

1 **Targeted nanocarriers coopting pulmonary leukocytes for drug delivery to the**
2 **injured brain**

3
4 Patrick M. Glassman^{1,*}, Jia Nong^{1,*}, Jacob W. Myerson¹, Viviana Zuluaga-Ramirez², Alba
5 Rodriguez-Garcia^{3,4}, Alvin Mukalel⁵, Serena Omo-Lamai⁶, Landis R. Walsh¹, Raisa Y. Kiseleva¹,
6 Carlos H. Villa¹, Colin F. Greineder¹, Scott E. Kasner⁷, Drew Weissman⁸, Michael J.
7 Mitchell^{5,9,10,11,12}, Silvia Muro^{13,14,15}, Yuri Persidsky^{2,16}, Jacob S. Brenner^{1,6}, Vladimir R.
8 Muzykantov^{1,#}, Oscar A. Marcos-Contreras^{1,7,*,#}

9
10 ¹Department of Systems Pharmacology and Translational Therapeutics, Perelman School of
11 Medicine, University of Pennsylvania, Philadelphia, PA, 19104, USA

12 ²Department of Pathology and Laboratory Medicine, Lewis Katz School of Medicine, Temple
13 University, Philadelphia, PA, 19140, USA

14 ³Department of Pathology and Laboratory Medicine, Ovarian Cancer Research Center,
15 Perelman School of Medicine, University of Pennsylvania, Philadelphia, PA, 19104, USA

16 ⁴Center for Cellular Immunotherapies, Abramson Cancer Center, Perelman School of Medicine,
17 University of Pennsylvania, Philadelphia, PA, 19104, USA

18 ⁵Department of Bioengineering, University of Pennsylvania, Philadelphia, PA, 19104, USA

19 ⁶Division of Pulmonary Allergy, and Critical Care, Department of Medicine, Perelman School of
20 Medicine, University of Pennsylvania, Philadelphia, PA, 19104, USA

21 ⁷Department of Neurology, Perelman School of Medicine, University of Pennsylvania,
22 Philadelphia, PA, 19104, USA

23 ⁸Division of Infectious Diseases, Perelman School of Medicine, University of Pennsylvania,
24 Philadelphia, PA 19104, USA

25 ⁹Abramson Cancer Center, Perelman School of Medicine, University of Pennsylvania,
26 Philadelphia, PA, 19104, USA

27 ¹⁰Institute for Immunology, Perelman School of Medicine, University of Pennsylvania,
28 Philadelphia, PA, 19104, USA

29 ¹¹Cardiovascular Institute, Perelman School of Medicine, University of Pennsylvania,
30 Philadelphia, PA, 19104, USA

31 ¹²Institute for Regenerative Medicine, Perelman School of Medicine, University of Pennsylvania,
32 Philadelphia, PA, 19104, USA

33 ¹³Institute for Bioengineering of Catalonia (IBEC), Barcelona, Spain

34 ¹⁴Institute of Catalonia for Research and Advanced Studies (ICREA), Barcelona, Spain

35 ¹⁵Institute for Bioscience and Biotechnology (IBBR), College Park, MD, USA

36 ¹⁶Center for Substance Abuse Research, Lewis Katz School of Medicine, Temple University,
37 Philadelphia, PA, 19140, USA

38 * Denotes equal contribution.

39 # Denotes corresponding author

40 To whom correspondence may be addressed. Email: oscarmar@pennmedicine.upenn.edu or
41 muzykant@pennmedicine.upenn.edu

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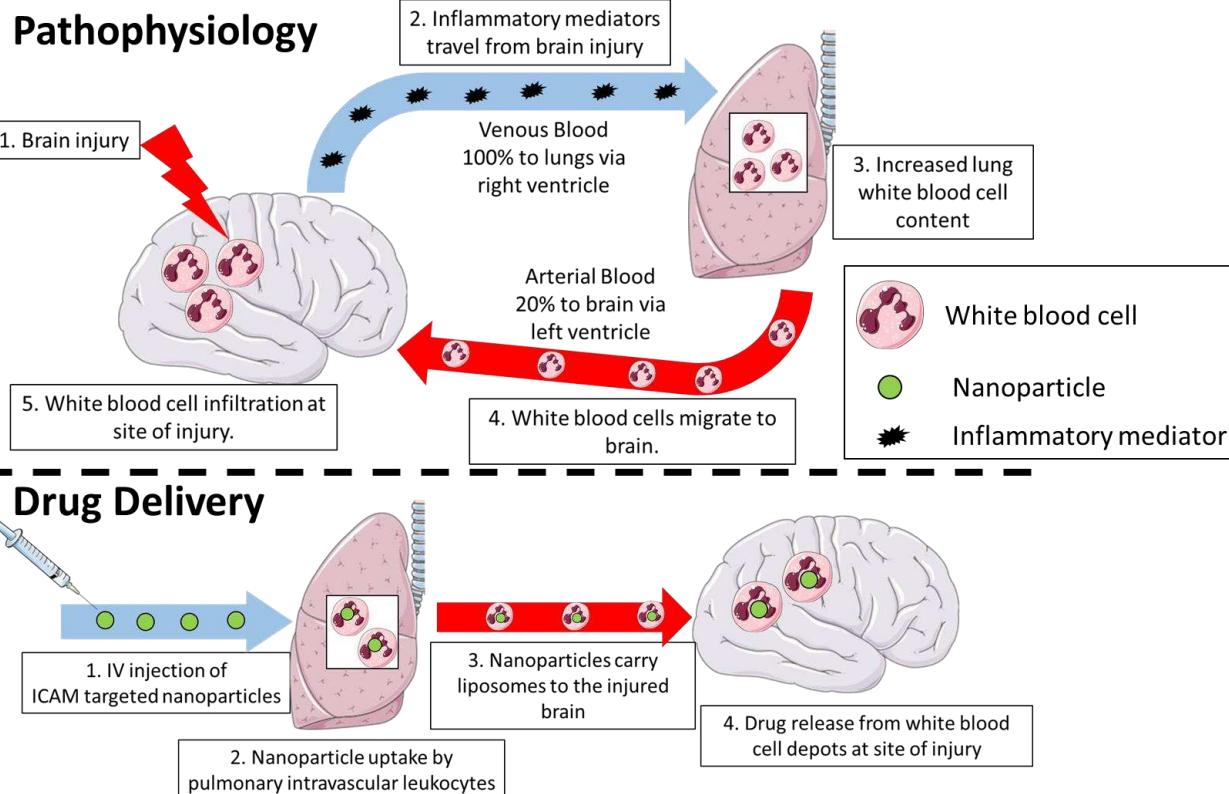
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47 **ABSTRACT**

48 Selective drug delivery to injured regions of the brain is an elusive, but biomedically important,
49 goal. It is tempting to co-opt migrating white blood cells (WBC) to carry drugs to the injured
50 brain, using natural WBC tropism. Current approaches to load cargoes to WBC have limited
51 utility, particularly in acute conditions, due to the need for time consuming *ex vivo* manipulation
52 and loading of cells. Physiological, *in vivo* loading of WBC may be advantageous in this
53 scenario. Here we devised such a strategy, capitalizing on the unique features of the direct
54 blood exchange between brain and lungs. Mediators emanating from the injured brain directly
55 travel to the pulmonary vasculature via venous flow. In response to these mediators, WBCs,
56 transiently residing in the pulmonary microvascular lumen, disembark and flow with arterial
57 blood to the brain microvasculature, where they adhere and transmigrate to the brain
58 parenchyma via the local chemoattractant gradient. We posited that direct *in vivo* targeting of
59 cargoes to the pulmonary WBC pool may provide drug transfer to brain via this natural
60 mechanism. To test this, we intravenously injected agents targeted to intercellular adhesion
61 molecule 1 (ICAM) in mice with acute brain inflammation caused by direct injection of tumor
62 necrosis factor alpha (TNF- α). We found that: A) At 2 hours, >20% of ICAM/NP accumulated in
63 lungs, predominantly in WBCs; B) At 6 and 22 hours, ICAM/NP pulmonary uptake markedly
64 decreased; C) In contrast, ICAM/NP uptake in brain increased ~5-fold in this time interval,
65 concomitantly with migration of WBCs to the brain. Cranial window fluorescent microscopy
66 confirmed WBC transport of ICAM/NP to the brain in TNF- α -challenged mice beyond the BBB.
67 Importantly, demonstrating the pharmacologic relevance of this strategy, dexamethasone-
68 loaded ICAM/liposomes abrogated brain edema in this model. In sum, coopting the natural
69 homing of WBC from the lungs via ICAM-targeting to injured brain is an attractive strategy for
70 precise interventions for treatment of acute brain injuries.

