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## 2 Molecular spikes: a gold standard for single-cell RNA counting

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8

## 9 Abstract

10 Molecule counting is central to single-cell sequencing, yet no experimental strategy to evaluate  
11 counting performance exists. Here, we introduce *molecular spikes*, novel RNA spike-ins containing  
12 inbuilt unique molecular identifiers that we use to identify critical experimental and computational  
13 conditions for accurate RNA counting across single-cell RNA-sequencing methods. The molecular  
14 spikes are a new gold standard that can be widely used to validate RNA counting in single cells.

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17 Single-cell RNA sequencing (scRNA-seq) is being widely used to dissect cellular states, types and  
18 trajectories<sup>1</sup>. Common to many single-cell technologies are counting strategies to mitigate the  
19 overcounting of amplicons derived from each RNA or DNA molecule. Typically, a random sequence, or  
20 unique molecular identifier (UMI), is added via the adapter oligos prior to DNA amplification and  
21 sequencing<sup>2</sup>, and this strategy has become standard for RNA counting in single cells<sup>3-6</sup>. Despite the  
22 widespread use of UMIs, no experimental strategies exist that can be used to systematically quality-  
23 control counting accuracy in new single-cell methods or variations in chemistries used. Furthermore,  
24 errors within the barcodes during amplification and sequencing necessitate subsequent *ad hoc*  
25 computational correction strategies. Several approaches for UMI error corrections to estimate RNA  
26 molecule counts have been proposed<sup>7-9</sup>, but so far there are no experimental ground-truth datasets  
27 enabling standardized benchmarking. Here, we developed novel mRNA spike-ins that carry a high  
28 diversity random sequence (i.e. an internal UMI) that we use to assess the RNA counting accuracy of  
29 popular scRNA-seq methods and computational correction strategies.

30 Randomized synthetic DNA sequences with minimal overlap to the human and mouse genomes were  
31 cloned into pUC19, together with a T7 promoter and a poly-A tail consisting of 30 adenine nucleotides  
32 (**Figure 1a**). Oligonucleotide libraries carrying 18 random nucleotides were inserted either into the 5'  
33 or 3' region of the synthetic sequence to construct the spike-UMI (spUMI) of the 5' and 3' molecular  
34 spike, respectively (**Figure 1b**). The resulting plasmid libraries were then used for in vitro transcription  
35 to produce molecular spike RNA pools (**Figure 1a**). To test the produced spikes, we added the 5'-  
36 molecular spike to single HEK293FT cells and prepared Smart-seq3 libraries<sup>6</sup>. The spUMIs from the  
37 molecular spike sequences were extracted from aligned reads and we similarly extracted the standard  
38 UMI sequence introduced on the Smart-seq3 template switching oligo. We verified that the 18 bp  
39 spUMI was indeed predominantly random (**Supplementary Figure 1a**). To counteract PCR and  
40 sequencing errors within the spUMIs on the molecular spikes, we investigated the appropriate error  
41 correction strategy. To this end, we calculated for each molecular spike spUMI the minimum edit  
42 distance (hamming distance) to the closest sequence within the cell and to 1000 randomly sampled  
43 molecular spike spUMIs from other cells. This analysis demonstrated that the 18bp spUMIs often  
44 showed an enrichment of spUMIs with one or two base errors within cells (**Supplementary Figure 1b**).  
45 Moreover, random sampling of sequences of 18bp length is unlikely to yield collisions in sequence  
46 space (~68.7 billion sequences) at a hamming distance of 2. Therefore, we used a hamming distance  
47 of 2 to infer the exact number of molecular spike spUMIs present in each cell for the remainder of the  
48 experiments in this study, and we further excluded spUMIs that were over-represented across cells  
49 (**Methods**) to remove potential biases (**Supplementary Figure 1c**). By fitting an asymptotic non-linear  
50 model to the number of observed spUMIs sequences across cells, we estimated the complexity of the

51 total 5'-molecular spike pool to 3.2 million, demonstrating that there were no unexpected bottlenecks  
52 in the cloning and production procedure (**Figure 1c**).

53 Having validated the randomness and complexity of the spUMI, we investigated the RNA counting  
54 accuracy of single-cell methods, starting with Smart-seq3<sup>6</sup>. Since the copy numbers of added 5'-  
55 molecular spikes were very high, we sampled molecular spike molecules from the range of expression  
56 levels typically found in HEK293FT cells (**Supplementary Figure 2**). The observed error-corrected  
57 Smart-seq3 counts closely followed ( $r^2= 0.99$ ) the molecular spike ground-truth (i.e. error-corrected  
58 spUMIs) (**Figure 1d**), demonstrating the accuracy in RNA counting in single-cells with Smart-seq3.

59 Next, we exemplify how the molecular spikes can properly diagnose inaccuracies in scRNA-seq library  
60 protocols by investigating altered Smart-seq3 conditions in which residual RNA-based template-  
61 switching oligo (TSO) is allowed to prime during PCR preamplification to cause artificially inflated RNA  
62 counts. Whereas the TSO can be efficiently removed by bead cleanups prior to PCR, it can also be  
63 effectively outcompeted by increasing concentrations of forward PCR primers (**Figure 1e**). However,  
64 the combination of remaining TSO with lower amounts of forward PCR primer results in significant  
65 TSO priming and inflation in RNA counting, at approximately 150% of the correct expression levels  
66 (**Figure 1e** and **Supplementary Figure 3**). We note that a minor count inflation (approximately 110%)  
67 is detectable even at 0.5  $\mu$ M forward primer (at 100 RNA copies per cell and over 10 sequencing reads  
68 per molecule), and that an increase to 1.0  $\mu$ M in Smart-seq3 effectively removes this remaining  
69 inflation.

70 Most scRNA-seq protocols rely on 3'-tagging mRNA instead of producing full-length coverage of  
71 transcripts, and we therefore engineered a 3'-molecular spike carrying the 18-nucleotide spUMI close  
72 to the poly-A tail (**Figure 1b**). After similar QC and filtering of 3' spUMIs (**Supplementary Figure 4**), we  
73 first applied these molecular spikes to the droplet-generation process in 10x Genomics Gene  
74 Expression Assay (v2 chemistry; see **Methods**). The inferred molecule counts from this experiment  
75 were in good agreement with the molecular spikes (**Figure 1f**), as expected since the 10x Genomics  
76 protocol extensively purifies the cDNA prior to PCR amplification. Next, we applied the molecular  
77 spikes to the SCRB-seq protocol<sup>10</sup>, a plate-based 3'-tagging method that includes cDNA clean-up prior  
78 to cDNA amplification. The RNA counting in SCRB-seq was accurate (**Figure 1g**). Recently, tSCRB-seq<sup>11</sup>  
79 was introduced and reported to have greatly increased sensitivity compared to SCRB-seq. In tSCRB-  
80 seq the PCR reagents are added directly to the individual reactions without cDNA clean-up. To assess  
81 how RNA counting was impacted in tSCRB-seq, we first generated a SCRB-seq library that omitted the  
82 Exonuclease I digest after reverse transcription, which is a safeguard against remaining oligo-dT primer  
83 potentially producing faulty amplicons in the subsequent PCR reaction, which resulted in minimal

84 (105%) UMI counting inflation (**Figure 1g**). Following tSCRB-seq, we added PCR master mix directly  
85 into the individual wells of cDNA product and this “direct PCR” condition resulted in significant UMI  
86 overcounting (**Figure 1g**). In fact, the “direct PCR” implementation in tSCRB-seq introduced new UMIs  
87 nearly in every new sequenced read, resulting in overcounting that linearly follows sequencing depth  
88 (**Figure 1h**). Clearly, the UMI containing oligo-dT primer appears to be preferentially priming in the  
89 pre-amplification PCR reaction, introducing false new UMIs in every cycle. The clean-up after pooling  
90 RT products, even in the absence of the Exonuclease I digest, seemed to be very efficient at removing  
91 the oligo-dT primer. Thus, the reported increased sensitivity obtained in tSCRB-seq<sup>11</sup> is completely  
92 artificial due to the removal of the essential cDNA cleanup step.

