

1 Permissive aggregative group formation favors 2 coexistence in yeast

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10 ABSTRACT

11 In *Saccharomyces cerevisiae*, the *FLO1* gene encodes flocculins that lead to formation of multicellular flocs, that offer protection to the constituent cells. Flo1p was found to preferentially bind to fellow cooperators compared to defectors lacking *FLO1* expression, resulting in enrichment of cooperators within the flocs. Given this dual function in cooperation and kin recognition, *FLO1* has been termed a ‘green beard gene’. Because of the heterophilic nature of Flo1p binding however, we hypothesize that kin recognition is permissive and depends on the relative stability of $FLO1^+/flo1^-$ versus $FLO1^+/FLO1^+$ bonds, which itself can be dependent on environmental conditions and intrinsic cell properties. We combine single cell measurements of adhesion strengths, individual cell-based simulations of cluster formation and evolution, and in vitro flocculation experiments to study the impact of relative bond stability on defector exclusion as well as benefit and stability of cooperation. We hereto vary the relative bond stability by changing the shear flow rate and the inherent bond strength. We identify a marked trade-off between both aspects of the green beard mechanism, with reduced relative bond stability leading to increased kin recognition, but at the expense of decreased cluster sizes and benefit of cooperation. Most notably, we show that the selection of *FLO1* cooperators is negative-frequency dependent, which we directly attribute to the permissive character of the Flo1p bond. Taking into account the costs associated to *FLO1* expression, this asymmetric selection results in a broad range of ecological conditions where coexistence between cooperators and defectors is stable. Although the kin recognition aspect of the *FLO1* ‘green beard gene’ is thus limited and condition dependent, the negative-frequency dependency of selection can conserve the diversity of flocculent and non-flocculent phenotypes ensuring flexibility towards variable selective pressures.

12 Introduction

13 The transition towards multicellularity is one of the major developments that has driven the evolution of complex life¹⁻³.
14 Initially, independent individuals form facultative cooperative groups which can serve as a starting point for the evolution of
15 obligate multicellular organisms wherein the individuals lose the ability to replicate independently⁴⁻⁶. These facultative groups
16 can be formed through two distinct operations: formation of aggregative groups, known as ‘coming together’ (CT), and clonal
17 growth, where the offspring remains closely associated with the parental cell, known as ‘staying together’ (ST)^{4,7,8}. ST gives
18 rise to clonal groups with high genetic relatedness whereas CT may also result in genetically mixed groups.

19
20 In featuring both unicellular lifestyles and various group phenotypes, *Saccharomyces cerevisiae* serves as a paradigm for
21 studying ST⁹⁻¹¹ and CT¹²⁻¹⁴ group formation, although *S. cerevisiae* does not have any known obligate multicellular de-
22 scendants⁴. A key gene family involved in group formation in yeast comprises the *FLO* genes, which encode for flocculins,
23 proteins involved in cell adhesion^{4,12,15-19}. These flocculins possess an N-terminal domain protruding from the cell surface, a
24 central domain of tandem repeated sequences, and a C-terminal glycosylphosphatidylinositol (GPI) domain anchored in the
25 cell wall^{15,20}. Based on the N-terminal domain, two types of flocculins can be distinguished. Flo1p harbors a fibronectin
26 type III-like domain that confers homophilic protein-protein interaction with neighbouring cells^{11,21}. Flo1p-mediated ad-
27 hesion partakes in multiple ST group phenotypes such as biofilm^{11,22} and pseudohyphae formation²³. In contrast, the *FLO1*
28 gene encodes for a PA14-like N-terminal domain that binds to mannose residues on the cell wall of neighbouring cells, a
29 mechanism that is heterophilic in nature^{24,25}. Flo1p controls the CT flocculation phenotype, causing yeast cells to aggre-
30 gate and form flocs in agitated suspensions. When sufficiently large, these flocs offer protection to the constituent cells
31 against chemical¹² and biological^{26,27} stress. Furthermore, flocs ensure rapid sedimentation to escape undesirable conditions²⁸.
32 As such, floc formation is a type of cooperative behavior in which the benefit only exists when sufficient individuals participate¹².

33

34 In addition to facilitating group formation, both types of *FLO* genes also permit kin recognition through selective adhesion. In this quality, they have been identified as ‘green beard genes’, a single set of alleles that promotes cooperation while also excluding non-collaborating individuals (defectors)^{12,29,30}. In case of *FLO11* selective adhesion is mediated by the homophilic nature of the interaction¹¹. Flo1p was also found to preferentially bind to fellow cooperators compared to defectors, resulting in enrichment of cooperators within the flocs¹². The heterophilic nature of the Flo1p bond however also permits adhesion to non-producer cells. The observed enrichment of cooperator cells might then be explained by a higher bond strength between cooperators due to the potential of reciprocity in homotypic *FLO1⁺/FLO1⁺* interactions. We hypothesize that kin recognition via such heterophilic binding is however only partially selective and dependent on the relative stability of *FLO1⁺/flo1⁻* versus *FLO1⁺/FLO1⁺* bonds, which itself depends on the intrinsic bond properties but also the tensile forces trying to separate interacting cells. Since *S. cerevisiae* lacks intrinsic motility, shear forces arising due to fluid flow are thought to be the main instigators of bond formation and breakage events. Because of this potentially ‘permissive’ nature of the *FLO1* kin recognition, defectors might still be able to invade flocs and exploit the benefits of cooperation^{11,14}. Since defectors do not pay the metabolic cost associated with Flo1p production, they can have an increased fitness relative to the cooperators. Consequently, the evolutionary stability of *FLO1* is not guaranteed. Knowing the impact of relative bond stability and its driving factors on kin recognition is therefore critical to understand the evolution of CT flocculation driven by heterophilic Flo1p adhesion.