71
72 **VISUAL ABSTRACT**



74 **INTRODUCTION**

75
76 Targeted drug delivery to the brain promises breakthroughs in treatment of debilitating and
77 lethal pathologies, including stroke, traumatic brain injury, glioblastoma and other brain tumors,
78 meningitis, and neurodegenerative diseases^{1, 2}. Various carriers with distinct chemistry,
79 geometry, mechanical flexibility, and affinity have been devised to achieve this elusive goal^{3, 4, 5},
80^{6, 7}. One approach to enhance delivery employs targeting to and across the cerebral vasculature
81 using antibodies, peptides, and other ligands of molecules that are stably expressed on the
82 luminal surface of brain vessels. However, targeting to these molecules, including receptors for
83 transferrin, insulin, and growth factors does not provide selectivity for sites of injury and
84 inflammation^{8, 9}. In order to achieve enhanced specificity for injured regions of the brain,
85 targeting to inducible cell adhesion molecules (CAMs) expressed on endothelial cells, such as
86 vascular CAM (VCAM)⁹, has been tested and has shown improved delivery and pharmacologic
87 effects. Despite these inroads, direct, specific delivery to the parenchyma of the injured region
88 of the brain remains an elusive goal.

89
90 To achieve the formidable goal of delivering drugs to the brain parenchyma within injured areas
91 of the brain, it is tempting to utilize the natural homing of leukocytes to the pathologically altered
92 region of the brain^{10, 11, 12, 13}. This natural tropism is mediated by several processes including: A)
93 activation of endothelial cells and white blood cells (WBC) by inflammatory mediators, B)
94 increased exposure of adhesion molecules on both endothelial cells and WBC, C) disruption of
95 endothelial tight junctions^{14, 15}, and D) attraction of circulating leukocytes via a chemokine
96 gradient emanating from the site of injury¹⁶. The idea of using isolated, *ex vivo* loaded WBC is
97 attractive and several groups have reported therapeutic benefits of injecting drug-loaded WBCs
98 in animal models of neurological disorders^{17, 18, 19, 20, 21, 22}. Data on the fate of injected WBC
99 (clearance, distribution, and effects on the body) are largely unknown. This aspect of the brain
100 drug delivery requires systematic direct tracing of isotope-labeled agents²³. However, *a priori*,
101 this strategy is only permissive of loading a small number of cells and *ex vivo* manipulation may
102 lead to undesired activation or alteration of these cells, resulting in severe adverse effects^{24, 25, 26},
103²⁷. Additionally, *ex vivo* manipulation of cells is not suitable for acute, emergency conditions and
104 would require a specialized facility (e.g. as in CAR-T therapy) that is not likely to be found at
105 most hospitals. A more desirable approach would be to specifically load those WBC that are
106 predisposed to localize to the injured brain with drugs or drug carriers *in vivo*, bypassing the
107 need for any *ex vivo* manipulation. It has been reported that ICAM is expressed on the surface
108 of many WBCs, including monocytes and neutrophils²⁸. Following inflammatory stimuli, the
109 surface expression of ICAM on immune cells is significantly upregulated^{29, 30, 31, 32}, providing
110 selectivity for delivery to activated WBCs by targeting to ICAM.

111
112 We postulate the ideal cells for this role are pulmonary intravascular leukocytes. The pulmonary
113 circulation hosts the largest and most dynamic pool of intravascular WBC that are poised to
114 quickly respond to local and remote signals from damaged tissues^{33, 34}. There are no
115 intervening capillary beds between the directly interconnected cerebral and pulmonary
116 vasculatures. Hence, the constituent cells of the pulmonary vasculature (endothelial, pulmonary
117 intravascular leukocytes) is the first set of extra-cerebral cells receiving signals from
118 inflammatory mediators emanating from brain injuries (e.g. cytokines, exosomes, damage-
119 associated molecular patterns). In fact, distal injuries often induce a secondary pulmonary
120 pathology^{35, 36, 37, 38}, which results in an increase in and hyperactivation of the pulmonary WBC
121 pool. There are several reports that host defense cells responding to chronic neurological
122 disorders mature in the lungs prior to trafficking to the injured brain^{39, 40, 41}. These
123 considerations imply that the dynamic pool of pulmonary WBCs are ideally positioned to shuttle

124 drugs directly from the lungs to sites of brain pathology. However, strategies for controllable,
125 specific, effective, and safe loading of drugs into intravascular WBCs have not been reported.
126

127 In the current study, we characterized the dynamic localization of leukocytes and ICAM-targeted
128 pharmacological agents in the blood, lungs, and brain in a murine model of acute neurovascular
129 inflammation induced by direct injection of tumor necrosis factor alpha (TNF- α) into the brain
130 parenchyma. Our data presented below indicate that in the lungs, the number of WBC, the
131 uptake of ICAM-targeted agents, and their fraction taken by intravascular WBC rapidly
132 increased to the peak at 2 hours, followed by profound decline by 24 h. In the brain, in contrast,
133 these cells gradually accumulated and increased ~5-fold 24 hours after TNF- α injury. Intravital
134 fluorescent microscopy showed that in mice challenged with cranial injection of TNF, the
135 migrating ICAM/NP-carrying WBCs accumulated in the brain parenchyma.
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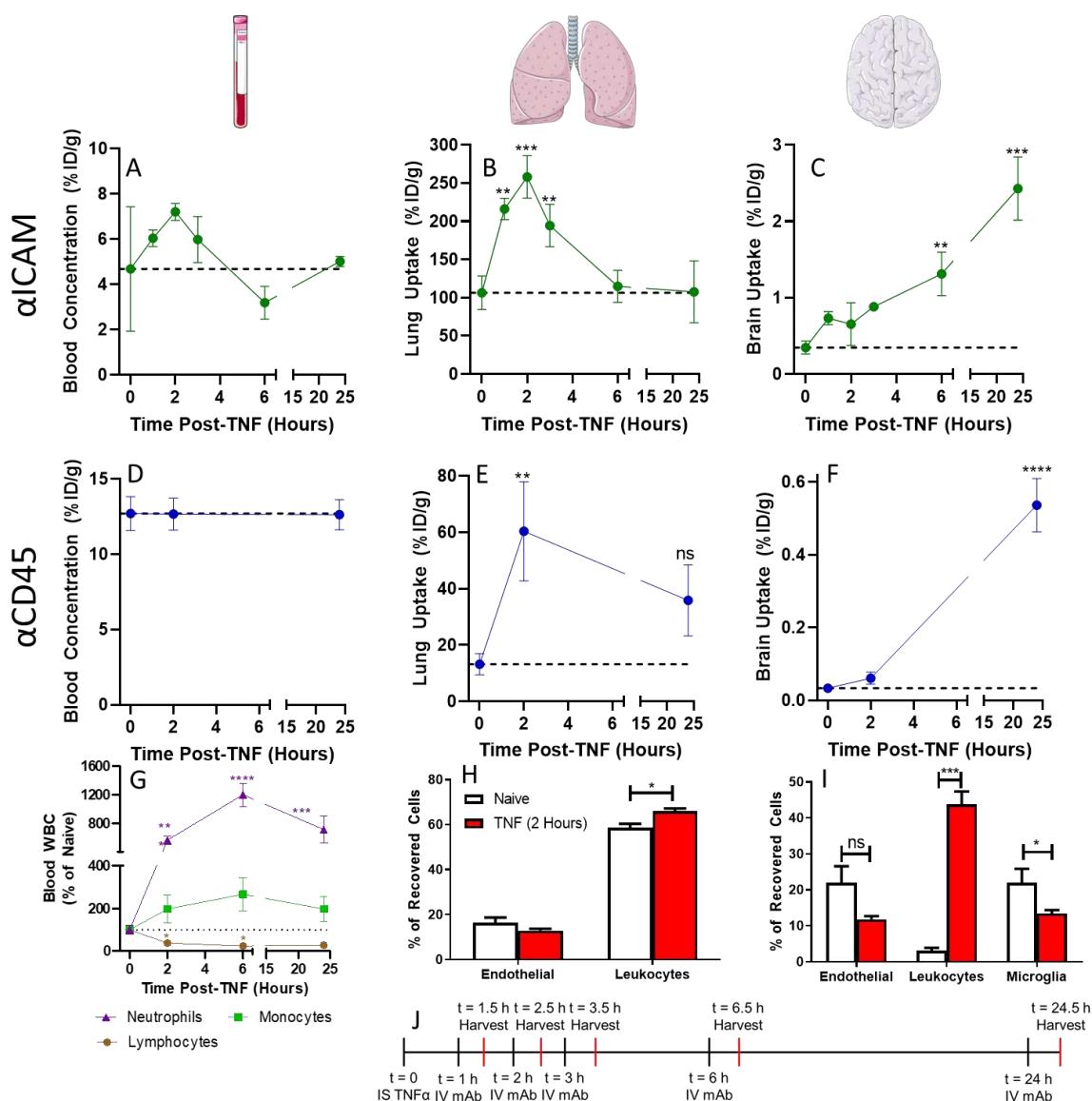
137 Leukocyte-mediated delivery to the brain parenchyma originating from ICAM-targeted lung
138 uptake was demonstrated in the present study for monoclonal antibodies (mAb) and for three
139 types of nanoparticles: polystyrene nanoparticles, liposomes, and lipid nanoparticles (LNP). IV
140 injection of ICAM-targeted liposomes loaded with dexamethasone completely abrogated brain
141 edema induced by TNF- α . These results indicate: A) Direct, *in vivo* leukocyte loading: after IV
142 injection in mice with acute neurovascular inflammation, ICAM-targeted nanoparticles rapidly
143 bind to pulmonary leukocytes *in vivo*; B) Natural leukocyte trafficking: these loaded leukocytes
144 traffic to the inflamed region of the brain; and C) Leukocyte-mediated drug delivery to the
145 parenchyma: this approach enables shuttling of nanoparticles to the site of brain injury,
146 ultimately resulting in therapeutic efficacy. Overall, direct, *in vivo* targeting of the pulmonary
147 WBC pool shortly after brain injury provides a mechanism to harness this dynamic pool of cells
148 for selective drug delivery to the brain.
149