93 Having demonstrated the important role of molecular spikes in assessing the RNA counting abilities of  
94 scRNA-seq methods, we next systematically investigated UMI error-correction procedures and  
95 compared their inference to the ground-truth number of spiked in molecules. We based this analysis  
96 on the experiment with 10x Genomics using 3'-molecular spikes, and we sampled molecular spikes  
97 and their associated sequence reads (1 to 10 reads each) matching to 60 equally spaced expression  
98 levels between 1 and 1000 molecules (**Supplementary Figure 5a**). Moreover, we directly investigated  
99 the effect of the UMI length on the error-correction by performing these analyses in parallel on *in*  
100 *silico* trimmed versions of the observed 10-nucleotide 10x Genomics UMI. Basing the RNA counts on  
101 uncorrected UMI observations inflated the counts with increasing inflation in longer UMIs (**Figure 2a,**  
102 **b**) reflecting that longer UMI sequences have higher risks to be affected by PCR and sequencing errors.  
103 As expected, the inflated counts increased also with increasing read coverage and expression levels  
104 (**Supplementary Figure 5b**). Reassuringly, applying UMI error corrections that collapse UMI  
105 observations within a hamming distance of 1 (as implemented in the zUMIs pipeline<sup>8</sup>) removed a large  
106 proportion of counting errors for the longer UMI lengths (**Figure 2c,d**) and fully removed the  
107 dependency on coverage (**Supplementary Figure 5c**). In contrast to a previous report<sup>12</sup>, we observe  
108 that UMIs of a length 6 or lower reach significant collision rates leading to under-counting even in the  
109 absence of UMI error correction (**Figure 2a, b**). Moreover, only UMI lengths of 8 or higher counted  
110 RNAs accurately over the full spectrum of assessed expression levels (**Figure 2c, d**).

111 Many common scRNA-seq pipelines have implemented UMI error corrections at an edit distance of 1,  
112 and we next compared the RNA counting accuracy by collapsing the same data using edit distances of  
113 1 and 2 and compared the counts to the ground-truth based on the spiked in molecules. While a  
114 hamming distance of 1 was clearly more suitable for UMIs of length 8, allowing up to 2 mismatches in  
115 10bp UMIs improved RNA counting throughout the full range of expression levels (**Figure 2e,f**). Finally,  
116 we compared several UMI collapsing strategies that collapse UMIs based on their edit distances and  
117 frequencies of observations<sup>7</sup> (**Supplementary Figure 6**) and we compared their inferred counts to the

118 ground-truth spiked in molecules. Differences among the collapsing strategies were only apparent for  
119 UMIs of 8 basepairs in length (**Figure 2g,h**), where the aggressive collapsing strategies (*cluster* and  
120 *adjacency*) underestimate RNA counts due to the collapsing of multiple molecules at higher  
121 expression levels, likely due to coding space exhaustion. In line with previous findings<sup>7</sup>, the *directional-*  
122 *adjacency* method seems to provide a good compromise for UMIs of at least 8 base pairs.

123

124 RNA spike-in pools of different abundances and isoform complexities (e.g. ERCCs<sup>13</sup>, SIRVs<sup>14</sup> and  
125 Sequins<sup>15</sup>) have been used to correlate known RNA molarities to observed RNA counts to assess gene-  
126 and isoform-level accuracy in scRNA-seq experiments<sup>16</sup>. Imprecisions in quantifying, diluting and  
127 pipetting minute amounts of spike-in mRNA to individual cells have however limited their general use  
128 and lowers their power to detect RNA counting errors or biases. Here, we propose molecular spikes,  
129 i.e. RNA spike-in pools that contain an inbuilt UMI (**Figure 1a,b**), as a new paradigm in scRNA-seq  
130 method development to detect and quantify artifactual RNA counting. Since the molecular spikes  
131 harbor an internal high-capacity UMI that can be used to quantitatively monitor the exact spike-in  
132 molecules sequenced from each cell, it is robust to imprecisions in accurately distributing spike-ins  
133 across cells. The quantitative comparison of spiked molecules to the counted RNA revealed both gross  
134 (e.g. 400%, **Figure 1g**) and smaller (5-10%) counting errors (**Figures 1e,g**), both relating to procedures  
135 that did not sufficiently remove UMI-containing oligonucleotides from contributing during PCR. We  
136 therefore suggest that molecular spikes should be routinely applied to existing and new scRNA-seq  
137 method development to validate accurate molecule counting, in particular when altering pre-PCR and  
138 PCR experimental conditions. To this end, we are making the molecular spikes available along with an  
139 R package for molecular spike data processing, quality-control, and visualization.

140 The generation of ground-truth molecular counts across cells with molecular spikes, enables  
141 systematic benchmarking of UMI error-correction strategies as one can quantitatively compare  
142 estimated RNA counts to the numbers of spiked molecules per cell. We show direct experimental  
143 evidence that RNA counting based on uncorrected UMIs over-estimate RNA expressions, at a level  
144 that follow the chance of PCR and sequencing errors within the UMIs (i.e. UMI lengths, sequence  
145 depth and sequencing technology used). In contrast to recent recommendations based on  
146 computational modelling<sup>17</sup>, our direct experimental comparison show that scRNA-seq data processing  
147 should include UMI error-correction to not systematically over-estimate RNA expression levels. The  
148 literature provides conflicting recommendations regarding UMI lengths<sup>12,17</sup>, as longer UMIs can  
149 interfere with method sensitivity and shorter UMIs have limited coding capacity. We demonstrate that  
150 only UMIs of 8 or more basepairs have sufficient coding capacity to robustly detect expression levels

151 even in high RNA-content, cultured cells (here HEK293FT cells), and the use of shorter UMIs should be  
152 avoided except in shallow scRNA-seq experiments. Interestingly, none of the correction strategies  
153 typically used were fully robust across expression levels and it should be possible to use the  
154 quantitative data from the molecular spikes to inform future improved strategies with increasing RNA  
155 counting reliability and accuracy. It will also be interesting to use the molecular spikes beyond the  
156 validation of aggregated RNA counts per cell, and to investigate the within-molecule consistency of  
157 molecular spike identity and UMIs assigned to each molecule. In particular since an exact one-to-one  
158 mapping between sequence reads and original molecules (after the UMI error-correction) is important  
159 for in silico RNA reconstruction<sup>6</sup> to ensure the correct collapsing of sequences for each individual RNA  
160 molecule present in cells.

161

## 162 DATA AVAILABILITY

163 The raw data files for single-cell RNA-sequencing experiments have been deposited in Array Express  
164 at European Bioinformatics Institute under accession E-MTAB-10372.

165

## 166 CODE AVAILABILITY

167 We are making the code for processing, filtering, quality control and visualization of molecular spikes  
168 publicly available as a R package (<https://github.com/cziegenhain/UMIcountR>).

169

## 170 METHODS

171 **Molecular spike-in design.** Molecular spike sequences were designed to have minimal overlap to  
172 Mouse or Human genomes. Two 500-bp sequences were selected, and entry vectors were created as  
173 described below. To minimize levels of *in vitro* transcription from the 5' synthetic spike empty vector,  
174 we decided to complete the T7 promoter sequence with the random-base containing oligonucleotide.  
175 A similar strategy was not possible for the 3' synthetic spike.

176 **5' and 3' spike entry vector and library cloning.** Geneblocks encoding synthetic RNA sequences and  
177 a synthetic poly-A stretch were introduced into the pUC19 backbone as previously described<sup>6</sup>. The  
178 resulting molecular spike insert vectors were linearized by digestion with Xhol or EcoRI for the 5' and  
179 3' spike encoding plasmids respectively. A single stranded oligonucleotide library (IDT), containing a  
180 stretch of 18 random bases, was cloned into the linearized backbone using Gibson Assembly (NEB).  
181 The resulting reaction was then electroporated into Lucigen Electrocompetent cells, according to the

182 manufacturers protocol, and streaked out on large LB-agar plates (LB-lennox recipe). The resulting  
183 cultures were recovered from the LB-agar plates and a maxipreps were performed (Macherey-Nagel)  
184 to purify the plasmid DNA.

