

Widespread emergence of OmpK36 loop 3 insertions among multidrug-resistant clones of *Klebsiella pneumoniae*

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Short title: OmpK36 loop 3 insertions in *Klebsiella pneumoniae*

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1 **Abstract**

2 Mutations in outer membrane porins act in synergy with carbapenemase enzymes to increase
3 carbapenem resistance in the important nosocomial pathogen, *Klebsiella pneumoniae* (KP).
4 A key example is a di-amino acid insertion, Glycine-Aspartate (GD), in the extracellular loop 3
5 (L3) region of OmpK36 which constricts the pore and restricts entry of carbapenems into the
6 bacterial cell. Here we combined genomic and experimental approaches to characterise the
7 diversity, spread and impact of different L3 insertion types in OmpK36. We identified L3
8 insertions in 3588 (24.1%) of 14,888 KP genomes with an intact *ompK36* gene from a global
9 collection. GD insertions were most common, with a high concentration in the ST258/512
10 clone that has spread widely in Europe and the Americas. Aspartate (D) and Threonine-
11 Aspartate (TD) insertions were prevalent in genomes from Asia, due in part to acquisitions by
12 ST16 and ST231 and subsequent clonal expansions. By solving the crystal structures of novel
13 OmpK36 variants, we found that the TD insertion causes a pore constriction of 41%,
14 significantly greater than that achieved by GD (10%) or D (8%), resulting in the highest levels
15 of resistance to selected antibiotics. In a murine pneumonia model, KP mutants harbouring L3
16 insertions have a competitive disadvantage relative to a strain expressing wild-type OmpK36
17 in the absence of antibiotics. This explains the reversion of GD and TD insertions observed at
18 low frequency among KP genomes. Finally, we demonstrate that strains expressing L3
19 insertions remain susceptible to drugs targeting carbapenemase-producing KP, including
20 novel beta lactam-beta lactamase inhibitor combinations. This study provides a contemporary
21 global view of OmpK36-mediated resistance mechanisms in KP, integrating surveillance and
22 experimental data to guide treatment and drug development strategies.

24 **Author summary**

25 Rapidly rising rates of antibiotic resistance among *Klebsiella pneumoniae* (KP) necessitate a
26 comprehensive understanding of the diversity, spread and clinical impact of resistance
27 mutations. In KP, mutations in outer membrane porins play an important role in mediating
28 resistance to carbapenems, a key class of antibiotics. Here we show that resistance mutations
29 in the extracellular loop 3 (L3) region of the OmpK36 porin are found at high prevalence among
30 clinical genomes and we characterise their diversity and impact on resistance and virulence.
31 They include amino acid insertions of Aspartate (D), Glycine-Aspartate (GD) and Threonine-
32 Aspartate (TD), which act by decreasing the pore size and restricting entry of carbapenems
33 into the bacterial cell. We show that these L3 insertions are associated with large clonal
34 expansions of resistant lineages and impose only a low fitness cost. Critically, strains
35 harbouring L3 insertions remain susceptible to novel drugs, including beta lactam-beta
36 lactamase inhibitor combinations. This study highlights the importance of monitoring the
37 emergence and spread of strains with OmpK36 L3 insertions for the control of resistant KP
38 infections and provides crucial data for drug development and treatment strategies.

39 **Introduction**

40 *Klebsiella pneumoniae* (KP) is a leading cause of opportunistic infections in hospital and
41 healthcare-associated settings worldwide (1,2). Rates of antibiotic resistance among KP have
42 risen rapidly in recent years, leading to its classification by the World Health Organisation as
43 a critical priority resistant pathogen (3). Of particular concern is the increasing number of KP
44 infections that are resistant to carbapenems, which have been shown to be associated with a
45 high mortality burden (4). Whilst newer agents with activity against carbapenem-resistant KP
46 have been recently licensed (5), carbapenems remain vital in the treatment of severe
47 infections due to their broad efficacy and limited adverse effects.

48 Carbapenem resistance in KP is primarily achieved by the acquisition of carbapenemase
49 enzymes, which inactivate carbapenems by hydrolysis. These enzymes are typically plasmid-
50 encoded and include variants of the KPC, OXA-48-like, NDM, VIM and IMP families (6).
51 Another important mechanism involves the modification of outer membrane porins which
52 enable the non-selective diffusion of substrates, including both nutrients and antibiotics, into
53 the bacterial cell (7,8). These modifications restrict antibiotic entry and act in synergy with
54 carbapenemase enzymes to increase the level of resistance. Resistance-associated
55 mutations have been described in both major chromosomally-encoded KP porins, OmpK35
56 and OmpK36 (9,10). In particular, truncations in the *ompK35* gene that result in a non-
57 functional porin have been widely identified and are ubiquitous in a major high-risk clone
58 comprising sequence types 258 and 512 (ST258/512) (11,12). By contrast, *ompK36* is rarely
59 truncated and resistance mutations more commonly either reduce OmpK36 abundance (13–
60 15) or constrict the pore size (12,16).

61 Mutations that mediate pore constriction have been shown to consist of amino acid insertions
62 in extracellular loop 3 (L3) of OmpK36, a motif that conformationally determines the minimal
63 pore radius. In particular, we previously determined the crystal structure of OmpK36 with a
64 Glycine-Aspartate (GD) L3 insertion and showed that this results in a 10% reduction in minimal
65 pore diameter. This led to a 16-fold increase in the minimum inhibitory concentration (MIC) of

66 meropenem, the most widely used carbapenem (16). *In silico* structural modelling has also
67 predicted OmpK36 pore constriction by other L3 insertions (Threonine-Aspartate (TD) and
68 Serine-Aspartate (SD)) observed in clinical KP genomes (12). However, in the absence of
69 solved structures, important derived metrics (e.g., minimal pore diameter) of these porin
70 variants remain incomplete, precluding rational drug design. Moreover, studies assessing the
71 prevalence of L3 insertions among clinical isolates have been restricted to the identification of
72 *a priori* defined L3 insertions (i.e. GD/TD in Lam et al. 2021 (10)) and/or limited by the temporal
73 and geographic breadth of available sample collections (10,12). The increased availability of
74 genomes now facilitates a more comprehensive analysis of different L3 insertions found
75 among clinical KP worldwide, providing a valuable opportunity for informing surveillance,
76 treatment and drug development strategies.

77 Here we used a combination of bioinformatic and experimental approaches to detail the
78 diversity, evolutionary dynamics and clinical impact of L3 insertions observed among KP
79 isolates from a large global genome collection ($n=16,086$). By solving additional OmpK36
80 structures, we show that other major L3 insertions beyond GD constrict the pore size and
81 increase carbapenem resistance, driving large clonal expansions among high-risk clones.
82 These include Aspartate (D) and TD insertions that are largely associated with the important
83 albeit less well-studied ST16 and ST231 lineages, respectively. We also demonstrate
84 recurrent reversions of L3 insertions among clinical isolates, in line with the competitive
85 disadvantage of L3 insertion mutants observed in our preclinical mouse pneumonia model in
86 the absence of antibiotic therapy. Finally, we systematically evaluated the effect of D, GD and
87 TD insertions on the susceptibility to novel antibiotic therapies, including key beta-lactam/beta-
88 lactamase inhibitor combinations, and show that these agents maintain efficacy despite pore
89 constriction.

90 **Results**

91 **D, GD and TD are the most common L3 insertions among clinical KP genomes**

92 We first investigated the prevalence of L3 insertions among a large collection of public KP
93 genomes available in PathogenWatch
94 (<https://pathogen.watch/genomes/all?genusId=570&speciesId=573>; **S1 Table**). This
95 collection comprises 16,086 assembled genomes from 84 countries, belonging to a total of
96 1,177 STs (17). We unambiguously identified the *ompK36* gene in 94.4% (15,193/16,086) of
97 the genomes; the gene was intact (i.e. not truncated) in 98.0% (14,888/15,193) of these.
98 Among those with an intact *ompK36*, we found that 24.1% (3588/14,888) had one or more
99 amino acids inserted into the L3 region (**Figure 1A**). A total of eight different L3 insertion types
100 were observed, which comprised between one and three amino acids. 75.3% (2700/3588) of
101 the L3 insertions observed were GD, while the remainder comprised TD (14.3%; 512/3588),
102 D (7.8%; 281/3588), SD (2.0%; 73/3588), N (0.4%; 15/3588), TYD (0.1%; 4/3588), YGS
103 (0.06%; 2/3588) and GG (0.03%; 1/3588) (**Figure 1B**).

104 We found L3 insertions in a total of 68 STs (GD - 52 STs, TD - 16 STs, D - 15 STs, SD - 10
105 STs, N - 1 ST, TYD - 3 STs, YGS - 2 STs), demonstrating their widespread emergence across
106 the KP population. Notably, we found that the coding mutations for each L3 insertion were
107 always the same, despite genetic redundancy (e.g. GD always encoded by ggc gac, TD by
108 acc gac and D by gac). While this may partially be explained by exchange of alleles by
109 recombination, phylogenetic analysis of the *ompK36* open reading frame (ORF) demonstrated
110 parallel emergences of each insertion type across different gene backgrounds (**S1 Figure**).
111 This suggests that these underlying coding mutations are more likely to evolve than the
112 possible alternatives.

113 We found that L3 insertions were mostly concentrated among global multi-drug resistant
114 (MDR) clones (**Figure 1C**), implicating an important role in resistance. Altogether, 91.8%
115 (3295/3588) were found in one of the top ten most frequently observed STs in the genome
116 collection, which represent these major clones. This is despite genomes from these STs

117 making up only 56.2% (9045/16,086) of the total collection. We also observed a high
118 concentration of individual L3 insertions in particular clones. For example, 43.1% (1163/2700)
119 of genomes with a GD insertion belonged to either ST258 or ST512 (which together make up
120 the single clone, ST258/512), 49.2% (252/512) of genomes with TD belonged to ST231, and
121 59.4% (167/281) of genomes with D belonged to ST16 (**Figure 1C**). While all three clones are
122 internationally dispersed, ST258/512 has largely been a dominant strain in the Americas,
123 Europe and Middle East (18–22), and ST231 and ST16 are found at high prevalence in parts
124 of Asia (23–25).

