

1 **Mechanisms and fluid dynamics of foraging in heterotrophic nanoflagellates**

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11 Running title: Mechanisms of flagellate foraging

12 **ABSTRACT**

13

14 Heterotrophic nanoflagellates are the main consumers of bacteria and picophytoplankton in the ocean. In their
15 micro-scale world, viscosity impedes predator-prey contact, and the mechanisms that allow flagellates to daily clear
16 a volume of water for prey corresponding to 10^6 times their own volume is unclear. It is also unclear what limits
17 observed maximum ingestion rates of about 10^4 bacterial prey per day. We used high-speed video-microscopy to
18 describe feeding flows, flagellum kinematics, and prey searching, capture, and handling in four species with different
19 foraging strategies. In three species, prey-handling times limit ingestion rates and account well for their reported
20 maximum values. Similarly, observed feeding flows match reported clearance rates. Simple point-force models
21 allowed us to estimate the forces required to generate the feeding flows, between 4-13 pN, and consistent with the
22 force produced by the hairy (hispid) flagellum, as estimated using resistive force theory. Hispid flagella can produce
23 a force that is much higher than the force produced by a naked flagellum with similar kinematics, and the hairy
24 flagellum is therefore key to foraging in most nanoflagellates. Our findings provide a mechanistic underpinning of
25 observed functional responses of prey ingestion rates in nanoflagellates.

26

27 **INTRODUCTION**

28

29 Heterotrophic nanoflagellates play a key role in microbial food webs in the oceans by feeding on phytoplankton and
30 bacteria and by transferring primary production to higher trophic levels when. Their top-down control shapes the
31 structure and function of microbial communities and mediate essential biogeochemical cycles in the sea [1–4].
32 Despite their importance, the mechanisms of prey capture and the processes limiting their ingestion rates are not
33 fully understood [5, 6].

34 Flagellates live in a low Reynolds number world where viscosity impedes predator-prey contact [7]. Yet,
35 nanoflagellates are capable of daily clearing a volume of water for prey that corresponds to about one million times
36 their cell volume [8, 9]. In the nutritionally dilute ocean, this is the clearance rate needed to sustain a viable
37 population in the face of predation mortality [10]. How the flagellates overcome the impeding effect of viscosity is
38 unclear for many forms.

39 Most flagellates use their flagella to swim, to generate feeding currents, and to capture prey. Many studies have
40 examined the fluid dynamics of flagellates from the perspective of swimming, but few have done so from the
41 perspective of food acquisition [11–16], even though feeding is likely a more fundamental component of the fitness
42 than propulsion *per se*. In a few cases, the flagellum forces have been estimated indirectly from swimming speeds
43 [13] or from quantification of feeding flows [16, 17]. However, there is large variation in flagellar kinematics and
44 arrangements between species that yields big differences in the strength and architecture of the feeding flows [18].
45 In most cases, the forces generated by the flagellum and required to account for the necessary high clearance rates
46 are unknown.

47 Direct observations of flagellate feeding were pioneered by Sleigh and Fenchel [43, 24], and followed by few
48 additional studies [16, 20–25]. These studies revealed a variety of prey acquisition and handling strategies. Prey is
49 either intercepted by the cell body, a flagellum, or specialized structures, and then either rejected or transported to
50 the spot on the cell surface where it is phagocytized. During capture and handling of prey, the feeding current may
51 cease, and no further prey can be captured [20, 25]. Handling time may therefore put an upper limit on prey ingestion
52 rate. The maximum clearance rate governed by the feeding current, and the maximum ingestion rate, potentially
53 governed by the prey handling time, together describe the functional response of the prey ingestion rate as function
54 of prey concentration. This is the key function characterizing predator-prey interactions.

55 The aim of this study is to provide a mechanistic underpinning of the functional response relations that have been
56 obtained in incubation experiments [8, 9]. We build on and expand previous observational work on flagellate
57 foraging, and we describe predator-prey encounters and prey handling in four species with characteristic predation
58 modes. We portray the flagellar dynamics during the different grazing phases and quantify prey handling times to
59 evaluate the potential for prey ingestion. We further quantify the feeding flow, estimate clearance rates from

60 observed flow fields, and use simple fluid dynamic models to compute the forces needed to account for the observed
61 flows as well as the forces that the flagellum produces.

62

63 RESULTS

64 Prey capture and handling

65 Supplemetary movies 1-4 illustrate the different behaviors described below; and morphometric data and flagellum
66 properties can be found in Supplementary Tab. S1.

67

68 *Paraphysomonas foraminifera* (Fig. 1) attaches to the surface by a filamentous structure from the posterior end of
69 the cell. Cells are located directly on the surface or at a distance. On the anterior side there are two flagella, both
70 with their base near the ingestion site. When searching for prey, the long flagellum continuously beats in a curved
71 fashion (46 ± 6 Hz) and creates a feeding current towards the cell, while the second shorter flagellum is inactive.
72 When a food particle enters the feeding current, it is pulled towards the flagellate (Fig. 2a). The flagellate responds
73 to the prey before it establishes visible contact with the flagellum (Fig. 2b). Most likely the first contact is with the
74 invisible flagellar hairs. As also observed by Christensen-Dalsgaard and Fenchel, the presence of prey is followed by
75 a series of changes in flagellar behavior [13]. The end of the long flagellum hooks over into a fixed position while the
76 wave amplitude and the beating frequency increases (67 ± 8 Hz) and the short flagellum starts beating rapidly (104 ± 15 Hz).
77 The particle is transported longitudinally until it is confined between the two flagella (Fig. 2c). Finally, the
78 prey is positioned between the short flagellum and the body, ready for phagocytosis (Fig. 2d). During ingestion, three
79 possible scenarios were observed. In the first case, the long flagellum returns to its original position and beating
80 frequency; thus a feeding current is generated immediately (Fig. 2e). Alternatively, the long flagellum returns to the
81 searching position but with a reduced beating frequency (28 ± 7 Hz after 2 seconds); therefore the flow rate is not
82 restored until after more than 2 s. A third scenario involves an immobilized, stiff and wavy long flagellum whilst the
83 short flagellum continued beating until finally pausing. The flagellate remained inactive for a long period, which
84 usually exceeded the recording capacity. Off-the-record observations confirmed that after these long breaks, *P.*
85 *foraminifera* starts beating again to search for more prey. To reject a captured particle, the flagellate releases the
86 prey by returning the long flagellum to the original beating pattern and position (Fig. 2f), and continues creating a
87 feeding current (Fig. 2g).