185 **In vitro transcription reactions.** The plasmid libraries were linearized by digesting with HindIII. In vitro  
186 transcription was performed using the MaxiScript kit (Invitrogen) according to the manufacturer's  
187 guidelines. Resulting libraries of synthetic RNA spikes were cleaned up using RNeasy spin columns  
188 (Qiagen). Synthetic RNA integrity was confirmed by RNA Nano 6000 chip on the Agilent Bioanalyzer.

189 **Cell culture.** HEK293FT cells (Invitrogen) were grown in complete DMEM medium supplemented with  
190 4.5 g/L glucose, 6 mM L-glutamine, 0.1 mM MEM nonessential amino acids, 1 mM sodium pyruvate,  
191 100 µg/mL pencillin-streptomycin and 10% fetal bovine serum (FBS). Prior to scRNA-seq experiments,  
192 cells were dissociated using TrypLE.

193 **10x Genomics library preparation.** 1 ul of 3' molecular spike pool (1 ng/µL) was added to the single  
194 cell HEK293FT suspension right before loading on the 10X genomics 3' V2 chip. To avoid obtaining too  
195 many cells, and to remove the possibility of many 'empty' droplets that reverse-transcribed only the  
196 molecular spike molecules, we opted to remove GEMs from the reaction before the recovery of the  
197 cDNA. Before adding recovery agent, 10 µL of GEM-RT mix was transferred and the remainder of the  
198 GEM-RT mix was discarded. PCR amplification was performed according to the manufacturers  
199 protocol. After PCR amplification, cleanup was performed with SPRIselect beads at a ratio of 0.8:1  
200 beads:sample instead of the 0.6:1 ratio specified in the protocol. The subsequent fragmentation step  
201 was extended to 10 minutes. The double-sided bead-cleanup after the fragmentation was changed to  
202 a ratio of 0.6:1 and 1:1 respectively. Similarly, the post-ligation cleanup (step 3.4) was increased to  
203 1:1 ratio instead of 0.8:1. The double-sided post-indexing PCR cleanup was performed at 0.6:1 and 1:1  
204 ratios respectively. The library was converted to circular ssDNA using the Universal Library Conversion  
205 Kit App-A (MGI). 60 fmol of ssDNA was used for DNA nanoball generation and subsequent sequencing  
206 on a FCL flow-cell of the DNBSEQ G400RS platform (MGI) generating 26x150 bp reads.

207 **Smart-seq3 library preparation.** Single HEK293FT cells were sorted in 384 well plates containing 3 µL  
208 Smart-seq3 lysis buffer on a BD FACS Melody sorter with 100 µm nozzle. After sorting plates were  
209 quickly spun down before storage in -80 °C. Smart-seq3 library preparation was done according to  
210 published protocol<sup>6</sup> with the following modifications. The 3uL Smart-seq3 lysis buffer per well  
211 contained 0.025 pg 5' molecular spikes. After reverse transcription, each well containing 4 µL of cDNA  
212 was cleaned up with 3 µL home-made 22% PEG beads and eluted in 5 µL Tris-HCl pH 8. PCR mix was  
213 added as 5 µL to each well either with or without the addition of TSO. The reaction concentrations for  
214 the PCR in 10 µL were as follows: 1x KAPA HiFi Hot-Start PCR mix, 0.3 mM dNTPs/each, 0.5 mM MgCl2,

215 0  $\mu$ M, 0.1  $\mu$ M, 0.5  $\mu$ M or 1.0  $\mu$ M forward primer, 0.1  $\mu$ M reverse primer. In the samples where TSO  
216 was added back into the PCR mix, it was done so at 0.8  $\mu$ M.

217 **SCRB-seq library preparation.** Single-cells were sorted into 96-wells containing 5  $\mu$ L of lysis buffer  
218 (1/500 dilution of 5x Phusion HF Buffer) containing 0.025 pg of 3' molecular spike pool using a BD  
219 FACSMelody sorter with 100  $\mu$ m nozzle and frozen at -80 °C. After thawing, lysis was aided by  
220 Proteinase K digestion (1  $\mu$ L of 1:20 diluted Proteinase K (Ambion)) for 15 min at 50 °C. Proteinase K  
221 was denatured, and RNA was desiccated by incubation at 95 °C for 10 min after unsealing the plate.  
222 Reverse transcription was performed in a volume of 2  $\mu$ L per well (1  $\mu$ M barcoded oligo-dT E3V6NEXT  
223 Biotin-ACACTCTTCCCTACACGACGCTTCCGATCT[BC6][UMI10][T30]VN, 1x Maxima RT Buffer, 0.1  
224 mM dNTPs, 1  $\mu$ M TSO E5V6NEXT ACACCTTTCCCTACACGACGCrGrGrG and 25 U Maxima H- reverse  
225 transcriptase) for 90 minutes at 42 °C. cDNA was pooled and cleaned using SPRI beads and excess  
226 primers digested by incubation with ExonucleaseI (NEB; 30 min @ 37 °C, inactivation 20 min @ 80 °C).  
227 PCR amplification was performed in 50  $\mu$ L (0.5  $\mu$ M SINGV6 primer Biotin-  
228 ACACCTTTCCCTACACGACGC, 1x KAPA HiFi ReadyMix). PCR was cycled as follows: 3 min at 98 °C, 21  
229 cycles of 15s at 98 °C, 30 s at 67 °C, 6 min at 72 °C and final elongation was performed for 10 min at  
230 72 °C. For the direct PCR condition, we added 3  $\mu$ L of PCR master mix directly to each well RT product  
231 well containing 2  $\mu$ L of cDNA. Amplified, pooled cDNA was cleaned and quantified. 800 pg of cDNA was  
232 used for tagmentation using the Nextera XT kit (Illumina) according to the manufacturer's protocol.  
233 The final indexing PCR was performed using a i7 primer and P5NEXTPT5  
234 (AATGATACGGCGACCACCGAGATCTACACTTT CCCTACACGACGCTTCCG\*A\*T\*C\*T\*; IDT) to select  
235 for correct 3' fragments. The libraries were pooled and the converted to circular ssDNA using the  
236 Universal Library Conversion Kit App-A (MGI). 60 fmol of ssDNA was used for DNA nanoball generation  
237 and subsequent sequencing on a FCL flow-cell of the DNBSEQ G400RS platform (MGI) generating  
238 16x150 bp reads.

239 **HEK293FT expression levels.** UMI count tables from HEK293FT cells generated using the Smart-seq3  
240 protocol were obtained from ArrayExpression accession E-MTAB-8735. After additional filtering of the  
241 cells (min. number of genes expressed 7,500 and minimum number of UMIs detected 50,000), we  
242 calculated the mean UMI count for all genes (n = 10,198) detected in at least 50% of the cells.

243 **Sequencing data processing.** All sequencing data was processed using zUMIs (v2.9.5)<sup>8</sup>. Reads with  
244 more than 3 bases below Phred 20 base call scores in the UMI sequence were discarded. Remaining  
245 reads were mapped to the human genome hg38 and spike-in references using STAR (v2.7.3a)<sup>18</sup> and  
246 mapped reads were quantified according to Ensembl gene models (Grch38.95) taking into  
247 consideration the strand information of the libraries. Error correction of the internal spUMI was

248 applied within each cell barcode using the adjacency algorithm allowing edit distances of 2 (hamming  
249 distance).

250 **Computational analysis of molecular spikes.** All downstream analyses were performed in R (v4.0.4).  
251 Reads aligning to the molecular spike reference sequence were loaded along with the library UMI and  
252 barcode information from zUMIs output bam files using Rsamtools<sup>19</sup> and further processed by  
253 matching the known sequence upstream and downstream of the internal UMI. Only valid reads that  
254 had an 18 nucleotide long internal UMI were considered further.

255 To investigate the distances of uncorrected, hamming-distance 1 and hamming-distance 2 corrected  
256 spUMI sequences, we used the 5'-molecular spike data generated by the Smart-seq3 protocol and the  
257 3' molecular spike data from the 10x Genomics experiment. For each cell, we calculated all pairwise  
258 hamming distances of spUMI sequences within that cell as well as all pairwise distances to 1000  
259 randomly sampled spUMI sequences across the whole dataset using the stringdist package<sup>20</sup>.

