

Title page

Title

Performance of RNA purification kits and blood collection tubes in the Extracellular RNA Quality Control (exRNAQC) study

Authors

exRNAQC Consortium*. CONCEPTUALIZATION: Anneleen Decock^{1,2}, Olivier De Wever^{2,3}, Celine Everaert^{1,2}, Hetty Hilde Helsmoortel^{1,2}, An Hendrix^{2,3}, Pieter Mestdag^{1,2,4}, Annelien Morlion^{1,2}, Jo Vandesompele^{1,2,4}, Ruben Van Paemel^{1,2,5}. DATA CURATION: Francisco Avila Cobos^{1,2}, Anneleen Decock, Celine Everaert, Hetty Hilde Helsmoortel, Annelien Morlion, Ruben Van Paemel. FORMAL ANALYSIS: Francisco Avila Cobos, Jilke De Wilde^{1,2}, Celine Everaert, Carolina Fierro⁴, Pieter Mestdag, Annelien Morlion, Jo Vandesompele, Ruben Van Paemel. FUNDING ACQUISITION: Anneleen Decock, Pieter Mestdag, Jo Vandesompele. INVESTIGATION: Anneleen Decock, Jill Deleu^{1,2}, Jilke De Wilde, Bert Dhondt^{2,3,6}, Hetty Hilde Helsmoortel, Eva Hulstaert^{1,2,7}, Nele Nijs⁴, Justine Nuytens^{1,2}, Annouck Philippron^{2,8,9}, Kathleen Schoofs^{1,2}, Eveline Vanden Eynde^{1,2}, Ruben Van Paemel, Kimberly Verniers^{1,2}, Nurten Yigit^{1,2}. METHODOLOGY: Francisco Avila Cobos, Anneleen Decock, Bert Dhondt, Thibaut D'huyvetter^{1,2}, Celine Everaert, Carolina Fierro, Hetty Hilde Helsmoortel, Pieter Mestdag, Annelien Morlion, Nele Nijs, Annouck Philippron, Thomas Piofczyk⁴, Jo Vandesompele, Ruben Van Paemel. PROJECT ADMINISTRATION: Anneleen Decock. RESOURCES: Jilke De Wilde, Bert Dhondt, Eva Hulstaert, Scott Kuersten¹⁰, Annouck Philippron, Gary P. Schroth¹⁰, Ruben Van Paemel. SOFTWARE: Jasper Anckaert^{1,2}, Francisco Avila Cobos, Celine Everaert, Annelien Morlion, Ruben Van Paemel. SUPERVISION: Pieter Mestdag, Jo Vandesompele, Tom Van Maerken^{1,2,11}. VISUALIZATION: Francisco Avila Cobos, Anneleen Decock, Celine Everaert, Annelien Morlion, Ruben Van Paemel. WRITING - ORIGINAL DRAFT: Francisco Avila Cobos, Anneleen Decock, Jill Deleu, Celine Everaert, Annelien Morlion, Kathleen Schoofs, Ruben Van Paemel. WRITING - REVIEW & EDITING: Anneleen Decock, Celine Everaert, Annelien Morlion, Jo Vandesompele.

27

28 *Within the CRediT groups, authors are in alphabetical order. Annelien Morlion and Ruben Van Paemel
29 took the lead in the analysis of the RNA purification kit and blood collection tube study, respectively.

30

31 ***Affiliations***

32 1 OncoRNALab, Center for Medical Genetics, Department of Biomolecular Medicine, Ghent University,
33 Corneel Heymanslaan 10, Ghent, Belgium

34 2 Cancer Research Institute Ghent (CRIG), Corneel Heymanslaan 10, Ghent, Belgium

35 3 Laboratory of Experimental Cancer Research, Ghent University, Ghent, Belgium

36 4 Biogazelle, Technologiemark 82, Zwijnaarde, Belgium

37 5 Department of Pediatrics, Ghent University Hospital, Ghent, Belgium

38 6 Department of Urology, Ghent University Hospital, Ghent, Belgium

39 7 Department of Dermatology, Ghent University Hospital, Ghent, Belgium

40 8 Lab for Experimental Surgery, Ghent University, Ghent, Belgium

41 9 Department of Gastrointestinal Surgery, Ghent University Hospital, Ghent, Belgium

42 10 Illumina, San Diego, California, USA

43 11 Department of Laboratory Medicine, AZ Groeninge, Kortrijk, Belgium

44

45 ***Corresponding authors***

46 Pieter Mestdag (pieter.mestdag@ugent.be) and Jo Vandesompele (jo.vandesompele@ugent.be)

47 OncoRNALab, Medical Research Building 1, campus UZ Gent, Corneel Heymanslaan 10, Ghent, Belgium

48 Tel +32 9 3326979

49

50 ***Conflict of interest***

51 Carolina Fierro and Nele Nijs are employees, Thomas Piofczyk is a former employee, Pieter Mestdag
52 is a consultant, and Jo Vandesompele a co-founder of Biogazelle, a clinical CRO providing human

biofluid extracellular RNA sequencing. Gary P. Schroth and Scott Kuersten are employees of Illumina, providing library preparation and sequencing reagents. Promega, Qiagen and Roche sponsored blood collection tubes and/or RNA purification kits. Funders did not influence data analysis, interpretation and manuscript writing.