125 We also found that L3 insertions frequently co-occur with carbapenemase genes, which have
126 also been shown to be concentrated among major high-risk clones (26). Indeed, of the
127 genomes in this collection with an L3 insertion, 90.7% (3255/3588) possessed one or more
128 carbapenemases (**Figure 1A**). Furthermore, we observed that particular L3 insertions more
129 frequently co-occur with some carbapenemases. For example, 76.0% (1854/2441) of
130 carbapenemases found among genomes with a GD insertion were KPC, while 72.3%
131 (334/462) and 75.7% (203/268) of those found among genomes with D and TD were from the
132 OXA-48-like families, respectively. However, these associations are also confounded by the
133 high concentration of particular carbapenemase genes among some lineages (e.g. KPC in
134 ST258/512).

135 **The L3 insertions reduce meropenem diffusion while enabling glucose entry**

136 We next aimed to define the extent to which the D and TD insertions, observed at highest
137 prevalence after GD insertions, constrict the OmpK36 pore and increase meropenem
138 resistance. To that end we solved the crystal structures of chimeric OmpK36_{WT} with D and TD
139 insertions (OmpK36_{WT+D} and OmpK36_{WT+TD}) by X-ray crystallography and compared the
140 minimal pore diameters to those of the previously solved OmpK36_{WT} and OmpK36_{WT+GD}
141 structures (**Figure 2A-D; S2 Table**). While the OmpK36_{WT+D} structure demonstrated the
142 presence of two different L3 conformations, open or closed, both yielded similar minimal pore
143 diameters (2.94 Å (D-open) and 2.95 Å (D-closed)). Notably, OmpK36_{WT+TD} forms a particularly

144 narrow channel with a minimal pore diameter of 1.88 Å. These values represent relative pore
145 reductions of 8% (D) and 41% (TD) compared to OmpK36_{WT}, the latter significantly greater
146 than that imposed by the GD insertion (10%).

147 To evaluate the effect of the reduced pore diameter on meropenem diffusion in OmpK36_{WT+D}
148 and OmpK36_{WT+TD}, we conducted liposome swelling assays. We also included OmpK36_{WT} and
149 OmpK36_{WT+GD} variants, as they had been previously validated in these assays, and empty
150 liposomes were used as a control to establish the baseline diffusion that occurs in the absence
151 of OmpK36 channels. Diffusion rates were calculated by assessing changes in OD_{400nm} per
152 unit time ($\Delta OD_{400}/t(s)$). We found that liposomes with the OmpK36_{WT+D} and OmpK36_{WT+TD}
153 variants had significantly reduced meropenem diffusion compared to OmpK36_{WT}, with rates
154 similar to those observed with OmpK36_{WT+GD} and empty liposomes (**Figure 2E**). We also
155 measured the diffusion rate of glucose, a key carbon source, which has a substantially lower
156 molecular weight than meropenem (180.2g/mol vs 383.5g/mol). We found similar glucose
157 diffusion in the presence of all OmpK36 variants (**Figure 2F**), which was higher compared to
158 empty liposomes.

159 Finally, to determine the effect of the different L3 insertions on meropenem MIC, we replaced
160 the endogenous (genomic) *ompK36_{WT}* ORF in KP strain ICC8001 with alleles encoding D, GD
161 and TD insertions. As a control, we generated a strain lacking *ompK36*, the deletion of which
162 has been shown to increase carbapenem resistance (9). Given the high frequency of *ompK35*
163 truncations among high-risk clones we deleted the *ompK35* gene from all isogenic strains, and
164 introduced the KPC-2-encoding plasmid, pKpQIL, by conjugation (see **Table 1** for list of strains
165 and attributes). All L3 insertions increased the meropenem MIC four-fold from 16mg/L in
166 *ompK36_{WT}* to 64mg/L (the resistance breakpoint is >8mg/L). The absence of *ompK36*
167 increased the MIC 32-fold to 512mg/L.

169 **L3 insertions are associated with clonal expansions in MDR lineages and revert at a
170 low frequency**

171 We next investigated the emergence and expansion patterns of L3 insertions among clinical
172 genomes using a phylogenetic approach. We analysed the three MDR clones in which the D,
173 GD and TD insertions predominate (D - ST16; GD - ST258/512; TD - ST231) (**Figure 1B**). We
174 included genomes from the Pathogenwatch collection belonging to each of these STs, which
175 represented 3629 ST258/512 isolates (34 countries; collected 2003-2020), 446 ST16 isolates
176 (26 countries; 2004-2020) and 302 ST231 isolates (19 countries; 2003-2019).

177 Our phylogenetic analysis of ST258/512 showed that an *ompK35* truncation and the KPC gene
178 were largely ubiquitous and present in the earliest sampled isolates, while the lineage initially
179 expanded in the absence of L3 insertions (**Figure 3A**). Since the emergence of this clone, L3
180 insertions have evolved many times independently. We found a total of six different L3
181 insertion types (D, N, GD, TD, SD, TYD) with D, GD and TD each emerging on multiple
182 occasions. Several of the L3 insertion acquisition events (most notably of GD) are associated
183 with subsequent clonal expansions. For example, the clade consisting largely of ST512 that
184 encodes a GD insertion became highly successful as evident in the phylogeny and supported
185 by multiple surveillance reports (18,27). Our data also confirmed previous reports that this
186 clade likely spread from the USA to Europe and the Middle East (26) where it dominated the
187 resistant KP population in some countries over several years (e.g. Italy and Israel) (18,27).
188 Notably, the ST258/512 phylogeny also suggested that there have been multiple reversion
189 events of L3 insertions, represented by genomes lacking a particular L3 insertion amidst a
190 clade carrying that insertion (**Figure 3B**). The occurrence of reversions is suggestive of a
191 selective pressure acting in favour of removing L3 insertions in certain contexts.

192 As with the ST258/512 lineage, our phylogenetic analysis of ST16 demonstrated that the
193 lineage also expanded in the absence of L3 insertions and that these have since evolved
194 frequently across different clades (**Figure 4A**). A total of five different L3 insertion types (D,
195 GD, TD, SD, TYD) were found, with D, GD, TD and SD each evolving two or more times. We

196 found a high diversity of carbapenemases among the ST16 lineage and numerous
197 independent truncations of *ompK35*. However, most isolates (98.8%; 164/166) with the D
198 insertion belonged to a single clade of isolates collected in Thailand between 2016-2018. This
199 acquisition of the D insertion coincided closely with the gain of OXA-232 and NDM-1
200 carbapenemase genes and an *ompK35* truncation, likely leading to the rapid clonal expansion
201 of this clade.

202 Contrary to the observations in ST258/512 and ST16, the ST231 phylogeny suggested that
203 the TD insertion was acquired on a single occasion and associated with the major clade
204 (**Figure 4B**). The low diversity within this clade is suggestive of a rapid clonal expansion. The
205 acquisition of the TD insertion also coincided closely with the gain of OXA-232 and an *ompK35*
206 truncation (with both likely occurring just prior to TD acquisition). No other L3 insertions were
207 found in the ST231 lineage except for a single isolate with a D insertion. Phylogeographic
208 analysis showed that the major clade encoding the TD insertion has spread to multiple
209 countries, including India, Thailand and Oman, where significant local transmission is evident.
210 As in the ST258/512 lineage, we also found numerous reversions of the TD insertion.

211 **The *in vivo* competitive disadvantage of L3 insertions explains the observed reversions**

212 The high prevalence of the different OmpK36 L3 insertions across the KP population, together
213 with our observation that reversions also occur, led us to explore the impact of the D, GD and
214 TD insertions on bacterial fitness using a mouse pneumonia model. To do this, we performed
215 *in vivo* infection experiments using isogenic strains of ICC8001 that encoded either *ompK36*_{WT}
216 ($KP36_{WT}$), *ompK36*_{WT+D} ($KP36_{WT+D}$), *ompK36*_{WT+GD} ($KP36_{WT+GD}$) or *ompK36*_{WT+TD} ($KP36_{WT+TD}$)
217 (**Table 1**). Intratracheal intubation was used to inoculate 250 CFU of KP directly into the lungs
218 of mice, replicating ventilator-associated pneumonia (**Figure 5A**). A control group of animals
219 received PBS alone. After 48 h, infection with all isogenic strains induced significant weight
220 loss compared to those receiving PBS only (**Figure 5B**). However, no significant differences
221 were observed between those infected with $KP36_{WT}$ or $KP36_{WT+D}$, $KP36_{WT+GD}$ or $KP36_{WT+TD}$.
222 Similarly, all isogenic strains achieved high CFU counts in the lungs and blood (although not

223 all animals were bacteraemic at the end of the time-course), with no significant differences
224 observed between groups (**Figure 5C-D**). Measurement of proinflammatory cytokines
225 revealed significant increases of serum IL-6 and CXCL-1 following infection with any strain
226 compared to the PBS control, but with no significant differences observed between the strains
227 themselves; however, serum TNF was only significantly elevated in KP36_{WT} infection
228 compared to the uninfected controls (**Figure 5E-G**). Lastly, we found significant increases in
229 lung neutrophils induced by all infecting strains compared to the PBS control, but observed no
230 significant differences between the four OmpK36 backgrounds (**Figure 5H**). These
231 experiments suggest that the L3 insertions do not significantly attenuate KP infection, thereby
232 explaining the successful clonal expansions observed among isolates carrying these
233 mutations.

234 We next used a more stringent method of assessing relative bacterial fitness by competing
235 KP strains with L3 insertions against KP36_{WT} *in vivo*. We infected mice with a total inoculum
236 of 500 CFU, comprising 50% KP36_{WT} and 50% of either KP36_{WT+D} or KP36_{WT+TD} (KP36_{WT+GD}
237 having been tested previously (16) (**Figure 5I**). To identify the strains at the experimental end-
238 point (36 hpi), we chromosomally tagged the input bacteria with either sfGFP or mRFP1. We
239 enumerated lung CFU counts as the outcome measure and found that both KP36_{WT+D} and
240 KP36_{WT+TD} (as with KP36_{WT+GD} previously) were outcompeted by KP36_{WT} (**Figure 5J-L**). These
241 findings provide an experimental basis to explain the observed reversions of L3 insertions in
242 the KP population as, whilst their expression supports a high capacity for infection, they result
243 in a competitive disadvantage in the absence of antibiotics.

