

1 The PREGCARE study: Personalized recurrence risk assessment following the
2 birth of a child with a pathogenic *de novo* mutation

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4 Keywords: mosaicism, gonadal mosaicism, *de novo* mutation, WGS, trio sequencing
5 Personalised Recurrence Risk, Precision genetic counselling.

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64 **Abstract**

65 Next-generation sequencing has led to a dramatic improvement in molecular diagnoses of
66 serious pediatric disorders caused by apparently *de novo* mutations (DNMs); by contrast,
67 clinicians' ability to counsel the parents about the risk of recurrence in a future child has
68 lagged behind. Owing to the possibility that one of the parents could be mosaic in their
69 germline, a recurrence risk of 1-2% is frequently quoted, but for any specific couple, this
70 figure is usually incorrect. We present a systematic approach to providing individualized
71 recurrence risk stratification, by combining deep-sequencing of multiple tissues in the
72 mother-father-child trio with haplotyping to determine the parental origin of the DNM. In the
73 first 58 couples analysed (total of 59 DNMs in 49 different genes), the risk for 35 (59%)
74 DNMs was decreased below 0.1% but for 6 (10%) couples it was increased owing to parental
75 mosaicism - that could be quantified in semen (recurrence risks of 5.6-12.1%) for the paternal
76 cases. Deep-sequencing of the DNM efficiently identifies couples at greatest risk for
77 recurrence and may qualify them for additional reproductive technologies. Haplotyping can
78 further reassure many other couples that their recurrence risk is very low, but its
79 implementation is more technically challenging and will require better understanding of how
80 couples respond to information that reduces their risks.

81 **Main text:**

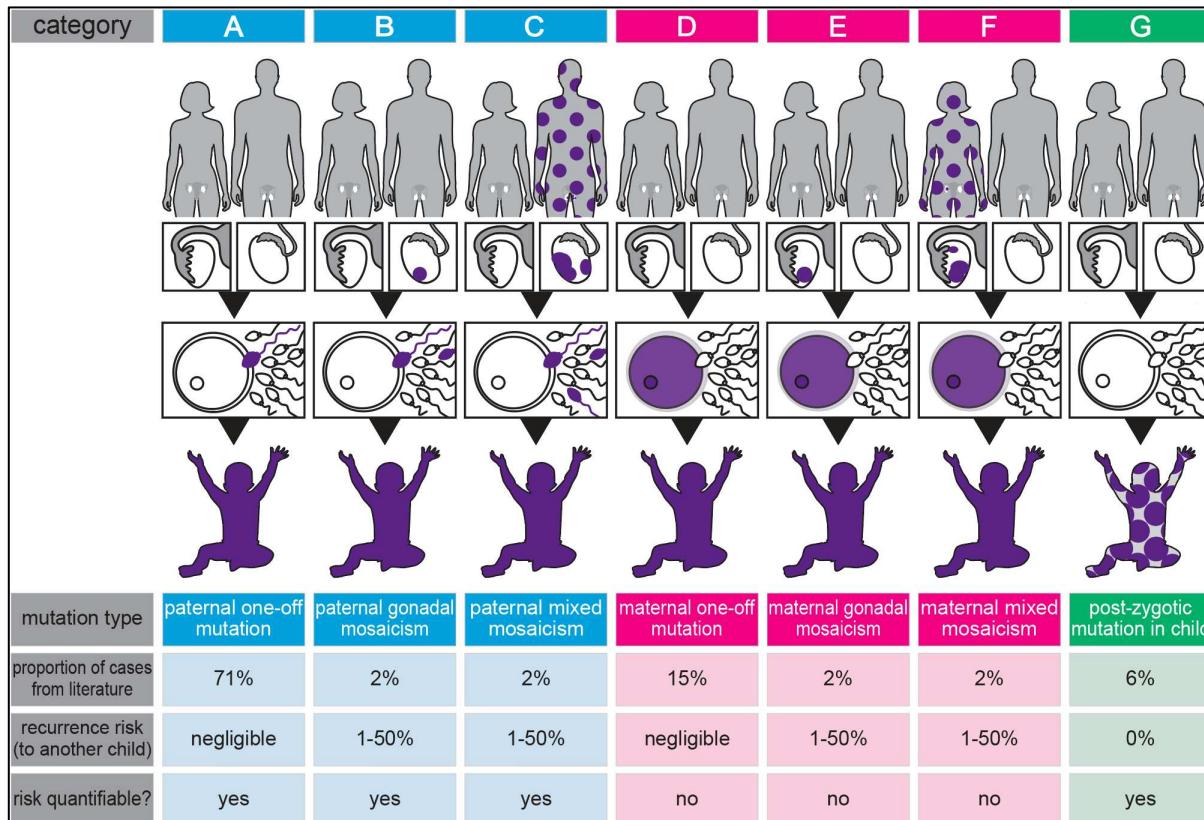
82 The birth of a child with a serious clinical disorder to a healthy couple with no previous
83 family history is a life-changing event. Added to the challenges posed by caring for their
84 child, is the anxiety that their future children could be similarly affected. Whilst robust
85 frameworks for addressing this possibility are increasingly available for common
86 chromosomal abnormalities and recessive monogenic diseases, no systematic approach has
87 been developed for dominant disorders caused by apparently *de novo* mutations (DNMs).
88 Such disorders are collectively common, estimated to affect at least 1 in 295 births¹, but
89 extremely heterogeneous; for example, mutations in over 650 genes are currently recognised
90 to cause developmental disorders through a dominant mechanism of action^{1,2}. The need to
91 address this issue has been made more pressing by the success over the past decade of next-
92 generation sequencing (NGS) technologies in identifying DNMs, leading to a deluge of new
93 causative genes and diagnoses.

94 The implementation of NGS technologies across large populations has contributed to a better
95 understanding of the patterns of occurrence of DNMs. It is now well established that DNMs
96 are rare events (spontaneous human mutation rate is $\sim 1.2 \times 10^{-8}$ per bp, per generation),
97 mainly occurring as “one-off” copying errors during sperm production, or less frequently in
98 oocytes^{3,4}. While in these instances, the risk of recurrence for future siblings would be
99 negligible, DNMs can also occur post-zygotically (either in one of the two clinically
100 unaffected parents, or in the affected child) leading to a mosaic genotype that alters the
101 recurrence risk. Mosaicism populating multiple germinal cells in the ovaries or testes (arising
102 during one of the parent’s own development), termed gonadal (or germline) mosaicism, may
103 be associated with a substantial recurrence risk for further offspring, reaching up to 50% in
104 some cases; by contrast, convincing demonstration of post-zygotic mosaicism in the offspring
105 would eliminate the chance of sibling recurrence⁵.

106 Although mosaicism has long been recognised as a source of DNMs, few studies have
107 attempted (or had the power) to define its exact contribution to spontaneous disease. Overall,
108 current NGS methods used to identify DNMs rely on mother-father-proband trio sequencing
109 and are poorly suited for detection of mosaic cases - either for cases of low-level (parental)
110 mosaics⁶, or to distinguish high-level variant allele frequency (VAF) from constitutional
111 (50%) presentation in post-zygotic (proband) cases^{5,7}. For example, the limit of VAF
112 sensitivity of WES/WGS trio sequencing, which is typically performed at a depth of 25-30x,
113 is ~10-15%, similar to that of dideoxy-sequencing^{6,8}. Moreover, routine genetic analysis
114 relies on the interrogation of a single somatic tissue (blood or saliva), which is not adequate
115 to identify mosaicism in parental gametes or variable VAF in a proband's tissues.