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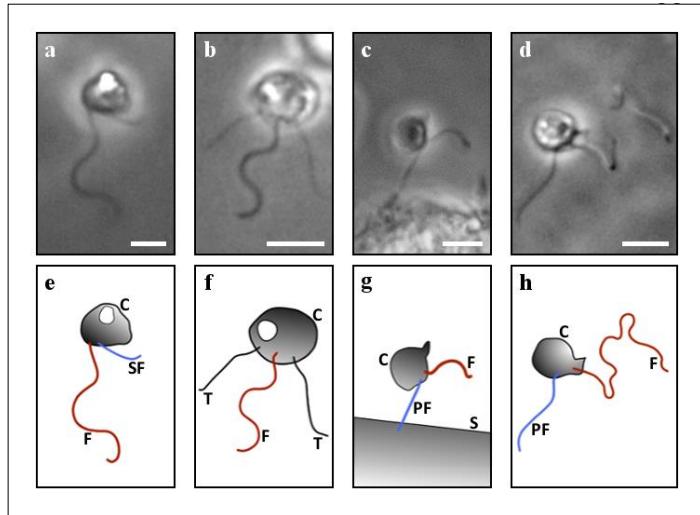
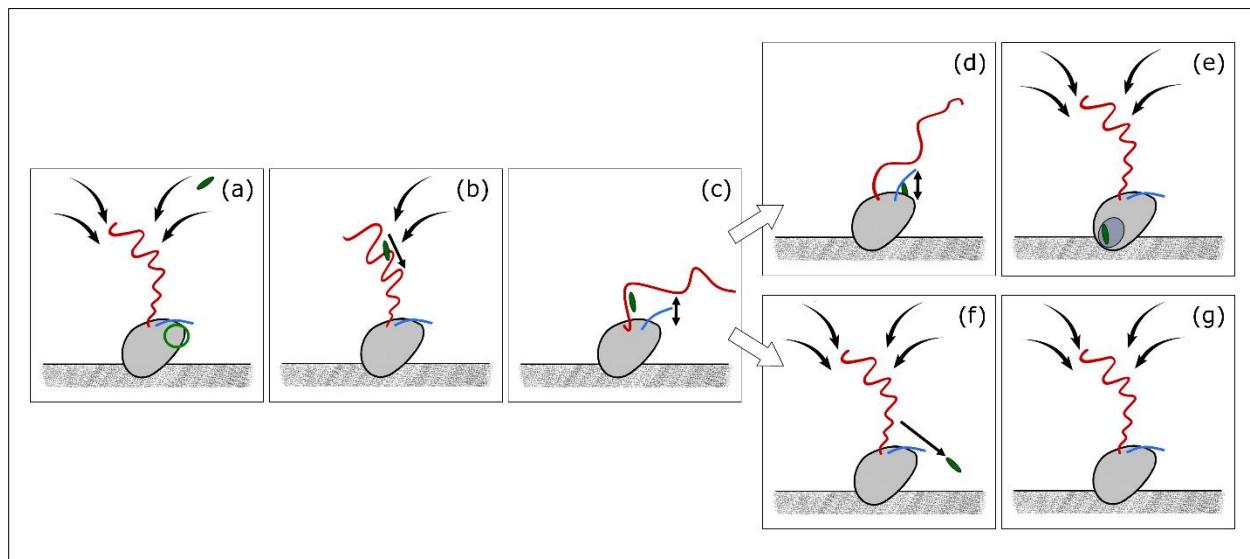


Figure 1. Phase contrast micrographs of the studied flagellates *Paraphysomonas foraminifera* (a and e), *Pteridomonas danica* (b and f), *Cafeteria roenbergensis* (c and g), and *Pseudobodo* sp. (d and h). Abbreviations in drawings (e, f, g, h): C – cell, F – flagellum, SF – short flagellum, T – tentacles, PF – posterior flagellum, and S – surface. Scale bar = 5 μ m.

100

101 *Ochromonas moestrupii* and *Chrysophyceae* have a similar feeding behavior as *P. foraminifera*. The prevailing
102 difference is their straight, long, beating flagellum (52 ± 9 Hz and 50 ± 5 Hz, respectively) in contrast to the curved
103 flagellum that characterizes *P. foraminifera*. All three species attach posteriorly in the same manner; and contact
104 and handle the prey with comparable flagellar behaviors for ingestions and rejections.

105



106

107 **Figure 2. Schematic representation of foraging by *Paraphysomonas foraminifera*.** Prey handling steps: searching
108 and capture (a - c); prey ingestion (d - e); and rejection (f - g). Figure objects: long and curved flagellum (red), short
109 flagellum (blue), ingestion site (green circle), feeding current (solid curved arrows), object in motion (solid straight
110 arrows).

111

112 The handling time of *P. foraminifera* starts when the prey establishes contact with the flagellum. Rejected prey are
113 handled more quickly than ingested prey (Fig. 3 and Supplementary Tab. S2). Handling times were independent of
114 prey size over the range of encountered prey sizes (Supplementary Fig. S1). When the flagellate paralyzed during an
115 ingestion, the handling time ended when the flagellum reactivated, and the feeding current was restored. This frozen
116 flagellum behavior was reported in 6 out of the 20 ingestions, which 5 exceeded the remaining recording time of 9
117 – 45 s. Thus these 5 observations were excluded from further analysis due to their unknown duration.

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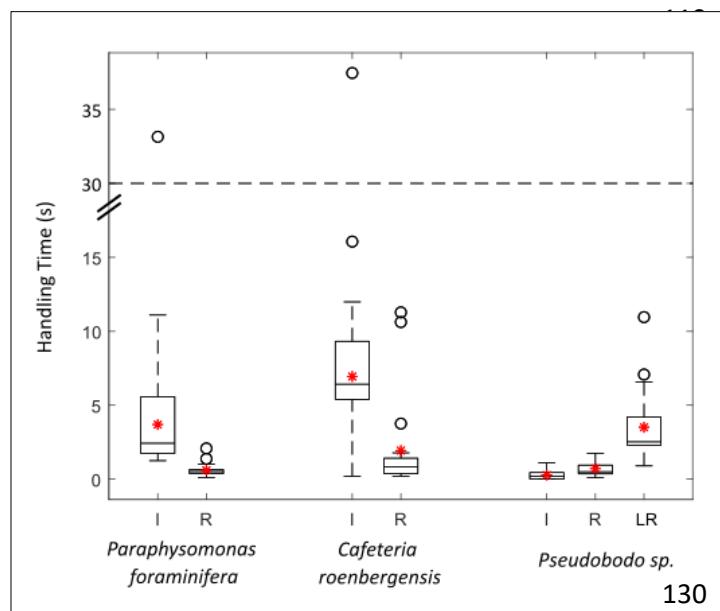


Figure 3. Handling times by *P. foraminifera, *C. roenbergensis*, and *Pseudobodo sp.***
Boxplots for the durations of ingestions (I), rejections (R), and lash rejections (LR); from prey capture to resuming the feeding current (median – dividing black line in the boxplot; mean – asterisk; error bars – dashed lines; outliers – empty circles). * Not including the ingestion cases of *P. foraminifera* that were limited by the recording time (N = 5).

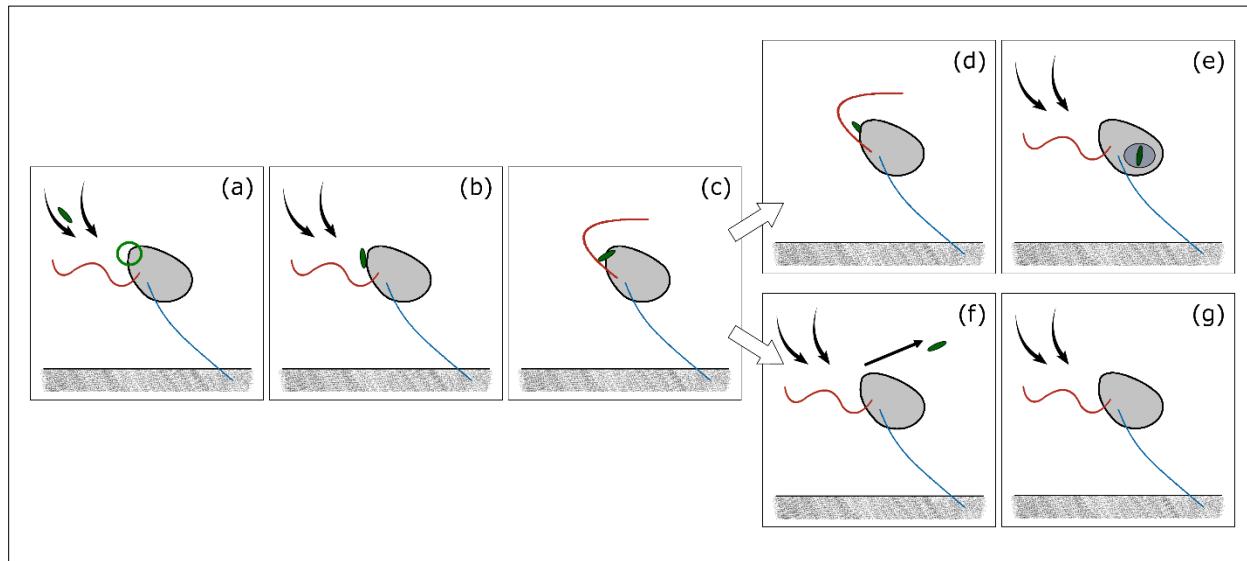
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132 *Pteridomonas danica* (Fig. 1) is attached to the surface with a posterior stalk. Its flagellum beats in a plane with
133 constant frequency, creating a current towards the cell and perpendicular to the attachment surface as also
134 described by Christensen-Dalsgaard and Fenchel [13]. Prey arriving in the flow is intercepted by the tentacle 'crown'.
135 When food is captured by the tentacles, it is slowly transported towards the cell for phagocytosis. Some particles
136 move outwards and accumulate at the tentacle tips before drifting away. It is unclear if this is an active rejection or
137 a result of the local flow. The beating pattern of the flagellate remains uniform throughout all prey encounters,
138 behaving purely like a filtering predator. More than one food particle can be captured or handled simultaneously,
139 and the handling time for *P. danica* is therefore zero.