50

51 In this work, we evaluate the impact of the relative bond stability of heterotypic and homotypic Flo1p interactions on the exclusion of defectors and the evolutionary stability of flocculation in mixed populations with varying cooperator frequencies. To this end, we first determine the intrinsic relative stability of heterotypic and homotypic interactions using single cell-force spectroscopy (SCFS) and subsequently characterize the extent of permissiveness in Flo1p-mediated kin recognition. Based on these measured bond properties, we evaluate both the cooperative benefits and the degree of kin recognition of the *FLO1* green beard cooperation and its evolutionary stability using cell-based simulation of shear-induced CT group formation. We conclude that the relative stability of heterotypic and homotypic interactions, modulated by varying either tensile shear stresses or bond properties, determines a trade-off between kin recognition and cooperative benefits. Remarkably, size-dependent selection of clusters results in a negative-frequency-dependent selection pressure that stabilizes coexistence between defectors and cooperators in a broad range of ecological and mechanical conditions. Stable coexistence ensures the retention of diversity and thus facultative group formation, which might eventually give rise to evolution of obligate multicellularity.

62 **Materials and Methods**

63 **Yeast strains and media**

64 All yeast strains used are listed in Table 1. Yeast cultures were first cultured in YPD for 3 days and subsequently inoculated in YPG and grown for 2 days. Afterwards, cells were harvested and washed once in 200 mM EDTA and twice in milliQ.

66 **Single-cell force spectroscopy**

67 Single-cell force spectroscopy was performed as described by²⁴. In short, cell probes were prepared by immobilizing single yeast cell on polydopamine-functionalized tipless cantilevers. The cell probe was brought into contact with single cells immobilized on a glass coverslip with polydopamine using a maximum contact force of 1 nN, retract velocity of 1 $\mu\text{m/s}$ and contact time of 1 s in the presence of 200 μM CaCl_2 . Cell viability of both the cell probe and the immobilized cells on the substrate were followed by the FUN-1 cell stain throughout the measurement.

72 **Flocculation assays**

73 After harvesting and washing yeast cells at various ratios of *FLO1⁺* and *flo1⁻*, cells were inoculated in 5ml milliQ with a final density of $3.0 \pm 1.4 \cdot 10^6$ cells/mL. After inoculation the tubes were carefully turned to homogenize them and sampled for the initial ratio of cells x_i . Test tubes were shaken on an orbital shaker at varying agitation rates (0, 100, 200 or 400 RPM) for 5 min. After agitation, the flocs were allowed to settle for 5 min after which the sedimented fraction was sampled x_{out} . Prior to cell counting using flow cytometry, samples were washed with 200 mM EDTA to disrupt any floc formation. Ratios x were determined as the fraction of red *FLO1⁺* cells versus the total amount of cells. The experiments were performed in 10 mM CaCl_2 necessary for flocculation, and in milliQ as a control.

80 **Individual cell-based model**

81 We performed simulations of a center-based cell model in an overdamped system in laminar flow with periodic boundary conditions. External shear force is imposed based on a set shear rate $\dot{\gamma}$. Cell-cell interaction was modeled using a linear adhesion model with rupture force F_d and rupture distance d_r , Hertzian repulsion and linear intercellular viscosity. Cell velocities were

84 computed by solving $F = \Lambda \dot{x}$, with Λ the combined friction/resistance matrix. Positions are updated according to the explicit
85 Euler method. A full description of the computational methods is given in the SI text.

86 Results

87 Flo1p confers heterophilic cell-cell adhesion

88 Flo1p flocculins bind to mannose residues on neighbouring cells. To quantify the force resulting from these adhesive bonds,
89 we employ the SCFS method described by El-Kirat-Chatel et al.²⁴. We test three types of interaction pairs: $flo1^-/flo1^-$,
90 $FLO1^+/flo1^-$ and $FLO1^+/FLO1^+$. For every interaction type, we measure the detachment force F_d and the rupture distance d_r
91 of the bond (Fig. 1 A-F). We find that the detachment force F_{+-} of the heterotypic interaction is approximately half ($\approx 55\%$) of
92 the homotypic $FLO1^+$ interaction, whereas a homotypic $flo1^-$ interaction is an order of magnitude ($\approx 7\%$) lower in adhesive
93 strength. This bond heterophilicity is consistent with a permissive kin recognition mechanism¹⁴. The differential adhesion
94 hypothesis (DAH) predicts three different modes of group organization based on the ratio of interaction energy between
95 heterotypic and homotypic bonds; segregation, spreading of the weakly adhering cell type, and intermixing of both cell types³¹.
96 For the bond energy associated with the measured detachment force and rupture distance of Flo1p, the DAH predicts spreading
97 for the majority of observations (Fig. 1G-H, Fig S1). Spreading of $flo1^-$ cells around a central $FLO1^+$ cluster has previously
98 been observed and produces additional benefits in macroscopic flocs. For example, in the case of protection against antifungal
99 compounds such as amphotericin B, the outer layer of $flo1^-$ cells can serve as ‘living shield’, leading to increased protection
100 of the $FLO1^+$ cells at the core of the floc¹². In contrast, the absence of segregation indicates potential for exploitation of the
101 flocculation by the $flo1^-$ cells, as segregation is thought to be the ideal scenario for cooperative phenotypes^{32–34}. The DAH
102 predicts the equilibrium configuration of a mixture of cells with differing interaction energy. However, yeast cells are too
103 large to be significantly agitated by thermal forces, and they lack intrinsic cell motility. Consequently, in real-life conditions,
104 substantial energy barriers can prevent the system from relaxing to its equilibrium configuration. Hence, to evaluate the degree
105 of kin recognition due to $FLO1$ expression, the driving forces responsible for floc formation must be taken into account.