244 **Novel drugs targeting KPC-producing KP are effective against L3 insertion-expressing**
245 **strains**

246 Finally, we used our isogenic strain collection to systematically test the impact of D (KP36_{WT+D}),
247 GD (KP36_{WT+GD}) and TD (KP36_{WT+TD}) insertions on susceptibility to new or recently licensed
248 antibiotic therapies that are vital for the treatment of carbapenemase-producing KP (**Table 2**).
249 We evaluated four beta-lactam/beta-lactamase inhibitor combinations: ceftazidime/avibactam

250 (CAZ/AVI), meropenem/vaborbactam (MER/VAB), imipenem/relebactam (IMI/REL) and
251 aztreonam/avibactam (AZT/AVI)) and the novel siderophore cephalosporin cefedericol (FDC).
252 When combination drugs were assessed, we also determined the MIC in the absence of the
253 beta-lactamase inhibitor (i.e., parental drug alone). All strains had *ompK35* deleted and
254 expressed the KPC-2 carbapenemase. We also evaluated the impact of deleting *ompK36*
255 (KPΔ36) to replicate the effect of *ompK36* truncation, which was observed, albeit rarely, in our
256 genomic analyses (**Figures 3A, 4A and 4B**).

257 The MICs to the parental drugs used in co-formulations (MER, CAZ, IMP and AZT) were in
258 the resistant range, irrespective of the OmpK36 variant expressed (**Table 2**). As already
259 described for MER (**Figure 2G**), D, GD and TD insertions increased the MIC as compared to
260 wild-type OmpK36 expression. Whilst the MICs to AZT were universally above the range of
261 the assay, CAZ and IMP resistance was found to be inversely correlated with the minimal pore
262 diameter imposed by the L3 insertion type, with the KP36_{WT+TD} strain achieving higher MICs
263 than seen in KP36_{WT+D} and KP36_{WT+GD}. The KPΔ36 strain achieved the highest MICs for each
264 drug with the exception of CAZ, where KP36_{WT+TD} obtained the highest level of resistance.
265 When we tested these drugs in combination with their respective beta-lactamase inhibitors,
266 susceptibility was restored among all strains harbouring L3 insertions. However, some
267 increase was observed for the MICs of CAZ/AVI, MER/VAB and AZT/AVI (relative to KP36_{WT}),
268 suggesting that L3 insertions have some effect on the susceptibility to these novel beta-
269 lactamase-inhibitor combinations. Of note, KPΔ36 presented a resistant phenotype to
270 IMP/REL, which was not observed with MER/VAB, CAZ/AVI or AZT/AVI. All strains were
271 susceptible to FDC, in keeping with a porin independent uptake mechanism via siderophore
272 receptors (28). However, we noted that the FDC MIC was highest in KPΔ36 indicating that
273 entry can, in part, be mediated by OmpK36. Taken together, these results have important
274 medical implications as they show that novel drugs targeting carbapenemase-producing KP
275 remain effective against strains possessing L3 insertions.

276 **Discussion**

277 Monitoring the emergence, spread and clinical impact of resistance mutations among KP
278 isolates is essential to informing public health intervention strategies. Here we demonstrate
279 the widespread distribution of OmpK36 L3 insertions among clinical KP isolates worldwide, as
280 inferred from a large collection of publicly available genomes ($n=16,086$). In particular, three
281 types of L3 insertion, comprising amino acid insertions of D, GD and TD, made up 97.4% of
282 those identified and were found in 23.5% (3493/14,888) of all genomes encoding an intact
283 *ompK36* gene. Among genomes encoding one or more carbapenemase genes, this proportion
284 increased to 36.1% (3171/8795). While there is a bias towards sequencing resistant isolates,
285 our data nevertheless demonstrates that these mutations are one of the major adaptations of
286 KP to antibiotic-rich healthcare environments.

287 We solved the structures of OmpK36 with the D and TD insertions and compared their minimal
288 pore diameters to those of the wild-type OmpK36 porin and OmpK36 with a GD insertion
289 determined previously (16). This revealed variation in the degree of pore constriction imposed
290 by different L3 insertions, with reductions in pore size from the wild-type OmpK36 ranging from
291 41% (TD) to 10% (GD) to 8% (D). Interestingly, all three L3 insertions increased the
292 meropenem MIC by the same magnitude (four-fold) compared to wild-type OmpK36
293 expression. However, the severity of pore constriction was reflected in the resulting MIC
294 values for imipenem and ceftazidime (i.e. TD-expressing strain exhibiting the highest
295 resistance). These differences are therefore vital in the process of rational physico-chemical
296 drug design. We also found that L3 insertions have no effect on the diffusion of glucose, a key
297 carbon source that is of lower molecular weight than beta-lactam antibiotics. The ability to
298 maintain this physiological role of OmpK36 thereby demonstrates a key advantage of using
299 pore constriction to impede antibiotic entry rather than mutations that reduce *ompK36*
300 expression or result in a non-functional, truncated porin.

301 We showed that L3 insertions have emerged widely across the KP population and are most
302 concentrated among known high-risk clones. In particular, ST258/512 accounted for a large

303 proportion (43.1%) of GD insertions, while ST231 and ST16 harboured a high proportion of
304 the TD (49.2%) and D (59.4%) insertions respectively. The relative lack of surveillance and
305 availability of ST231 and ST16 genomes as compared to ST258/512 sequences can partially
306 account for the under-recognition of the TD and D insertions to date, despite their high global
307 clinical impact. Among these different clonal lineages, we found that L3 insertions often
308 coincide with carbapenemases and *ompK35* truncations, and we found multiple instances of
309 where the acquisition of these three traits in close succession was followed by rapid clonal
310 expansion. Examples of this are the major clade of the ST231 lineage, associated with high
311 transmission in India (and elsewhere) (23,25), as well as a large clonal expansion of an ST16
312 subtype in Thailand. We propose that these expansions may have been driven by the overuse
313 of carbapenems prior to the availability of novel drugs specifically targeting carbapenemase-
314 producing *Enterobacteriaceae* (CPE). In particular, high-dosage carbapenems in combination
315 with other drugs (e. g. colistin, tigecycline, fosfomycin) or even the use of double carbapenem
316 regimens were mostly recommended for treatment of CPE infections (29,30). Surveillance and
317 infection control efforts must now focus on limiting spread of these resulting clones, as well as
318 rapidly detecting the convergence of these resistance traits among other STs.

319 The association of L3 insertions with large clonal expansion events fits with our finding that
320 these mutations do not reduce the infection capacity of KP in a mouse pneumonia model. This
321 contrasts with mutations resulting in non-functional OmpK36, which cause even higher
322 carbapenem resistance but have been shown to result in a large fitness cost (9,16) and rarely
323 proliferate beyond individual patients. However, we did also find reversion events of L3
324 insertions (namely GD and TD) in clinical isolates, suggestive of a selection pressure acting
325 to revert the pore to wild-type in certain environments (e.g., in the absence of antibiotics). This
326 can be explained by the *in vivo* competition experiments performed here and previously (16),
327 which demonstrated a competitive disadvantage of OmpK36 L3 insertion mutants relative to
328 a wild-type strain. This suggests that antibiotic stewardship measures could play a crucial role
329 in limiting further expansion of resistant KP carrying L3 insertions.

330 Finally, the high prevalence of L3 insertions among carbapenemase-producing strains led us
331 to determine the precise impact of these mutations on the efficacy of new or recently licensed
332 drugs targeted at this group of resistant KP. While relatively rare overall, cases of resistance
333 emerging to the novel combination therapies (MER/VAB, CAZ/AVI, IMP/REL, AZT/AVI) during
334 therapy have been reported (31). Resistance typically involves mutations or increased
335 expression of a beta-lactamase (including KPC and AmpC enzymes) (32). However, loss or
336 downregulation of OmpK36 has also been associated with increased resistance to MER/VAB
337 (14), CAZ/AVI (33–35) and IMP/REL (34,36). Here we found that the addition of the inhibitors
338 to the parental drugs restores susceptibility in KPC-2 producing strains with OmpK36 L3
339 insertions, with MICs all below resistance breakpoints. Strains not expressing OmpK36 were
340 also susceptible to all combination drugs, with the exception of IMP/REL. Overall, these
341 findings suggest that beta-lactamase inhibitor entry is largely OmpK36-independent. However,
342 the MICs of CAZ/AVI, MER/VAB and AZT/AVI were modestly affected by L3 insertions,
343 suggesting a potential contribution to increasing resistance in the presence of other
344 mechanisms. Similarly, we found that the siderophore cephalosporin (FDC) remains effective
345 against strains with L3 insertions, in line with its primary entry via iron uptake receptors (28).
346 Taken together, our data highlights OmpK36 L3 insertions as a crucial priority in the global
347 surveillance of carbapenem resistant KP due to their high prevalence among clinical isolates,
348 associations with large clonal expansions and relatively low fitness costs. We also propose
349 that as genomic surveillance becomes increasingly adopted, especially in low- and middle-
350 income countries, monitoring the diversity of such resistance mechanisms over globally-
351 representative regions will be vital for optimisation of treatment and drug development
352 strategies worldwide.

354 **Materials and Methods**

355 **Identification and characterisation of *ompK36* among public genomes**

356 We used a public collection of 16,086 KP genomes available in Pathogenwatch (17)
357 (<https://pathogen.watch/genomes/all?genusId=570&speciesId=573>; accessed September
358 2021) to characterise the diversity of *ompK36* genes. The *ompK36* gene was identified in the
359 short-read assemblies using BLASTn v2.6.0 (37) with a query gene from the reference
360 genome, ATCC43816 (accession CP009208). To unambiguously identify *ompK36*, we
361 required a single hit per assembly that matched $\geq 10\%$ of the query length, possessed $\geq 90\%$
362 nucleotide similarity and contained a start codon. Seaview v4.7 (38) was used to translate the
363 nucleotide sequences to protein sequences using the standard genetic code. Non-truncated
364 protein sequences (i.e. those with $\geq 95\%$ of the query length) and the corresponding gene
365 sequences were aligned using MUSCLE v3.8 (39). These alignments were used to identify all
366 intact protein and gene variants present. The variants were analysed together with the
367 metadata and genotyping data (e.g., multi-locus sequence typing and resistome data)
368 available in Pathogenwatch. A phylogenetic tree of all intact *ompK36* gene sequences was
369 constructed based on the variable sites using RAxML v8.2.8 (40) and visualised using
370 Microreact v166 (41).