140 When sessile, *Cafeteria roenbergensis* (Fig. 1) attaches to the surface with the tip of the posterior flagellum that
141 flexes at irregular intervals. The anterior flagellum beats with constant frequency in a three-dimensional pattern
142 with separate power and recovery phases to create a slightly erratic feeding current parallel to the attachment
143 surface (Fig. 4a). As previously observed [25], prey particles are intercepted by the cell, not the flagellum (Fig. 4b).
144 Upon prey contact on the sensitive frontal side of the predator, the anterior flagellum stops beating and rapidly

145 arches fully extended against the prey. Thus, food is physically retained between the flagellum and the cell, close to
146 where phagocytosis takes place (Fig. 4c). If the prey establishes first contact elsewhere on the cell, the flagellum
147 continues beating while the food is transported along the cell surface, upstream towards the frontal area. When the
148 prey gets near the ingestion site, the flagellum stops beating to capture the prey and initiate phagocytosis (Fig. 4d).
149 The anterior flagellum resumes its initial beating behavior while or after the prey is phagocytized (Fig. 4e). The
150 flagellate can reject captured prey by returning the flagellum to its original position and releasing the food (Fig. 4f
151 and 4g). Handling times for *C. roenbergensis* are shorter for rejected than for ingested prey (Fig. 3), and durations
152 were uncorrelated with the prey size (Supplementary Fig. S1).

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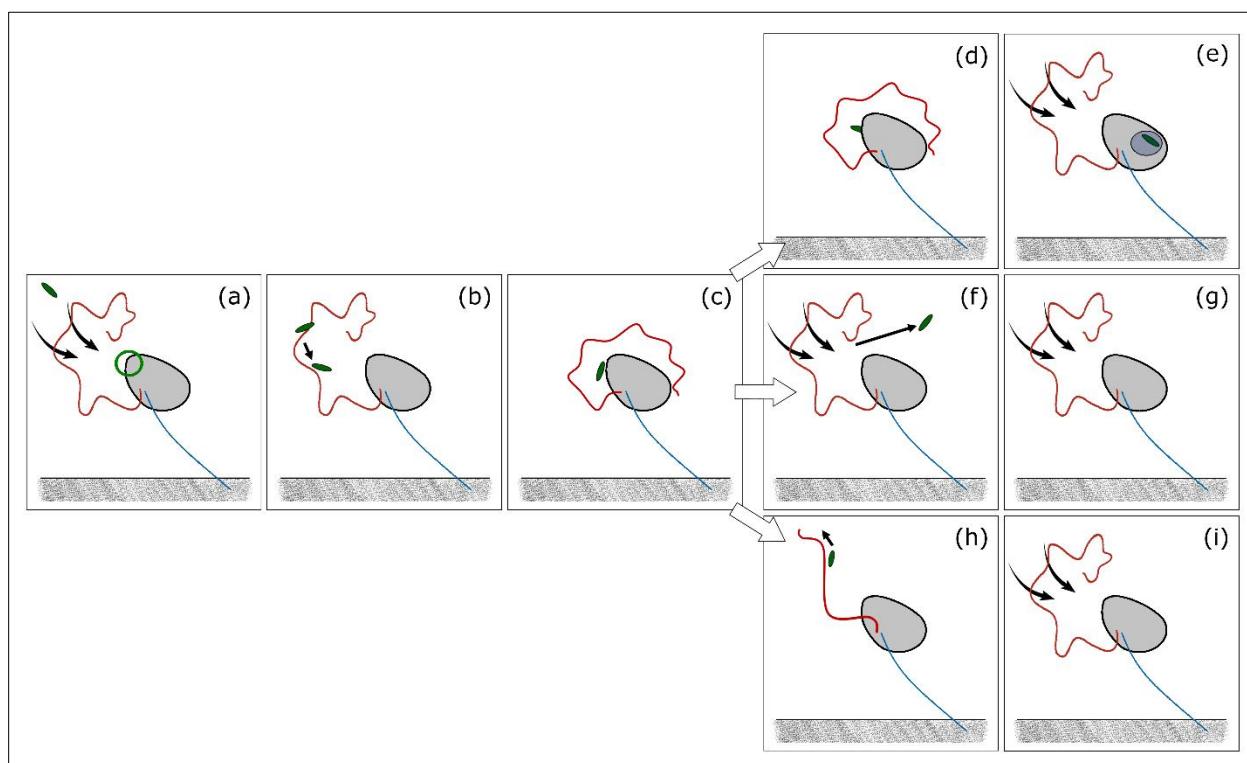
155 **Figure 4. Schematic representation of foraging by *Cafeteria roenbergensis*.** Prey handling steps: searching and
156 capture (a - c); prey ingestion (d - e); and rejection (f - g). Figure objects: frontal flagellum (red), posterior flagellum
157 (blue), ingestion site (green circle), feeding current (solid curved arrows), object in motion (solid straight arrows).

158

159 When free-swimming, *Pseudobodo* sp. (Fig. 1) is pulled forwards by the extended, beating anterior flagellum while
160 the posterior flagellum inactively trails behind. *Pseudobodo* sp. attach to surfaces while feeding with the long
161 anterior flagellum resembling a lasso loop (Fig. 5a). The flagellum beats (36 ± 10 Hz) and creates a feeding flow
162 through the loop as briefly described previously [19]. The flow direction can vary from parallel to perpendicular to
163 the surface; and distance between loop and cell ($5.7 \pm 2.8 \mu\text{m}$) is variable during the searching mode. Food particles
164 are intercepted by the anterior flagellum (Fig. 5b). Prey contact triggers an increase in beating frequency (63 ± 15
165 Hz) at a reduced wave amplitude, and a shorter helical pitch. The prey is retained between the cell and the flagellum
166 and transported towards the body (Fig. 5c), either for ingestion (Fig. 5d-e) or rejection. *Pseudobodo* sp. has two ways
167 to actively reject a particle: 1) the quick release and 2) the lash rejection. While the particle is captured between the

168 flagellum and the body, it can be quickly released by reducing the beating frequency and returning the flagellum to
169 its original position (Fig. 5f). Then, the feeding flow is rapidly restored (Fig. 5g). In the lash rejection, the flagellum
170 stops beating for an instant before starting to 'uncoil' from base to end, sometimes finalizing fully extended and
171 straight (Fig. 5h). Then it slowly starts beating (6 ± 3 Hz) with a higher wavelength and amplitude (2.2 ± 0.4 μm). In
172 this rejection mode the prey is physically pushed away by the flagellate after being captured. Once the prey is
173 released, the flagellum slowly coils back and recovers the initial 'loop' beating pattern (Fig. 5i). *Pseudobodo* sp.
174 rejected particles with diameter smaller than 3 μm with a quick release or a lash rejection, in contrast to particles
175 with diameter larger than 5 μm that were only discriminated with the later strategy (Supplementary Fig. S1). The
176 handling times of captured particles in *Pseudobodo* sp. were rather short and of similar duration for particles
177 ingested or released quickly, while the lash rejections were of much longer duration (Fig. 3). For almost half of the
178 ingested particles (8/20) the flagellate intercepted and processed the prey without modifying the flagellar beat, thus
179 the handling time was zero. Similar to the other species, handling times were independent of prey size
180 (Supplementary Fig. S1).