Abbreviations

ACD-A: BD Vacutainer Glass ACD Solution A tube; ALC: area left of the curve; Biomatrix: LBGard Blood Tube; BRISQ: Biospecimen Reporting for Improved Study Quality; bp: base pair; CCF: QIAamp ccfDNA/RNA Kit; CIRC: Plasma/Serum Circulating and Exosomal RNA Purification Kit/Slurry Format; citrate: Vacuette Tube 9 ml 9NC Coagulation sodium citrate 3.2%; DNA Streck: Cell-Free DNA BCT; EDTA: BD Vacutainer Plastic K2EDTA tube; EDTA separator: Vacuette Tube 8 ml K2E K2EDTA Separator; ERCC: Extracellular RNA Communication Consortium; exRNA: extracellular RNA; FC: fold change; gDNA: genomic DNA; LP: Library Prep Control; MAP: MagNA Pure 24 Total NA Isolation Kit in combination with the MagNA Pure instrument; MAX: Maxwell RSC miRNA Plasma and Exosome Kit in combination with the Maxwell RSC Instrument; MIR: miRNeasy Serum/Plasma Kit; MIRA: miRNeasy Serum/Plasma Advanced Kit; miRNA: microRNA; MIRV: mirVana PARIS Kit with purification protocol for total RNA; MIRVE: mirVana PARIS Kit with purification protocol for RNA enriched for small RNAs; mRNA: messenger RNA; NUC: NucleoSpin miRNA Plasma Kit; PAXgene: PAXgene Blood ccfDNA Tube; RA3: RNA 3' adapter; RA5: RNA 5' adapter; RC: RNA extraction Control; RNA Streck: Cell-Free RNA BCT; Roche: Cell-Free DNA Collection Tube; serum: BD Vacutainer SST II Advance Tube; SOP: standard operating procedure;

Abstract

The use of blood-based extracellular RNA (exRNA) as clinical biomarker requires the implementation of a validated procedure for sample collection, processing and profiling. So far, no study has systematically addressed the pre-analytical variables affecting transcriptome analysis of exRNAs. In the

exRNAQC study, we evaluated 10 blood collection tubes, 3 time points between blood draw and downstream processing, and 8 RNA purification methods using the supplier-specified minimum and maximum biofluid input volumes. The impact of these pre-analytics is assessed by deep transcriptome profiling of both small and messenger RNA from healthy donors' plasma or serum. Experiments are conducted in triplicate (for a total of 276 transcriptomes) using 189 synthetic spike-in RNAs as processing controls. When comparing blood tubes, so-called blood preservation tubes do not stabilize RNA very well, as is reflected by increasing RNA concentration and number of detected genes over time, and by compromised reproducibility. We also document large differences in RNA purification kit performance in terms of sensitivity, reproducibility, and observed transcriptome complexity. Our results are summarized in 11 performance metrics that enable an informed selection of the most optimal sample processing workflow for your own experiments. In conclusion, we put forward robust quality control metrics for exRNA quantification methods with validated standard operating procedures (SOPs) for processing, representing paramount groundwork for future exRNA-based precision medicine applications.

Keywords

Extracellular RNA (exRNA), pre-analytical variables, blood collection tube, RNA purification, mRNA capture sequencing, small RNA sequencing