116 The recognition that the tissue distribution and VAF of a DNM are determined by the
117 timing at which it first occurred, allows us to identify three key time points during
118 development with different predicted presentations: (1) very early in development - before
119 the segregation of germline and somatic lineages at ~day 14 of human embryogenesis,
120 yielding cases of mixed (somatic and gonadal) mosaicism; (2) post-15 days of development
121 in the germline, resulting in confined gonadal mosaicism; (3) or much later in the developing
122 or adult gonad, yielding a “one-off” mutation (Supplementary Fig S1). Furthermore, by
123 taking into account the individual in whom the DNM originated (mother, father, or affected
124 child), it becomes possible to distinguish a total of seven scenarios whereby a DNM can
125 occur (Fig. 1). The overall relative prevalence of these seven scenarios can be estimated quite
126 accurately based on previous analyses of the parental origin of DNMs and the prevalence of

127 mosaicism from population studies (see Supplementary Note 1).



128

129 **Fig. 1: Stratification of DNMs into seven categories. Establishing the origin (paternal (blue),**
130 **maternal (pink) or post-zygotic (proband, green), and timing of the mutational events (purple**
131 **colour indicates mutant cells), yields widely different recurrence risks in different families.**
132 **See main text, Supplementary Fig S1 and Supplementary Note 1.**

133

134 Here, we have developed a systematic strategy to categorise pathogenic DNMs in a
135 mixed clinical population of 60 couples who had one or more children with a serious
136 developmental disorder caused by an apparent DNM, and were seeking individualized
137 reproductive counselling about recurrence risk in a future pregnancy. By combining deep-
138 sequencing of multiple tissues to detect occult mosaicism with haplotyping to determine
139 parent-of-origin of the DNM, we show that we can reliably stratify individual couples into
140 discrete categories that are associated with substantially different risks to the offspring. This
141 personalised approach to recurrence risk assessment offered *prior* to a new pregnancy should
142 provide reassurance to the majority of couples in whom the risk is very low or negligible and
143 help to focus resources on the minority of families at increased recurrence risk.

144

145 **Results**

146 **Population sampled**

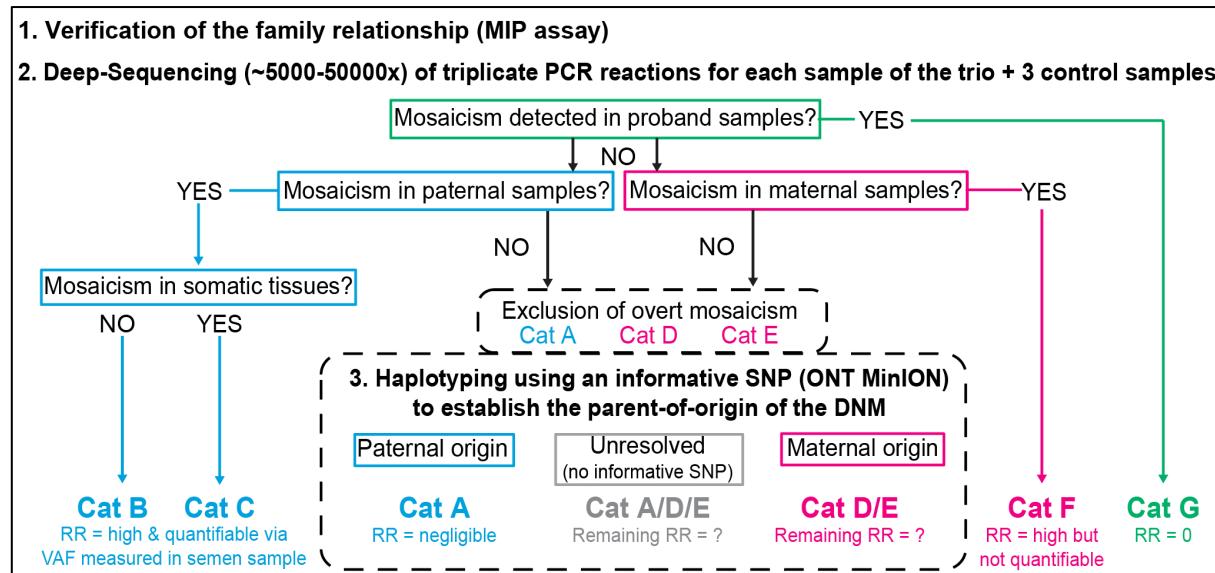
147 Following ethical approval we recruited, through the network of Clinical Genetics centres in
148 England, 60 couples who had one or more children (or fetuses) affected by a serious clinical
149 disorder caused by an identified DNM, which was not present in the parents' DNA on routine
150 analysis (PREGCARE study; Online Methods). Two families (FAM17 and FAM60) had
151 three affected siblings/pregnancies, indicating that one of the parents must be a gonadal
152 mosaic, but routine diagnostic analysis performed on parental blood DNA had failed to
153 identify the parent-of-origin. To eliminate ascertainment bias, these two families are excluded
154 from the quantitative presentation of the data but included in the specific analysis of
155 mosaicism. Hence, our primary cohort comprises data from 58 parent-child trios, including
156 one trio with two different pathogenic DNMs (FAM12). These 59 DNMs comprised 40
157 single nucleotide substitutions, 14 small (1-2 nucleotides) indels and 5 larger (4-44
158 nucleotides) indels in 49 different genes, providing a broad and representative spectrum of
159 pathogenic molecular lesions encountered in clinical practice (Supplementary Table S1).

160 **Deep-sequencing of multiple tissues identifies mosaic cases**

161 Four of the seven categories shown in Fig. 1 (B, C, F and G) involve mosaic states that can be
162 directly identified without requiring invasive sample collection and distinguished by deep-
163 NGS of tissues collected from the family trio. We therefore obtained up to 14 biological
164 family samples (child: blood, buccal mucosa left + right; mother and father: blood, saliva,
165 buccal mucosa left + right, urine; plus paternal semen) to seek evidence of mosaicism.
166 Collection of parent samples was designed to include all three embryonic germ layers
167 (ectoderm, buccal; mesoderm, blood; endoderm, urine), plus germline in the father
168 (Supplementary Fig. S1).