150 RESULTS

151
152 *A systemic response to acute neurovascular inflammation in mouse model of intracranial TNF- α*
153 *injection*: In order to assess changes in accessible ICAM following brain injection of TNF- α , the
154 tissue uptake of anti-ICAM (α ICAM) mAb was investigated at several time points post injury.
155 The direct quantitative measurements using isotope-labeled agents showed that: A) there was
156 no significant differences in blood concentrations at different time points (**Figure 1a**,
157 **Supplemental Table 1**); B) lung uptake reached a peak 2 hours post-injury and declined to
158 baseline levels by 6 hours post-injury, suggesting a transient increase in ICAM levels in lungs
159 post-brain injury (**Figure 1b**); C) brain uptake increased progressively with time after TNF- α
160 insult, with α ICAM brain delivery increasing 7-fold over naïve levels at 24 hours after injury
161 (**Figure 1c**). Similar experiments were carried out for α CD45, which behaved with identical
162 dynamics as α ICAM, with a 4.6-fold increase in lung delivery 2 hours post-injury and a 16-fold
163 increase in brain delivery 24 hours after injury. (**Figure 1d, e, f**).
164

165 Experiments were performed to evaluate dynamics of immune cells in blood, lungs, and brain
166 following brain injury. Complete blood counts (CBC) revealed that the TNF- α injury affected
167 circulating immune cells in several ways: A) transient increases in circulating neutrophils and
168 monocytes, peaking 6 hours post-TNF- α ; and B) a transient decrease in circulating
169 lymphocytes, reaching a nadir at the same time point (**Figure 1g**). Flow cytometry evaluated
170 cell type distributions in the lungs 2 hours after TNF and in the brain 24 hours after TNF, i.e., at
171 the post-injury time points with the maximal level of α ICAM uptake in the two corresponding
172 organs. This analysis revealed that comparing with basal levels measured in naïve mice, at 2
173 hours post-injury, there was a significant increase in lung leukocytes (**Figure 1h**). 24 hours
174 post-injury, there was a 14-fold increase in the relative recovery of brain leukocytes (**Figure 1i**).
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Figure 1. Local injection of TNF- α in the brain induces a systemic response. Following IV injection of αICAM, A) blood, B) lung, and C) brain targeting was assessed at several time points post-TNF. Similar studies were performed for αCD45 biodistribution in D) blood, E) lungs, and F) brain. Data represented as percent of injected dose per gram tissue (%ID/g). G) Complete blood counts were used to measure dynamic changes of white blood cells in circulation following TNF- α . Flow cytometry of single cell suspensions obtained from H) lungs 2 hours post-TNF- α and I) brain 24 hours post-TNF- α . Endothelial cells: CD31 $^+$ CD45 $^-$, Leukocytes: CD45 $^+$, Microglia: CD45 $^{\text{mid}}$. J) Timeline of biodistribution experiments. Data represented as mean \pm SEM. Dashed lines represent levels in naïve mice. Comparisons in A-G made by 1-way ANOVA with Dunnett's post-hoc test vs. naïve mice and comparisons in H-I made by unpaired t-test. N=3/group.

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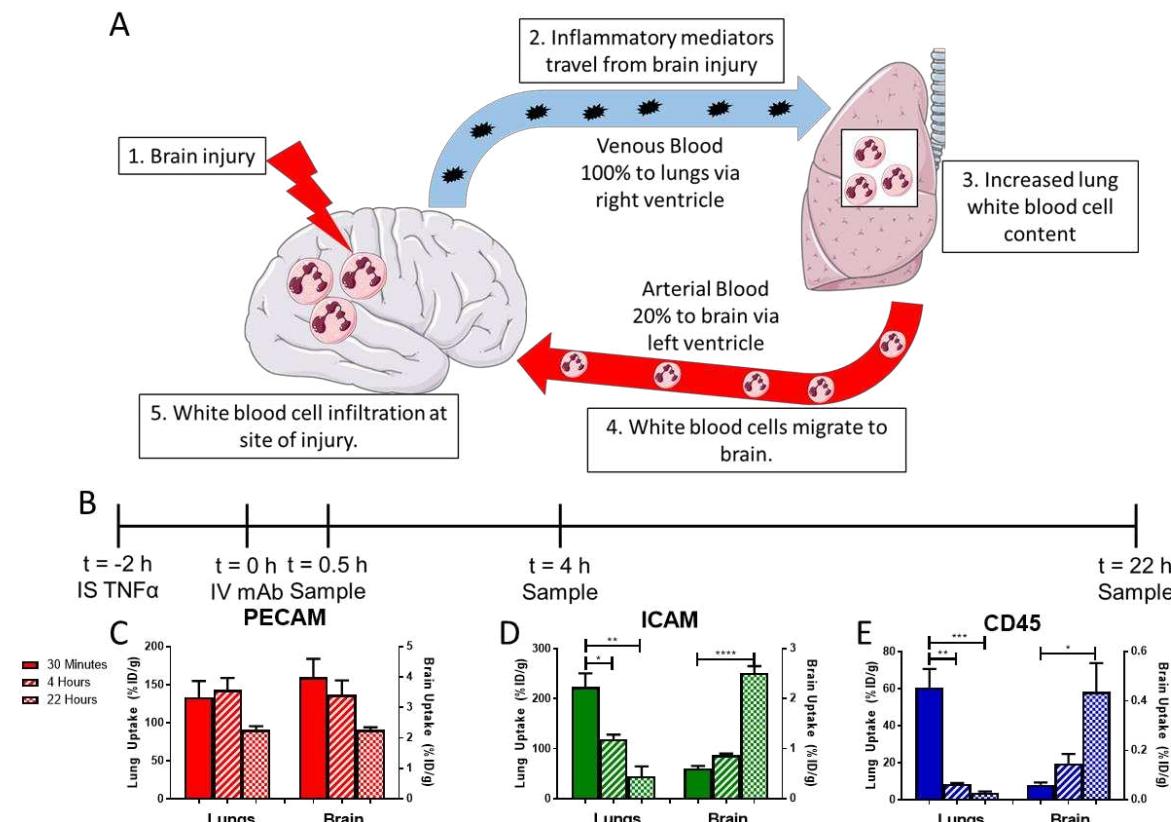
These results are consistent with the following hypothetical spatiotemporal characteristics of the bi-directional vascular transport between the brain and lungs, illustrated in figure 2a. Pro-inflammatory mediators emanating from the site of brain injury are transported by blood pumped via the right heart chambers directly to the lungs collecting 100% of the venous blood ejected by