106 Shear flow promotes relatedness in mixed clusters at the expense of cooperative benefits

107 Flocs originate from collisions between individual yeast cells, which are facilitated by external forces, such as shear flow. In
108 practice, the formation of large flocs is realized in two stages: 1) nucleation and growth of small clusters due to collisions in
109 shear flow and 2) differential sedimentation and size-based separation of clusters, leading to macroscopic flocs. We evaluate the
110 size and composition of cell clusters in a minimal linear shear simulation with varying initial cooperator frequency x_i and shear
111 rate $\dot{\gamma}$ (Fig. 2A-E). Since the composition of large flocs is chiefly determined by clusters that are of sufficient size to sediment,
112 it is reasonable to assume that the main benefit of cooperation is increased cluster size, an effect also observed in other model
113 systems^{7,9,26,35,36}. At sufficient cell density, the average cluster size C shows an exponential shear-dependent relaxation over
114 time towards a dynamic steady-state. In contrast, at low density, a slowed down relaxation is observed, indicative of granular
115 compaction in between infrequent collision events (Fig. 2F, S2). In both density regimens, the steady-state cluster size C_∞
116 decreases with shear rate. Moreover, cluster size increases with the initial fraction of cooperators (Fig. 2G). Overall, enrichment
117 of $FLO1^+$ cells is observed in clusters, which increases with shear rate as heterotypic bonds become unstable at lower shear
118 rates than homotypic bonds (Fig. 2H, S6). However, due to the permissive binding mechanism, selection for $FLO1^+$ is weak.
119 This is further apparent in the relatedness $r = (\langle p_i^2 \rangle - \langle p_i \rangle^2) / (\langle p_i \rangle - \langle p_i \rangle^2)$, with p_i the fraction of cooperators in cluster i ,
120 which is thus evaluated at the level of whole clusters rather than the level of single cells and their direct neighbours. Relatedness
121 signifies the directness of cooperative interactions, with $r = 1$ in populations with clusters uniquely composed of $FLO1^+$ or
122 $flo1^-$ cells and $r = 0$ in absence of variation in cluster composition³⁷. In general, we find only modest relatedness ($r < 0.3$) in all
123 shear regimens, characteristic for CT group formation and permissive kin recognition^{37,38}. Nonetheless, relatedness is favored
124 by increasing shear rate (Fig. 2I, S6). Finally, we also observe radial assortment within clusters, as was noted by Smukalla
125 *et al.*¹² in much larger flocs, and in line with the equilibrium conditions predicted by the DAH (Fig. S7). However, this
126 assortment is not very pronounced, and these micro-assorted clusters are too small to provide protection to realistic chemical
127 stress conditions. In conclusion, shear-driven aggregation of mixtures of cooperators and defectors leads to partially selective
128 group formation due to the exclusion of defectors from clusters, which results in smaller but more selective clusters with
129 increasing shear rate. However, selection is not very efficient due to the permissive binding mechanism of Flo1p that allows for
130 a heterogeneous cluster composition at all shear conditions, and is markedly different from the thermodynamical equilibrium
131 predicted by DAH (Fig. 1G).

132 Permissive kin recognition facilitates coexistence

133 The evolutionary robustness of $FLO1$ depends on its associated costs and benefits. We evaluate both by using a conceptual
134 modeling framework consisting of three sequential ecological processes (Fig. 3A). First, a mixed population with cooperator
135 frequency x_i is exposed to shear flow and allowed to flocculate (Fig. 2). Second, individual cells are selected based on

136 the steady-state size and thus the expected benefit offered by the cluster they belong to, C_∞ . The probability to survive
137 is $P(\text{survive}) = 1 - \exp[-C_{t,\text{final}}^{2/3}/(\alpha C_{(\infty|x_i=1)}^{2/3})]$, with $C_{(\infty|x_i=1)}$ the mean cluster size for a fully cooperative system and α a
138 parameter tuning the selection strength. $P(\text{survive})$ is based on the Stokesian sedimentation velocity $v_i \propto C_i^{2/3}$, i.e. larger
139 clusters sediment faster and have an increased selection probability (Fig. 3B, S9). Third, the selected cells are allowed to
140 exponentially grow for a number of generations^{39,40}, taking into account a 3% percent fitness deficit for the $FLOI^+$ cells
141 relative to the $floI^-$ cells (Fig. 3C)¹². After flocculation, selection and growth, the population drift Δx_i is determined based on
142 the frequency of cooperators before and after each of the three steps, $\Delta x_i = x_{\text{out}} - x_i$.