181



182

183 **Figure 5. Schematic representation of foraging by *Pseudobodo* sp.** Prey handling steps: searching and capture (a -
184 c); prey ingestion (d -e); rejection (f - g); and 'lash' rejection (h - i). Figure objects: 'lasso' flagellum (red), posterior
185 flagellum (blue), ingestion site (green circle), feeding current (solid curved arrows), object in motion (solid straight
186 arrows).

187 **Particle tracks and clearance rates**

188 For all species the particles followed hourglass-shaped paths, and only particles nearest the center of the flow were
189 captured by the cell (Fig.6; Supplementary Fig. S2). To estimate clearance rates, two or three discs were placed for
190 method validation but the estimated clearance rates remained almost the same within individuals (Supplementary
191 Tab. S4). Estimated maximum clearance rates within species varied by a factor of about 2, and cell-volume specific
192 clearance rates were all of the same order of magnitude, and varied from $2 - 18 \times 10^6 \text{ d}^{-1}$ (Tab. 1).

193 **Estimation of force magnitudes and theoretical streamlines**

194 The flow model assumes that the force acts at a *point*, while in reality the force production occurs *along* the
195 flagellum. For *P. danica* we found the best fit for a point force located on the flagellum at $\frac{3}{4}$ of its length (Fig.6A;
196 Supplementary Fig. S2A). For *P. foraminifera* all particle tracks converged towards the curved distal segment of the
197 flagellum of (Fig.6B; Supplementary Fig. S2B), therefore the point force was located in the middle of this section.
198 Due to the complex and variable geometry of *Pseudobodo* sp., the point force was positioned in the middle of the
199 'loop' halfway between the body and the tip of the helical flagellum (Fig.6C; Supplementary Fig. S2C). The point force
200 in the cases of *C. roenbergensis* was set to be at half the projection length of the flagellum, approximately where
201 tracked particles reached maximum velocities (Fig.6D; Supplementary Fig. S2D). The resulting force estimates using
202 equation (3) were $3.7 - 12.5 \text{ pN}$, with flows perpendicular to the surface (*P. danica* and *P. foraminifera*) requiring a
203 slightly stronger force than the cases of parallel feeding currents (*Pseudobodo* sp. and *C. roenbergensis*) (Tab. 1).

204 The forces required to drive the observed flow can be compared with estimates of the force generated by the
205 flagellum. *Pteromonas danica* beats its flagellum in a plane with a roughly sinusoidal beat pattern, allowing us to
206 apply the resistive force theory expression in equation (5) to directly estimate the force produced by the flagellum.
207 We have our observed parameters $L = 11.5 \mu\text{m}$, and $2A = 2.9 \mu\text{m}$, $\lambda = 5.3 \mu\text{m}$, and $f = 33 \text{ Hz}$ (Supplementary
208 Tab. S1) and the parameters $b = 0.15 \mu\text{m}$, $\alpha = 3 \mu\text{m}$, $\beta = 0.01 \mu\text{m}$, and $\chi = 0.15 \mu\text{m}$ from the literature [19, 33,
209 37]. With the parameters we would estimate $F_z = -1.0 \text{ pN}$ using equation (6) if the flagellum were without hairs,
210 and we find $F_z = 15 \text{ pN}$ using the force coefficients in equation (7) for the flagellum with hairs. Similar estimates
211 are not possible for the other species that have three-dimensional beat patterns.

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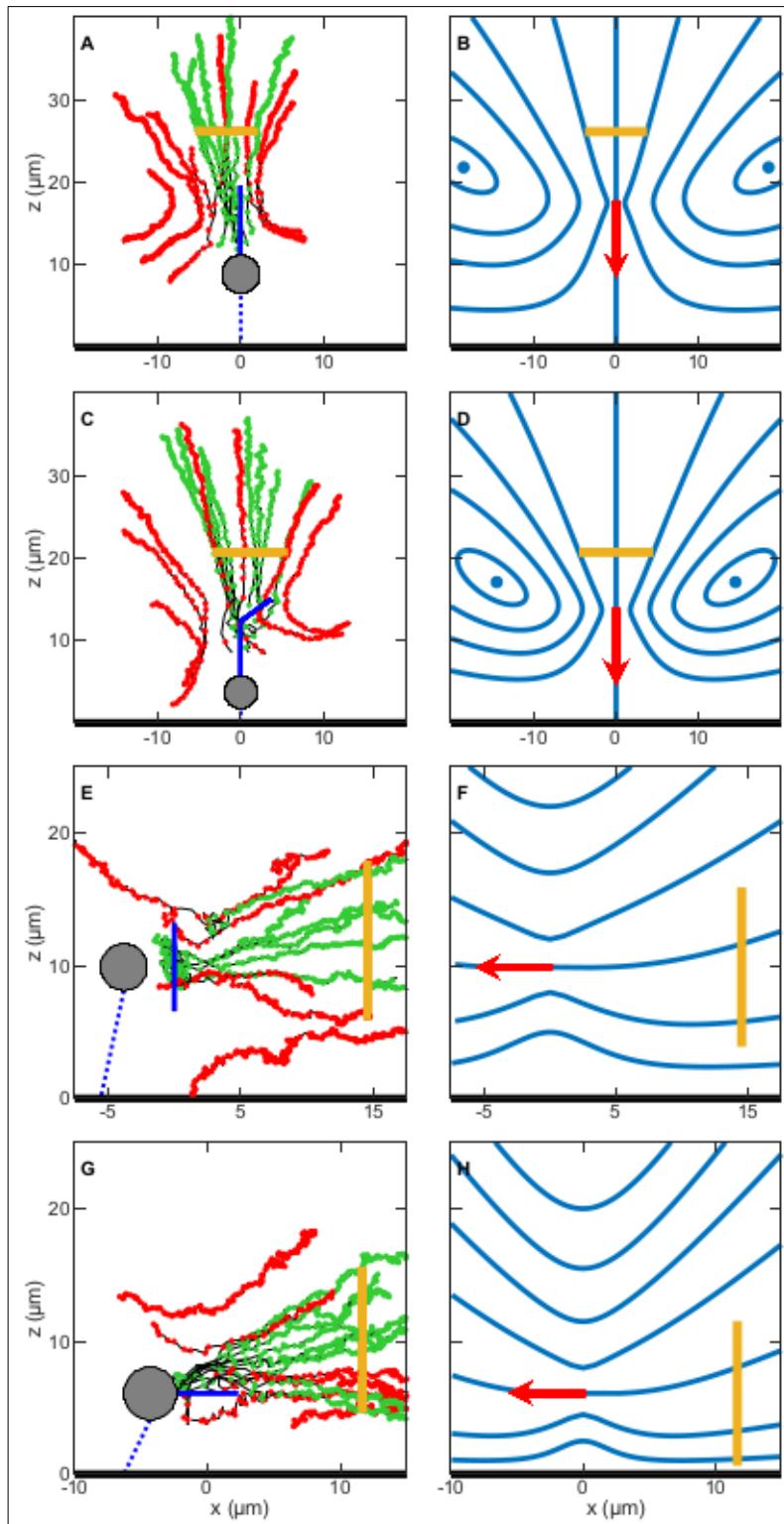


Figure 6. Observed feeding currents by particle tracking and theoretical flow fields generated with the point force model of the individuals *Pteridomonas* I (A and B), *Paraphysomonas* I (C and D), *Pseudobodo* I (E and F) and *Cafeteria* I (G and H). Clearance discs are represented as a yellow line. **Left-side panels:** the cell body (gray circle) of the flagellate attaches (blue dotted line) to the surface (thick black line), and the beating flagellum (solid blue line) generates a feeding current. Green tracks are for captured particles, and red tracks are for uncaptured prey. The positions of a particle, at each time-step (0.004 s), are represented as dots along the track. **Right-side panels:** the blue solid lines of the theoretical flows (B, D, F and H) depict the streamlines with equal flow rate, and the point force (red arrow) dictates the direction of the feeding current.