193 the right ventricle. The mediators activate endothelial and white blood cells in the pulmonary
194 vasculature, and ensuing interaction of these cellular constituencies further attract and activate
195 circulating WBC to the lung microvasculature, serving as a transient “training base”, from which
196 primed WBCs get transferred to the target organ passively with arterial blood flow (note: brain
197 takes disproportionately high 15-20% of the cardiac arterial blood output), adhere to
198 pathologically activated cerebral endothelial cells and transmigrate to the injured parenchyma.
199 Furthermore, our results indicate that targeting to ICAM enables loading to the pulmonary
200 WBCs permitting the subsequent trip to the brain just described above.

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202
203 *ICAM-targeted monoclonal antibodies (mAbs) migrate to the brain:* Encouraged by the
204 identification of a lung-brain axis following brain injury (**Figure 2a**), we performed studies to
205 appraise the utility of this novel drug delivery paradigm. Here, we injected isotope-labeled
206 affinity ligands including α ICAM into mice 2 hours post-TNF- α injury to evaluate the role of
207 target epitope/cell type on pharmacokinetics and biodistribution (**Figure 2b**).
208

209 α PECAM behaved as expected for ligands of epitopes constitutively and stably on the surface
210 of endothelial cells showing: A) specific (vs. IgG, see below) uptake in most organs at early time
211 points; B) decreasing tissue concentrations over time (**Figure 2c, Supplemental Table 2**); and,
212 C) more rapid blood clearance vs. control IgG (**Supplemental Figure 1**). In part due to more
213 prolonged circulation time, control IgG slowly accumulated in the brain due enhanced vascular
214 permeability, which has been previously reported in this model⁹ (**Supplemental Table 2,**
215 **Supplemental Figure 2**).
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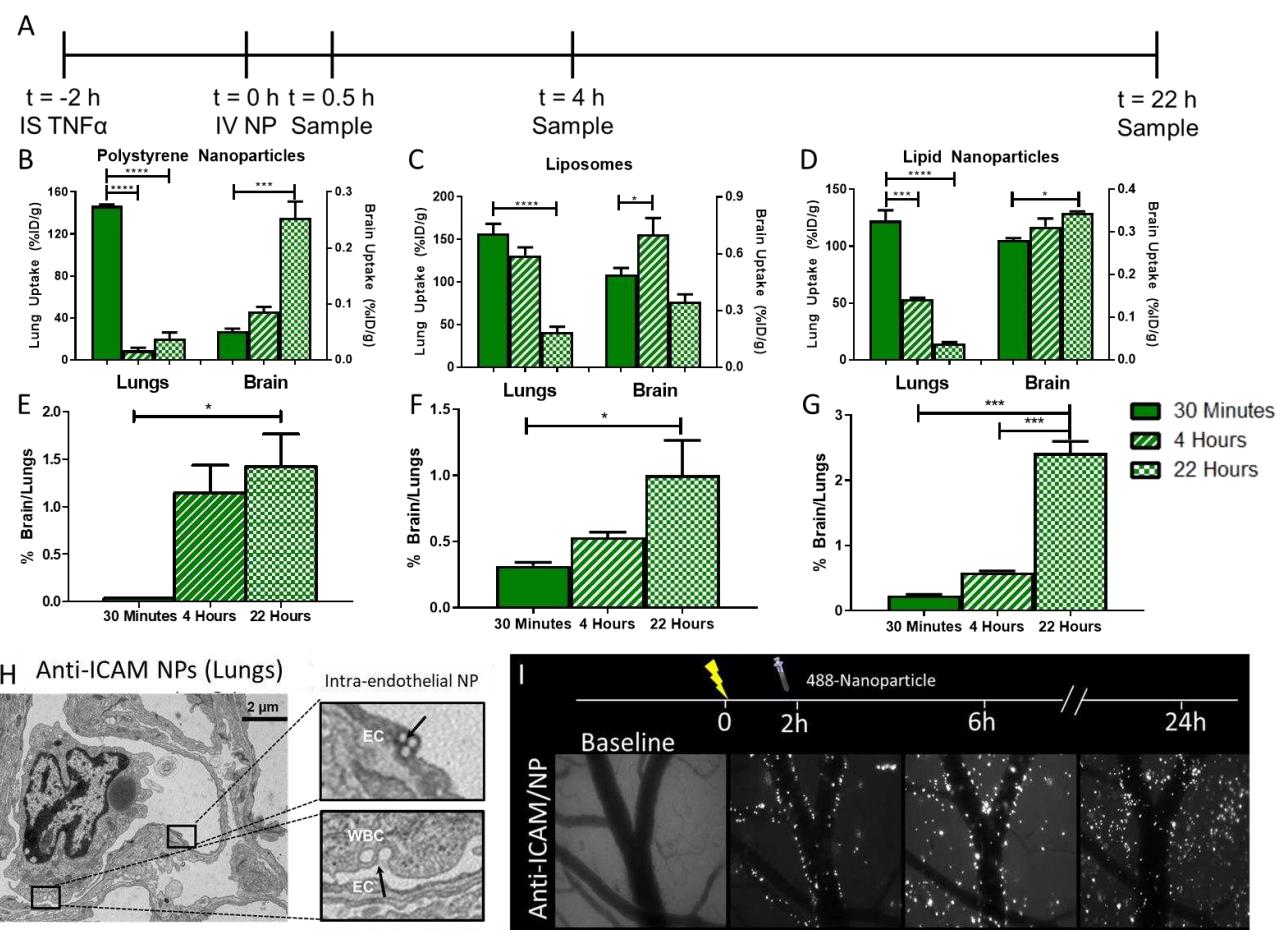
217 The PK/BD of α ICAM was more complex and rather unanticipated in some aspects. Over time,
218 lung concentrations of α ICAM decreased with a simultaneous increase in brain uptake α ICAM
219 (**Figure 2d, Supplemental Table 2**). The distribution pattern of α CD45 was similar to that of
220 α ICAM, with specific accumulation in lungs at early time points, followed by lung clearance and
221 slow delivery to the brain (**Figure 2e, Supplemental Table 2**). Because CD45 is a pan-
222 leukocyte marker, its accumulation can be attributed to an influx of mAb-tagged leukocytes at
223 the injury in the brain. There was a significant correlation between clearance from the lung and
224 changes in brain uptake with time (**Supplemental Figure 3**). It was hypothesized that this
225 unexpected distribution pattern of α ICAM was due to initial delivery of α ICAM to activated
226 leukocytes in the pulmonary vasculature followed by migration of leukocytes to the injured brain.
227



228
229 **Figure 2.** aICAM and aCD45 mAbs accumulate in the lungs, then migrate to the brain. A)
230 Schematic of proposed mechanism underlying leukocyte migration. B) PK study timeline. Lung
231 and brain pharmacokinetics of mAbs directed against: C) PECAM, D) ICAM, and E) CD45
232 following IV injection 2 hours post-TNF- α injury. Time points reflect the time post-mAb injection
233 when organs were harvested. Data represented as mean \pm SEM. Comparisons made by 1-way
234 ANOVA with Dunnett's post-hoc test vs. 30 minutes. N=3/group.