371 **Phylogenetic analysis of ST258/512, ST231 and ST16 lineages**

372 Raw sequence reads were downloaded from the European Nucleotide Archive (ENA) for all
373 KP genomes belonging to STs 258/512 ($n=3673$), 231 ($n=307$) and ST16 ($n=453$) in
374 Pathogenwatch. Reads were mapped using Burrows Wheeler Aligner v0.7.17 (42) to a
375 lineage-specific reference genome: NJST258_1 (accession CP006923) (43) for ST258/512,
376 FDAARGOS_629 (accession NZ_CP044047) for ST231, and QS17_0029 (accession
377 NZ_CP024038) for ST16. SNPs were identified using a pipeline comprising SAMtools mpileup
378 v0.1.19 (44) and BCFtools v0.1.19, and pseudo-genome alignments were generated for each
379 lineage. Individual genomes were excluded from subsequent analyses if the mean mapping
380 coverage was $<20x$ or if $\geq 25\%$ of positions in the pseudo-genome alignment were missing

381 data. Recombined regions were removed from the alignments and a phylogenetic tree was
382 generated with the remaining variable positions using Gubbins v2.4.1 (45). An outgroup isolate
383 was also included in these analyses for each lineage in order to root the phylogenetic trees
384 (SRR5385992 from ST895 for ST258/512, ERR1216956 from ST101 for ST231,
385 ERR1228220 from ST17 for ST16). Phylogenetic trees were visualised together with all
386 metadata and genotyping data using Microreact v166 (41).

387 **Generation of OmpK36 L3 insertion mutants**

388 Genome editing took place in the laboratory KP strain ICC8001 (a derivative of ATCC43816)
389 using the pSEVA612S system and lambda-red fragment mediated homologous recombination
390 as previously described. The *ompK35* gene (open reading frame) deletion was carried out in
391 previous work (15). Mutagenesis vectors to generate the D and TD insertion were made by
392 site directed mutagenesis using primers 1-4 (**S3 Table**). and PCR products were recircularised
393 using KLD enzyme blend (New England Biolabs (UK)). Genome modifications were checked
394 by sequencing of genomic *ompK36* locus PCR products generated using primers 5/6 and
395 Sanger sequencing (Eurofins Genomics GmbH). sfGFP and mRFP1 were introduced into the
396 silent *glmS* genomic site of strains generated in this manuscript as previously described (16).

397 **Purification of OmpK36 variants**

398 OmpK36 variants were purified using our previously established protocol without any
399 modifications (16). All OmpK36 variants were purified in 10 mM HEPES pH 7, 150 mM NaCl
400 and 0.4% C₈E₄.

401 **Crystallisation**

402 OmpK36_{WT+TD} and OmpK36_{WT+D} were exchanged into 10mM HEPES pH 7, 150mM LiCl, and
403 0.4% C₈E₄ prior to crystallisation. Crystals for both variants were grown from a solution
404 containing 0.1M Lithium sulfate, 0.1M sodium citrate pH 5.6 and 12% PEG4000 at 20 °C. The
405 crystals were cryoprotected by supplementing the crystallisation condition with 25% ethylene

406 glycol and were frozen in liquid nitrogen. Diffraction screening and data collection were
407 performed at Diamond Light Source synchrotron.

408 **Data collection and structure refinement**

409 OmpK36_{WT+D} data to 1.78 Å were collected on I24 at Diamond Light Source at a wavelength
410 of 0.97 Å using a Pilatus3 6M detector and processed using xia2 (46). The space group was
411 determined to be *P*1 with six copies of OmpK36_{WT+D} in the asymmetric unit. OmpK36_{WT+TD} data
412 to 1.5 Å were collected on I03 at Diamond Light Source at a wavelength of 0.97 Å using an
413 Eiger2 XE 16M detector and processed using xia2 (46). The resolution of both data was
414 evaluated by half-dataset correlation coefficient in Aimless (cut-off less than 0.3) (47). The
415 space group was determined to be *P*1 with six copies of OmpK36_{WT+TD} in the asymmetric unit.
416 Further processing was performed using the CCP4 suite (48).

417 Both the OmpK36_{WT+TD} and OmpK36_{WT+D} structures were determined by molecular
418 replacement in Phaser (49) using the OmpK36_{WT} structure (PDB ID: 6RD3) (16) as a search
419 model. Refinement of both structures was carried out in Phenix (50). After rigid body and
420 restrained refinement electron density corresponding to the mutations and insertions were
421 identified, built and refined. Additional density, possibly detergent or lipid molecules, that was
422 observed on the surface of the protein was also modelled. The final OmpK36_{WT+TD} model has
423 an R_{work} of 19% and an R_{free} of 20.5%, and the OmpK36_{WT+D} model has an R_{work} of 18.5% and
424 an R_{free} of 21.3%, respectively.

425 The data collection and refinement statistics for both the OmpK36_{WT+TD} and OmpK36_{WT+D}
426 crystals are summarised in **S2 Table**. The coordinates and structure factors of OmpK36_{WT+TD}
427 and OmpK36_{WT+D} have been deposited to the Protein Data Bank with PDB ID codes 7PZF
428 and 7Q3T, respectively.

429 **Liposome swelling assays**

430 Liposome swelling assays were performed by reconstituting proteoliposomes with
431 recombinant OmpK36 variants as previously described without any modifications (16).

433 **Antimicrobial susceptibility testing**

434 Minimum inhibitory concentrations (MICs) were determined in triplicate by reference broth
435 microdilution according to the ISO standard (ISO 20776-1:2019,
436 <https://www.iso.org/standard/70464.html>) using sterile 96-well plates (SARSTEDT, Germany).
437 Antibiotic and inhibitors powders were from the following sources: avibactam (AOBIOUS,
438 U.S.A.), aztreonam (United Biotech, India), cefiderocol (Shionogi, Japan), ceftazidime,
439 imipenem, meropenem, relebactam and vaborbactam (Merck, Germany). Cation-adjusted
440 Mueller-Hinton broth (MHB) (Thermo Fisher Scientific, U.S.A.) was used for all agents except
441 cefiderocol, for which iron-depleted MHB (Shionogi) was used. Inhibitors were used at fixed
442 concentrations of 4 mg/L (avibactam, relebactam) or 8 mg/L (vaborbactam). Results were read
443 after incubation at 35 ± 1 °C in ambient air for 18±2 hours, and interpreted according to the
444 EUCAST clinical breakpoints v 12.0, 2022 (https://www.eucast.org/clinical_breakpoints/),
445 except for aztreonam/avibactam for which the EUCAST clinical breakpoint for aztreonam was
446 used. *Escherichia coli* ATCC 25922, *Pseudomonas aeruginosa* ATCC 27853, *Klebsiella*
447 *pneumoniae* ATCC 700603 and *K. pneumoniae* ATCC BAA-2814 were used as quality control
448 strains according to EUCAST guidelines
449 (https://www.eucast.org/ast_of_bacteria/quality_control/).

450 **Mouse infection**

451 Animal work took place under the auspices of the United Kingdom Animals (Scientific
452 Procedures) Act 1986 (License: PP7392693) and was locally approved by the institutional
453 ethics committee. Female, BALB/c, 8-10 week old mice (Charles River, UK) were randomised
454 into groups (co-housed in groups of 5) and acclimatised for one week before infection. Mice
455 were housed under a 12 hour light dark cycle and had access to food and water *ad libitum*.
456 Inoculum was prepared from saturated overnight cultures grown in LB broth diluted in 1xPBS
457 to a total volume of 50ul. This was delivered via intratracheal intubation (Kent Scientific) under
458 ketamine (80mg/kg) and medetomidine (0.8mg/kg) anaesthesia. Monitored recovery from

459 anaesthesia took place at 32-35 °C following the administration of atipamezole (1mg/kg)
460 reversal. Inoculum size was verified (+/-10%) by enumeration on agar plates.
461 Bacterial enumeration at experimental end-point took place on LB agar plates supplemented
462 with 50mcg/ml rifampicin. Blood samples were collected at end-point by cardiac puncture
463 under terminal anaesthesia (ketamine 100mg/kg, medetomidine 1mg/kg). Lungs were excised
464 post mortem *en bloc* followed by homogenisation. Samples were 10-fold serially diluted before
465 plating and agar plates incubated overnight at 37 °C. In competition assays plates were UV
466 transilluminated to determine colony fluorescence.

467 **Serum cytokine bead assay**

468 Serum cytokine levels were determined using a beads-based immunoassay with a custom-
469 designed mouse cytokine panel (LEGENDplex, BioLegend) following the manufacturer's
470 instructions. Cytokine measurements were acquired using a FACSCalibur flow cytometer (BD
471 Biosciences), and flow cytometry analyses were performed using LEGENDplex data analysis
472 software suite (<https://www.biolegend.com/en-us/legendplex/software>). All values were above
473 the detection limit for both serum IL-6 and CXCL-1 (KC) levels and only 7/47 individual values
474 (<15%; maximum of 2 per experimental group) were below the limit of detection when
475 analysing TNF levels. These TNF values below the detection limit were assumed to be the
476 lowest value detectable by the assay for statistical analysis and were displayed as 1 for easy
477 visualisation in graphs (**Figure 5E**).