236

	Paraphysomonas		Pteridomonas		Pseudobodo		Cafeteria	
	I	II	I	II	I	II	I	II
Cell diameter (μm)	3.9	3.5	4.6	4.4	3.5	5.5	4.0	3.9
Cell height (μm)	1.7	13.7	6.6	6.2	8.1	15.4	4.1	4.8
Flagellum projection length (μm)	14.0	10.8	8.4	6.7	/	/	4.6	5.2
Flagellum beat frequency f (Hz)	52	44	30	33	21	33	38	47
Clearance disc radius a (μm)	4.5	5.3	3.7	5.7	6.0	5.6	5.4	5.5
Clearance disc height d (μm)	20.7	31.2	26.1	25.1	/	/	/	/
Point force to disc distance l (μm)	/	/	/	/	14.5	15.0	11.7	8.9
Average flow velocity U ($\mu\text{m} \cdot \text{s}^{-1}$)	77.5	52.4	44.0	30.4	18.8	17.6	16.9	16.3
Volume-specific clearance rate Qv (cell volume · day $^{-1} \cdot 10^6$)	13.4	17.8	3.3	6.2	8.1	1.7	4.2	4.1
Clearance rate Q ($\mu\text{m}^3 \cdot \text{s}^{-1}$)	4928.8	4626.1	1945.1	3153.6	2128.7	1767.6	1578.8	1535.3
Point force height h (μm)	13.7	21.7	17.5	15.6	9.9	18.2	6.1	6.8
Force F (pN)	12.5	10.2	7.7	7.0	6.5	4.9	5.7	3.7

237

238 **Table 1. Clearance rates and force estimations of each study case.** Particle tracking was performed to two
 239 individuals (I and II) of each species (*Paraphysomonas foraminifera*, *Pteridomonas danica*, *Pseudobodo* sp. and
 240 *Cafeteria roenbergensis*) to calculate the clearance rate and to estimate the force exerted by the flagellum. Relevant
 241 parameters to these results are included in the table.

242

243

244 **DISCUSSION**

245 **Attached vs free-swimming**

246 Our study complements earlier descriptions of the foraging behavior of heterotrophic nanoflagellates [e.g., 19, 25,
247 38]. We describe feeding only in flagellates attached to surfaces. Attached feeding appears to dominate for small
248 forms, while larger forms, such as dinoflagellates, feed predominantly when free swimming [6, 39]. We observed *P.*
249 *danica* capture prey while swimming, and *Spumella* sp., *O. moestrupii*, and loricated choanoflagellates are known to
250 feed while swimming [18, 26, 30]. It has been argued that attachment enhances the feeding current of suspension
251 feeders [13, 40, 41], but fluid dynamical simulations and models suggest the opposite [42, 43]. The reason for
252 attachment in bacterivorous nanoflagellates may therefore rather be favorable food conditions near surfaces, such
253 as marine snow [44, 45]. This is consistent with the observation that starving flagellates (*Ochromonas* sp, *P. vestita*,
254 *A. mirabilis*) do not attach, while almost all cells experiencing high prey concentrations attach [13, 21]. Thus,
255 swimming in heterotrophic nanoflagellates may for many species primarily serve the purpose of finding a nutrient-
256 rich attachment surface. The probing behavior and different configuration of the flagellum of swimming and
257 attached *Pseudobodo* sp. lend further support to this interpretation. Thus, stretching the flagellum in front of the
258 cell allows faster swimming [46]. Some choanoflagellates similarly have an attached feeding stage with a short
259 flagellum, and a free-swimming non-feeding stage with a long flagellum and a smaller more streamlined cell body
260 [47]

261 **Handling time, clearance rate, and the functional response**

262 Predator-prey interactions are often quantified by the prey ingestion rate as a function of the concentration of prey,
263 typically described by a type II functional response [48]. This equation has two parameters, the maximum clearance
264 rate, i.e., the volume of water cleared for particles per unit time at low prey concentration, and the prey handling
265 time (= 1/maximum ingestion rate). Our behavioral observations allow us to estimate both parameters and to
266 examine to what extent they underpin functional response relations estimated in incubation experiments.

267 In the species examined here, prey encounter is facilitated by the generation of a feeding current produced by the
268 activity of one hairy flagellum that propels water towards the cell. We identified three different modes of prey
269 encounter: prey particles arriving in the feeding current are either perceived and captured by the flagellum,
270 intercepted by the cell body or by tentacles, and these represent the encounter mechanisms described for
271 nanoflagellates. Prey is then handled by coordinated motions of one or two flagella, or, in the case of *P. danica*, by
272 the tentacles. While the filter feeding *P. danica* continues to generate a feeding current while handling prey, the
273 feeding current ceases during prey handling in the other species. The prey handling time can be substantial,
274 particularly in *P. foraminifera* that stops beating the flagellum for up to more than 1 min after prey has been
275 phagocytized. A similar 'refractory period' has been reported for four species of nanoflagellates, including *C.*
276 *roenbergensis* [25], leading to handling times between 4-95 s per prey, similar to the range reported here. Eventually,
277 ingestion rate may be limited by handling times, and the so estimated maximum ingestion rates vary by more than

278 one order of magnitude between species, from 1000 to 20,000 prey per day. This corresponds largely to the range
279 of species-specific maximum ingestion rates of bacteria in incubation experiments, 600-6000 bacteria d^{-1} [6, 49]. The
280 match becomes better when considering that a varying but sometimes large fraction of captured bacteria may be
281 rejected [21, 24]. Handling of rejected prey may further reduce time for searching, even though handling time is
282 generally shorter for rejected than ingested prey [6].

283 Particle tracking allowed us to characterize the flow field generated by the feeding flagellates, to identify the
284 extension of the prey capture zone, and to estimate maximum clearance rates. Our estimates of cell-volume specific
285 maximum clearance rates varied between both individuals and species, between 10^6 - $10^7 d^{-1}$. This magnitude is again
286 similar to that obtained in incubation experiments, where estimates vary between species and range between 10^5 -
287 $10^7 d^{-1}$ (reviewed in [8, 9]). Overall, functional responses measured in incubation experiments are mechanistically
288 underpinned by behavioral observations.

289 **Flow architecture and fluid dynamics**

290 At the low Reynolds number at which nanoflagellates operate, viscosity impedes predator-prey contact, but the
291 activity of the beating flagellum is obviously sufficient to overcome the effect of viscosity. The impeding effect of
292 viscosity is somewhat relaxed in flagellates that contact prey by the flagellum or tentacles at some distance from the
293 no-slip surface of the cell. However, even in *C. roenbergensis*, where first contact is on the cell surface, the feeding
294 current is sufficiently strong to allow prey encounters.

295 By applying a point force model that describes the observed flow fields well, we estimated the flow-generating forces
296 to be on the order of 4-13 pN for the four species. These estimates ignore the presence of the cell body, and the
297 force produced by the flagellum has to be somewhat larger than the force required to produce the observed flow
298 fields. Christensen-Dalsgaard and Fenchel used an alternative approach and measured the swimming speed of
299 *Paraphysomonas vestita* towing a latex sphere and computed the flagellum force from the Stokes drag to be of
300 similar magnitude, 7-13 pN [19]. This approach neglects hydrodynamic interactions between flagellum, cell, and
301 latex sphere, and the actual force is therefore larger than this estimate as well [46].

302 How do these indirect estimates compare with direct estimates of the force generated by the beating flagella? The
303 estimate derived for *P. danica* by applying resistive force theory is larger but of similar magnitude as the indirect
304 estimate, 15 pN and 7-8 pN, respectively. The estimate ignores hydrodynamic interactions between adjacent
305 sections of the flagellum [42], which is most likely not justified for flagella with hairs, and it is therefore speculative.
306 The estimate suggest that the hairs reverse the direction of the force and increases its magnitude by an order of
307 magnitude compared to a flagellum without hairs. This increase is similar to that estimated by comparing swimming
308 speeds of flagellates with smooth and hispid flagella [18].

