030	.0209 $\pm$ .0034
<b>Female (combined)</b>	.0272 $\pm$ .0033	.0343 $\pm$ .0037	.0204 $\pm$ .0049
<b>Naturally cycling</b>	.0256 $\pm$ .0025	.0331 $\pm$ .0033	.0190 $\pm$ .0053
<b>Hormonal Contraceptive</b>	.0295 $\pm$ .0030	.0360 $\pm$ .0037	.0224 $\pm$ .0037
<b>HC vs NC</b> partial $\eta^2$ , $p$ -value	.23, .004 <sup>1</sup>	.11, .055 <sup>2</sup>	.10, .061 <sup>2</sup>
<b>Female vs Male</b> partial $\eta^2$ , $p$ -value	.02, n.s.	<.01, n.s.	<.01, n.s.
<b>Male vs NC vs HC</b> partial $\eta^2$ , $p$ -value	.18, .006 <sup>1</sup>	.08, n.s.	.10, .064 <sup>2</sup>

<sup>1</sup>Indicates significance with Bonferroni correction ( $p < .0167$ )

<sup>2</sup>Indicates uncorrected  $p < .10$

n.s. indicates  $p > .10$

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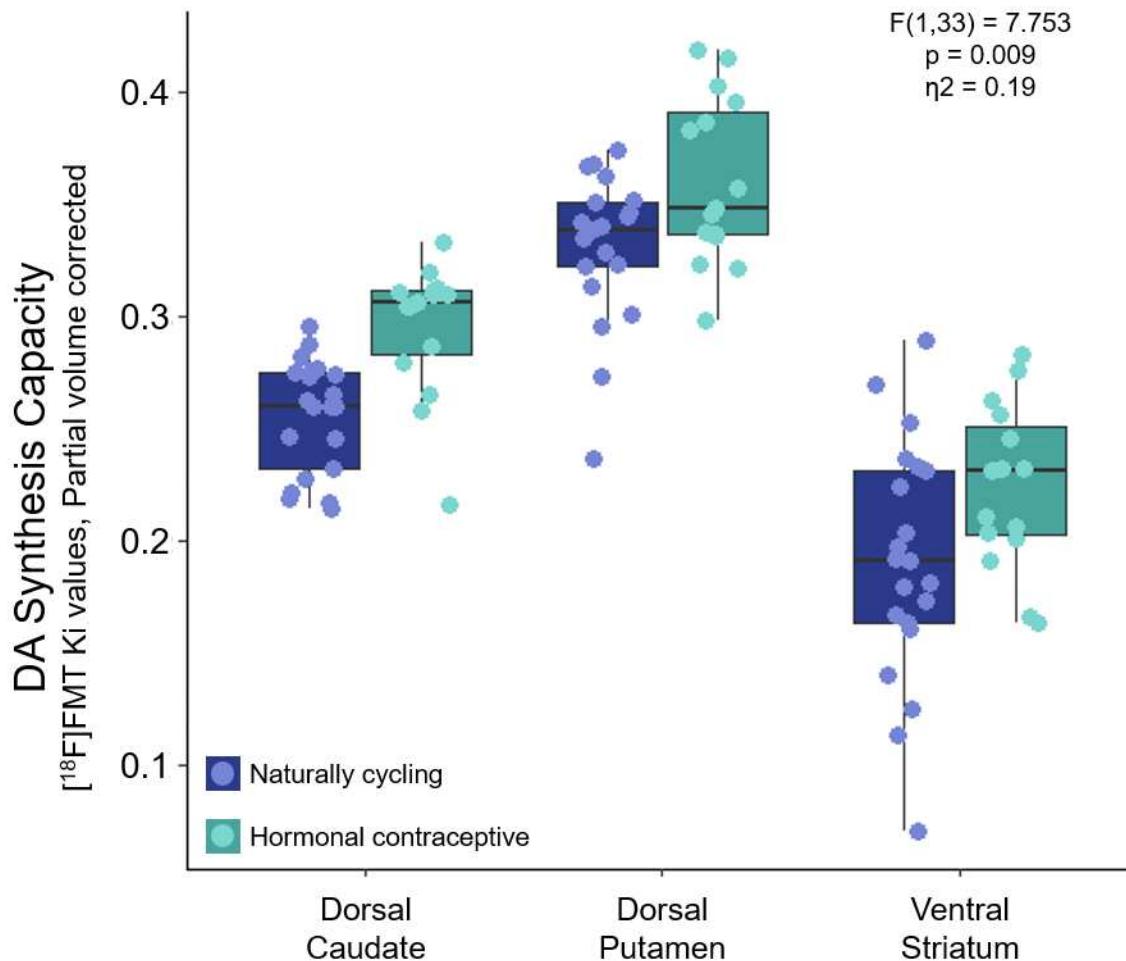
**Table 3.** Performance on task switching paradigm by group