169 The overall strategy deployed for the analysis is shown in Fig. 2.

170



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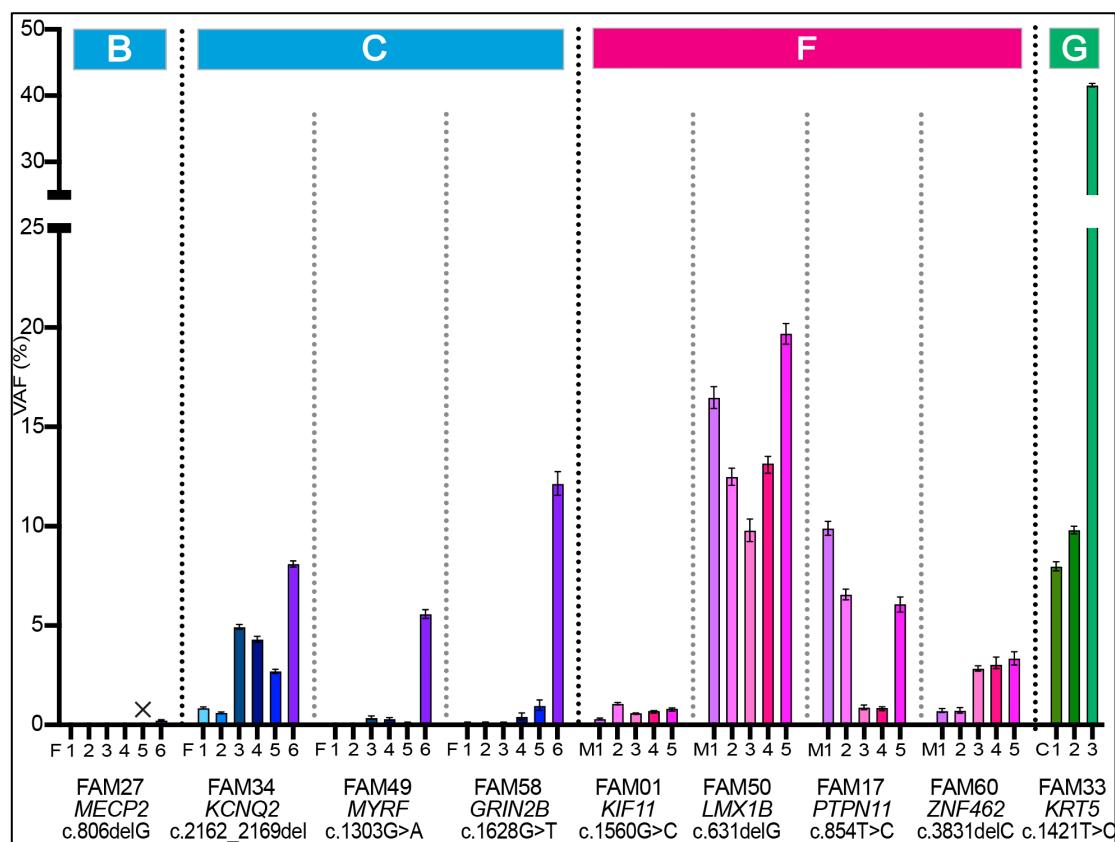
Fig. 2: Flow chart describing the three-tier sample analysis in the PREGCARE study
Following collection of up to 14 different biological samples per family and verification of the familial relationships between the 3 individuals of the trio, the DNM site was deep-sequenced in all family samples (performed in triplicates together with 3 unrelated controls) to detect low-levels of parental mosaicism or instances of post-zygotic mosaicism in the proband. For those families without evidence of overt mosaicism, haplotyping was performed to resolve the parental origin of the DNM and further stratify the recurrence risk (RR). Refer to Fig. 1 for category (Cat) description.

180

181

182 Following verification of sample relationships and parentage in each family using a panel of
183 bespoke multiplex inversion probes (MIP) targeting 168 common single nucleotide
184 polymorphisms (SNPs), we designed a custom PCR assay covering 65-224 bp around the
185 family-specific DNM site and performed triplicate reactions from each available tissue, and
186 three unrelated control DNAs, before undertaking deep-NGS (target depth 5,000-50,000x) on
187 the Illumina MiSeq platform. Reads were processed using amplimap⁹ and VAF quantified at
188 the genomic position of the DNM (see Methods). NGS was poorly suited to analyse two
189 DNM associated with the larger indels (a 35 bp deletion in FAM12b and a 44 bp duplication
190 in FAM54). Hence, to rule out the possibility of occult mosaicism in these samples, we

191 performed mutant allele-specific PCR on all available samples from the trio (Supplementary
192 note 2).
193 Overall, deep-NGS (and/or allele-specific PCR) identified 7/59 (11.9%) cases with strong
194 evidence of mosaicism in one family member (Fig. 3; Supplementary Fig. S2 & Table S2).
195 These comprised DNM s belonging to Categories B (paternal gonadal mosaicism; FAM27), C
196 (paternal mixed mosaicism; FAM34, FAM49, FAM58), F (maternal mixed mosaicism;
197 FAM01, FAM50) and G (post-zygotic mosaicism in proband; FAM33). Analysis of the two
198 additional families in which recurrence in siblings was already documented (FAM17,
199 FAM60) showed that both were attributable to maternal mixed mosaicism (Fig. 3).
200



201
202
203 **Fig. 3: Mutation levels observed in the families presenting with mosaicism.**
204 Variant allele frequencies (VAF) in different samples from the family member in whom mosaicism was
205 detected by deep-NGS sequencing. Family number, gene, cDNA coordinates of the DNM and the origin of
206 the different samples are indicated on the x-axis in the same order for each family and distinguished by
207 colors for ease of visualization. The category classification is indicated at the top of the figure. X
208 represents a sample failure. Full data for the other family members and controls are presented in
209 Supplementary Fig S2 and Table S2. FAM17 and FAM60 are the two families with multiple affected

210 pregnancies and belong to category F (maternal mixed mosaicism); note the low VAF in the maternal
211 blood samples (M3) for both families. The corrected VAF (see Methods) is plotted and error bars
212 represent the 95% binomial confidence intervals across the three technical replicates. Abbreviations:
213 F=father; M=mother; C=child; 1=buccal swab (left); 2=buccal swab (right); 3=blood; 4=saliva;
214 5=urine; 6=sperm; 7=gDNA from original testing.
215

216 Identifying these mosaic families is particularly important, because whereas the recurrence
217 risk associated with post-zygotic mosaicism (Category G) is effectively zero, the other three
218 mosaic categories (B, C, F) are associated with increased recurrence risks. While the
219 offspring risk is not directly quantifiable for the maternal mosaics because of the
220 inaccessibility of ovarian tissue, it could be quantified in the paternal mosaic cases via the
221 VAF measured in sperm and ranged from 0.23% (FAM27) to 12.1% (FAM58) (F6 bars in
222 Fig. 3; Sup Fig S2). Importantly, in the three paternal cases of mixed mosaicism the level of
223 the cognate DNM in sperm (5.6-12.1%) was substantially higher than in any of the other
224 tissues sampled and variability in mutation levels was present between different somatic
225 tissues, with no one tissue providing a reliable indicator of the level in sperm (Fig. 3).
226 In seven of the eight parental mosaic cases, where DNA derived from blood (the most widely
227 used source of DNA for genetic analysis) was analysed, the level of mutation in the
228 transmitting parent was below 5% (F3 and M3 values in Fig. 3; Supplementary Table S2).
229 Such VAFs would be impossible to detect systematically using standard diagnostic NGS read
230 depths (~25-30x) or dideoxy-sequencing, illustrating the importance of deep-sequencing
231 (>5000x) and the value of collecting additional tissue samples to increase sensitivity for
232 ascertaining occult mosaicism. In the single identified instance of paternal confined gonadal
233 mosaicism, a relatively low level of sperm mutation was observed (0.23%), consistent with a
234 slightly later timing of mutational origin (Supplementary Fig S1) and in line with empiric
235 data on mutations in sperm¹⁰⁻¹³.

236 Also of note, the VAFs for the proband samples from FAM33 with the post-zygotic
237 mutation (C1, C2 and C7 values in Fig. 3) were markedly different across the tissues

238 analysed (blood 41.6%; buccal mucosa 8.0% and 9.8%), demonstrating the benefit of
239 analysing several tissue samples from an individual to distinguish post-zygotic mosaicism
240 associated with high VAF levels from constitutional (50%) presentation.

241

242 **Haplotype phasing enables determination of parental origin of DNM**

243 For the remaining 52 DNMs that did not classify into one of the four mosaic categories
244 described above, further stratification was attempted through haplotyping to determine the
245 parental origin of the mutation (Fig. 2). For these families, only one category (Category E,
246 maternal gonadal mosaicism) is associated with a recurrence risk to offspring (Fig. 1).
247 Although it is not possible to distinguish Categories D and E (because oocytes are not
248 accessible), most of the remaining DNMs (~88%) are predicted to belong to Category A
249 (paternal one-off), which is associated with a negligible risk to offspring.

250 Parental origin could be inferred for two families without performing haplotyping: FAM26
251 (mutation in the X-linked *MID1* gene in a male proband, implying a maternal origin) and
252 FAM54 (a 34 bp duplication in *MAGE2*, a gene known to be maternally imprinted and for
253 which pathogenic mutations are exclusively paternal in origin¹⁴).