260 For the estimation of the complexity of the molecular spike pool, we counted the number of unique  
261 error-corrected spUMI sequences over molecules seen in all cells and fitted a non-linear asymptotic  
262 regression model using the NLSstAsymptotic function and extracted the asymptote (total complexity)  
263 from the coefficients of the model.

264 Overrepresented spike-ins were discarded if they were detected in more than 4 or 8 cells (5'- and 3'-  
265 spUMIs, respectively) or with more than 100 raw sequencing reads.

266

267 **Analysis of counting performance in protocol variations.** For every cell barcode, spUMIs were  
268 randomly drawn from all molecular spike molecules within that barcode for 20 expression levels from  
269 1 to 100 molecules. At each expression level and for each cell, we determined the exact number of  
270 molecules by drawing from a normal distribution with the given mean and added Poisson noise  
271 (standard deviation = square root of the mean). All observed sequencing reads associated to each of  
272 the drawn molecules were stored and adjacency error correction (hamming distance 1) was applied  
273 to the observed UMI sequences derived from the library preparation (for example the UMI in the  
274 Smart-seq3 TSO or the UMI in 10x Genomics oligo-dT).

275 **Evaluation of UMI length and UMI collapse algorithms.** We first selected a pool of eligible spike-in  
276 molecules from all cells in the 10x Genomics dataset that fulfilled the following criteria (1) only  
277 observed in one cell barcode and (2) covered with 10 - 20 sequencing reads. From this pool of 26,815,  
278 we sampled molecules at 60 expression levels evenly spaced in log-space from 1 to 1000 molecules.  
279 At each expression level, we sampled for 100 “*in-silico*” cells the used number of spike molecules by

280 drawing from a normal distribution with the given mean and added Poisson noise (standard deviation  
281 = square root of the mean). All associated sequencing reads were stored, and we shortened the UMI  
282 sequence in 1 base increments (3' to 5' direction) from 10 to 4 nucleotides. We then applied our R  
283 implementations of the following UMI error corrections within each expression level and in-silico cell:  
284 (1) *adjacency*: the network of closely related UMI sequences is resolved by collapsing all sequences  
285 within the given edit distance (ran with hamming distance 1 and 2 in our case) to the most abundant  
286 sequence; (2) *adjacency-directional*: as *adjacency*, but the minor nodes can only be collapsed if they  
287 have 0.5x or less the reads as the most abundant sequence. (3) *adjacency-singleton*: as *adjacency*, but  
288 the minor nodes can only be collapsed if they are observed by exactly 1 read; (4) *cluster*: the network  
289 of closely related UMI sequences is resolved by collapsing all sequences within the given edit distance  
290 to the node with the highest number of read counts. Nodes that were related at the same distance to  
291 one of the collapsed sequences and equally or less abundant are then also collapsed to the main node,  
292 even if their edit distance is higher than the initial parameter.

293

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301

#### 302 **AUTHOR CONTRIBUTION**

303 Conceived the idea: GJ.H. Designed and cloned molecular spikes: GJ.H. Performed scRNA-seq  
304 experiments: C.Z., M.H.J., GJ.H. Performed analyses and generated figures: C.Z. Wrote the manuscript:  
305 R.S., C.Z. and GJ.H. Supervision: R.S.

306

#### 307 **COMPETING INTERESTS**

308 The authors declare no competing financial interests.

309

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351

352 **FIGURE LEGENDS**

353 **Figure 1: Direct assessment of single-cell RNA counting using molecular spikes.** (a) Schematic of  
354 cloning strategy of molecular spikes, where an oligonucleotide library is inserted into a molecular spike  
355 entry vector, and the vector pool is linearized and in vitro transcribed to generate a complex molecular  
356 RNA spike-ins. (b) Coordinates of molecular spikes, with inbuilt UMI in the 5' or 3' end. (c) 5'-  
357 molecular spike complexity estimated by fitting a non-linear asymptotic model (dotted line) to unique  
358 spUMI sequences observed as a function of the number of spUMIs sequenced across cells (blue line).  
359 (d) Scatter plot showing error-corrected (hamming distance 1) Smart-seq3 RNA counts (y-axis) against  
360 the number of spiked molecules (x-axis) ranging from 1 to 100 spiked molecules per cell. Data from  
361 HEK293FT cells (n=48 cells). (e) Scatter plot showing number of spiked molecules (x-axis) against error-  
362 corrected RNA counts (hamming distance 1) for data generated with variations to the Smart-seq3  
363 protocol, that utilize cDNA cleanup prior to amplification (0.1uM FWD) or without cleanup and  
364 therefore remaining TSO and for different concentrations of FWD primer. Data from 39 cells or more  
365 are shown per condition. (f) Scatter plot showing number of spiked molecules (x-axis) against error-  
366 corrected RNA counts (hamming distance 1) for 10x Genomics (v2) data (n=955 cells). (g) Scatter plot  
367 showing number of spiked molecules (x-axis) against error-corrected RNA counts (hamming distance  
368 1) for data generated with variations to the SCRB-seq and tSCRB-seq protocols. Standard SCRB-seq  
369 (green, 53 cells), excluding Exonuclease I treatment (red, 77 cells) and direct PCR (tSCRB-seq) (blue,  
370 90 cells). (h) Percent counting error (observed/true) for in RNA counts generated with variations to  
371 the SCRB-seq and tSCRB-seq protocols. Solid line denotes the mean over cells per condition with the  
372 shaded area representing the standard deviation colored by experimental conditions. Direct PCR  
373 (tSCRB-seq) (90 cells), No Exonuclease I (77 cells) and standard protocol (53 cells).

374

375 **Figure 2: Evaluation of computational RNA counting strategies using molecular spikes.** (a-d)  
376 Counting difference between number of unique spike identifiers and quantified 10x Genomics UMIs  
377 at varying mean expression levels. Colored lines indicate the mean (n=100) counting difference per  
378 UMI length shaded by the standard deviation. Counting difference is expressed in (a, c) absolute  
379 numbers or (b, d) as a percentage of the mean spUMI count and UMI counts were (a, b) computed  
380 without error-correction or (c, d) corrected in adjacency mode (hamming distance 1). (e-f) Comparison  
381 of edit distance (hamming distance) for adjacency error correction of UMIs of length 8 or 10. Lines  
382 indicate the mean (n=100) difference in quantification between spUMIs and UMIs shaded by the  
383 standard deviation in (e) absolute scale or (f) relative to the mean. (g-h) Evaluation of computational  
384 UMI collapse methods adjacency, adjacency-singleton, adjacency-direction and cluster at edit

385 distance 1 and UMI lengths of 8 or 10 nucleotides. Lines indicate the mean (n=100) difference in  
386 quantification between spUMIs and UMIs shaded by the standard deviation in (g) absolute scale or (h)  
387 as a percentage relative to the mean.

388

389 **Supplementary Figure Legends**

390 **Supplementary Figure 1: Quality control of 5' molecular spike-in.** (a) Sequence logo of the 18 random  
391 spUMI bases derived from all reads in the Smart-seq3 dataset. At each position, the frequency of all 4  
392 bases is visualized by the size of the DNA letter. (b) Minimal distance of uncorrected spUMIs to the  
393 closest spUMI sequence for all pairwise within-cell comparisons and pairwise comparisons of spUMIs  
394 to 1000 randomly samples spUMI sequences across cells (total 2,233,878 comparisons). (c) Cumulative  
395 number of molecular spikes (n = 885,925) sorted by their occurrence over cells (n = 340). Dashed line  
396 indicates the chosen QC cutoff at 4 cells.

397 **Supplementary Figure 2: Expression levels in HEK293FT cells.** (a-b) Quality of Smart-seq3 libraries (n  
398 = 111 cells) after filtering. Shown are the number of detected (a) genes and (b) molecules per  
399 HEK293FT cell. (c) Histogram showing the mean UMI count per cell for all genes expressed in at least  
400 50% of cells (n = 10,198 genes).

401 **Supplementary Figure 3: Counting difference in Smart-seq3 protocol variations.** (a-b) For variations  
402 of the Smart-seq3 protocol, molecular spikes were sampled at varying mean expression levels. Colored  
403 lines indicate the mean counting difference in (a) absolute numbers or (b) relative to the mean and  
404 shaded by the standard deviation for library preparation conditions 0.1  $\mu$ M FWD (n=48), TSO+0.1  $\mu$ M  
405 FWD (n=48), TSO+0.5  $\mu$ M FWD (n=39) and TSO+1.0  $\mu$ M FWD (n=45).