309 As noted above, most heterotrophic nanoflagellates have hispid flagella, and this seems to be optimal or even
310 necessary for prey encounter for a number of reasons. First, the presence of hairs significantly increases the force

311 production of the beating flagellum and thereby the clearance rate. Secondly, the presence of hairs makes prey
312 scanning of the flagellum efficient, since prey intercepted by the hairs elicits a capture response. Thirdly, the
313 dominant flow along a flagellum with hairs is outside the envelope of the beating flagellum [50–52], presumably
314 allowing efficient prey transport toward the cell. Forthly, the front-mounted flagellum increases the frequency of
315 prey entrainment [53]. Finally, the reversal of the flow makes the streamlines come closer to the cell in the up-
316 stream direction from where the prey arrives, and the transport of captured prey towards the cell body is facilitated
317 by the flow.

318 **Conclusions**

319 Indirect and direct estimates of flagellum forces for one species are of similar magnitudes and consistent with the
320 observed feeding flow, and the estimates of maximum ingestion and clearance rates are similar to those obtained
321 from previous incubation experiments. Thus, our observations and estimates suggest a mechanistic underpinning of
322 functional responses in heterotrophic nanoflagellates. However, experimentally estimated specific clearance rates
323 of flagellates vary by two orders of magnitude [8, 9], and a significant fraction of this variation is accounted for by
324 variation in flagellar arrangement and kinematics and consequent differences in flow architecture and predation
325 risk: species with high clearance rates also disturb a large volume of water, attract flow-sensing predators from a
326 further distance, and experience higher predation risk [18]. A better mechanistic understanding of this foraging
327 trade-off and the variation in clearance rates requires a better understanding of the fluid dynamics of hairy flagella.
328 This in turn may be facilitated by accurate observations of the often complex three-dimensional beat patterns of the
329 flagella [14] and the arrangement of hairs on the flagella in combination with computational fluid dynamics
330 simulations and theoretical modelling.

331

332 **MATERIALS AND METHODS**

333 **Study organisms, isolation, and culturing**

334 The four selected marine flagellate species *Pteridomonas danica*, *Paraphysomonas foraminifera*, *Cafeteria*
335 *roenbergensis*, and *Pseudobodo* sp. are direct interception feeders (Fig. 1). They have a hairy (hispid) flagellum that
336 drives the feeding current towards the cell, and in the opposite direction of the propagating flagellum wave.
337 *Cafeteria roenbergensis* has been a key laboratory species, as its different feeding phases are easy to distinguish [20,
338 25]. Christensen-Dalsgaard and Fenchel explored the fluid dynamics of *P. danica* and *Paraphysomonas vestita*; the
339 latter sharing the genus taxa with *P. foraminifera* [13, 19]. The feeding behavior of a close relative of *P. danica*,
340 *Actinomonas* sp., has been studied [43, 25], and the predation mode of *Pseudobodo* sp. has been described briefly
341 [19]. A few observations of *Ochromonas moestrupii* and *Chrysophyceae* were also recorded.

342 All species were isolated from Danish waters. Cultures were maintained in the dark at 18°C in filtered and pasteurized
343 North Sea water (salinity 30‰), using rice grains to feed the naturally occurring bacteria that served as prey. Species

344 identification was verified using 18s rDNA molecular analysis except for *Pseudobodo* sp., which was morphologically
345 matched with the description of *Pseudobodo tremulans* [24].

346 **Microscopy and image analysis**

347 A glass ring (16 mm inner diameter and 3 mm height) was fixed on a glass slide using stopcock grease, filled with 600
348 μ L of the culture, and covered with a glass coverslip. The sample was observed five minutes later to allow the
349 flagellates to attach to the coverslip. The heating effects of light were reduced by having short periods of exposure
350 (< 5 minutes) at moderate intensities during recordings. Room temperature was 16-20°C, and experiments did not
351 last more than 1.5 hours. Food particles consisted of naturally occurring bacteria and particulate organic matter. For
352 cultures with low bacterial abundance, polystyrene microbeads of 0.5 μ m in diameter were added (10^{-5} %) to
353 increase particle encounters.

354 Observations were carried out with an inverted microscope Olympus IX71, using an Olympus UPlanSApo oil
355 immersion objective x100 / 1.40 or an Olympus UPLanFL N oil immersion x100 / 1.30 objective for phase contrast
356 imaging. Recordings were carried out with a high-speed *Phantom Camera* (Miro LAB 320). Prey captures were
357 recorded at 500 frames per second (fps), and particle tracking was performed at 250 fps. Videos had a minimum
358 resolution of 512 x 512 pixels. The image analysis software ImageJ (Fiji) was used for detailed predator-prey
359 interaction observations, morphometric measurements, and manual particle tracking [31, 32].

360 **Predation behavior and time budget analysis**

361 The foraging behavior was observed in different individuals of the same exponentially- grown young culture of each
362 species (biological replicates). Predation was divided in five stages, largely following [26]: searching, contact,
363 capture, ingestion or rejection, and recovery. A rejection was defined as an active release of the prey, in contrast to
364 a loss of prey. The handling time was defined as the duration of the period where the flagellum produces no feeding
365 current and another prey cannot be encountered. Handling time does not necessarily commence upon prey contact,
366 and it can end before or after the particle is fully ingested. Prey processing had three possible outcomes: ingestion,
367 rejection, or loss. In total, 40 prey handling durations (20 ingestions and 20 rejections) were recorded for each
368 species. In addition, 20 'lash rejections' by *Pseudobodo* sp. were analyzed (Supplementary Tab. S2). This research is
369 based on behavior observations and is a non-interventional study. Thus, considering the nature of the analysis, the
370 sample sizes N = 40 – 60 were regarded as satisfactory.

371 **Particle tracking and clearance rates**

372 Flow fields were mapped by particle tracking. A particle was followed from a minimum distance of one cell length
373 from the body, until it was either captured or it had gone well past the flagellate. We recorded 11 – 15 tracks per
374 individual, studying two individuals per species. Most flagellates slightly shift their orientation while foraging
375 (Supplementary Tab. S3), and the particle tracks are therefore shown relative to the observed flagellum coordinates.
376 An imaginary, circular filtering area (clearance disc) for prey capture was assumed in front of the cell and

377 perpendicular to the feeding flow. The size of the disc was defined by the tracks of particles that were captured or
378 strongly interacted with the flagellate. A five-point, centered finite difference scheme was applied to the measured
379 particle positions to minimize noise and discretization errors when calculating the particle velocities. The average
380 velocity component perpendicular to the clearance disc was used to calculate the clearance rate.