254 To perform haplotyping of the other 50 DNMs, we sought an informative SNP or other
255 variant in close proximity to the DNM, to enable phasing of the parental alleles. In the most
256 common informative scenario, the child is heterozygous for the SNP (genotype AB) whereas
257 one of the parents is homozygous (genotype AA or BB), making it possible for the inherited
258 parental chromosomes to be distinguished. In three cases an informative SNP was present in
259 the PCR product used for the deep-sequencing, enabling the parental origin to be determined
260 directly by examining the phase of the DNM on the Illumina reads. To haplotype the 47
261 remaining DNMs, we designed two long PCR products extending away on either side of the
262 DNM (total genomic region covered ~7-30kb), and sequenced the resulting fragments for the

263 three family members using the MinION platform from Oxford Nanopore Technology
264 (ONT). Reads for each trio were processed and analysed with an in-house custom pipeline
265 combining Medaka and pile-up processing (see Methods and Supplementary Note 3). This
266 haplotyping strategy was successful in the majority (38/47) of cases (Supplementary Table
267 S3), including three families (FAM11, FAM38, FAM67) that required a more complex
268 analysis involving two SNPs to distinguish the parental alleles. In one of these (FAM38), due
269 to the local genomic context of the DNM (a single G-nucleotide deletion within a
270 homopolymeric region), phasing by direct analysis of sequencing traces could not be resolved
271 by ONT sequencing. Nevertheless, this approach identified an informative SNP in the
272 proband which was used to design a bespoke allele-specific PCR and determine the DNM
273 parental origin (Supplementary Note 4 & Table S3B).

274 Overall, parental origin could be established for 82.7% of DNM (43/52), which
275 included 34 DNMs of paternal origin (79%) and 9 (21%) present on the maternally-derived
276 allele (Fig. 4) - a result in line with the expected ~4:1 male to female ratio of mutational
277 origin³ (Supplementary Note 1).

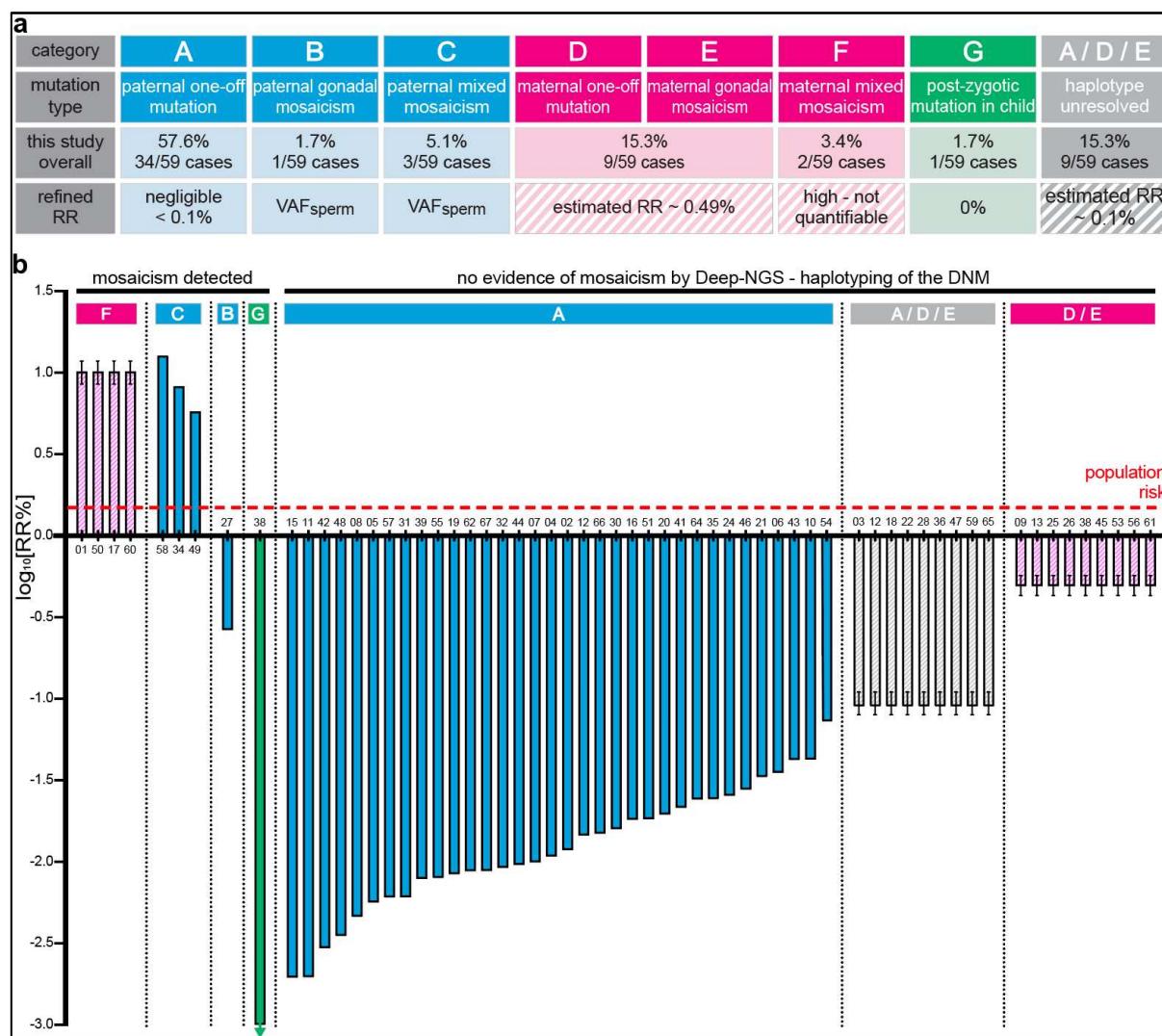
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279 **Combining deep-sequencing and haplotyping allows category stratification and
280 individualized recurrence risk**

281 Having singled out the mosaic cases by deep-NGS of multiple familial tissues, the particular
282 value of the combined approach of deep-sequencing of semen samples with haplotyping is to
283 identify those families in which the DNM is paternal in origin (34/52), as they belong to
284 Category A (Figs. 1 and 2). Deep-sequencing of sperm of these paternal mutations allows
285 measurement of the VAF and derivation of the upper confidence limit for the level of DNM
286 present in sperm (Supplementary Table S2). As NGS is subject to background sequencing
287 errors, we corrected the raw VAF values using measurements from three unrelated control

288 samples. The corrected VAFs were estimated by numerically maximizing their marginal
 289 likelihood and 95% confidence intervals were obtained by using profile likelihood (see
 290 Methods for details). For Category A samples, the upper bound (95% CI) of the VAF
 291 measured by deep-NGS in sperm was below 0.05% in all cases (Supplementary Table S2;
 292 Fig. 4). These data point to DNM s in this category having originated as ‘one-off’ events
 293 during late gonadal development or adult spermatogenesis.