406 **Supplementary Figure 4: Quality control of 3' molecular spike-in.** (a) Sequence logo of the 18 random  
407 spUMI bases derived from all reads in the 10x Genomics dataset. At each position, the frequency of  
408 all 4 bases is visualized by the size of the DNA letter. (b) Minimal distance of uncorrected spUMIs to  
409 the closest spUMI sequence for all pairwise within-cell comparisons and pairwise comparisons of  
410 spUMIs to 1000 randomly samples spUMI sequences across cells (total 19,773,932 comparisons). (c)  
411 Cumulative number of molecular spikes (n = 1,938,392) sorted by their occurrence over cells (n =  
412 1,359). Dashed line indicates the chosen QC cutoff at 4 cells.

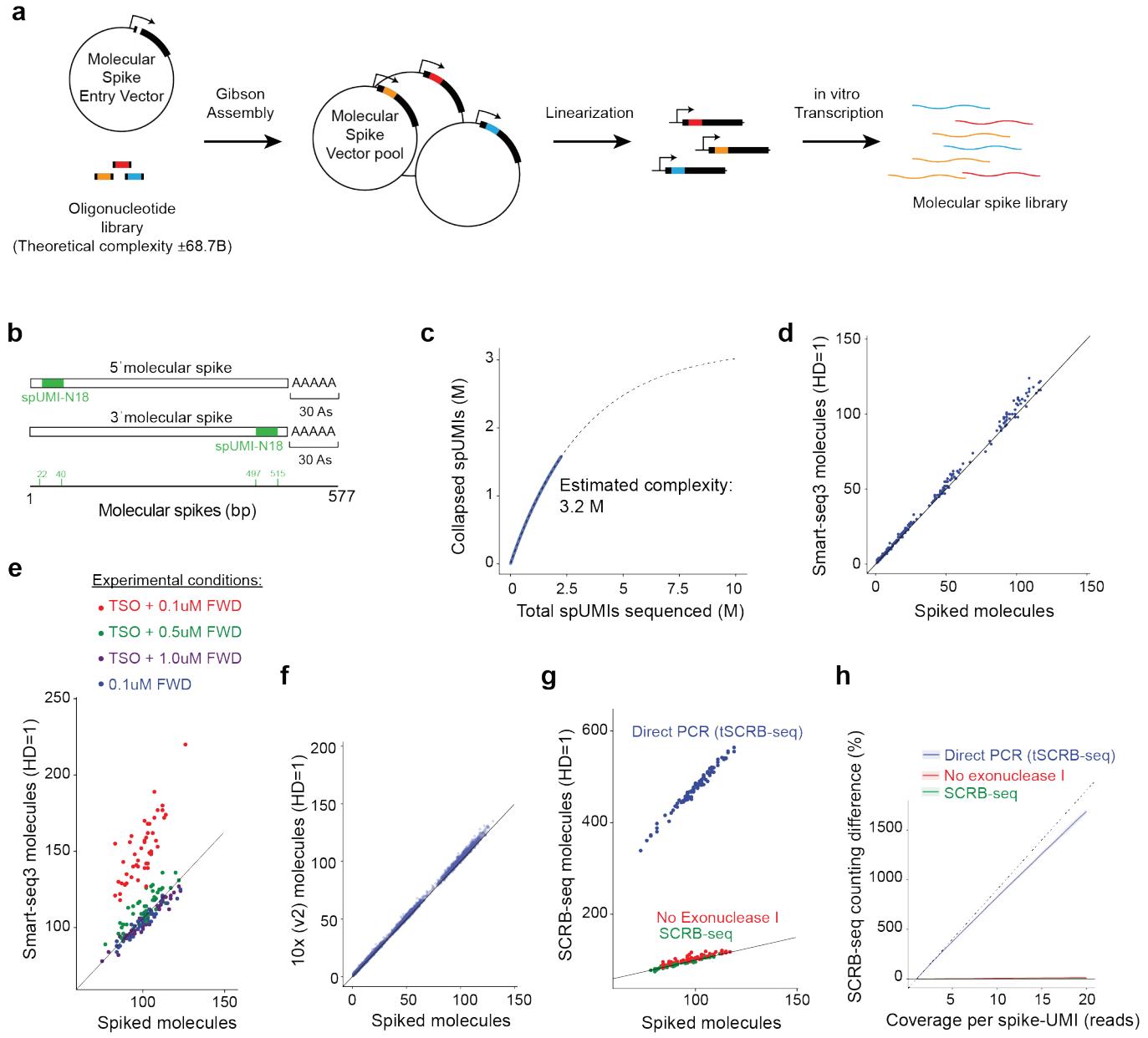
413 **Supplementary Figure 5: Strategy for sub-sampling molecular spikes to assess counting reliability  
414 across expression levels.** (a) Strategy for computational analysis of 10x Genomics spUMI data.  
415 Molecular spike-ins observed in only one cell barcode and covered by 10 – 20 sequencing reads are  
416 selected along with their associated 10x UMI sequence. spUMIs were sampled at 60 expression levels

417 ranging from 1 to 1000 molecules for 100 *in silico* cells. For each “cell” at each expression level,  
418 molecules were analyzed at depth of 1 to 10 reads and UMI error correction was applied. (b-c) We  
419 quantified the spUMIs and 10x UMIs and display the mean counting difference over the 100 replicates  
420 as a contour plot depending on expression level and read coverage in absolute numbers and  
421 normalized to the mean copy number, where (b) shows uncorrected 10x UMI counts and (c) shows  
422 UMI counts after applying an error correction at hamming distance 1.