381 **Model of the feeding flow**

382 To describe the flow fields and estimate the flow-generating forces from the observed feeding currents we use a
383 point force model [12, 27–29]. The low-Reynolds-number model describes the flow due to a point force above a
384 plane no-slip surface. We examined two situations in which the force direction is either perpendicular (*P. danica*, *P.*
385 *foraminifera*) or parallel to the surface (*Pseudobodo* sp., *C. roenbergensis*). In both cases, we use F to denote the
386 magnitude of the force and h for its height above the surface. In the perpendicular case, the flow has rotational
387 symmetry and the streamlines are the contour lines of the Stokes stream function:

388

$$389 \Psi(s, z) = \frac{F s^2}{8 \pi \mu} \left(\frac{1}{\sqrt{s^2 + (z - h)^2}} - \frac{1}{\sqrt{s^2 + (z + h)^2}} - \frac{2 h z}{(s^2 + (z + h)^2)^{3/2}} \right), \quad (1)$$

390

391 where μ denotes the viscosity, s the radial distance from the axis of symmetry, and z the height above the surface
392 [30, 31]. Using the stream function, we can derive the clearance rate, Q , through a circular clearance disc centered
393 on the axis of symmetry and oriented perpendicular to it:

394

$$395 Q = \frac{F a^2}{4 \mu} \left(\frac{1}{\sqrt{a^2 + (d - h)^2}} - \frac{1}{\sqrt{a^2 + (d + h)^2}} - \frac{2 h d}{(a^2 + (d + h)^2)^{3/2}} \right), \quad (2)$$

396

397 where a denotes the radius of the clearance disc and d its height above the surface [29]. The equation allows us to
398 estimate the magnitude of the point force, F , using our clearance rate estimate obtained with particle tracking. In
399 the parallel case, the flow does not have rotational symmetry and a Stokes stream function does not exist. We
400 therefore integrate the velocity field numerically to obtain streamlines [28, 29]. To estimate the clearance rate
401 through a circular clearance disc that is perpendicular to the direction of the point force and positioned the distance
402 h above the surface in the symmetry plane of the flow, we assume that $a \ll h$ and approximate the effect of the
403 image system that ensures that the no-slip boundary condition is satisfied [29]. We find the approximation:

404

$$405 Q \approx \frac{F a^2}{4 \mu} \left(\frac{1}{\sqrt{a^2 + l^2}} - \frac{l^2}{(4 h^2 + l^2)^{3/2}} - \frac{12 h^4}{(4 h^2 + l^2)^{5/2}} \right), \quad (3)$$

406

407 where l denotes the distance between the position of the point force and the center of the clearance disc.

408 **The force created by a hairy flagellum**

409 To estimate the flow-generating force of *P. danica* directly from the observed motion of the flagellum, we use
410 resistive force theory [32–36]. We assume that the flagellate is tethered, and that the motion of the flagellum is a
411 travelling sine wave with wavelength λ and frequency f that is propagating in the positive z -direction:

412

413
$$x_f(z, t) = A \sin(k z - \omega t), \quad (4)$$

414

415 where x_f denotes the transversal deflection of the flagellum, A the amplitude, $k = 2\pi/\lambda$ the wave number, and
416 $\omega = 2\pi f$ the angular frequency. Using resistive force theory, we obtain the component of the force in the z -
417 direction:

418

419
$$F_z = -\mu (\xi_{\perp} - \xi_{\parallel}) \left(1 - \frac{1}{\sqrt{1 + k^2 A^2}}\right) \frac{L \omega}{k}, \quad (5)$$

420

421 where L denotes the length of the flagellum, and ξ_{\perp} and ξ_{\parallel} the perpendicular and the parallel force coefficient,
422 respectively [41]. For a naked flagellum we use the dimensionless force coefficients:

423
$$\xi_{\perp}^f = \frac{4\pi}{\ln(2\lambda/b) + 1/2}, \quad \xi_{\parallel}^f = \frac{2\pi}{\ln(2\lambda/b) - 1/2}, \quad (6)$$

424

425 where b denotes the radius of the flagellum [32, 36]. For a flagellum with two rows of stiff hairs that are in the beat
426 plane and remain perpendicular to the flagellum during the beat, we use the dimensionless force coefficients:

427

428
$$\xi_{\perp}^h = \frac{4\pi}{\ln(2\lambda/b) + 1/2} + \frac{2\pi\alpha/\chi}{\ln(\alpha/\beta) - 1/2}, \quad \xi_{\parallel}^h = \frac{2\pi}{\ln(2\lambda/b) - 1/2} + \frac{4\pi\alpha/\chi}{\ln(\alpha/\beta) + 1/2}, \quad (7)$$

429

430 where α denotes the total length of a pair of hairs, β their radius, and χ the distance between neighboring pairs of
431 hairs [33, 34].

432

433

434

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439

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441

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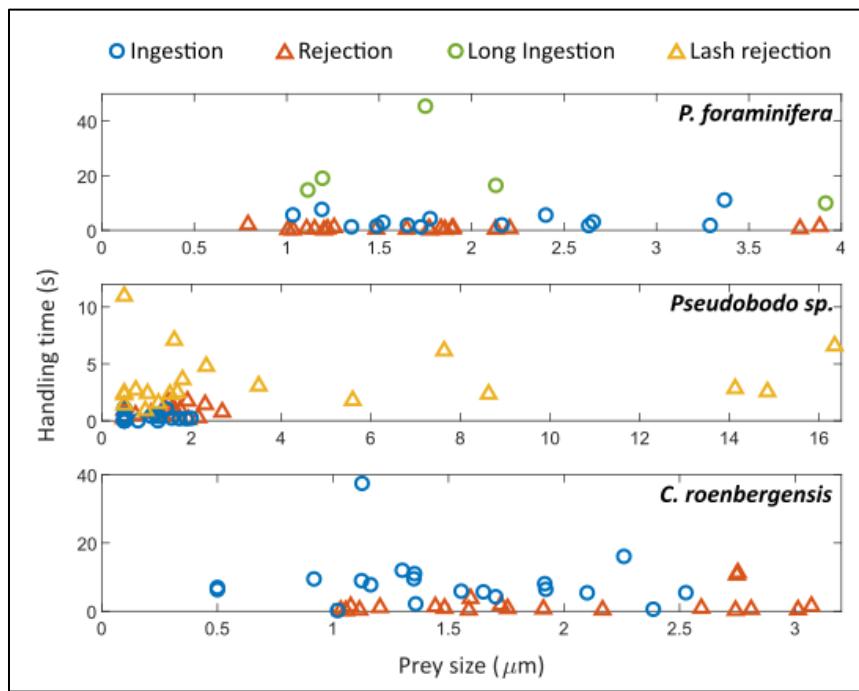
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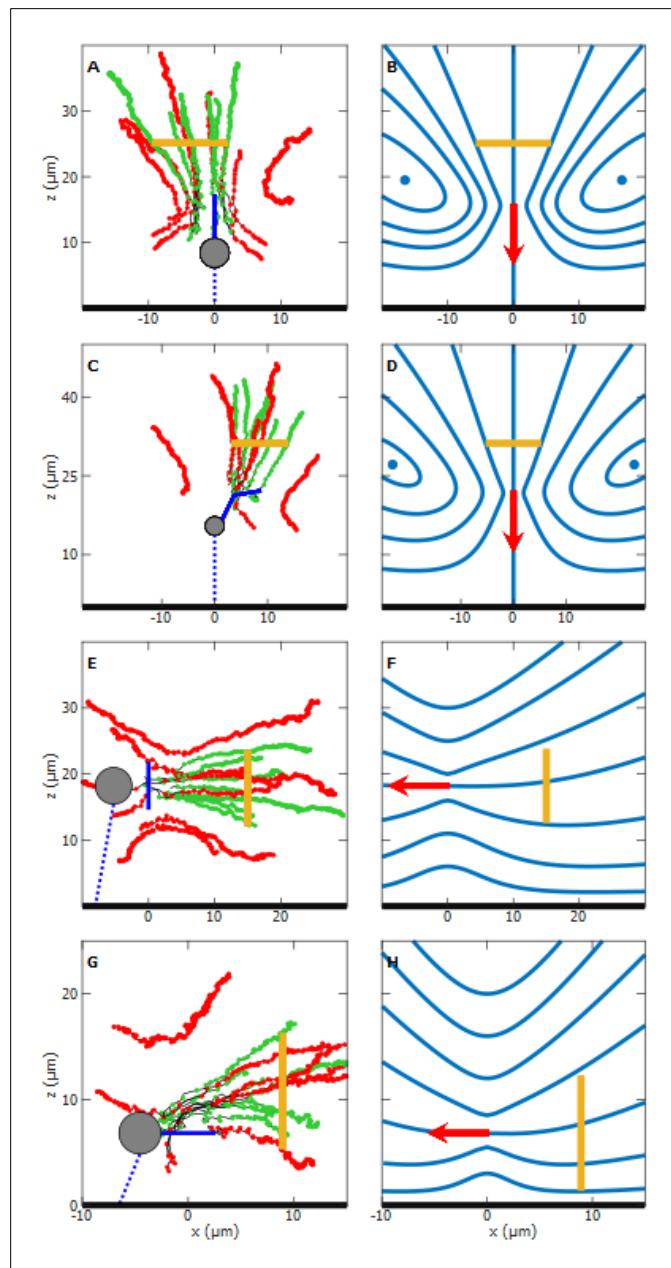
547 **SUPPLEMENTARY INFORMATION**

548



549

550 **Supplementary Figure S1. Handling times for different prey sizes (when available) by *Paraphysomonas***
551 ***foraminifera*, *Pseudobodo* sp., and *Cafeteria roenbergensis*.** No correlation was found between the duration of prey
552 handling (for ingestions and rejections) and the prey size in all studied species. For *P. foraminifera*, 'Long ingestions'
553 (N = 4) are the minimum handling times that were limited by the recording capacity (i.e. when the flagellum stopped
554 beating).