478 **Neutrophil quantification in lung homogenate by flow cytometry**

479 1 ml of complete RPMI supplemented with penicillin and streptomycin solution (final
480 concentration of 100 U and 100 µg/ml respectively), Liberase TM (0.13 mg/ml final, Roche)
481 and DNaseI (10 µg/mL; Sigma-Aldrich) was added to the lung homogenate after removal of
482 30 µl for lung CFU calculations. The homogenate was then incubated for 40 mins on a shaker
483 at 37 °C to allow for enzymatic tissue disruption and cell dissociation. After this incubation, the
484 samples were placed on ice to interrupt the enzymatic digestion and the enzymes were further
485 inhibited by adding EDTA (final concentration 10 mM; Gibco). The samples were run through

486 a 70 µm cell strainer to obtain a uniform single-cell suspension, spun down and resuspended
487 in 600 µl of complete RPMI. From here onwards, steps were carried out on ice to preserve cell
488 viability.

489 For staining, ~5x10⁶ cells (around 120 µl) from each sample were added per well in a 96-well
490 V-bottom plate. Dead cells were routinely excluded with Zombie Aqua Fixable Dead Cell Stain
491 (ThermoFisher Scientific). Single cell suspensions were incubated with Fc block (Miltenyi
492 Biotec) in FACS buffer (1% BSA, 2mM EDTA in DPBS, Sigma), followed by staining with anti-
493 CD11b-PerCP-Cy5.5 (#45-0112-82, ThermoFisher Scientific) and anti-Ly-6G-FITC (#551460,
494 BD Pharmingen) in FACS buffer for 30 min, all at 4°C in the dark. Fluorescence minus one
495 (FMO) controls and a fully unstained sample were always included as controls. Stained cells
496 were washed in FACS buffer and fixed for 20 mins at room temperature with 1%
497 paraformaldehyde in PBS; fixed cells were kept in the dark at 4°C until analysis, usually the
498 following day. On the day of the analysis, single stain controls for compensation were prepared
499 using VersaComp beads (Beckman Coulter) and ArC Amine Reactive Compensation beads
500 (ThermoFisher Scientific) and a known volume of CountBright absolute beads (ThermoFisher
501 Scientific) was added to each sample before running the samples. Flow cytometry analysis on
502 50000 live cells was performed on a BD LSRIFortessa cell analyzer (BD Biosciences). Data
503 were analysed using FlowJo software (Tree Star). Neutrophils were defined as CD11b+
504 Ly6G+ live cells and absolute numbers of cells in the sample were calculated using the
505 numbers of CountBright absolute beads counted following the manufacturer's instructions.

506 **Data availability**

507 All genome data used in this study is publicly available in Pathogenwatch
508 (<https://pathogen.watch/genomes/all?genusId=570&speciesId=573>) and the European
509 Nucleotide Archive (see **S1 Table** for accession numbers). Structural data corresponding to
510 OmpK36_{WT+TD} and OmpK36_{WT+D} have been deposited in the Protein Data Bank with PDB ID
511 codes 7PZF and 7Q3T, respectively.

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522

523 **Author contributions**

524 SD and JLCW conceived the study. SD performed bioinformatics and genomic analyses.
525 JLCW performed the molecular biology and biochemistry experiments. JLCW, JSG and WWL
526 performed the animal experiments under the supervision of GF. JSG performed cytokine
527 measurements. H-SK and KB performed the crystallography and liposome swelling assays.
528 FM, TG and GMR performed the meropenem resistance assays. Data analysis was carried
529 out by JLCW, SD, H-SK, KB and JSG. JLCW, SD and GF wrote the manuscript. All authors
530 reviewed and edited the manuscript.

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685

686 **Table 1. Isogenic strains used in this study.** The parental strain ICC8001 (16) was
687 genetically modified in a seamless and markerless recombineering approach to generate the
688 *ompK36* variants.

Strain	<i>ompK35</i>	<i>ompK36</i>
KPΔ36	Δ	Δ
KP36 _{WT}	Δ	Wild-type (no loop 3 insertion)
KP36 _{WT+D}	Δ	Loop 3 Aspartate (D) insertion
KP36 _{WT+GD}	Δ	Loop 3 di-amino acid Glycine-Aspartate (GD) insertion
KP36 _{WT+TD}	Δ	Loop 3 di-amino acid Threonine-Aspartate (TD) insertion

689

691 **Table 2. Minimum inhibitory concentrations of different antibiotics for isogenic KP**
692 **strains.**

Strain	MIC (mg/L)								
	MER	MER/VAB	CAZ	CAZ/AVI	IMP	IMP/REL	AZT	AZT/AVI	FDC
KPΔ36	512	1	64	1	512	4	>1024	1	0.25
KP36 _{WT}	16	0.06	16	0.5	8	0.5	>1024	0.25	0.06
KP36 _{WT+D}	64	0.5	32	0.5	32	0.5	>1024	0.5	≤0.06
KP36 _{WT+GD}	64	0.25	64	1	32	0.5	>1024	0.5	≤0.06
KP36 _{WT+TD}	64	1	128	4	64	0.25	>1024	1	≤0.06

693
694 All strains express KPC-2 from a pKpQIL-like plasmid and contain a genomic *ompK35*
695 deletion.

696 MER = meropenem, MER/VAB = meropenem/vaborbactam, CAZ = ceftazidime, CAZ/AVI =
697 ceftazidime/avibactam, IMP = imipenem, IMP/REL = imipenem/relebactam, AZT = aztreonam,
698 AZT/AVI = aztreonam/avibactam, FDC = cefiderocol. Values in bold represent MICs in the
699 resistant range.

700 EUCAST breakpoints used are as follows: MER: S≤2; R≥8, MER/VAB: S≤8; R≥8, CAZ:
701 S≤1; R≥4, CAZ/AVI: S≤8; R≥8, AZT: S≤1; R≥4, AZT/AVI: S≤1; R≥4 (AZT
702 breakpoints used in the absence of a consensus for the combination), IMP: S≤2; R≥4,
703 IMP/REL: S≤2; R≥2, FDC: S≤2; R≥2.

704 **Figure legends**

705 **Figure 1. Diversity of loop 3 (L3) insertions among a collection of 16,086 public KP**
706 **genomes. A.** Proportion of genomes encoding an *ompK36* gene that is truncated or
707 possessing a L3 insertion (if intact). Bars are coloured by the proportion of genomes carrying
708 one or more carbapenemase genes. Only genomes with a single copy of *ompK36* that could
709 be unambiguously characterised were included ($n=15,193$). **B.** Proportion of L3 insertions
710 identified that comprise amino acid insertions of Glycine-Aspartate (GD), Threonine-Aspartate
711 (TD), Aspartate (D), Serine-Aspartate (SD) or others (N/GG/TYD/YGS). **C.** Distribution of
712 genomes possessing each L3 insertion type across major high-risk sequence types (ST).
713 ST258 and ST512 are grouped together as they form a single clone.

714 **Figure 2. L3 insertions reduce OmpK36 pore diameter and restrict the diffusion of**
715 **meropenem. A-D.** Cartoon illustrations of OmpK36 (grey) in which L3 is coloured for all the
716 variants; the view is from the extracellular space and perpendicular to the membrane (top
717 panels). Insertions in L3 reduce the relative pore radius as calculated by the program HOLE
718 (51); surface representation to show the impact of the mutations in the pore size (bottom
719 panels). **E-F.** Rate of diffusion ($\Delta OD_{400}/t(s)$) of meropenem (E) and glucose (F) as determined
720 by liposome swelling assays for different OmpK36 variants. **G.** Median meropenem MIC
721 values for strains encoding different *ompK36* variants ($n=3$ replicates).

722

723 **E-F:** error bars \pm SEM. * $p<0.332$; ** $p<0.0021$, *** $p<0.0002$, **** $p<0.00001$, statistical
724 significance determined by ordinary one-way ANOVA with Tukey's multiple comparison test.

725 **Figure 3. Multiple acquisitions of GD and other L3 insertions among the high-risk**
726 **ST258/512 clone. A.** Phylogenetic tree of 3629 isolates with public genome data belonging to
727 sequence types (ST) 258 and 512. The tree was rooted using an ST895 isolate (accession
728 SRR5385992) that was subsequently removed. Isolate tips are coloured by the type of
729 OmpK36 L3 insertion (if applicable). Metadata columns from left to right show whether the
730 *ompK35* and *ompK36* genes are intact (i.e., not truncated), the type of OmpK36 L3 insertion

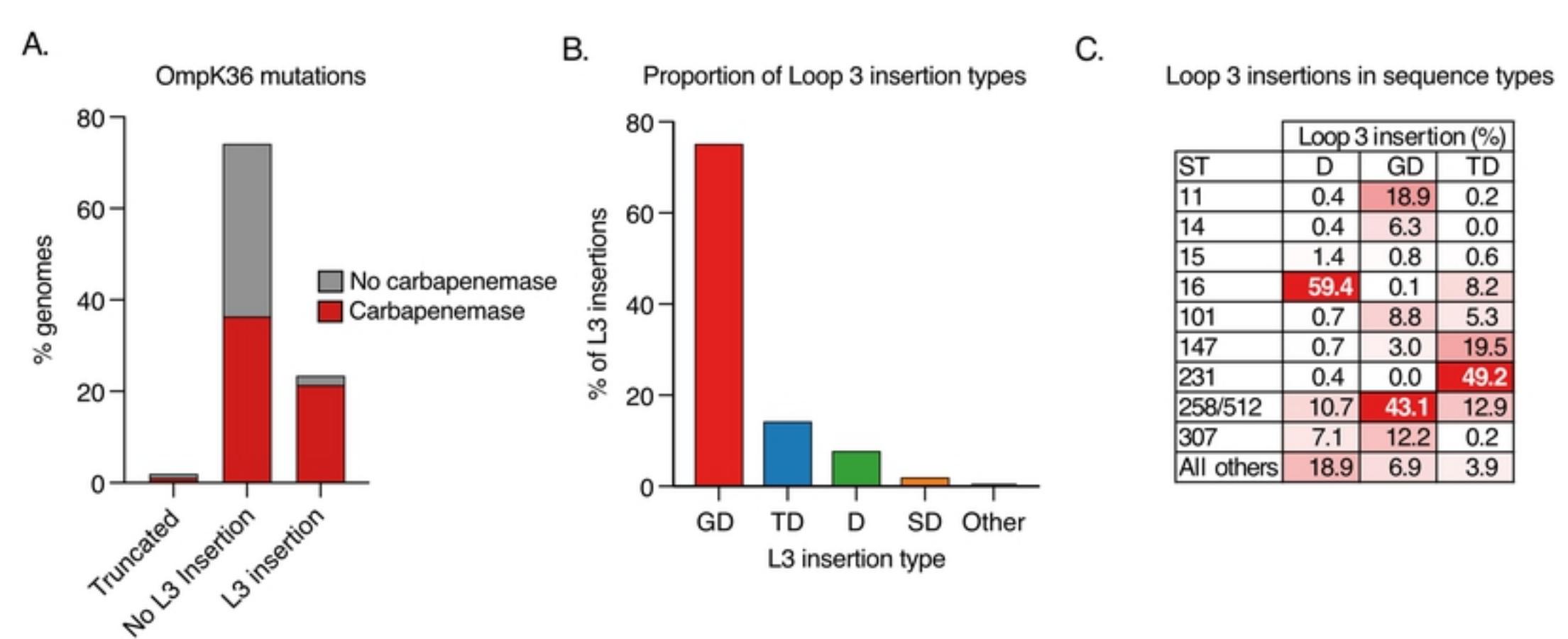
731 (if applicable), the carbapenemase gene type (if applicable) and the country of origin.
732 Carbapenemases and countries are shown only for those with ≥ 15 isolates. Carbapenemase
733 gene variants that have imperfect matches to known variants are grouped together with the
734 most closely-related known variant. A similar interactive visualisation with more detailed
735 metadata is available using Microreact at
736 <https://microreact.org/project/exB9brEAsQcpq7vKXMJtoF-k-pneumoniae-st258512> **B.** A
737 zoomed-in visualisation of the clade highlighted in (A). Scale bars show the number of SNPs.