294



296 **Fig. 4: Overview of the results of the PREGCARE study showing refinement of individual recurrence risk for**
 297 **all families (a) Summary table of the PREGCARE results for the 59 DNM s analyzed in this study and overview**
 298 **of the refined recurrence risk (RR). (b) Personalized recurrence risk (RR%) estimates for each of the 60 families**
 299 **(61 DNM s) enrolled in the PREGCARE study represented on a logarithmic scale. The red dotted line represents**
 300 **the generic population RR given to couples who have had a child with a DNM (~1.5%). The RR can be**
 301 **quantified (block colours) for paternal (Categories A-C, via semen analysis) and post-zygotic (G) DNM s; note**
 302 **that to be conservative in our estimates of RR, we have plotted the upper 95% binomial CI from the corrected**

303 *VAF_{Sperm} measured by deep-NGS for the paternally-derived DNMs (Supplementary Table S2). The RR can only*
304 *be estimated for maternal (pink) or haplotype-unresolved cases (grey). These estimates are represented by*
305 *stripes, with error bars representing the upper and lower 95%CI - see Supplementary Note 5. Note that the*
306 *DNM of FAM54 was analyzed by allele-specific PCR (Supplementary Note 2) and that Category F includes the*
307 *two additional families with multiple affected pregnancies. Individual family numbers are indicated on the x-*
308 *axis.*

309

310 In 9/52 (17.3%) families, the haplotyping revealed a maternal origin of the DNM. In
311 these cases, the negative findings from deep-NGS of maternal somatic tissues (i.e. maternal
312 origin of mutation but with no evidence of somatic mosaicism) do not allow categories D and
313 E to be distinguished (Figs. 1 and 2; 4). The relative risk for a DNM belonging to Category E
314 (maternal gonadal mosaicism) and representing a recurrence risk in a future pregnancy, rather
315 than to Category D ('one-off' maternal event) can be estimated to occur in ~2:15 families
316 (Fig. 1 and Supplementary Notes 1 & 5), meaning that on average 1 in every 8 or 9 maternal
317 DNMs is anticipated to have originated in early developing germ cells. While the prevalence
318 of mosaicism has not been directly quantified in ovaries owing to their experimental
319 inaccessibility, it can be assumed that these early events are similar in magnitude for ovaries
320 and testes because germline lineages are specified several weeks prior to sex determination.⁴
321 To gain further insight into the remaining recurrence risk for DNMs of proven maternal
322 origin (D/E), we used estimates of VAFs observed for paternal confined mosaics obtained
323 from sperm WGS¹¹ (Supplementary Note 5) . We obtained a recurrence risk estimate for the
324 combined maternal categories D-E of 0.49% (95%CI: 0.43% - 0.57%), a modest reduction
325 over the population average (Fig. 1 and Fig. 4).

326

327 **Evaluation of the remaining risk for cases with unresolved parental origin**

328 Finally, in a further 9 families, despite sequencing a ~13-27 (mean 22.2) kb region around the
329 DNM in the proband, no informative SNP could be identified in the MinION reads. Hence
330 the parental origin of the DNM could not be assigned, and the mutation could belong to any
331 of Categories A, D or E (Fig. 1). As the majority of DNMs are predicted to be sporadic

332 (Categories A or D), the remaining risk (associated with Category E) for these couples can be
333 estimated by combining the relative proportion of Category E cases (2:86) and the average
334 VAF observed for gonadal mosaicism¹¹. As a result, for a DNM with an unresolved parent of
335 origin, the recurrence risk is estimated to be 0.09% (95%CI: 0.08%-0.11%), a reduction of
336 approximately 10-fold compared to the population risk baseline (Fig. 4; Supplementary Note
337 5).

338
339 **Discussion**

340 We have applied a general framework to analyse systematically and at scale, the origins of
341 DNMs presenting in a clinical setting. The work addresses a stark unmet clinical need to
342 improve genetic counselling for couples who have had a child affected by a disorder caused
343 by a DNM – a situation faced by almost a million parents annually – in order to provide them
344 with a personalised risk assessment *prior* to a new pregnancy. The current standard of care,
345 which is to provide these couples with a recurrence risk of ~1-2% is unsatisfactory, both
346 because this figure is nearly always wrong (as illustrated by Fig. 4), but also because of the
347 uncertainty it raises for the complex decision process of whether to extend their family. It is
348 well documented that couples' attitudes to reproductive risk vary widely¹⁵: some will view
349 the 1-2% risk as small and others would not contemplate extending their family in the face of
350 any risk. In addition, while in many healthcare settings there may be the option of a prenatal
351 diagnostic procedure (chorionic villus biopsy or amniocentesis), this is associated with a
352 small risk of miscarriage (currently estimated as ~0.2-0.5% for each procedure¹⁶⁻¹⁸) and may
353 not be ethically acceptable to some couples. Owing to a combination of cost and technical
354 challenges, prenatal procedures that are non-invasive (assay of free fetal DNA from maternal
355 blood sample) or those which avoid the possibility of termination of pregnancy in the event
356 of recurrence (preimplantation genetic testing for monogenic disorders [PGT-M]), are not
357 available in most public healthcare settings. For example, in the UK the eligibility threshold

358 for PGT-M is a risk >10% of having a child with a serious genetic condition, which excludes
359 the parents of children with DNM s even though some couples will have a risk higher than
360 this.

361 Over recent years several pioneering studies on DNM origins have provided a solid
362 framework to quantify the relative contribution of different mutational processes to DNMs
363 (Fig. 1; Supplementary Notes 1 & 5)^{3-5,19-21}. We designed the PREGCARE study based on
364 this framework, with the dual aims to seek evidence for mosaicism in each member of the
365 parent-child trio (deep-sequencing), and to stratify the risk based on the likely timing and
366 parental origin of the DNM. Important aspects of the study design include the recognition
367 that (1) clinically-relevant mosaicism is caused by early embryonic mutations, that present
368 either in both soma and germline (mixed mosaicism) or the germline only and affect males
369 and females equally - because they originate before sex determination; (2) sampling of
370 multiple tissues of different embryonic origins increase the likelihood of detecting instances
371 of mixed mosaicism in parents (or post-zygotic events in the proband); and (3) analysis of a
372 paternal semen sample allows direct quantification of risk for paternally-derived DNMs,
373 which are anticipated to represent ~3/4 of cases. Hence, although the female germline is not
374 accessible to direct analysis, data about the prevalence and VAF anticipated for maternal
375 mosaic cases can be inferred from sperm data¹¹. Moreover, the relative risks of mixed vs.
376 confined gonadal mosaic events can be estimated based on data from deep-sequencing of
377 paired blood and sperm samples,^{10,11} which have shown that the average VAF measured in
378 sperm for cases associated with mixed mosaicism is ~9%, while ‘sperm-only’ average VAF
379 are ~3%¹¹ (Supplementary Note 5). These data suggest that because mixed mosaicism is
380 caused by very early mosaic events, they are likely to have a wide tissue distribution and be
381 present at higher VAF (Supplementary Fig S1). Moreover, the rate of spontaneous mutations
382 may be elevated during the first embryonic divisions^{22,23}. Hence, mixed mosaic cases likely

383 contribute to most of the recurrence risk in the next generation and identifying them by deep-
384 sequencing of somatic tissues (and semen) represents an efficient way to single out the
385 couples at higher risk.

386 Here we show through systematic analysis of a clinical series of 59 DNMs a very good
387 correspondence between the distribution of DNMs across the 7 different categories for the
388 families analysed to that anticipated from previous work (Figs. 1 & 4A; Supplementary Note
389 1). In our cohort, which consists of clinically-ascertained cases, DNMs originated from occult
390 parental mosaicism in ~10% (6/59) of cases. For five families it was detectable in the
391 transmitting parent's somatic tissues - although present at low VAFs in blood, illustrating the
392 importance of deep-sequencing (>5000x) and the value of collecting additional tissue
393 samples to increase sensitivity for ascertaining occult mosaicism.

394 Fig. 4, which summarises our overall findings, shows that we achieved risk alteration
395 for individual couples over more than three \log_{10} orders of magnitude: for 54/59 DNMs, the
396 risk was reduced compared to the population baseline risk, and for 5/59 (the mixed mosaics),
397 it was likely increased (but only quantifiable in the 3/5 paternal cases).