143
144 After flocculation and selection (thus prior to the growth step), there is a preferential retention of cooperating cells for
145 $\alpha > 0$. Markedly, the peak in population drift after selection showcases an asymmetry towards a lower cooperator frequency
146 (Fig. 3B, S10). At low x_i , only clusters with a frequency of cooperators $\gg x_i$ are sufficiently strong to resist the disruptive
147 force from shear flow. This results in a relative enrichment of cooperators in the surviving clusters. Conversely, at large x_i ,
148 the abundance of cooperators in clusters provides sufficient favorable locations for defector cells to be incorporated and the
149 frequency of cooperators in clusters approaches the initial population frequency. Upon imposing a growth-associated cost for
150 cooperation, this asymmetry can result in selection in favor of cooperators at low x_i ($\Delta x > 0$) and selection for defectors at
151 high x_i ($\Delta x < 0$) (Fig. 3C). Based on the shape of the population drift curve, we determine the evolutionarily stable strategy
152 (ESS) as a function of selection strength α (\propto social benefits) and number of growth generations (\propto social cost), and this
153 at varying shear rate (Fig. 3D). Cooperation emerges as an ESS for increasing strength α . However, given a high number
154 of generations — or high growth-associated costs — the resulting ESS is defection. This highlights that permissive kin
155 recognition with permissive bonds is not efficient at fully excluding defector cells from cooperative groups without additional
156 external selection pressure¹⁴. However, due to the aforementioned asymmetry in selection, coexistence is the ESS for a large
157 range of ecological parameters. The stable point (i.e., the stable frequency of cooperators) shifts towards a lower cooperator
158 frequency with higher number of generations (Fig. S11). Whereas cooperation is more favored with increasing shear rate,
159 coexistence is notably favored at intermediate shear rate, where the asymmetry in selection is most pronounced (Fig. S10). Here,
160 cooperative homotypic bonds are always stable, whereas permissive heterotypic bonds can be broken by tensile shear forces,
161 thereby maximizing the relative enrichment of cooperators at low x_i . Finally, at low cell density, clusters are more compact
162 and collide less frequently compared to high cell density. Consequently, the peak in coexistence shifts towards higher shear
163 rate, as more shear force is required to penalize the incorporation of permissive bonds in dense, well-connected clusters (Fig. S2).

164
165 For *in vitro* verification of the predicted asymmetric population drift, we mimic the first two steps of the evolutionary
166 framework, flocculation and selection, using a simple flocculation-sedimentation assay, where we inoculate various cooperator
167 fractions and agitate them at varying rotator speed. Selection is performed by sampling from the sediment, which contains
168 flocs that preferentially consist of larger clusters (Fig. S12). Based on the frequency of cooperators in the sedimented (i.e.,
169 selected) flocs and the inoculum frequency, the drift was estimated (Fig. 3E). Increasing the rotor speed (\propto shear rate) resulted
170 in increasingly positive drift curves in the presence of Ca^{2+} — which is required for flocculation — indicating an increased
171 exclusion of $floI^-$ cells, as observed *in silico* (Fig. 2). In addition, at sufficient rotor speed (200 RPM and 400 RPM) the maximal
172 drift is located at a lower cooperator frequency, demonstrating the same characteristic asymmetry in $FLOI^+$ enrichment that
173 was predicted from *in silico* simulations (Fig. 3B). When including a fixed cost incurred by growth, these drift curves will give
174 rise to coexistence as an ESS for a mixed population of $FLOI^+$ and $floI^-$ cells (Fig. 3D).

175 Flo1p bond mechanism permits evolutionary flexibility

176 The emergence of coexistence due to asymmetric selection is contingent on permissive interactions and is absent in a hypo-
177 theoretical scenario with direct kin recognition where $F_{+-} = F_{--}$. In case of direct kin recognition, symmetrical drift expands
178 the fully cooperative region at the expense of coexistence (Fig. 4A-B). Due to complete exclusion of defector cells from
179 clusters, cooperation is stable irrespective of the number of generations and the $FLOI$ -associated costs, given sufficient selective
180 strength ($\alpha > 0.4$) (Fig. 4B-C). Remarkably, complete exclusion of defector cells in direct kin recognition also results in
181 smaller clusters, and thus cooperation-associated benefits, in mixed populations ($0 < x_i < 1$) (Fig. 4D). Moreover, since the
182 cooperation-associated benefits are small at low x_i , the emergence of direct kin recognition, e.g. by mutation of a single cell, is
183 not expected to perpetuate in an initially fully defective population ($x_i = 0$) in CT group formation. In contrast, permissive
184 recognition is more favorable to develop due to higher cooperation-associated benefits and asymmetric population drift. In
185 exchange for the resistance to defection provided by the increased selectivity of direct kin recognition, the permissiveness of
186 the Flo1p bond permits evolutionarily stable flexibility, by conserving coexistence between cooperators and defectors.