235
236 *Diversification of ICAM-directed loading of nanoparticles to lung WBC for subsequent delivery to*
237 *the brain:* For this purpose, we compared three different types of ICAM-targeted nanoparticles:
238 polystyrene nanoparticles, liposomes, and LNP (Figure 3a). ICAM-targeted nanoparticles were
239 largely cleared from the blood within 30 minutes; however, there was a rebound in blood
240 concentrations over the next several hours for ICAM-targeted nanoparticles, potentially
241 reflecting redistribution of leukocytes carrying nanoparticles into blood (Supplemental Figure
242 4). Similar to aICAM mAb, ICAM-targeted nanoparticles were largely taken up in the lungs
243 within 30 minutes of injection (polystyrene nanoparticles: 147 ± 1 %ID/g, liposome: 174 ± 6
244 %ID/g, LNP: 123 ± 9 %ID/g), followed by clearance from the lungs over several hours (Figure
245 3b, c, d, Supplemental Tables 3, 4, 5). Both polystyrene nanoparticles and LNP displayed
246 monotonic increases in brain concentrations with time after injection, while liposomes had a
247 transient increase in brain uptake (Figure 3b, c, d, Supplemental Table 4). To evaluate the
248 interplay between lung clearance and brain uptake of nanoparticles, lung/brain ratios were
249 calculated at time points post-dose. All three particles displayed a steady increase in this ratio
250 with time, reflecting the opposite trends in tissue targeting kinetics (Figure 3e, f, g,
251 Supplemental Tables 3, 4, 5). On the contrary, untargeted control IgG nanoparticles did not
252 display significant accumulation in either lungs or brain (concentrations > 10 -fold lower than
253 ICAM-targeted) (Supplemental Figure 5, Supplemental Tables 3, 4, 5).

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Figure 3: ICAM-targeted nanoparticles accumulate in the inflamed brain. **A**) Study timeline. Pharmacokinetics of **B**) polystyrene nanoparticles, **C**) liposomes, and **D**) lipid nanoparticles in lungs and brain following injection. Kinetic changes in the ratio of nanoparticles in brain vs. lungs for **E**) polystyrene nanoparticles, **F**) liposomes, and **G**) lipid nanoparticles. **H**) Transmission electron microscopy of ICAM-targeted polystyrene nanoparticles in lung endothelium and leukocytes 30 minutes post-injection. **I**) Cranial window intravital microscopy of ICAM-targeted polystyrene nanoparticles in TNF- α injured brain. Data represented as mean \pm SEM. Comparisons in **B**, **C**, **D** were made by 1-way ANOVA with Dunnett's post-hoc test vs. 30 minutes and those in **E**, **F**, **G** were made by 1-way ANOVA with Tukey's post-hoc test. $N \geq 3/group$.

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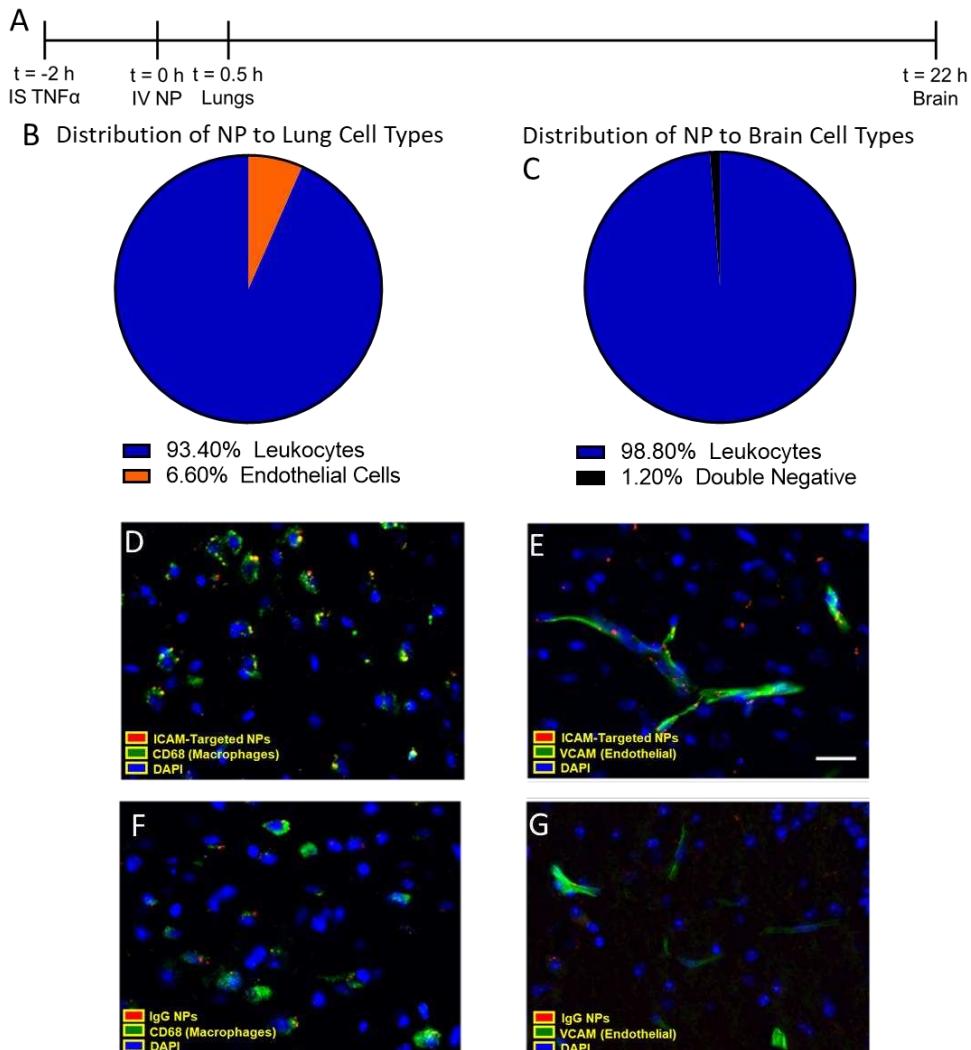
Additional studies focused on visualizing the delivery mechanisms of ICAM-targeted nanoparticles in both lungs and brain. Transmission electron microscopy (TEM) demonstrated ICAM-targeted polystyrene nanoparticle localization to both endothelial cells and leukocytes in the lungs 30 minutes after IV injection (**Figure 3h**). Cranial window intravital microscopy (**Figure 3i**) showed; A) ICAM-targeted polystyrene nanoparticles were associated with the walls of inflamed brain blood vessels immediately following IV injection; B) consistent with radiotracing experiments, the number of nanoparticles in the cranial window increased over time after

277 injection; C) 4 hours after injection, nanoparticles appeared in clusters and some beads were
278 detected in the parenchyma; D) 22 hours after injection, nanoparticle fluorescence was no
279 longer confined to large vessel walls and had spread into the parenchyma, suggesting that
280 ICAM-targeted nanoparticles access a mechanism to cross the blood-brain barrier. Similar data
281 were obtained for ICAM-targeted liposomes using cranial window intravital microscopy, with
282 liposome fluorescence lining the vessel walls immediately post-injection and gradually
283 accumulating in the brain parenchyma over 22 hours (**Supplemental Figure 6**). The
284 fluorescent signal for liposomes was more diffuse than that for polystyrene nanoparticles,
285 possibly reflecting differences in particle stability following internalization.
286

287 *ICAM targeted nanoparticles are predominantly delivered to leukocytes:* Single cell suspensions
288 were prepared from lungs 30 minutes after injection of ICAM-targeted nanoparticles (2 hours
289 post TNF- α injury) (**Figure 4a**). Flow cytometry analysis showed that nearly all nanoparticle-
290 positive cells in the lungs were leukocytes (CD45 $^{+}$) ($93.4 \pm 1.4\%$ of recovered cells), with the
291 remaining NC-positive cells being identified as endothelial cells (CD31 $^{+}$) (**Figure 4b**).
292