398 Encouraging though these data are, we acknowledge several barriers before considering
399 clinical translation of this work. The first hurdle relates to technical implementation of
400 individualised recurrence risk measurement in a clinical setting, which requires robust
401 laboratory methods and will be challenging as a DNM-specific custom assay will be required
402 for most families. In this study we used two methods, deep-sequencing and haplotyping, that
403 provide complementary information. Deep-NGS is highly effective in singling out couples at
404 high recurrence risk, whereas haplotyping is essential to generate most of the very low
405 recurrence risks and reassure the majority of couples that they belong to Category A. The
406 Illumina platform used for deep-sequencing is technically straightforward and the associated
407 calling pipelines are readily available in most diagnostic settings. Of note, for efficient

408 evaluation of reproductive risk, the source of tissue samples ought to be a major
409 consideration. While semen provides the ideal tissue for determining reproductive risks
410 directly, surprisingly at present the prevailing working practices of clinical genetics do not
411 include routine semen analysis. Our view is that this work and that of others^{11-13,24} provides
412 clear evidence to promote much more widespread collection and analysis of this material (as
413 is standard, for example, in fertility clinics). As there is no easy access to maternal gonadal
414 tissue, it would be valuable to know whether there is a particular somatic tissue that provides
415 a better surrogate for the germline. This can be addressed by studies of male samples, but
416 although we observed substantial variation, it was not possible to identify a clear
417 surrogate^{12,13,25}, consistent with the fact that during early embryogenesis, cell populations are
418 subject to bottlenecks and differential lineage commitments leading to considerable variation
419 and stochasticity in cellular representation across tissues^{20,26}. Hence reliance on assessment of
420 a single tissue (blood) risks missing some mixed mosaics harbouring low mutation levels (or
421 high level post-zygotic mosaicism in the proband). Of the other tissues we sampled, we found
422 that saliva tended to reflect the results from blood^{6,27} but occasionally exhibited a higher
423 background that can bias low VAF interpretation, likely reflecting the fact that ~70% of
424 saliva DNA is derived from white blood cells, while the remaining fraction contains bacterial
425 and/or other genomes (potentially including that of other family members, including the
426 proband)²⁸. Unlike urine, which often yielded poor amounts of DNA, buccal brushings (left
427 and right sides of the cheek sampled independently), are easy to collect (including from
428 children), and store, and contained cells of a different embryological origin to blood, which
429 often yielded informative data.

430 Overall, we conclude that clinical implementation of deep-sequencing of a few key tissues
431 from the trio (blood, buccal brushings and paternal sperm) alone should be easy to achieve
432 and would identify most of the high-risk cases, therefore reducing the risk of mosaicism

433 presentation for the remaining couples (Categories A, D, and E) to ~0.1% (Supplementary
434 Note 5).

435 To further refine the remaining risk in non-mosaic families, we used the ONT platform as a
436 second method in this study. Although harder to scale and process than Illumina data, ONT
437 showed good potential for implementation in diagnostics, but is not currently approved for
438 use in most clinical settings. Independently of technical considerations, one major limitation
439 of this approach, which led to a substantial minority (15.3%) of unresolved cases in this
440 study, is the requirement for the presence of a heterozygous SNP in the vicinity of the DNM
441 to distinguish the two parental alleles in the proband. Implementation of novel ultra-long
442 read WGS methods will facilitate SNP identification and systematic parent-of-origin
443 assignment of DNMs, but are not currently available in most settings²⁹.

444 Another potential barrier to clinical implementation relates to how these refined risks are
445 viewed by couples and whether changes in risk actually result in altered decision-making.

446 Concerning the accuracy of our risk estimations, among the 61 DNMs analysed, in only 39
447 do we consider the risks to be reasonably accurate; these include the 38 DNMs shown to be
448 paternally originating, in which we could directly measure levels of mutation in sperm, and
449 the single post-zygotic case (Fig. 4). In 36/39 we reported a risk lower than baseline, while in
450 the three mixed mosaic cases it was increased (to 5.6%, 8.1% and 12.1%). By contrast, in the
451 remaining cases shown either to be of maternal origin (13/61) or unresolved (9/61), the risk
452 estimation has been refined but remains inaccurate and may be viewed differently by parents
453 and healthcare professionals. Even in proven cases of maternal mixed mosaicism, VAFs in
454 somatic tissues are poor predictors for the germline, as illustrated by the two families with
455 multiple recurrences in whom we detected relatively low VAF in maternal somatic tissues
456 (maximum of 3.3% and 9.9% in the samples analyzed), despite three affected pregnancies in
457 each sibship (Fig. 3). Nevertheless, detection of mixed mosaicism in maternal tissue will

458 warrant caution in future pregnancy and it should also be noted that some diagnostic options
459 may be more complicated for these families because of the unsuitability of non-invasive
460 prenatal testing via analysis of cell-free fetal DNA in maternal plasma³⁰.

461 In those cases where somatic mosaicism has been excluded but the DNM is proven or
462 possibly maternal in origin, the risk of maternal gonadal mosaicism (Category E, Fig. 1) may
463 remain an important factor in decision-making, despite the relative reduction in risk for these
464 subcategories (Category E corresponds to ~2:15 maternally-proven DNM and ~2:88
465 haplotype-unresolved DNM with an estimated average VAF of ~4%).

466 An interesting illustration from this work of the complexity of recurrence risk
467 counselling is provided by the case of paternal confined gonadal mosaicism (Category B) in
468 FAM27, in which the risk for the *MECP2* mutation was found to be 0.23% (95% CI: 0.19%-
469 0.26%), over 5-fold lower than the 1.2% baseline population risk. How to counsel a couple in
470 this situation, where stratification to the “at risk” Category B predicts increased caution,
471 remains difficult. This risk may also need to be leveraged against the current UK
472 recommendation for ‘higher risk’ in respect to the probability of carrying a fetus with Down
473 Syndrome for a 35-year old mother ~ 1/150 (0.66%) for which prenatal screening is routinely
474 offered³¹.

475

476 Overall, we show that providing pre-conception recurrence risk assessment to couples
477 who have had a child with a DNM can be achieved and offers the prospect of driving a major
478 transformation in the practice of genetic counselling. Our data demonstrate that for all
479 couples, it is possible to refine the risk of having another affected child with the same DNM
480 and in the majority of cases (>64%) the risk is in fact very small, potentially reducing anxiety
481 and the need for expensive pre-implantation or prenatal diagnostic options. For couples in
482 whom we detected overt mosaicism, the risk is higher (and quantifiable through sperm

483 analysis for the paternal cases). Providing evidence-based estimation of the actual risk will
484 allow these couples to be singled out for further investigations and support, allowing them to
485 make informed choices (and for their clinicians to provide them with personalised advice and
486 risk assessment) about the different diagnostic options available to them.

487

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498

499 **Authors contribution**

500 Conceived the study and designed the experiments: AOMW and AGo
501 Performed the experiments: MB, UBA, KW and SG
502 Performed data analysis: MB, UBA, SJB, EG, NK, GJM, LMT, JW, RWD, AOMW and
503 AGo
504 Recruited participants and/or provided samples: JW, EMB, AB, EB-W, FBK, NC, ATD, AD,
505 JE, FE, AGa, EH, MH, TH, JAH, DJ, WJ, UK, EK, AK, MML, HGL, JEVM, AHN, SR, KS,
506 DJS, LS, MS, AS, HS, MSu, PC
507 Wrote the manuscript: AOMW and AGo with input of MB, SJB, RWD

508

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577

578

579 **PREGCARE: Online Methods**

580 **Recruitment into the PREGCARE study**

581 The PREGCARE (PREcision Genetic Counselling And REproduction) study was approved
582 by the London - Queen Square Research Ethics Committee under the reference number
583 17/LO/1025 (IRAS reference: 225264). Couples with one (or multiple) children, stillbirths or
584 terminated pregnancies affected by a likely pathogenic *de novo* mutation (DNM) and who
585 were potentially interested in personalized transmission risk assessment for future
586 pregnancies were invited to participate by healthcare professionals during routine clinical
587 genetic consultation. A DNM was defined as a single-nucleotide or small insertion-deletion
588 variant detected in the proband that was absent in the parents' DNA on routine diagnostic
589 genetic analysis. DNMs occurring in one of six paternal age-effect genes (*FGFR2*, *FGFR3*,
590 *HRAS*, *KRAS*, *PTPN11*, *RET*) were excluded, unless there were multiple affected
591 pregnancies¹. Couples where the mother was pregnant at the time of sample collection, those
592 who were not both the biological parents of the affected child, or either the biological mother
593 or father did not consent to participate, were also excluded.