187
188 In contrast to the hypothetical nature of direct kin recognition due to the Flo1p bond mechanism, variability in adhesive
189 strength in flocculation has been observed to arise due to stochasticity in bond formation (Fig. 1A-C, Fig. 4E), or variation in

190 the intragenic tandem repeats of *FLO1*, which are known to undergo frequent recombination events^{18,24}. Varying the homotypic
191 adhesive strength F_{++} while conserving the ratio $F_{++} \approx 2F_{+-}$ highlights the dilemma of a permissive green beard gene: In case
192 of an increase in adhesion, the cooperative benefits increase (Fig. 4F), but this weakens kin recognition due to the increased
193 stability of the heterotypic bond (Fig. 4G). As such, increased homotypic adhesive strength expands the stability of coexistence
194 at the expense of cooperation (Fig. 4E). Furthermore, the adhesive force at which cooperation is maximally stable depends on
195 the shear rate. This provides a possible explanation for the great variability in tandem repeats of *FLO1*, as it allows flexibility in
196 the aggregative strategy to adapt to heterogeneous environments.

197 198 Discussion

199 *FLO1* has been identified as a green beard gene governing both aggregation and kin recognition during flocculation¹². Here, we
200 provide evidence that reciprocity in purely cooperative (homotypic *FLO1*⁺/*FLO1*⁺) interactions is associated with increased
201 detachment force compared to exploitative (heterotypic *FLO1*⁺/*flo1*⁻) interactions. However, as cooperators are still vulnerable
202 to exploitative interactions, the kin recognition mechanism of Flo1p is permissive and only weakly directs the cooperative
203 benefits to Flo1p-producing individuals. This is in marked contrast with *FLO11*, which confers homophilic adhesion that leads
204 to direct kin recognition and has been implied in sub-species level discrimination based on a single genetic difference¹¹. Our
205 results indicate that varying the relative bond stability of cooperative and exploitative interactions can modulate between both
206 facets of the *FLO1* green beard mechanism: kin recognition and cooperative benefits. We explore shear flow and bond strength,
207 respectively an environmental and intrinsic factor affecting relative bond stability. First, at low shear rate, both cooperative and
208 exploitative interactions are stable resulting in large clusters (\propto cooperative benefits) with low relatedness (\propto kin recognition).
209 High shear rate primarily leads to instability of the exploitative interaction, resulting in smaller clusters but with increased
210 relatedness. Second, the high mobility of tandem repeated sequences of the *FLO1* gene is thought to modulate the adhesive
211 forces between cells²⁴ and has been shown to result in phenotypic heterogeneity¹⁸. Assuming the generality of $F_{++} \approx 2F_{+-}$,
212 we predict that increasing *FLO1* gene length, and consequently adhesive forces, results in greater cooperative benefits but
213 weaker kin recognition at a given shear rate. We propose that high variability of *FLO1* gene length allows adaptation towards
214 the more appropriate strategy, increasing kin recognition in weak selective regimens or increasing benefits in stringent selection,
215 and thereby potentially stabilizes flocculation in changing environments.

216
217 For permissive kin recognition due to the heterophilic nature of Flo1p, we predict a negative-frequency-dependent selection
218 (NFDS) in function of the cooperator frequency. NFDS arises when a decrease in cooperator frequency more severely dis-
219 advantages defectors⁴¹. In our case, decreasing cooperator frequency decreases the probability of defector incorporation in
220 the clusters at favorable locations and decreases the stability of clusters with relatively high defector fractions. This results in
221 a relative increase of cooperator enrichment at low cooperator frequency. In addition, we show that in case of homophilic
222 interactions, and thus in the absence of permissiveness in kin recognition, NFDS is lost. As NFDS is a known driver of
223 biological diversity^{42,43}, it can stabilize the evolution of cooperative phenotypes^{41,44}. In case of permissive kin recognition, we
224 find a stable coexistence of cooperators and defectors in a wide range of cooperation-associated costs and benefits. Coexistence
225 offers flexibility through diversity in environments that are characterized by transient and variable selection pressures, where
226 permissive coming together group formation is thought to outperform staying together¹⁴. Furthermore, stable coexistence also
227 permits the conservation of variability in *FLO1* gene length, stabilizing the aforementioned adaptability to the environment.
228 Moreover, we postulate that due to the negative-frequency-dependency and the higher return of cooperative benefits, permissive
229 kin recognition is more likely to emerge than direct kin recognition where contacts predominantly originate from stochastic
230 collisions such as low nutrient environments. However, this also renders permissive kin recognition more prone to invasion of
231 defectors.

232
233 Our results indicate that permissive CT group formation is susceptible to invasion of non-flocculent phenotypes and can
234 conserve the diversity of a population. Coexistence implies within-group social conflict and is therefore believed to limit the
235 direct further evolution of obligate multicellularity and its accompanied potential of complexity⁴⁵. Nevertheless, we propose
236 that this conserved diversity can facilitate the further evolution of different group formation phenotypes. As such, ST group
237 formation has been shown to emerge in flocculating yeast populations and to synergistically improve population fitness^{13,14}.
238 On longer evolutionary timescales, emerging ST group formation has been shown to be able to outperform CT by flocculation
239 overcoming aforementioned within-group social conflict^{14,46}. Finally, we propose that the physical environment can modulate
240 the significance of permissive CT group formation, thereby shaping the intricate balance between CT and ST, which are
241 fundamental biological operations that can prompt complex biological construction respectively through specialization in
242 obligate multicellularity or conservation of diversity^{8,14}.