738 **Figure 4. The D and TD insertions are associated with large clonal expansions in ST16**
739 **and ST231.** Phylogenetic trees of 446 and 302 isolates with public genome data belonging to
740 ST16 (**A**) and ST231 (**B**), respectively. The trees were rooted using an ST17 outgroup
741 (accession ERR1228220) and an ST101 outgroup (accession ERR1216956), respectively,
742 each of which were subsequently removed. Isolate tips are coloured by the type of OmpK36
743 L3 insertion (if applicable). Metadata columns from left to right show whether the *ompK35* and
744 *ompK36* genes are intact (i.e., not truncated), the type of OmpK36 L3 insertion (if applicable),
745 the carbapenemase gene type (if applicable) and the country of origin. Carbapenemases and
746 countries are shown only for those with ≥ 10 isolates. Carbapenemase gene variants that have
747 imperfect matches to known variants are grouped together with the most closely-related
748 known variant. Scale bars show the number of SNPs. Similar interactive visualisations with
749 more detailed metadata are available using Microreact at
750 <https://microreact.org/project/m8qd8j1YmfMapiPJ7prAEh-k-pneumoniae-st16> (ST16) and
751 <https://microreact.org/project/pZRm6DsxvZVYPQ2Ea33Buw-k-pneumoniae-st231> (ST231).

752 **Figure 5. OmpK36 L3 insertions maintain virulence but confer a competitive**
753 **disadvantage in a preclinical murine pneumonia model. A-H.** Pneumonia was induced by
754 the intratracheal administration of 250 CFU of isogenic KP strains expressing D (KP36_{WT+D}),
755 GD (KP36_{WT+GD}) and TD (KP36_{WT+TD}) OmpK36 L3 insertions. A strain lacking any L3 insertion
756 (KP36_{WT}) and PBS (uninfected) were used as controls. A schematic of the infection is outlined
757 in panel **A**. At 48 hours post infection significant weight loss was induced by infection with all

758 strains, irrespective of OmpK36 variant (**B**) and no significant differences were observed
759 between strains. No significant differences were observed in the lung (**C**) and blood (**D**)
760 bacterial burdens between infection with any strain. Serum TNF was only significantly
761 increased following infection with KP36_{WT} compared to uninfected (PBS) controls (**E**). Serum
762 IL-6 (**F**), CXCL-1 (**G**) and lung neutrophils (**H**) significantly increased following infection with
763 all strains compared to uninfected (PBS) controls, with no significant differences between
764 strains observed. **I-L**. Competition assays were employed to stringently assess the fitness of
765 OmpK36 L3 insertion mutations. A schematic of the infection is outlined in panel **I**. 250 CFU
766 of KP36_{WT} was competed against 250 CFU of KP36_{WT+D} (**J**), KP36_{WT+GD} (**K**) or KP36_{WT+TD} (**L**)
767 and bacterial burdens were assessed at 36 hours post infection in the lungs. Each graph
768 shows the % CFU recovered in the lungs in individual mice followed by a summary bar with
769 the mean competition result across infections. KP36_{WT} significantly outcompeted all the L3
770 insertions tested.

771 *, p < 0.05; **, p < 0.01; ***, p < 0.001; ****, p < 0.0001. All experiments were conducted in
772 biological duplicate with 4-5 mice per group. **B-H** Significance was determined by ordinary
773 one-way ANOVA followed by Tukey's multiple comparison post-test, except in **E** where
774 Kruskal-Wallis test was employed as data was not normally distributed. **J-L** Mean competition
775 was assessed by Mann-Whitney T-test.