594 Recruitment and sample collection took place at 13 of the 17 participating National Health
595 Service (NHS) Trusts in England, UK.

596

597 **Sample collection**

598 Families interested in participating in the study were sent a box containing kits and
599 instructions for collection at home of 2 ml saliva (Oragene DNA, OG-500, DNA-Genotek,
600 Canada) and 50 ml morning midstream urine (Urine Collection And Preservation Tube,
601 Norgen Biotek Corp., Canada) from both the mother and father, and an ejaculate of semen
602 (following abstinence for three days before collection and stored at -20 °C) from the father.
603 During the clinical visit, informed written consents were obtained and further samples were

604 collected from the three family members, including 5 ml peripheral blood (EDTA) from
605 father and mother and buccal cells from the left and right inner cheek lining from mother,
606 father, and the affected child using swabs (sterile PurFlock Ultra tip swab in dry transport
607 tube, Puritan Medical Products, ME, USA). Samples and completed consent forms were sent
608 at room temperature to the MRC Weatherall Institute of Molecular Medicine where they were
609 witnessed-transferred and processed for extraction or long-term storage within 48 hours of
610 collection. Overall, a total of 67 boxes were dispatched and 60 families completed collection
611 and consents and were enrolled into the study.

612 In addition, the child's genomic DNA originally used for the molecular diagnosis was
613 requested from the NHS genetic laboratory. This sample had usually been extracted from the
614 proband blood or, occasionally, fetal tissues, amniocentesis or chorionic villus sample (CVS)
615 (for details see Supplementary Table S1).

616

617 **Sample processing and DNA extraction**

618 Upon delivery of the box to the lab, the family samples were given a unique identifier and
619 processed. The saliva samples were incubated at 50 °C for 60 min and then aliquoted. Blood
620 samples were aliquoted as whole blood and isolated buffy coat. Urine samples were
621 centrifuged at 2000 x g for 10 min and the cell pellet rinsed with 1 x phosphate-buffered
622 saline (PBS) before storage. Semen samples were split into 50-100 µl volume aliquots which
623 were rinsed with 1 x PBS (5000 x g for 5 min). Mouth swabs were kept frozen until
624 extraction, when they were resuspended into 100 µl PBS.

625 Genomic DNA was extracted from all the collected family samples (2 saliva lysates, 2 whole
626 blood samples, 2 urine cell pellets, 6 buccal swabs, 1 semen lysate) on the Maxwell RSC
627 Instrument using the Maxwell RSC Blood DNA kit (both Promega, WI, USA) and following
628 manufacturer's protocols. Aliquots of semen were pre-incubated in sperm lysis buffer (20

629 mM Tris HCl pH 8.0, 20 mM EDTA, 200 mM NaCl, 1% SDS) in the presence of proteinase
630 K (250 µg/ml), dithiothreitol (DTT; 100 mM) and 0.6% SDS at 42°C for 4-12 hours.

631 Concentrations of the final DNA eluates were assessed with standard fluorometric methods.

632

633 **Genotyping assay for verification of familial relationship using molecular inversion
634 probes (smMIP assay)**

635 To confirm the familial relationships of each trio, we used an in-house custom single-
636 molecule molecular inversion probes (smMIPs) genotyping assay to capture common single
637 nucleotide polymorphisms (SNPs) across all chromosomes (total of 290 smMIP probes
638 targeting 154 autosomal, 14 X-linked and 57 Y-linked markers; for SNP details and probe
639 sequences, see Supplementary Tables S4A & S4B) following established smMIPs protocols²
640 followed by sample barcoding, library preparation and 2 x 151 bp paired-end sequencing on a
641 MiSeq instrument (Illumina, CA, USA). For each family, DNA from the proband sample
642 obtained from the original diagnostic laboratory (or if unavailable, buccal swab DNA), the
643 maternal blood sample and the paternal semen sample were analyzed. Sequencing data was
644 processed using the ‘pileups snps’ tool in the amplimap v0.4.9³ pipeline with default settings
645 (alignment to GRCh38.p12 with BWA, variant calling with GATK) to generate counts for the
646 reference (REF) and alternate (ALT) alleles at each locus. Subsequently, the autosomal and
647 X-linked SNP genotype for each individual of the family trio was recorded as Homozygous
648 REF (AA), Heterozygous (AB) or Homozygous ALT (BB). For genotyping, SNPs were
649 considered informative when the parents were homozygous (AA or BB) and the proband
650 exhibited the expected genotype such as when Parent1/Parent2/Proband were AA/AA/AA,
651 BB/BB/BB, AA/BB/AB. Other SNPs were analyzed to ensure there was no genotype
652 discordance across the 3 family members.

653

654 **Ultra-deep Illumina sequencing (Deep-NGS) of DNM sites**

655 Ultra-deep Illumina sequencing was performed in order to detect low levels of mosaicism in
656 parental samples or post-zygotic mosaicism in the child. For each family-specific DNM, a
657 pair of PCR primers tailed with generic CS1 (5'- ACACGTGACGACATGGTCTACA) and
658 CS2 (5'- TACGGTAGCAGAGACTTGGTCT) sequence tags was designed to amplify a
659 short genomic region (49-266 bp) around the DNM site; primer genomic locations (build
660 GRCh38.p12), are provided in Supplementary Table S1. Each primer set was tested on
661 control DNA with either High Fidelity Phusion or Q5 Polymerase (New England Biolabs,
662 MA, USA) and PCR amplification was performed following manufacturer's
663 recommendations using 30 ng of genomic DNA from triplicates of up to 14 biological
664 samples and three unrelated control DNAs in 10 μ l PCR reactions, applying an initial
665 denaturation step for 30 s at 98 °C, followed by 30 cycles of 10 s at 98 °C, 30 s at 68 °C, and
666 30 s at 72 °C, and 8 min at 72 °C as final extension step. Successful amplification was
667 confirmed by running samples on an agarose gel. PCR-amplified fragments were diluted,
668 further PCR amplified using individually barcoded primers, pooled together to construct
669 libraries and ultra-deep sequenced, as previously described⁴ on a MiSeq (Illumina) instrument
670 with 2 x 151 bp paired-end reads at an average depth of ~19,000 x for each sample.

671

672 **Deep-NGS data analysis and determination of the observed variant allele frequency**
673 **(VAF) at the DNM location.**

674 Illumina data were analyzed using amplimap³, as above, to obtain both the variant allele
675 frequency (VAF) of each family-specific mutation and the total count of >Q30 bases at the
676 corresponding genomic position (GRCh38.p12) in each PCR replicate and sample. For each
677 family-specific dataset, DNM VAFs observed in each sample were corrected, to account for
678 the background alternate read counts observed in the control samples (false-positives) at the

679 DNM genomic location. Let $k1$ and $k2$ be the number of alternate reads observed in the
680 control and case, and $n1$ and $n2$ be the total number of reads observed in the control and case,
681 respectively. Let p denote the unobserved proportion of cells carrying a variant and let q be
682 the false-positive rate of the sequencing and variant-calling procedure.