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342 **Author contributions statement**

343 H.R. and B.S. conceived the project, T.B., J.P., and B.S. designed and conducted the simulations. T.B. designed and conducted
344 the experiments. T.B. and B.S. performed data analysis. T.B., H.S. and B.S. wrote the manuscript. All authors reviewed the
345 manuscript.

346 **Competing interests**

347 The authors declare no competing interests.

348 **Figures & Tables**

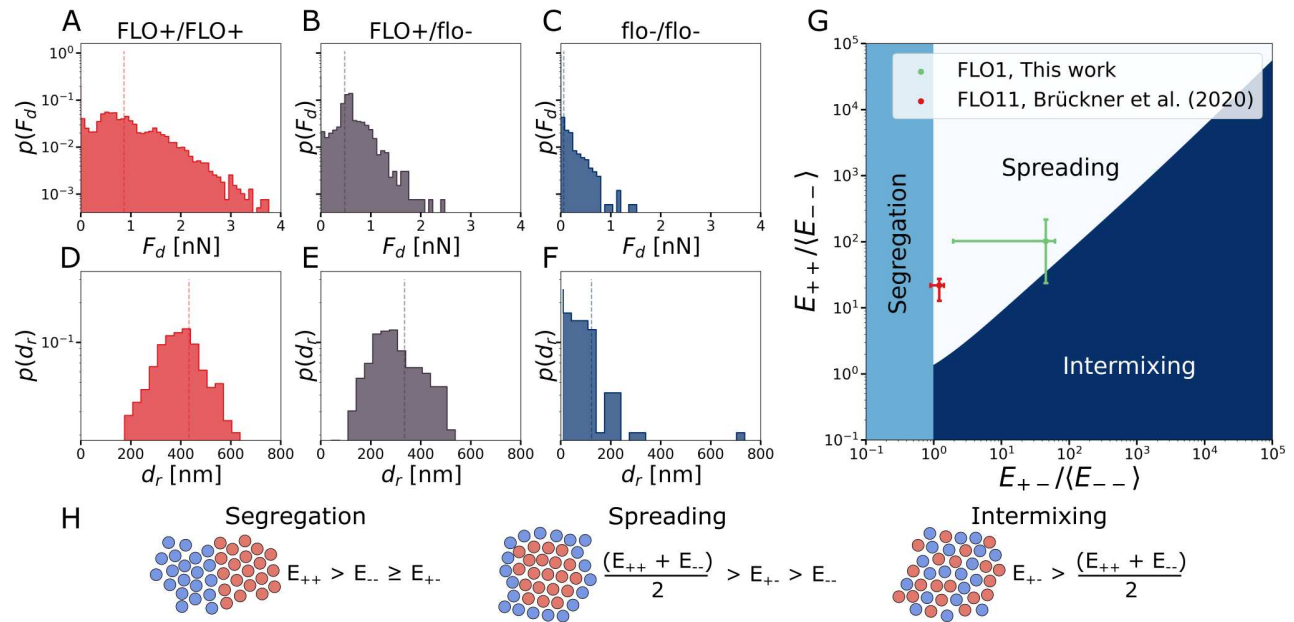


Figure 1. Mechanical measurement of Flo1p bond properties and mixing predictions. (A-C) Probability density functions of the measured maximum detachment force F_d for a $FLO1^+/FLO1^+$ (A), $FLO1^+/flo1^-$ (B) and $flo1^-/flo1^-$ (C) interaction. (D-F) Probability density function of the rupture length d_r of the bonds, measured by maximum distance with significant adhesive forces. (G) Based on the bond energies, $E = F_d d_r / 2$, the colony structure was predicted by the differential adhesion theory (DAH). Single cell-force spectroscopy data of Flo1p was obtained from¹¹. (H) DAH predicts segregation, spreading and intermixing based on the ratio of bond energies.