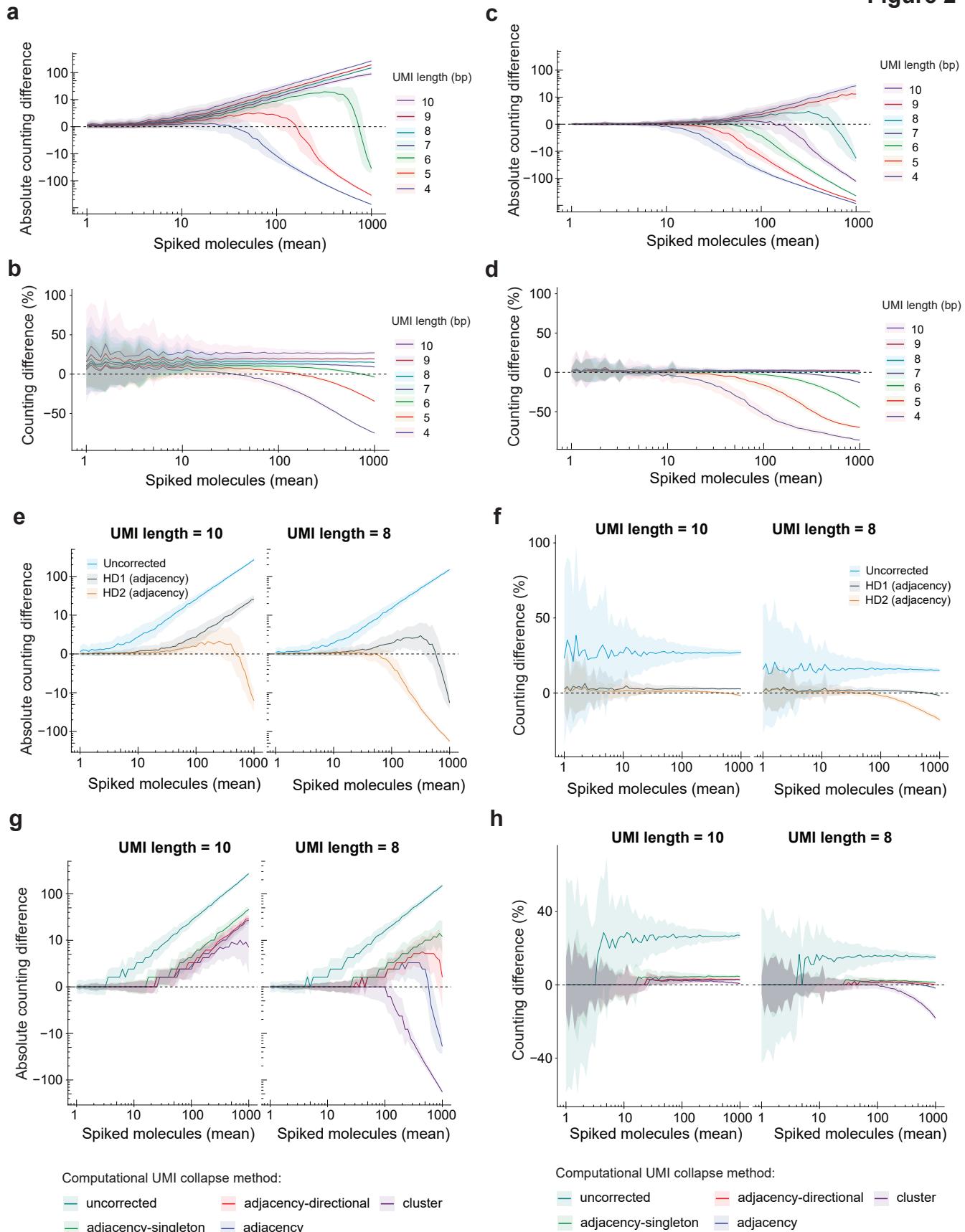
423 **Supplementary Figure 6: Computational algorithms for UMI collapsing.** (a) Scenario of a network of  
424 UMI sequences where each UMI sequence is visualised along with the number of reads it was  
425 observed by. Mismatches to the center UMI sequence are shown in red and the edit distance  
426 (hamming distance HD) is indicated in blue. (b) Unique: Every unique sequence is counted as a  
427 molecule (naive counting, e.g. Kallisto). UMI count in the network = 6. (c) Cluster: The network is  
428 resolved by collapsing all sequences within HD1 to the UMI with the highest number of read counts.  
429 UMIs that were related at HD1 to one of the collapsed sequences and equally or less abundant are  
430 then also collapsed to the main UMI sequence, even if their edit distance is higher than 1. UMI count  
431 in the network = 1. (d) Adjacency: The network is resolved by collapsing all sequences within HD1 to  
432 the UMI with the highest number of read counts. UMI count in the network = 2. (e) Directional  
433 Adjacency: The network is resolved by collapsing all sequences within HD1 to the UMI with the highest  
434 number of read counts, unless they are observed with more than 50% of read support compared to  
435 the main UMI. UMI count in the network = 3. (f) Singleton Adjacency: The network is resolved by  
436 collapsing all sequences within HD1 and observed with only 1 read to the UMI with the highest number  
437 of read counts. UMI count in the network = 5.