555

556 **Supplementary Figure S2. Observed feeding currents by particle tracking and theoretical flow fields generated**
557 **with the point force model of the individuals *Pteromonas* II (A and B), *Paraphysomonas* II (C and D), *Pseudobodo***
558 **II (E and F) and *Cafeteria* II (G and H).** Clearance discs are represented as a yellow line. **Left-side panels:** the cell
559 body (gray circle) of the flagellate attaches (blue dotted line) to the surface (thick black line), and the beating
560 flagellum (solid blue line) generates a feeding current. Green tracks are for captured particles, and red tracks are for
561 uncaptured prey. The positions of a particle, at each time-step (0.004 s), are represented as dots along the track.
562 **Right-side panels:** the blue solid lines of the theoretical flows (B, D, F and H) depict the streamlines with equal flow
563 rate, and the point force (red arrow) dictates the direction of the feeding current.

Species	CELL		FLAGELLUM				Short flagellum length (μm)	Filtering radius (μm)
	diameter (μm)	height (μm)	length (μm)	peak-to-peak amplitude (μm)	Wave length λ (μm)	Beating frequency f (Hz)		
<i>Paraphysomonas foraminifera</i>	4.9 ± 0.9	3.0 ± 2.7	13.4 ± 1.0	—	—	46 ± 6	3.1 ± 0.6	—
n =	35	25	13	—	—	40	25	—
<i>Pteridomonas danica</i>	4.9 ± 1.0	6.8 ± 6.7	11.5 ± 1.7	2.9 ± 0.4	5.3 ± 0.4	33 ± 11	—	3.8 ± 1.6
n =	30	13	21	8	8	29	—	22
<i>Cafeteria roenbergensis</i>	4.9 ± 0.6	3.5 ± 1.1	7.0 ± 0.9	—	—	37 ± 6	—	—
n =	37	5	29	—	—	40	—	—
<i>Pseudobodo</i> sp.	4.5 ± 0.8	10.7 ± 5.1	18.4 ± 9.9	2.5 ± 0.3	—	36 ± 10	—	4.7 ± 1.0
n =	67	7	15	16	—	84	—	71
<i>Ochromonas moestrupii</i>	5.3 ± 0.6	0.0 ± 0.0	12.3 ± 0.3	—	—	52 ± 9	3.1 ± 0.4	—
n =	3	1	3	—	—	4	3	—
<i>Chrysophyceae</i>	4.3 ± 0.5	—	11.5 ± 1.8	—	—	50 ± 5	2.1 ± 0.4	—
n =	13	—	2	—	—	14	9	—

564 **Supplementary Table S1. Morphometric data and flagellum properties.** Cell height is the distance between the
 565 substrate to the attached cell. Flagellum features correspond to flagella creating a feeding current, i.e. not
 566 interacting with prey. The flagellum amplitude was measured from peak-to-peak of the wave (note that for
 567 *Pseudobodo* sp. it is the length of the 2D projection from the 3D beating wave). Short flagellum refers to the second
 568 prey-handling flagellum of *P. foraminifera*, *O. moestrupii* and *Chrysophyceae*. The filtering radius is the distance from
 569 the tip of a lateral tentacle to the central axis of the body or the radius of the flagellar ‘loop’ for *P. danica* and
 570 *Pseudobodo* sp., respectively. The measurements are expressed as the mean ± standard deviation, and the number
 571 of observations per parameter is N.

Species	Handling Time (s)					
	Ingestion		Rejection		Lash Rejection	
	N	mean \pm SD	N	mean \pm SD	N	mean \pm SD
<i>Pteridomonas danica</i>	0		0		—	
<i>Paraphysomonas foraminifera</i>	15*	5.7 \pm 7.8*	20	0.6 \pm 0.5	—	
<i>Pseudobodo</i> sp.	20	0.3 \pm 0.3	20	0.7 \pm 0.5	20	3.5 \pm 2.4
<i>Cafeteria roenbergensis</i>	20	8.5 \pm 7.6	20	1.9 \pm 3.1	—	
<i>Ochromonas moestrupii</i>	1	5.5	2	1.2 \pm 0.8	—	
<i>Chrysophyceae</i>	—		12	5.2 \pm 6.0	—	

572

573 **Supplementary Table S2. Handling times during ingestions and rejections.** * Not including the cases (N = 5) when
574 *P. foraminifera* stops beating during ingestions that were limited by the recording time.

575

Species individual	Number of Tracks	Average α (°)	SD
Pteridomonas I	15	7.3	3.9
Pteridomonas II	15	10.7	3
Paraphysomonas I	15	14.1	5.3
Paraphysomonas II	13	8.7	3.9
Pseudobodo I	11	57.2	9.3
Pseudobodo II	14	92.5	10.2

576

577 **Supplementary Table S3. Tilting angle (α) of the force vector generated by the beating flagellum.** Minor shifts of
578 orientation while generating feeding currents were observed in *Pteridomonas danica*, *Paraphysomonas*
579 *foraminifera*, and *Pseudobodo* sp. Angle α lays between the force vector and the axis normal to the surface. The
580 force is orthogonal to the surface when $\alpha = 0^\circ$, and parallel when $\alpha = 90^\circ$. Alpha was recorded in each track for later
581 averaging.

582

		Clearance disc radius a (μm)	Distance cell to disc (μm)	Clearance rate Q ($\mu\text{m}^3 \cdot \text{s}^{-1}$)
Pteridomonas I	Disc 1	2.3	10.0	1374.4
	Disc 2	3.8	15.0	1945.1
	Disc 3	5.5	20.0	2212.6
Pteridomonas II	Disc 1	3.8	10	2672.4
	Disc 2	5.8	14.5	3153.6
	Disc 3	7.5	19	3464.9
Paraphysomonas I	Disc 1	3.0	10.0	4503.8
	Disc 2	4.5	15.0	4928.8
	Disc 3	6.3	20.0	5511.2
Paraphysomonas II	Disc 1	4.2	10.0	5029.2
	Disc 2	5.3	14.0	4626.1
	Disc 3	6.3	18.0	4565.8
Pseudobodo I	Disc 1	5.0	11.5	1836.8
	Disc 2	6.0	16.5	2128.7
Pseudobodo II	Disc 1	4.2	12.5	1909.5
	Disc 2	5.7	17.5	1767.6
Cafeteria I	Disc 1	2.4	5.0	1454.6
	Disc 2	3.9	10.0	1128.4
	Disc 3	5.5	14.0	1578.8
Cafeteria II	Disc 1	2.5	5.5	1473.5
	Disc 2	4.1	8.5	1888.0
	Disc 3	5.5	11.5	1535.3

583

584 **Supplementary Table S4. Clearance rates (Q) from each disc of radius a, placed at different distances (distance cell
585 to disc) from the species individual.** As a method validation, the flow rate through more than one clearance disc per
586 individual was estimated and compared. Variations within each species (*Paraphysomonas foraminifera*,
587 *Pteridomonas danica*, *Pseudobodo* sp. and *Cafeteria roenbergensis*) are by a factor of around two.

588