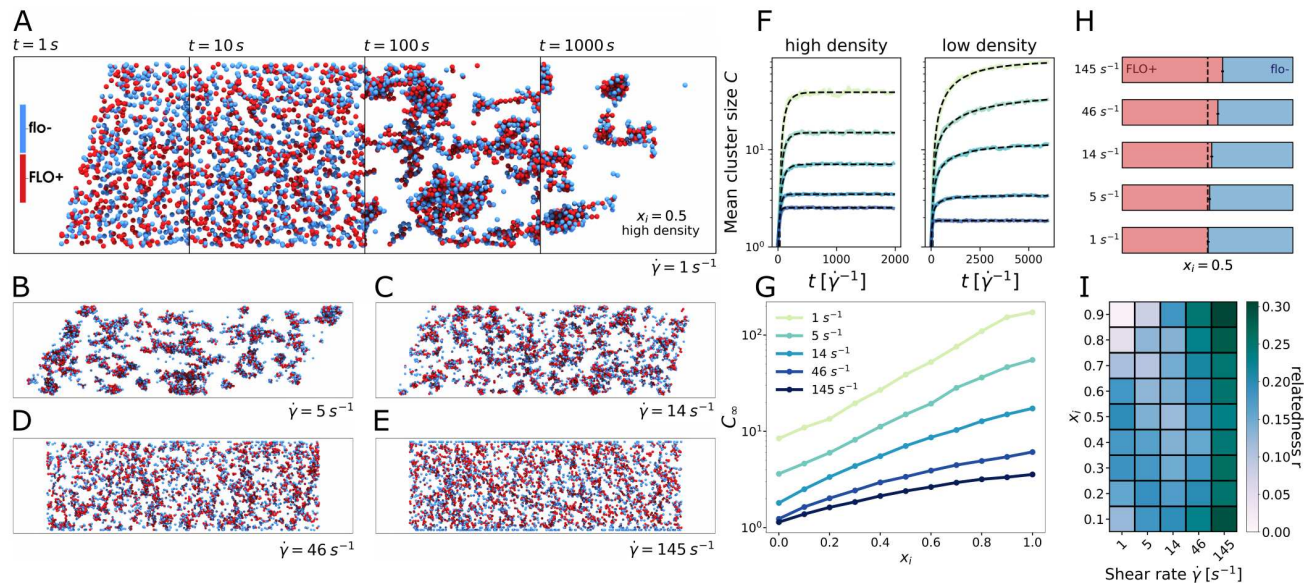


Figure 2. Effect of shear on heterotypic Flo1p-dependent flocculation. (A) Temporal progression of flocculation starting from a homogeneously mixed population of $FLO1^+$ (red) and $flo1^-$ (blue) at increasing time points $\dot{\gamma}t$, shown for a cooperater frequency $x_i = 0.5$, high density, $\rho_{\text{high}} = 1.66 \times 10^7$ cells/ml and shear rate $\dot{\gamma} = 1 \text{ s}^{-1}$. (B-E) Endpoint of flocculation at various shear rates, shown for cooperater frequency $x_i = 0.5$. (F) Time evolution of the mean cluster size C for high ($\rho_{\text{high}} = 1.66 \times 10^7$ cells/ml) and low density ($\rho_{\text{low}} = 0.83 \times 10^7$ cells/ml) for varying shear rate. The black lines indicate exponential fit $C(t) = C_{\infty} [1 - \exp(-t/\tau)]$ and a stretched exponential $C(t) = C_{\infty} [1 - \exp(-(t/\tau)^{\beta})]$ fit for the high and the low density respectively. At high ('super-critical') density, the projected area, integrated across a circular flow line is larger than one, and the system reaches a dynamic steady-state. At low ('sub-critical') density, this projected area is lower than one, and collisions become exceedingly rare after closed flow lines have been depleted of cells, see Fig. S2,S3. (G) Steady state cluster size C_{∞} in function of cooperater frequency x_i for varying shear rate, see Fig. S4. (H) Cluster composition for clusters of size > 2 cells for varying shear rate. The dotted black line indicates cooperater frequency $x_i = 0.5$. (I) Cluster relatedness in function of shear rate $\dot{\gamma}$ and cooperater frequency x_i , see Fig. S6.