293 Having identified leukocytes as the primary target cells for ICAM-targeted nanoparticles in the
294 lungs of TNF- α -challenged mice, we tested the hypothesis that these mobile leukocytes deliver
295 α ICAM/nanoparticles to the inflamed brain 22 hours after nanoparticle injection (24 hours post-
296 injury). In single cell suspensions prepared from the brain, essentially all nanoparticle-positive
297 cells were leukocytes ($98.7 \pm 0.2\%$ of recovered cells) (**Figure 4c**). Flow cytometry showed
298 polystyrene nanoparticle uptake in the brain for pristine and non-specific IgG-coated polystyrene
299 nanoparticles, agreeing with biodistribution data (**Supplemental Figure 7**). A sub-typing of cells
300 in the brain revealed that the majority of nanoparticle-positive leukocytes in the brain were
301 monocytes/macrophages ($73.0 \pm 9.7\%$), with the bulk of the remainder being neutrophils ($24.5 \pm$
302 9.9%) (**Supplemental Figure 8 and 9**). A large fraction of monocytes/macrophages were
303 nanoparticle-positive ($40.5 \pm 3.6\%$). Among other leukocytes, $27.4 \pm 6.6\%$ of neutrophils and
304 $25.2 \pm 1.2\%$ of other myeloid cells were nanoparticle-positive. Minimal ICAM-targeted
305 nanoparticle uptake in microglia and T-cells (**Supplemental Figure 9b**).
306

307 Brain histology confirmed nanoparticle association with macrophages (CD68-stained) (**Figure**
308 **4d, Supplemental Figure 10a**) and endothelial cells (VCAM-stained) (**Figure 4e,**
309 **Supplemental Figure 10b**). Histology indicated greater uptake of ICAM-targeted nanoparticles
310 vs. IgG-coated nanoparticles, both in the vasculature and in the brain parenchyma (**Figure 4f,**
311 **g, Supplemental Figure 10a, b**). Parenchymal nanoparticle fluorescence was largely co-
312 localized with macrophages, consistent with flow cytometry results.



313
314 **Figure 4:** Cellular specificity of ICAM-targeted polystyrene nanoparticles. A) Flow cytometry
315 was performed on single cell suspensions obtained from lungs and brain at the designated
316 times post-nanoparticle injection. The fraction of nanoparticles recovered in B) lungs and C)
317 brain that were associated with specific cell types. Leukocytes: CD45 $^{+}$, Endothelium:
318 CD31 $^{+}$ CD45 $^{-}$. Histology of brain tissue sections collected 22 hours post-injection of polystyrene
319 nanoparticles in TNF- α challenged mice. Nanoparticle association with macrophages (CD68 $^{+}$)
320 and endothelial cells (VCAM $^{+}$) was measured for D, E) ICAM-targeted and F, G) IgG
321 nanoparticles. Scale bar: 50 μ m. Data represented as mean \pm SEM. N = 3/group.

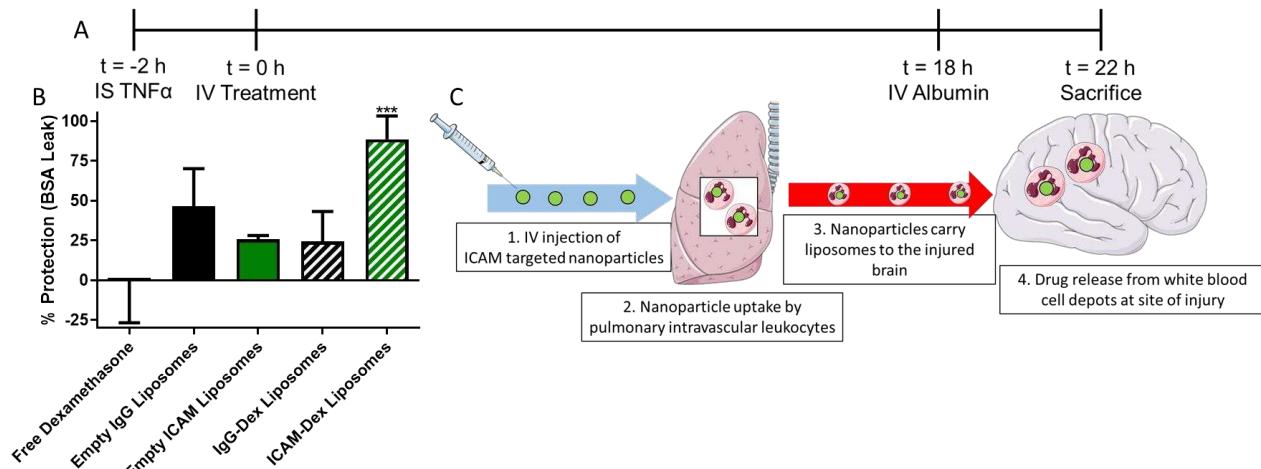
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324 *Drug loaded ICAM-targeted liposomes reduce brain edema:* Brain injection of TNF- α leads to
325 reproducible brain edema, as assessed by measuring extravasation of radiolabeled albumin.
326 Liposomes were loaded with dexamethasone (**Supplemental Table 6 and Supplemental**
327 **Figure 11**) and free dexamethasone, dexamethasone-loaded IgG liposomes, and
328 dexamethasone-loaded ICAM-targeted liposomes were assessed for effects on brain edema
329 (**Figure 5a, Supplemental Figure 12**). No significant effects were detected for IV injection of
330 0.5 mg/kg free dexamethasone ($-0.531 \pm 26.3\%$ protection) or dexamethasone-loaded IgG
331 liposomes with equivalent drug dose ($24.3 \pm 18.9\%$ protection). Dexamethasone-loaded ICAM-
332 targeted liposomes provided near complete protection from edema ($88.5 \pm 14.6\%$ protection)

333 (Figure 5b). Precluding effects of the liposomes themselves, neither empty IgG liposomes
334 (40.7 ± 23.5% protection) nor ICAM-targeted liposomes (-4.14 ± 29.81% protection) provided
335 significant protection against edema (Figure 5b).

336
337 Complete blood counts were performed to assess the impact of dexamethasone loaded into
338 ICAM-targeted liposomes and other formulations on blood cells (Supplemental Figure 13).
339 ICAM-targeted dexamethasone liposomes led to a reduction in lymphocytes, consistent with the
340 known mechanism of action of the drug, but no other blood cell parameters were affected by
341 treatment, indicating that the therapeutic effect of ICAM-targeted liposomal dexamethasone
342 represents localized action in the brain rather than a systemic effect.

343



344
345 **Figure 5.** ICAM-targeted dexamethasone (Dex) liposomes protect mice from TNF-induced brain
346 edema. A) Experimental timeline. B) Protective effects of ICAM-targeted dexamethasone
347 liposomes (0.5 mg/kg dexamethasone). As controls for Dex-loaded liposomes, equivalent
348 doses of empty IgG or ICAM-targeted liposomes were tested. % protection was calculated
349 assuming 100% protection as equivalent to edema induced by sham injury and 0% protection
350 as equivalent to edema induced by TNF injury without treatment (Supplemental Figure 12). C)
351 Proposed model of leukocyte-mediated drug delivery. Data displayed as mean ± SEM.
352 Comparisons made by 1-way ANOVA with Dunnett's post-hoc test vs. untreated (solid line, 0%
353 protection). N ≥ 3/group.

354

355 DISCUSSION

356

357 Development of effective therapies for neurological disorders presents formidable challenges
358 including limited success in targeted drug delivery to the brain and especially into the required
359 components of the parenchyma – neurons, glia, etc. The pressing need for effective targeted
360 therapies is especially aggravated in patients suffering from acute brain injuries including stroke,
361 traumatic brain injury, neuroinflammation, and intracranial hemorrhage. These patients present
362 additional challenges for the pharmacotherapy including but not limited to complicating factors,
363 including: 1) rapid disease progression, 2) multiple pathophysiological factors, and 3) poor
364 tolerance for adverse effects.