	<b>Distractor Cost</b>	<b>Switch Cost</b>
<b>Men (n= 20)</b>	$0.035 \pm 0.040$	$0.125 \pm 0.108$
<b>Women (n = 36)</b>	$0.029 \pm 0.051$	$0.121 \pm 0.132$
<b>Naturally Cycling (NC, n = 21)</b>	$0.029 \pm 0.054$	$0.160 \pm 0.149$
<b>Hormonal Contraception (HC, n = 15)</b>	$0.030 \pm 0.048$	$0.070 \pm 0.085$
<b>NC vs HC</b> cohen's d (Welch's <i>p</i> )	n.s.	-0.74 (.03)
<b>Men vs Women</b>	n.s.	n.s.
<b>Men vs NC vs HC</b> Kruskal–Wallis <i>p</i>	n.s.	n.s.

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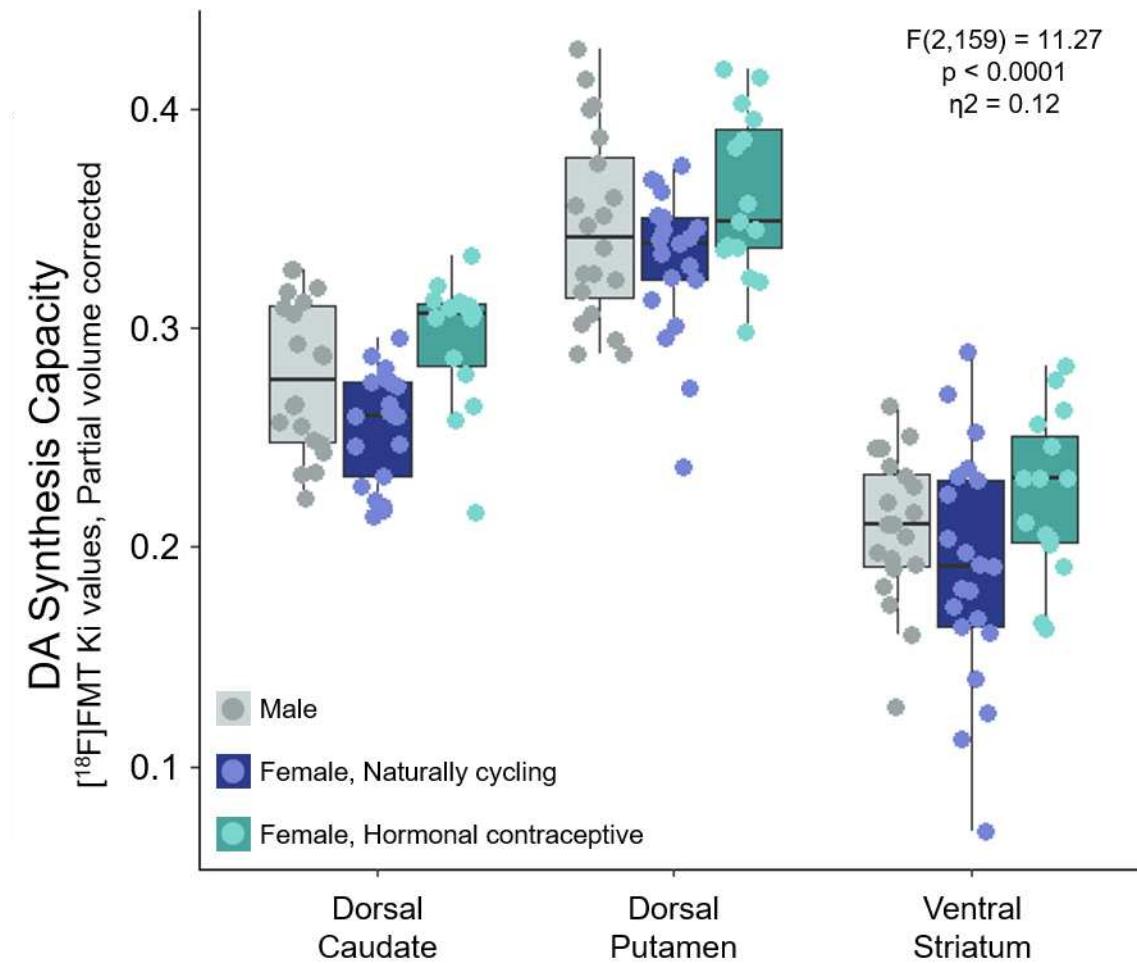
727