438

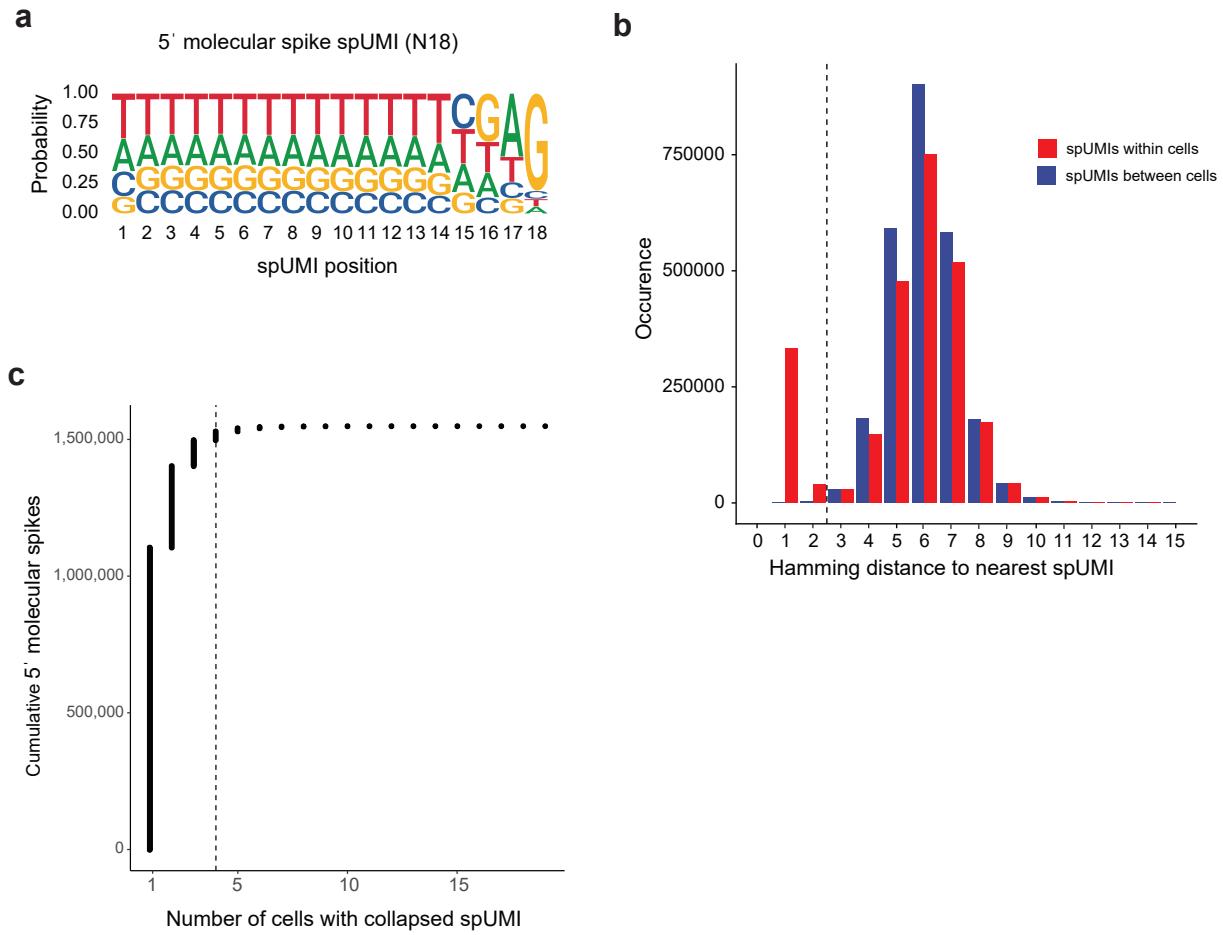
**Figure 1**



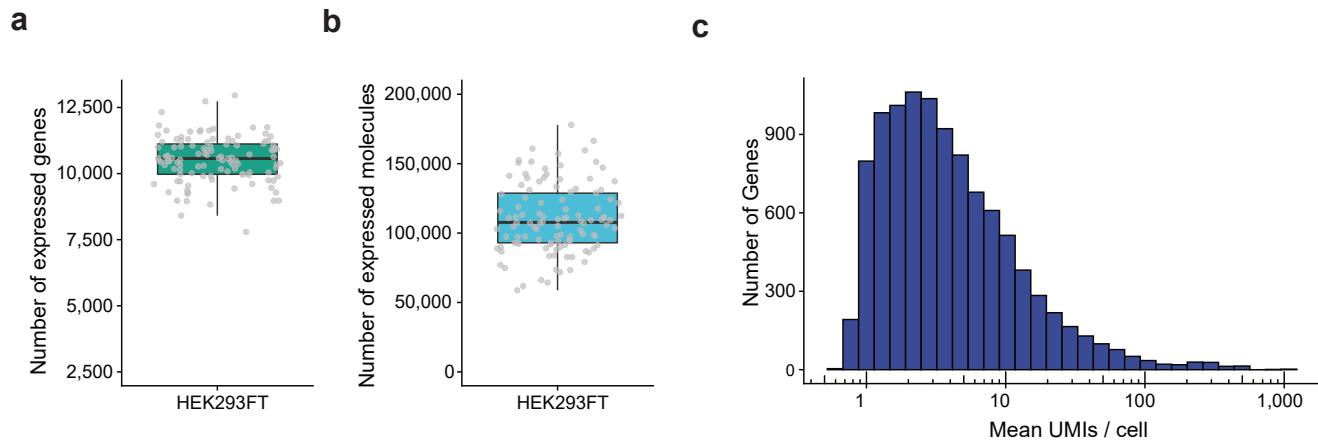
**Figure 2**



## Supplementary Figure 1

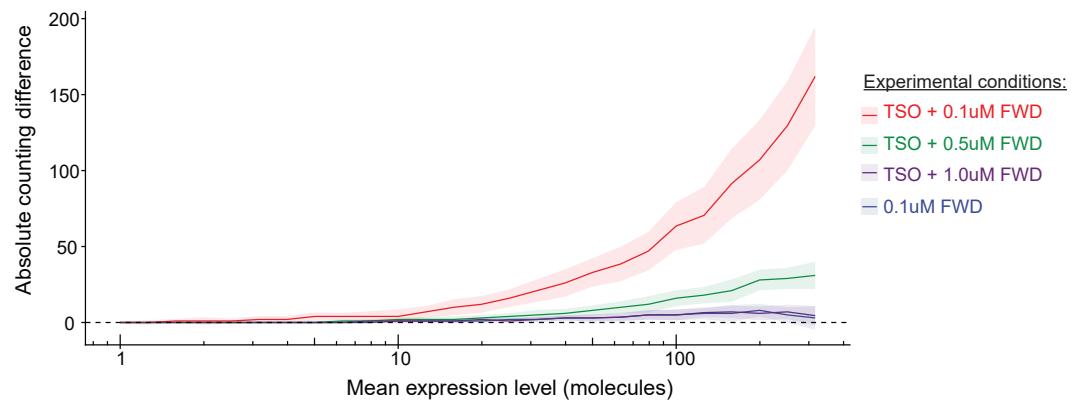


## Supplementary Figure 2

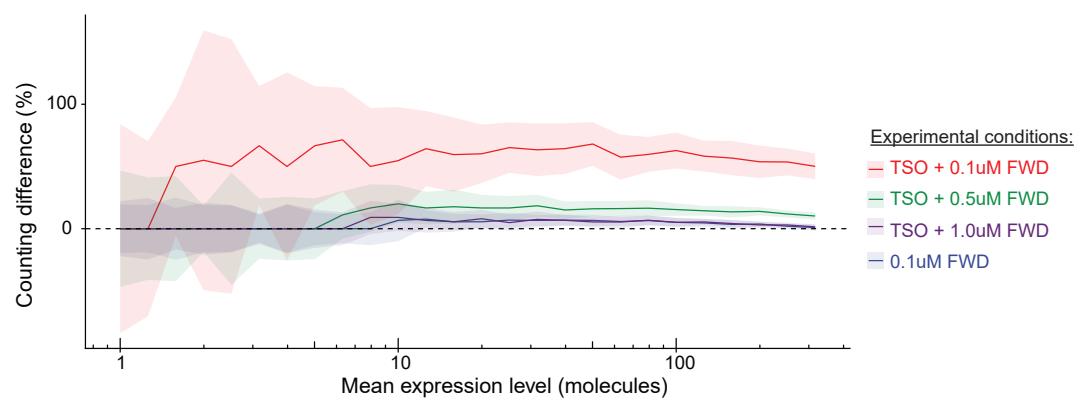


### Supplementary Figure 3

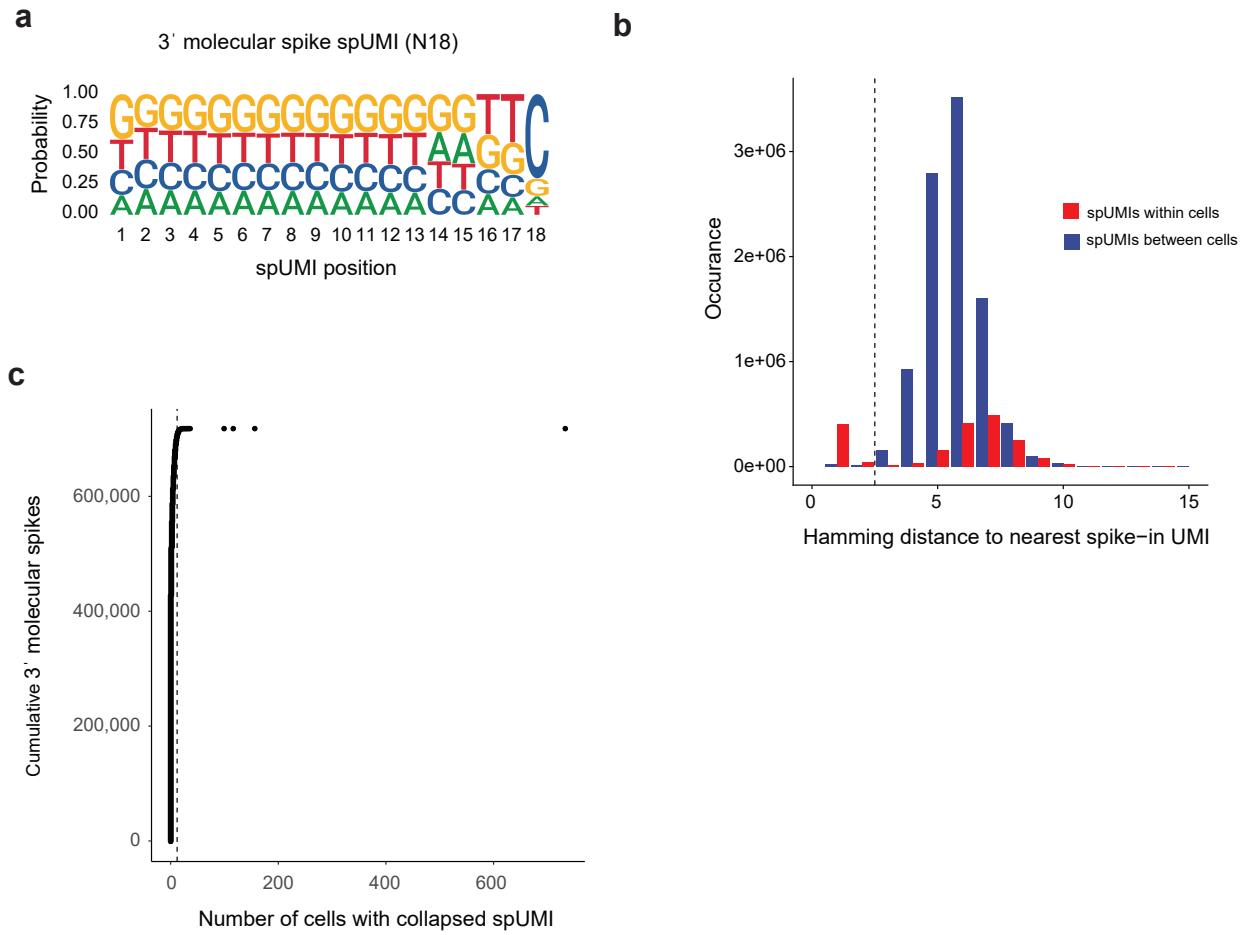
**a**



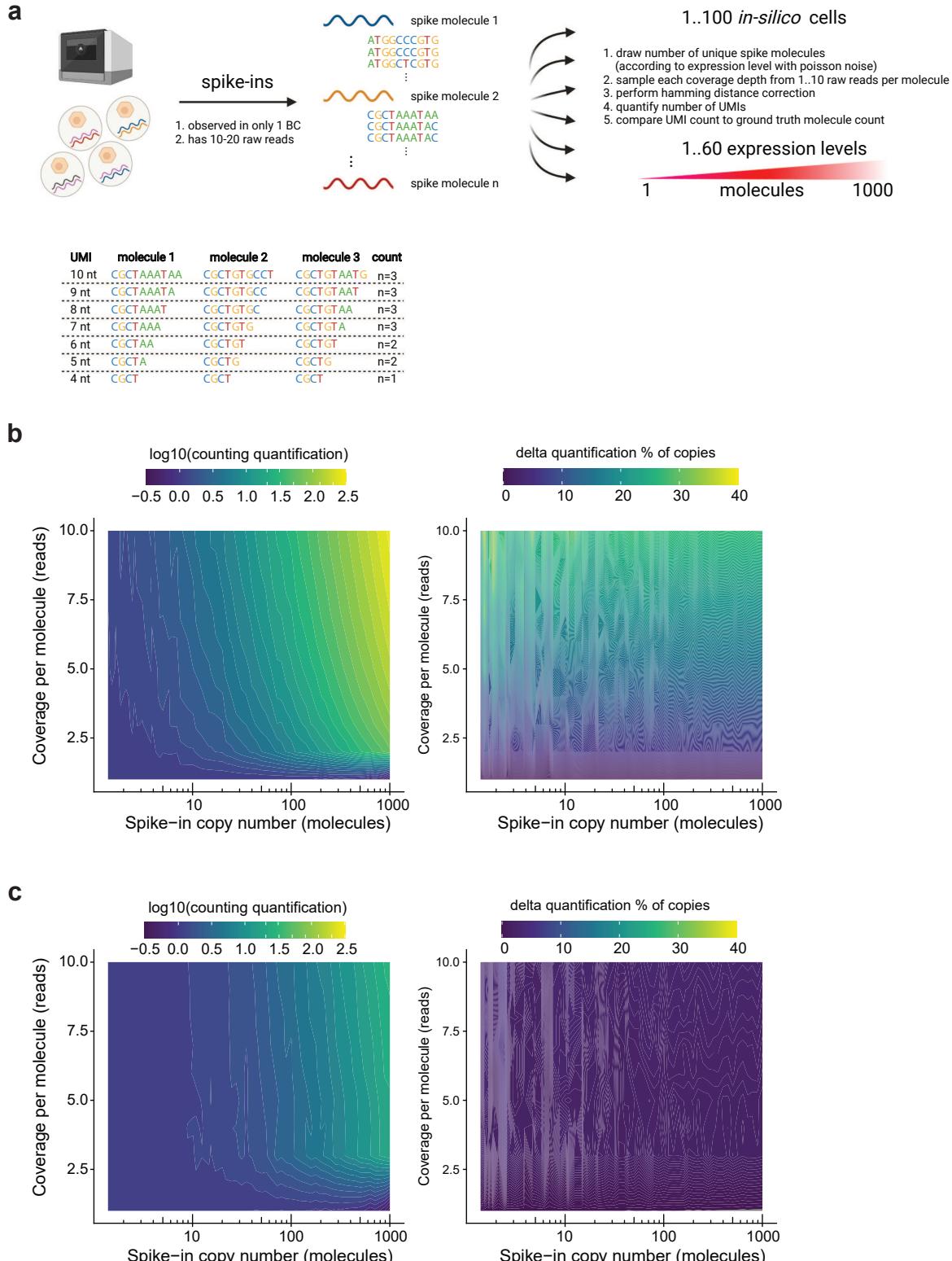
**b**



## Supplementary Figure 4



## Supplementary Figure 5

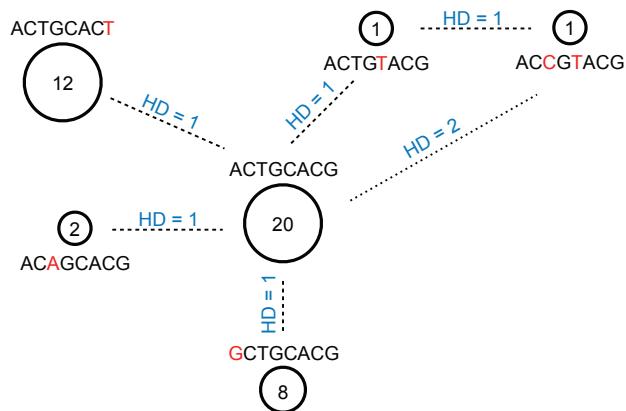