365

366 Harnessing natural host defense mechanisms by loading nanoparticles into leukocytes
367 responding to signals emanating from the injured brain is an attractive strategy for drug delivery.
368 In this case, delivery to sites of injury would be controlled by the natural homing mechanisms
369 used by leukocytes to reach the brain (e.g. emanating chemokine gradients, cell adhesion

370 molecules, etc.). Leukocytes have been used as carriers in chronic neurodegenerative
371 conditions following *ex vivo* loading of drugs and reinfusion into animals^{21, 42, 43}. In these
372 studies, it was suggested that leukocytes (or leukocyte-derived extracellular vesicles) could not
373 only reach the brain, but also mediate transfer of their cargo into neurons in order to elicit a
374 pharmacologic response.^{44, 45}. Through direct targeting of leukocytes *in vivo*, the need for
375 complex *ex vivo* manipulations can be bypassed in a manner that is permissive for selective
376 delivery into the brain parenchyma
377

378 We postulated that direct targeting of pulmonary intravascular leukocytes would be a viable
379 strategy to achieve selective drug delivery to injured regions of the brain, which has several
380 potential advantages vs. *ex vivo* modification, including: 1) treatment can be initiated rapidly
381 after injury, without the need for *ex vivo* modification of cells, 2) all leukocytes accessible to IV
382 injected mAb/nanoparticles are potential targets for loading, 3) selection for specific leukocyte
383 phenotypes is possible by targeting to specific markers, and 4) leukocytes could be converted
384 into drug depots/biofactories that concentrate drugs in the inflamed region where their activity is
385 required. By targeting ICAM expressed on the surface of activated leukocytes, a decline in lung
386 concentrations was seen in parallel with delivery to the injured brain.
387

388 Following IV administration, affinity ligands directed towards many vascular epitopes have low
389 levels of delivery to organs such as the brain, in part due to first pass binding to the pulmonary
390 endothelium. However, by directly targeting cell populations that transiently reside in the lungs
391 (e.g. intravascular leukocytes), conversion of the lung from a competitor into an active
392 participant in delivery to the brain is feasible. The data presented above show that targeting to
393 molecules expressed on all (CD45) and activated (ICAM) leukocytes permits delivery to the
394 brain, despite significant uptake by the lungs. The purported mechanism for this delivery is that
395 ICAM-targeted nanoparticles rapidly bind to pulmonary intravascular leukocytes and remain
396 associated with leukocytes, likely in an intracellular compartment following CAM-mediated
397 endocytosis⁴⁶, as they migrate to the brain in response to inflammatory signaling.
398

399 Following studies aimed at suggesting a mechanism of delivery to the brain, we pursued
400 therapeutic studies to elucidate the therapeutic relevance of this leukocyte-based drug delivery
401 strategy. We selected the small molecule corticosteroid dexamethasone as a therapeutic agent.
402 Notably, dexamethasone has been tested in clinical trials for treatment of acute ischemic stroke,
403 but ultimately failed due to off-target effects. Among its pleiotropic effects, dexamethasone
404 downregulates expression of the following: inducible CAMs, inflammatory cytokine expression
405 (IL-1, IL-6, TNF- α), cyclooxygenase-2, collagenase, and NF- κ B⁴⁷. We hypothesized that ICAM-
406 targeted dexamethasone-loaded liposomes would provide selective delivery of dexamethasone
407 to the injured brain. The results presented here demonstrate that IV injection of dexamethasone
408 2 hours post-TNF injury was only able to prevent brain edema when encapsulated in ICAM-
409 targeted liposomes (**Figure 5b**). These results are likely due to not only changes in local brain
410 concentrations of dexamethasone, but also due to direct effects on leukocytes targeted by this
411 strategy.
412

413 In summary, we have developed a novel approach for direct, *in vivo* loading of activated
414 leukocytes with nanoparticles via targeting to ICAM-1 (**Figure 5c**). We propose the following
415 mechanism for brain delivery whereby the pulmonary intravascular leukocytes: 1) respond to
416 signals emanating from the injured brain and change their activation status and local
417 concentration, 2) are targeted by α ICAM mAbs and nanoparticles, and 3) shuttle the taken up
418 α ICAM mAb/nanoparticles from the lungs to the injured region of the brain. Our results show
419 that direct leukocyte targeting provides a steady accumulation of nanoparticles into the brain
420 parenchyma following induction of acute neurovascular inflammation. Essentially all of the

421 targeted nanoparticles in the brain were associated with leukocytes, namely
422 monocytes/macrophages and neutrophils. Injection of ICAM-targeted, dexamethasone-loaded
423 liposomes into mice two hours post-TNF injury was able to completely protect mice from injury-
424 induced brain edema. By harnessing natural leukocyte migration patterns, this strategy
425 provides enhanced selectivity for the injured region of the brain and has potential for
426 applications in other acute neurovascular inflammatory injuries such as stroke.
427

428 MATERIALS AND METHODS

429
430 *Reagents:* Reagents for iodination of proteins were obtained from the following sources: Na¹²⁵I
431 (PerkinElmer, Waltham, MA), 1,3,4,6-tetrachloro-3a,6a-diphenyl-glycouril (Iodogen[®]) (Pierce,
432 Rockford, IL). Polystyrene beads (190 nm) were purchased from Bangslabs (Fishers, IN). All
433 lipids for liposome formulation were obtained from Avanti Polar Lipids (Alabaster, AL). Pooled
434 rat IgG (rIgG) was purchased from Invitrogen (Carlsbad, CA). All other chemicals and reagents
435 were purchased from SigmaAldrich (St. Louis, MO), unless specifically noted.
436

437 *Animals:* All animal studies were carried out in accordance with the Guide for the Care and Use
438 of Laboratory Animals (National Institutes of Health, Bethesda, MD) and all animal protocols
439 were approved by the University of Pennsylvania Institutional Animal Care and Use Committee.
440 All animal experiments were carried out using male, 6-8 week old C57BL/6 mice (20-25 g) (The
441 Jackson Laboratory, Bar Harbor, ME).
442

443 *Protein Production and Purification:* Anti-ICAM mAb (YN1) was produced and purified from
444 hybridoma supernatants, as described previously⁴⁸. Purification of YN1 was performed using
445 Protein G affinity chromatography.
446

447 *Radiolabeling:* Antibodies (YN1, rIgG) were radiolabeled with ¹²⁵I via the Iodogen[®] method.
448 Briefly, tubes were coated with 100 µg of Iodogen[®] reagent were incubated with antibodies (1-2
449 mg/mL) and Na¹²⁵I (0.25 µCi/µg protein) for 5 minutes on ice. Residual free iodine was
450 removed from the bulk solution using a desalting column and thin layer chromatography was
451 used to confirm the efficiency of radiolabeling. As a quality control step, all proteins were
452 confirmed to have <10% free ¹²⁵I prior to further use.
453

454 *Polystyrene Nanoparticle Conjugation:* Carboxylated, polystyrene beads were conjugated to
455 antibodies (rIgG, YN1) via reaction of N-hydroxysulfosuccinimide (sulfo-NHS) (0.275 mg/mL), 1-
456 ethyl-3-(3-dimethylaminopropyl)carbodiimide HCl (EDC) (0.1 mg/mL), and 200 antibody
457 molecules/bead. For experiments involving radioisotope tracing, 15% of the total antibody
458 added to the reaction was ¹²⁵I-labeled rIgG. NC size and polydispersity index (PDI) were
459 confirmed via dynamic light scattering (DLS).
460

461 *Liposome Formulation:* Liposomes were prepared as described previously⁴⁸. Briefly, 1,2-
462 dipalmitoyl-sn-glycero-3-phosphocholine (DPPC), cholesterol, and 1,2-distearoyl-sn-glycero-3-
463 phosphoethanolamine-N-[azido(polyethyleneglycol)-2000 (DSPE-PEG2000-azide) were mixed
464 in a molar ratio of 54:40:6. Liposomes were prepared via the thin film extrusion method. To
465 form drug loaded liposomes, the lipid film was hydrated in a solution containing 20 mg/mL of
466 dexamethasone-21-phosphate in phosphate buffered saline (PBS), pH 7.4. The resulting
467 vesicles were extruded through 200 nm polycarbonate membranes.
468

469 *Lipid Nanoparticle (LNP) Formulation:* LNPs were prepared via microfluidic mixing as previously
470 described⁴⁹. Briefly, an ethanol phase was prepared by combining ionizable lipid, 1,2-dioleoyl-
471 sn-glycero-3-phosphoethanolamine (DOPE), cholesterol, 1,2-dimyristoyl-sn-glycero-3-

472 phosphoethanolamine-*N*-[methoxy(polyethylene glycol)-2000] (C14-PEG2000) at molar ratios of
473 35:16:46.5:2.5, respectively. Separately, an aqueous phase was prepared by resuspending
474 scrambled siRNA sequences in 10 mM citrate buffer to a concentration of .75 mg/mL. Ethanol
475 and aqueous phases were then mixed in a single channel microfluidic device at a 3:1 ratio using
476 a syringe pump⁵⁰. LNPs were dialyzed against 1x PBS for 2 hours at room temperature,
477 followed by sterile filtration using .22 μ m syringe filters.