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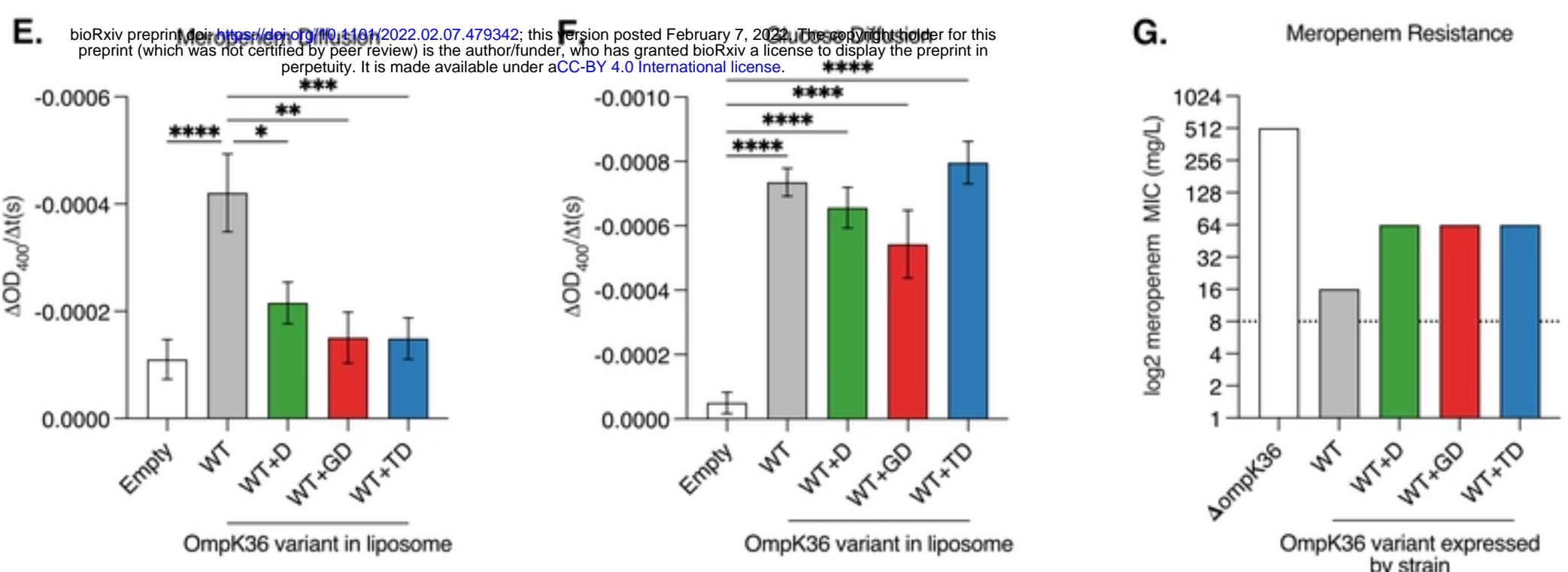
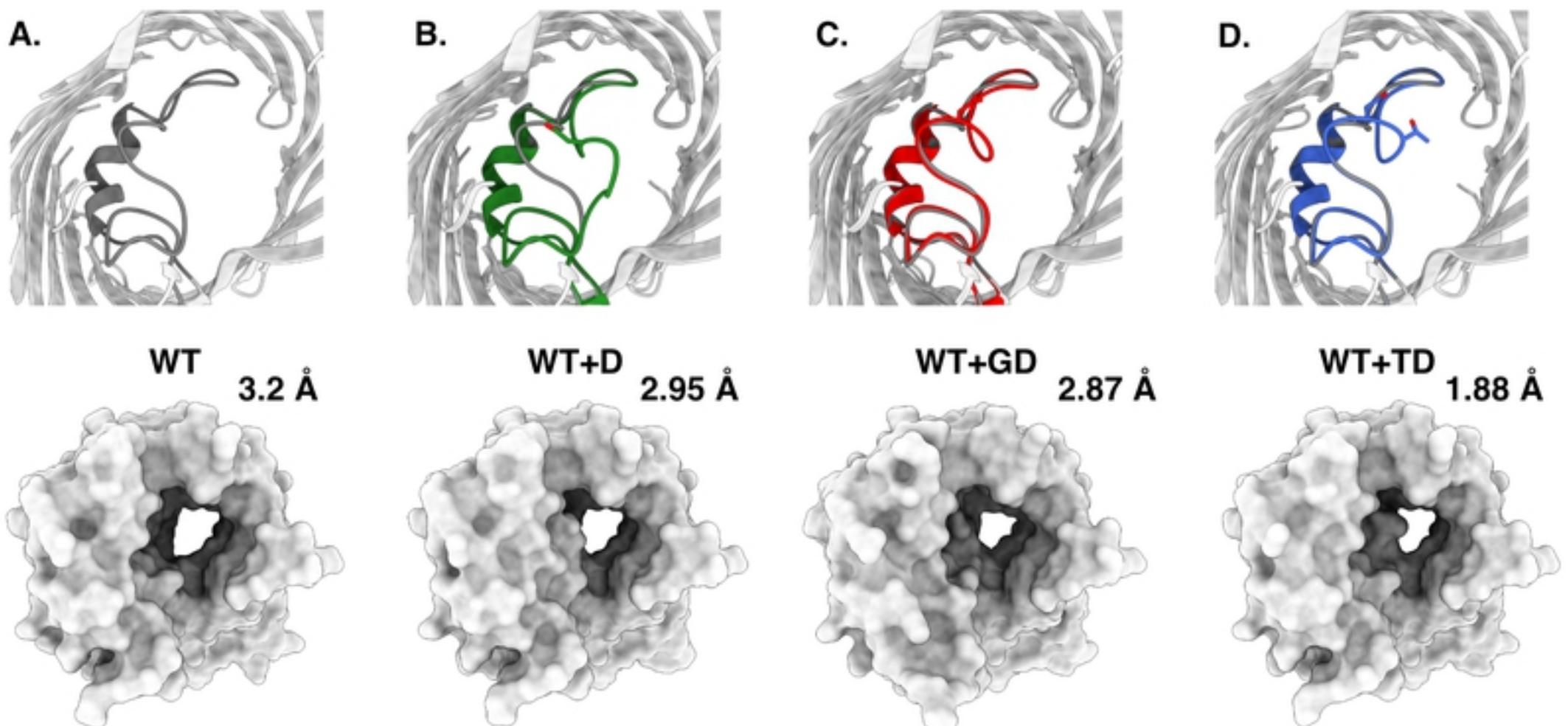
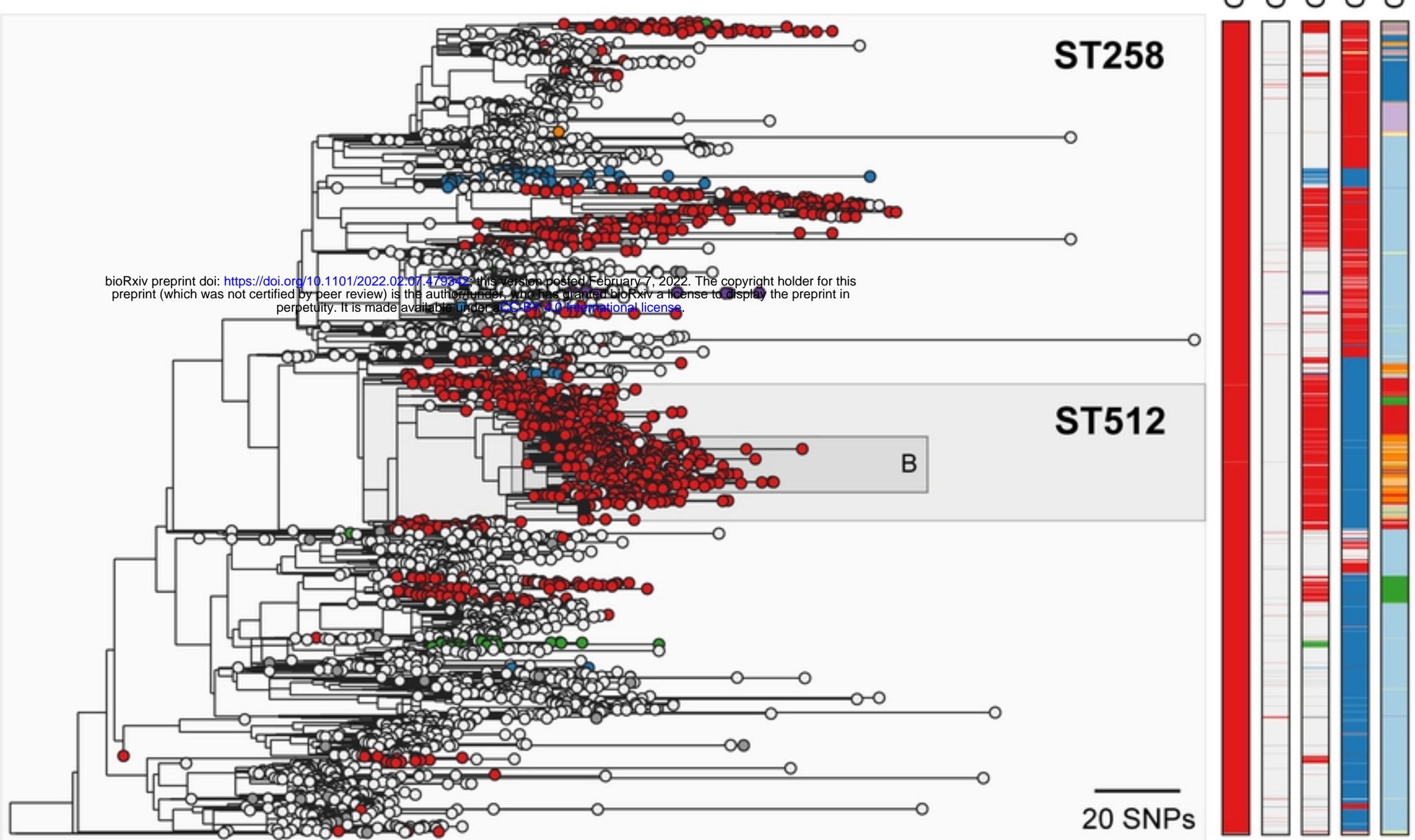


Fig. 2

A. ST258/512



B.

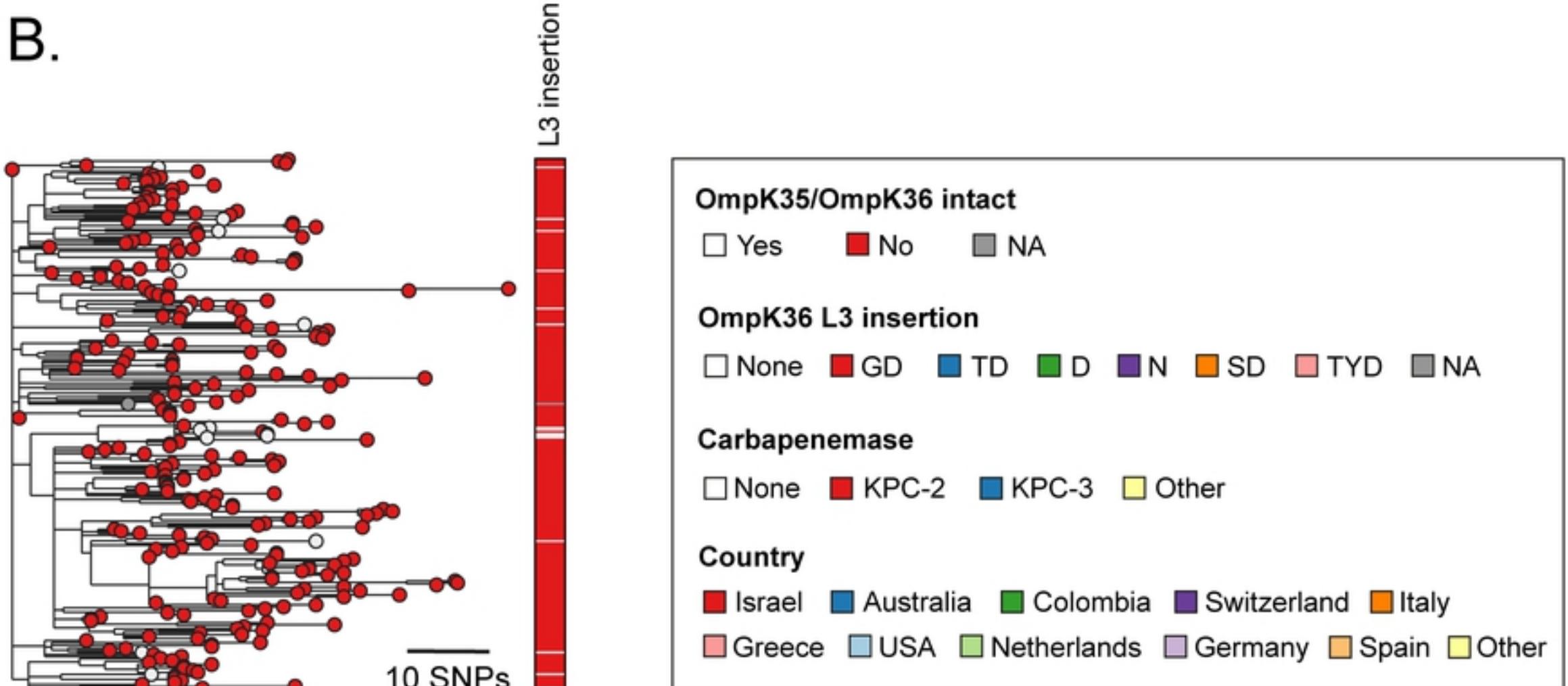
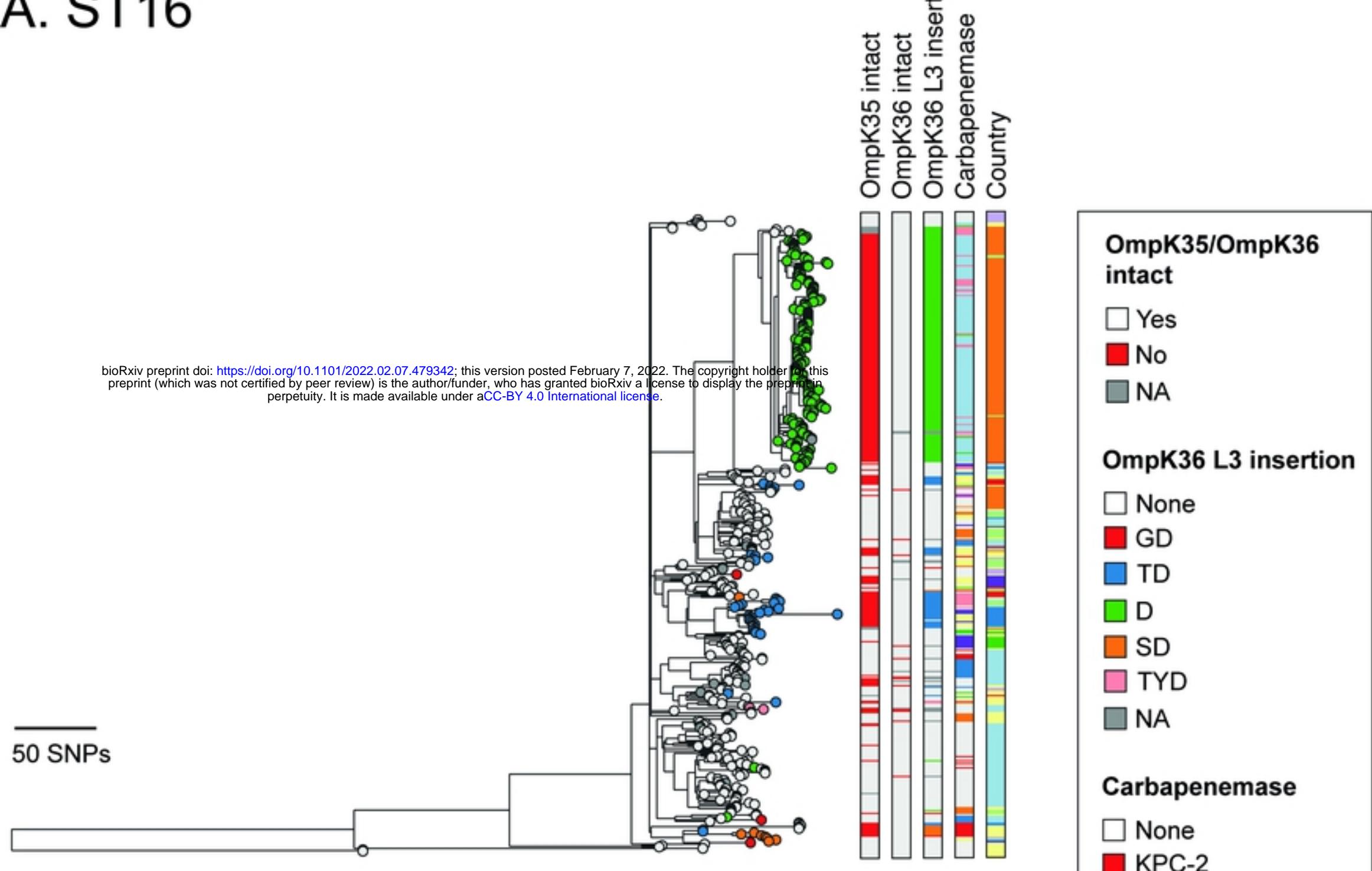