## Supplementary Figure 6

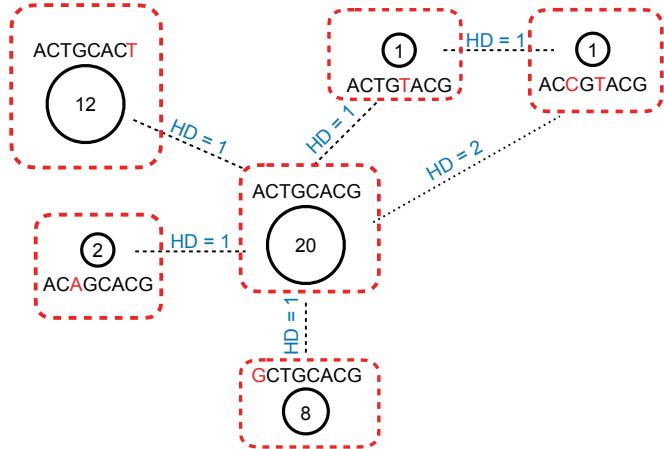
UMI sequence



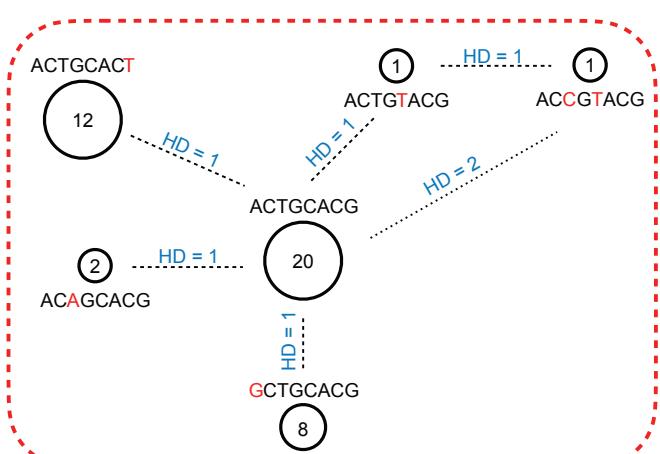
Observed data



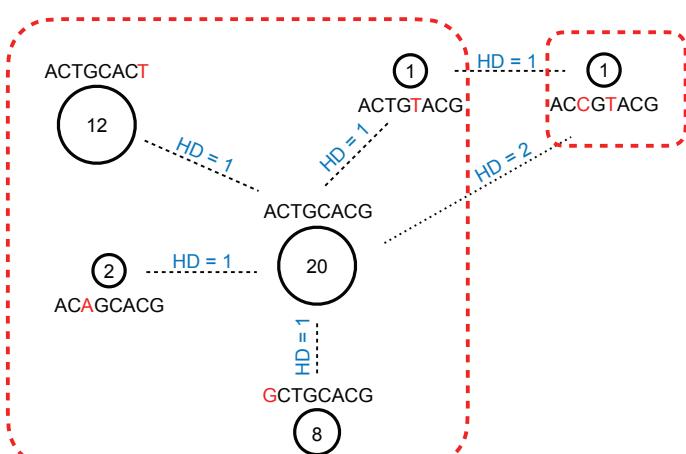
"Unique"; Count = 6 UMIs



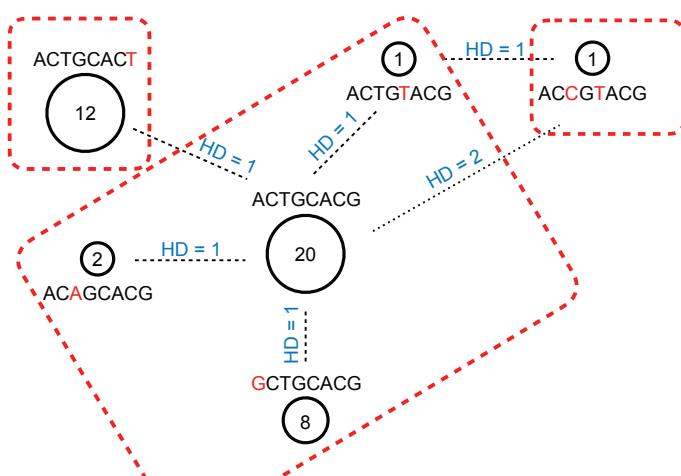
"Cluster"; Count = 1 UMI



"Adjacency"; Count = 2 UMIs



"Directional Adjacency" (readcount <= 2x); Count = 3 UMIs



"Singleton Adjacency" (readcount = 1); Count = 5 UMIs