683 The joint likelihood of p and q is defined as follows

684
$$\mathcal{L}(p, q | k1, n1, k2, n2) = B(k1; n1, q) \cdot B(k2; n2, p + (1 - p) \cdot q)$$

685 where B denotes the binomial probability mass function and $B(k; n, p)$ is the probability of
686 observing k successes in n trials with success probability p . The first term corresponds to the
687 probability mass of observing $k1$ false-positives in the control, and the second term
688 corresponds to the probability mass of observing $k2$ alternate reads in the $p + (1 - p) \cdot q$
689 case. The rate in the second term corresponds to the fact that a read identified as carrying the
690 variant in the case is either a true positive (i.e. actually carrying the variant) with probability
691 p or a false positive (i.e. background noise, not carrying the variant but mistakenly identified
692 as doing so) with probability $(1 - p) \cdot q$.

693 We treated q as a nuisance parameter and obtained the marginal likelihood of p by
694 numerically integrating the joint likelihood over q using adaptive quadrature⁵. Finally, we
695 obtained the maximum likelihood estimate of p by numerically maximizing the marginal
696 likelihood and obtained 95% confidence intervals using profile likelihood⁶. Scripts describing
697 this analysis are available at github.com/sjbush/pregcare.

698

699 **Allele-specific PCRs**

700 For two DNMs – a 44 bp deletion in *MECP2* in FAM12 and a 35 bp duplication in *MAGEL2*
701 in FAM54 – the regions were successfully amplified as described above, but the deep-
702 sequencing on the MiSeq platform did not lead to quantifiable results in the proband sample,
703 making the assay unsuitable for mosaicism detection. Therefore, individual mutation-specific

704 PCR assays were designed and the resulting PCR products analyzed using gel
705 electrophoresis. The individual assays' sensitivity was determined with dilution series
706 experiments (Supplementary Note 2). Furthermore, an allele-specific PCR had to be designed
707 for haplotyping the DNM of FAM38 in *AHDC1* due to a homopolymeric region around the
708 mutation site for which the mutant and wildtype allele could not be phased with ONT
709 sequencing (Supplementary Note 3 and Supplementary Table S3B).

710

711 **Long-read haplotyping assay using Oxford Nanopore Technologies (ONT)**

712 The MinION (Oxford Nanopore Technologies [ONT], UK) long-read sequencing technology
713 was used to determine the parent-of-origin of the DNM in the proband. To do so, primers
714 were designed to amplify two regions (~2-16 kb each, for locations of individual primer
715 sequences, see Supplementary Table S1) on either side of the DNM. DNA from the two
716 parental blood samples and the diagnostic genomic DNA from the proband were amplified
717 using LongAmp Polymerase (New England Biolabs, UK) starting with 50 ng genomic DNA
718 in a 20 µl reaction following manufacturer's recommendations and the cycling conditions:
719 initial 2 min at 95 °C, 30 cycles of 30 s at 95 °C and 16 min at 65 °C, and a final extension at
720 65 °C for 20 min. PCR amplicons were checked on a 0.9% agarose gel and if amplification
721 had been successful, regions 1 and 2 from one sample were pooled. For library preparation,
722 the PCR barcoding amplicon protocol and 1D ligation kit and PCR expansion kit (all ONT,
723 UK) were used to barcode individual samples in a 20 µl PCR reaction with LongAmp
724 polymerase, 2 µM barcoding primers and 1:100 diluted target PCR with the cycling
725 conditions as described above for 8 cycles. After adapter ligation, the pooled library was
726 loaded onto a MinION SpotOn Mk I version R9 flowcell (ONT) for sequencing following the
727 manufacturer's recommendations. For initial data processing (demultiplexing and
728 basecalling) each set of fast5 files was processed using Guppy v4.5.4+66c1a77

729 (https://community.nanoporetech.com) with the parameter --config
730 dna_r9.4.1_450bps_hac.cfg, producing one set of reads for each barcode/family member of
731 the trio. Reads are deposited in the European Nucleotide Archive under BioProject accession
732 number PRJEB53977 (<http://www.ebi.ac.uk/ena/data/view/PRJEB53977>).

733

734 **Haplotype phasing of *de novo* mutations using Medaka and mpileup**

735 ONT reads for each trio were aligned to the GRCh38.p12 primary assembly using minimap2
736 v2.18⁷ with parameter -ax map-ont. Lower-quality (MAPQ < 20) and non-primary
737 alignments were discarded using samtools view v1.12⁸ with parameters -q 20 -F 256 -F 2048.

738 For each target region (genomic coordinates are given in Supplementary Table S1), variants
739 were called using the ‘medaka_variant’ workflow of Medaka v1.3.2

740 (<https://github.com/nanoporetech/medaka>, accessed 6th May 2021) with default parameters.

741 The set of VCFs per region were then concatenated using BCFtools v1.12⁸ to produce one
742 VCF per BAM, subsequently annotated using dbSNP v153⁹

743 (https://ftp.ncbi.nih.gov/snp/latest_release/VCF/GCF_000001405.38.gz, accessed 6th May
744 2021).

745 Where possible, Medaka uses the information contained within heterozygous SNPs to impute
746 the haplotype of the aligned reads. In practice this means that a proportion of the calls in each
747 VCF are phased, being assigned to a ‘phase set’ of SNPs on the same haplotype. Given that
748 the sequencing data represent mother/father/proband trios, with each proband having a DNM,
749 each VCF was parsed to determine whether Medaka had called and phased the DNM in the
750 proband (but not in either parent, confirming their true “*de novo*” status). For each DNM
751 called by Medaka, we obtained the associated phased set SNPs, retaining only those which
752 had a total depth of coverage >10x. We cross-referenced the phased set SNPs with the VCFs
753 from the mother and father and identified which calls (if any) had been made at those

754 positions. This produced a set of three haplotypes from which we used a custom script to
755 classify the inheritance of the DNM as either maternal or paternal (the SNPs in phase with the
756 DNM could only be derived from the chromosome inherited from the mother or father,
757 respectively), else unresolved (Medaka either did not call the DNM in the child, called it but
758 did not construct a phased set, or, if it did construct a phased set, either did not call its
759 constituent SNPs in the parents or made identical calls for both of them).

760 DNMs not successfully phased using Medaka (Supplementary Note 3) were phased by
761 programmatic and/or manual inspection of read pileups. A programmatic approach was
762 implemented using a custom script which parsed read pileups (generated using samtools
763 mpileup with parameters -aa --output-QNAME) to obtain a set of reads which contained both
764 the ALT-allele for the DNM and a candidate phasing SNP (considered the closest one to it
765 and for which there was a prior, namely inclusion in dbSNP). We then constructed a 2x2
766 count table (rows: number of reads calling REF/ALT at DNM position, columns: number of
767 reads calling REF/ALT at phasing SNP positions) and resolved inheritance by identifying
768 which of the two alleles for the phasing SNP, REF or ALT, were disproportionately found on
769 the same read as the DNM ALT. Significance was assessed using Fisher's exact test.

770 Haplotypes flagged as not programmatically resolved by either Medaka or pileup were
771 manually reviewed using IGV v2.11.2¹⁰, with visual inspection also used to validate all the
772 above calls. Full details, and all scripts used for this analysis, are available at
773 github.com/sjbush/pregcare. For one family (FAM38) the phase could not be resolved with
774 long read-sequencing due to a homopolymeric stretch around the mutation site. For this
775 family, an allele-specific PCR was performed (Supplementary Note 4).

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778 **References for Online Methods**

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