Fig. 3

A. ST16



B. ST231

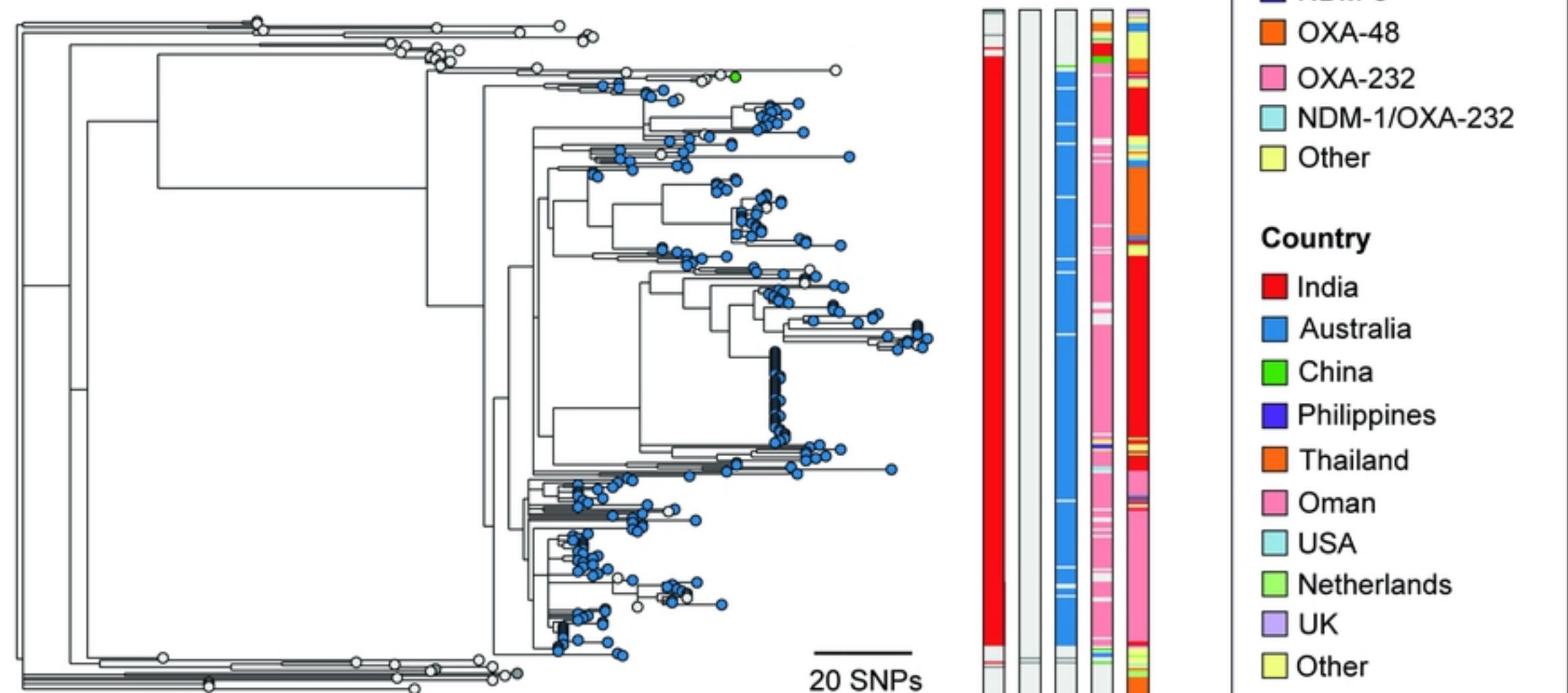


Fig. 4

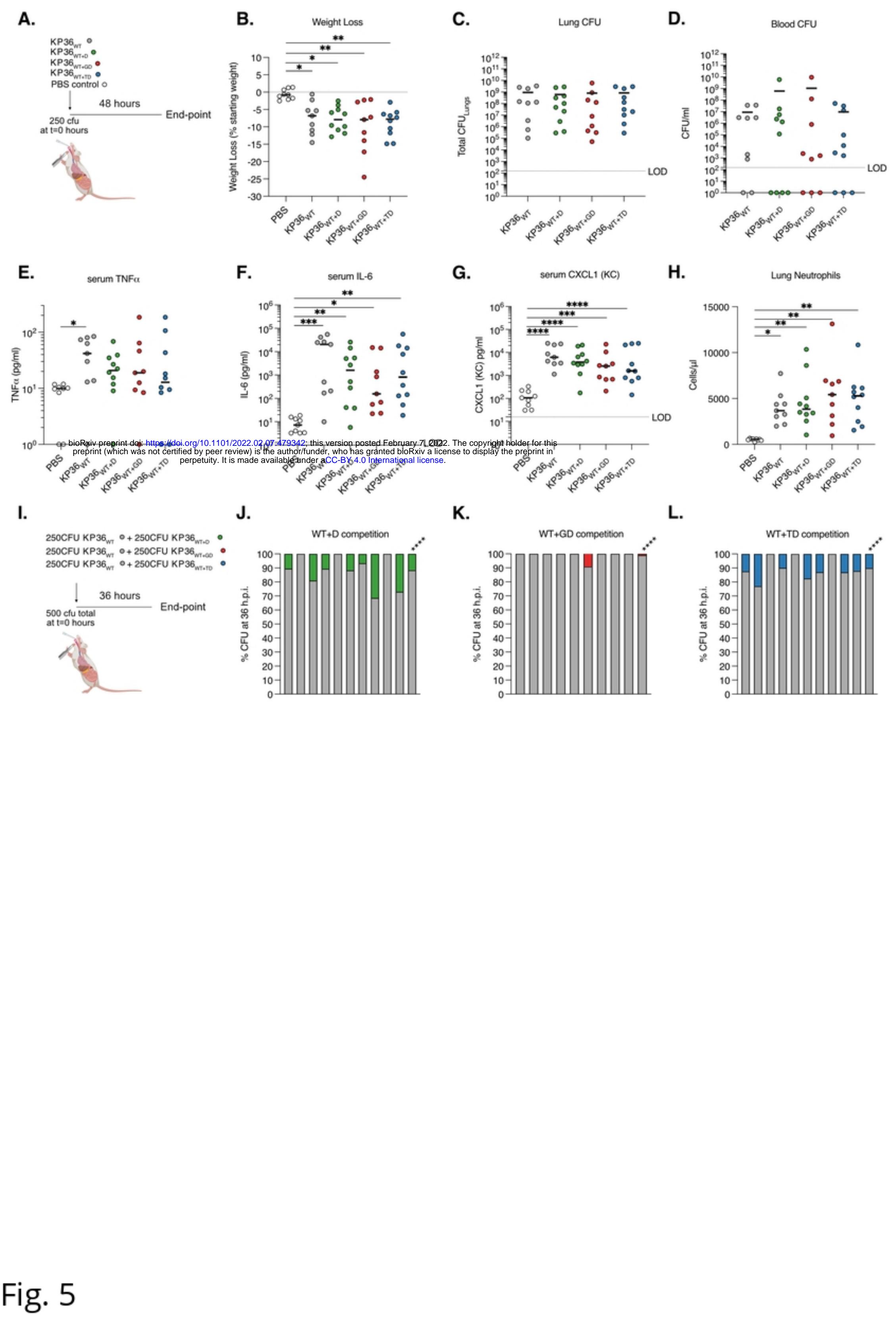


Fig. 5