478
479 Conjugation of antibodies to the liposome surface was carried out using strain-promoted alkyne-
480 azide cycloaddition. Antibodies were functionalized by reacting with a 5-fold molar excess of
481 dibenzocyclooctyne-PEG₄-NHS ester (DBCO-PEG4-NHS) (Click Chemistry Tools, Scottsdale,
482 AZ) for 30 minutes at room temperature. Unreacted DBCO-PEG4-NHS was removed via
483 centrifugation through a molecular weight cutoff (MWCO) filter. Liposomes were conjugated
484 with DBCO-functionalized antibodies by reacting for 4 hours at 37 °C. For experiments
485 involving radiotracing, 10% of the total antibody added was ¹²⁵I-labeled rIgG. Unconjugated
486 antibody was removed from the liposomes using gel filtration chromatography. The size,
487 distribution, and concentration of liposomes was determined using DLS and nanoparticle
488 tracking analysis (Malvern Panalytical, Westborough, MA).

489
490 *Dexamethasone Loading and Release:* Both the amount of dexamethasone loading into
491 liposomes and kinetics of release were assessed using reverse phase high performance liquid
492 chromatography (HPLC). The mobile phase consisted of 30% v/v acetonitrile, 70% v/v water,
493 and 0.1% v/v trifluoroacetic acid. Buffer was run at a flow rate of 0.6 mL/minute through a C8
494 column (Exclipse XDB-C8, 3 μ m, 3.0x100 mm, Phenomenex). Dexamethasone was detected
495 using UV absorbance at 240 nm and the assay had a linear range of 1.56 – 100 μ g/mL
(**Supplemental Figure 14**). Drug release was measured by dialyzing loaded particles against a
496 large excess of PBS, pH 7.4 at 37 °C and collecting samples at designated time points.

497
498 *TNF Injury Model:* Neurovascular inflammation was induced in mice via a unilateral injection of
499 TNF- α (0.5 μ g/mouse, 2.5 μ L, BioLegend) into the striatum using a stereotaxic frame at the
500 following coordinates relative to the bregma: 0.5 mm anterior, 2.0 mm lateral, -3 mm ventral¹⁰.
501 At different times relative to TNF- α injection (1-24 hours), mice were injected intravenously with
502 a bolus dose of either mAbs (5 μ g) or nanoparticles (polystyrene beads, liposomes). Animals
503 were perfused with 20 mL of PBS, pH 7.4 prior to collecting organs for further analysis. For
504 pharmacokinetic and biodistribution studies, the amount of radioactivity in blood and organs was
505 measured using a gamma counter (Wizard2, PerkinElmer, Waltham, MA).

506
507 *Transmission Electron Microscopy:* Visualization of NC uptake in the lungs shortly after injection
508 was performed using TEM, as previously described⁵¹. Briefly, 30 minutes post-injection, lungs
509 were fixed with 2.5% glutaraldehyde and 4% paraformaldehyde in 0.1 M sodium cacodylate
510 buffer, then processed into 80-90 nm-thin resin-embedded sections to visualization by TEM.

511
512 *Intravital Microscopy:* After removing the meninges, a cranial window was opened in one
513 parietal bone of mice. This window was sealed with a glass coverslip and a cannula
514 (PlasticsOne, Roanoke, VA) was placed into the subarachnoid space adjacent to the window (1
515 mm depth). Animals were allowed to recover for 5 days between opening of the cranial window
516 and injection of TNF- α to prevent any artifacts related to surgery-induced inflammation. *In vivo*
517 imaging was performed in real time with a Stereo Discovery V20 fluorescence microscope (Carl
518 Zeiss AG, Oberkochen, Germany).

519
520 *Flow Cytometry:* Single cell suspensions of brain were produced as described previously ^{9, 52}.
521 Briefly, tissues were enzymatically digested with dispase and collagenase for 1 hour at 37 °C,

523 followed by addition of 600 U/mL DNase Grade II. Tissue digests were demyelinated in Percoll
524 and ACK buffer (Quality Biological, Gaithersburg, MD) was added to lyse any residual RBCs.
525 Samples were then filtered through: 1) 100 μ m nylon strainers and 2) 70 μ m nylon strainers
526 (ThermoFisher).

527
528 Cells were then stained with appropriate antibodies (**Supplemental Table 7**). Briefly, 2x10⁶
529 cells were labeled per tube in PBS containing 2% v/v fetal bovine serum (FBS). Fc receptors
530 were blocked using TruStain FcX PLUS (anti-mouse CD16/32, 1:200 dilution) (BioLegend). In
531 pilot experiments to determine localization of NC in leukocytes (CD45⁺) vs. endothelial cells
532 (CD31⁺), flow cytometry was performed using an Accuri C6plus (Benton Dickinson, San Jose,
533 CA). Detailed subtyping of white blood cells in the brain was performed using the strategy
534 described by Posel et al. using a BD LSRLFortessa (Benton Dickinson, San Jose, CA) flow
535 cytometer. Live/dead staining was performed using LIVE/DEAD Fixable Aqua Dead Cell Stain
536 Kit (1:1000 dilution, ThermoFisher). In this assay uptake by the following cell types was defined:
537 1) microglia (CD45^{mid}), T-cells (CD45^{hi}CD3⁺), neutrophils (CD45^{hi}Ly6G⁺),
538 monocytes/macrophages (CD45^{hi}CD3⁻Ly6G⁻CD11b⁺Ly6C⁺). Analysis of flow cytometry data
539 was performed using the BD Accuri C6 software (Benton Dickinson, San Jose, CA) and FlowJo
540 v10.6.2 (Tree Star).

541
542 *Histology:* TNF brains injected with IgG- or α ICAM conjugated NCs were perfused, harvested 24
543 hours-post injected, and fixed in 4% paraformaldehyde. After freezing in tissue freezing medium,
544 the brains were sectioned at 20 μ m thickness. Tissue sections were then permeabilized and
545 blocked in blocking solution (5% normal goat serum and 0.3% Triton X-100 in PBS) for 1 hour at
546 room temperature, then incubated overnight at 4 °C with primary antibodies (**Supplemental**
547 **Table 8**) in blocking solution. After washing with PBS, the sections were incubated with
548 secondary antibodies conjugated with Alexa fluorophores (1:200, Invitrogen) in PBS for 1 hour
549 at room temperature. After washing, the sections were counterstained with nuclei dye 4'-6-
550 Diamidino-2-phenylindole (DAPI, Southern, Biotech). The images were taken by Leica DM6000
551 Widefield Microscope.

552
553 *Therapeutic Studies:* The effects of dexamethasone on TNF-induced brain edema were
554 assessed as described in our previous publication⁹. Briefly, 2 hours post-TNF injection, mice
555 were dosed IV with either: 1) 0.5 mg/kg dexamethasone, 2) empty liposomes (either α ICAM or
556 IgG coated), or 3) 0.5 mg/kg liposomal dexamethasone (either α ICAM or IgG coated). 20 hours
557 after TNF injection, mice were injected with ¹²⁵I-labeled bovine serum albumin (BSA, ~3x10⁶
558 cpm/mouse), which was then allowed to circulate for 4 hours. After BSA circulation, mice were
559 perfused with 20 mL of PBS, pH 7.4, over 5 minutes and organs were harvested. Edema was
560 determined by measuring the relative concentration of extravasated BSA in the brain to the
561 concentration in the bloodstream. For calculations of therapeutic efficacy, 0% protection was
562 defined using PBS-treated, TNF-injured mice and 100% protection was defined using PBS-
563 treated, sham-injured mice.

564
565 *Complete Blood Counts:* At designated time points, blood was collected from mice into tubes
566 containing EDTA. Blood cells were analyzed using an Abaxis VetScan HM5 Hematology
567 Analyzer and all values were normalized to the mean value obtained for naïve mice.

568
569 *Statistics:* All statistical tests were performed using GraphPad Prism 8 (GraphPad Software,
570 San Diego, CA). * denotes p<0.05, ** denotes p<0.01, *** denotes p<0.001, **** denotes
571 p<0.0001.

572
573

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