728 **Figure 1. Effect of hormone status on DA synthesis capacity.** [<sup>18</sup>F]FMT Ki values in naturally  
729 cycling females and hormonal contraceptive users by striatal region of interest. Striatal DA  
730 synthesis capacity was greater in hormonal contraceptive users relative to naturally cycling  
731 women, with the most pronounced effects observed in dorsal caudate.

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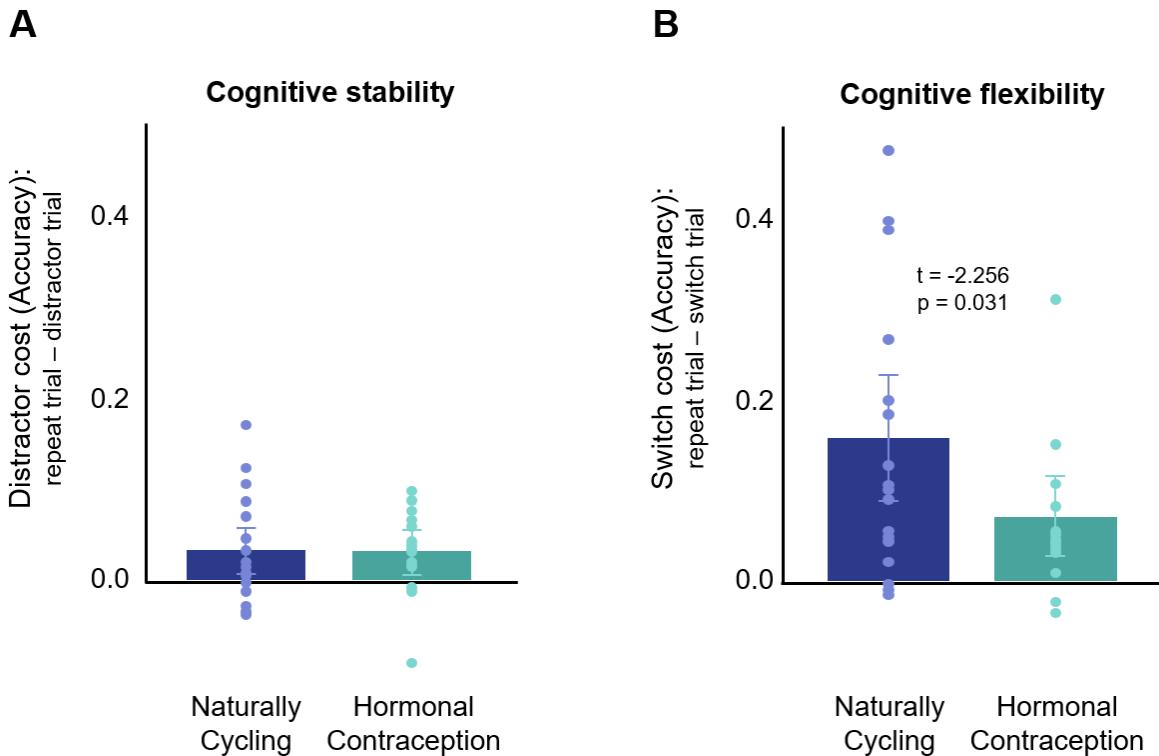
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735 **Figure 2. No evidence for sex differences in DA synthesis.** [<sup>18</sup>F]FMT Ki values in males,  
736 naturally cycling females and hormonal contraceptive users by striatal region of interest. There  
737 were no significant differences between males and females (as a whole or by hormone status). As  
738 before, striatal DA synthesis capacity was greater in hormonal contraceptive users relative to  
739 naturally cycling women.