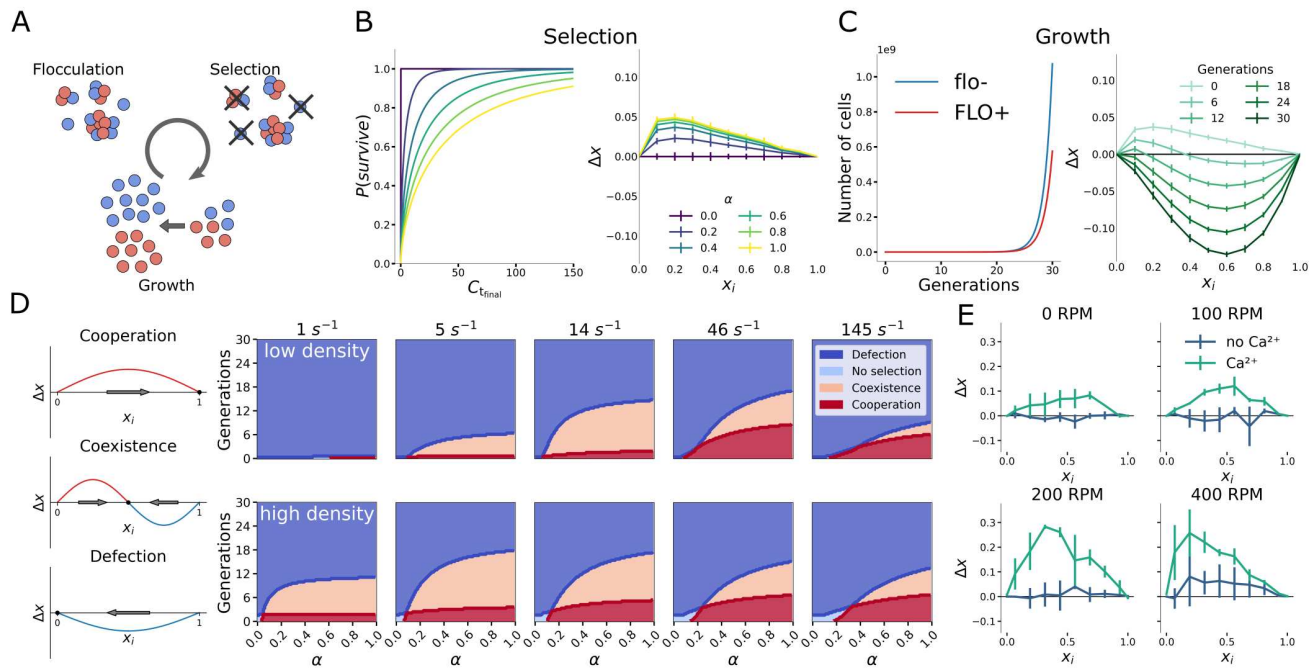


Figure 3. Population dynamics in *FLO1* cooperation. (A) Three sequential ecological processes are considered; flocculation, selection by sedimentation and growth. (B) Cluster size selection probability $P(\text{survive})$ in function of steady-state cluster size $C_{t,\text{final}}$. Population drift Δx after selection at varying selection strength α is shown for $\dot{\gamma} = 14s^{-1}$. (C) selected *FLO1*⁺ cells experience a fitness deficit relative to *flo1*⁻ of 3% as reported by Smukalla *et al.*¹². Drift curves at moderate selection strength ($\alpha = 0.4$, $\dot{\gamma} = 14s^{-1}$) and increasing generations. (D) Classification of drift curves in evolutionarily stable strategies (ESS) cooperation, coexistence, defection. ESS in function of α and the number of generations for high and low density, see Fig. 2. (E) Experimental characterization of population drift for various rotor amplitudes in the presence and absence of Ca^{2+} .

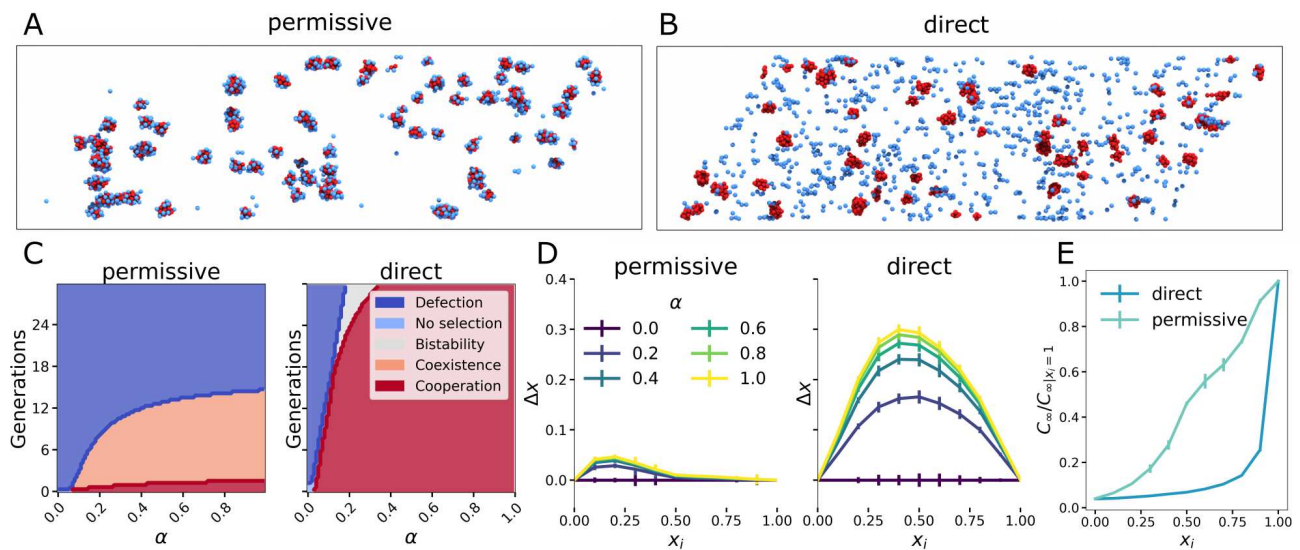


Figure 4. Effect of Flo1p bond properties on evolutionary stability. (A) Evolutionary drift Δx after flocculation and selection for permissive and direct kin recognition. (B) Evolutionarily stable strategy (ESS) for permissive and direct kin recognition. For direct kin recognition, bistability emerges when Δx is increasing at the zero point⁴⁷. (C) Relatedness r in function of initial cooperator frequency x_i for permissive and direct kin selection. (D) Cooperative benefits relative to the fully cooperative system $x_i = 1$ for both permissive and direct kin recognition. (E) Empirical $FLO1^+/FLO1^+$ detachment force variability F_d . Effect of bond strength on the ESS at strong selection ($\alpha = 1$). (F) Final cluster size $C_{t_{final}}$ in function of initial cooperator frequency x_i for varying homotypic detachment forces F_{++} , conserving $F_{++} \approx 2F_{+-}$. (G) Relatedness r in function of F_{++} , conserving $F_{++} \approx 2F_{+-}$ shown for $x_i = 0.5$. Results are shown for low density ($\rho_{low} = 0.83 \times 10^7$ cells/ml) and shear rate $\dot{\gamma} = 14s^{-1}$.