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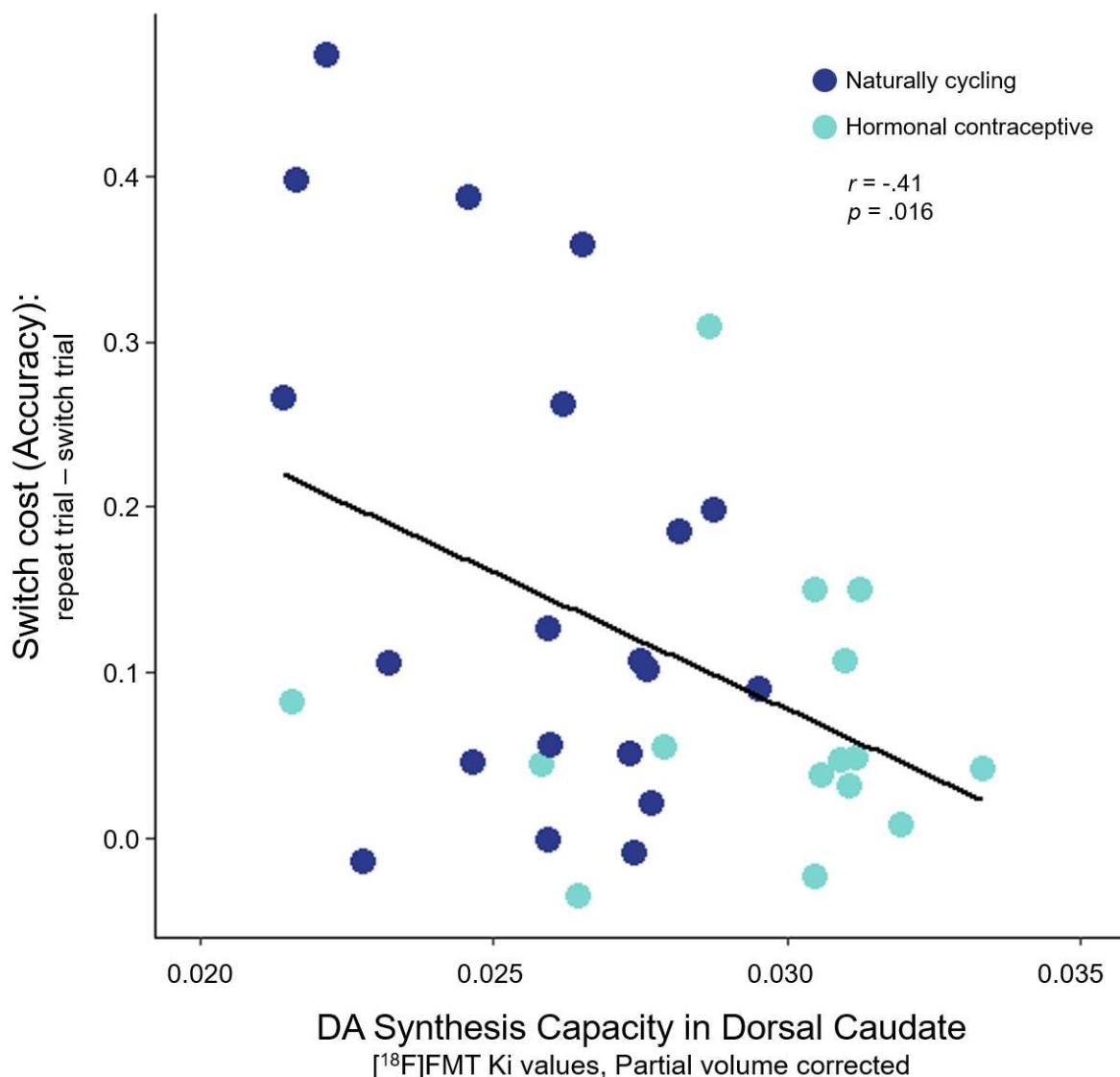
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743 **Figure 3. Cognitive flexibility differs between naturally cycling and hormonal**  
744 **contraceptive groups.** Performance on a task switching paradigm reveals no difference in  
745 cognitive stability between groups, indicated by no difference in distractor costs on  
746 distractor/ongoing trials. In contrast, hormonal contraceptive users exhibited greater cognitive  
747 flexibility compared to naturally cycling participants, indicated by a smaller performance cost on  
748 task-switching trials

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752 **Figure 4. Cognitive flexibility correlates with DA synthesis capacity in dorsal caudate in**  
753 **women.** We observed a significant negative correlation between performance on a task  
754 switching paradigm and [<sup>18</sup>F]FMT Ki values in dorsal caudate across our female participants  
755 (both NC and HC).