Introduction

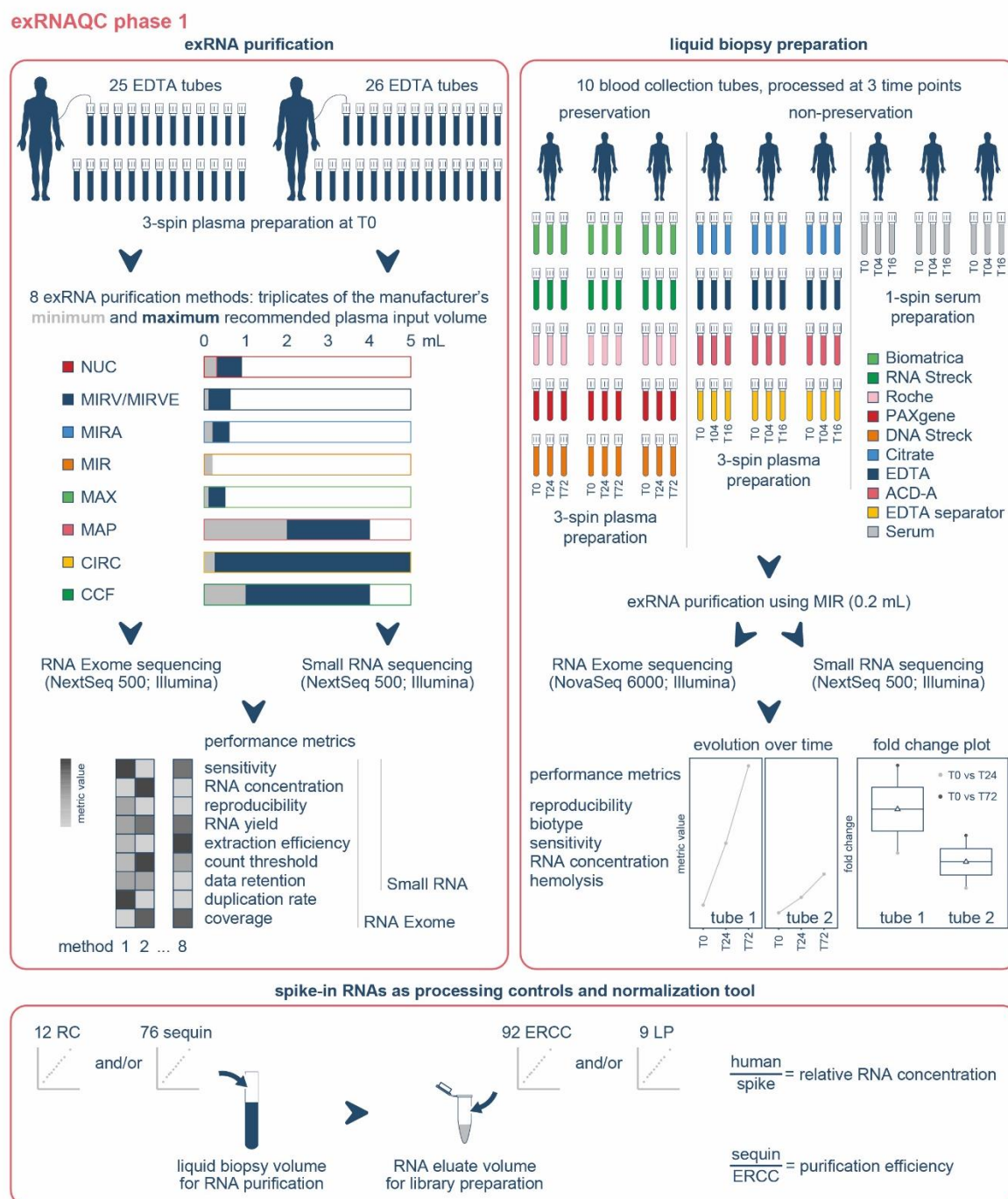
Biomarker studies are increasingly focusing on biofluids as an attractive resource of molecules reflecting human health or disease states. Biopsies from those human body fluids are often referred to as 'liquid biopsies'. In contrast to tissue biopsies, they have the advantage of being minimally invasive and are compatible with serial profiling, enabling one to monitor the impact of an intervention (e.g. treatment, physical exercise) over time.

The majority of liquid biopsy biomarker studies focus on cell-free nucleic acids as candidate biomarkers. While cell-free DNA has been studied intensively and found its way to the clinic for non-invasive prenatal testing⁵, extracellular RNA (exRNA) is relatively new in the biomarker field. Nevertheless, biomarker potential has been ascribed to various RNA molecules, including microRNA (miRNA), messenger RNA (mRNA), long-non-coding RNA and circular RNA in several diseases such as cancer, autoimmune diseases, diabetes, and cardiovascular diseases²⁻⁶. The growing interest in exRNA as a biomarker resource requires the implementation of standardized methods for sample collection, processing and molecular profiling. Blood serum and plasma are amongst the most studied liquid biopsies and several pre-analytical variables, including blood collection tube type, needle type and blood centrifugation speed and duration, are known to influence exRNA abundance patterns (Supplemental table 1)⁷⁻⁹. Nevertheless, those pre-analytical variables are typically not reported in studies, which makes it hard to replicate findings or directly compare biomarker studies.

Over time, multiple research consortia were developed with the aim to standardize some of these pre-analytical variables, including the NIH's Extracellular RNA Communication Consortium (ERCC)^{10,11}, SPIDIA/SPIDIA4P¹² and CANCER-ID¹³. The ERCC aims to bundle fundamental scientific discoveries, protocols, tools and technologies that can be shared with the scientific community, and has developed standardized procedures for plasma isolation, RNA extraction, sequencing and data analysis¹¹. SPIDIA4P includes different European partners that work together for standardization and improvements of pre-analytical procedures. They are currently working on an ISO standard for 'venous whole blood cell free circulating RNA'. Similarly, CANCER-ID is a European consortium that aims to establish standard protocols for clinical validation (including sample storage, sampling procedures, isolation methods) of blood-based biomarkers (e.g. microRNA and extracellular vesicles).

While it is well recognized that pre-analytical variables need to be considered when studying exRNA biomarkers, studies investigating their impact are focused on microRNAs only or are restricted to a limited number of genes (Supplemental table 1). In the Extracellular RNA Quality Control (exRNAQC)

study, we performed an extensive massively parallel sequencing-based analysis of the impact of pre-analytical variables on both small RNA and mRNA profiles. We systematically evaluated 10 blood collection tubes, 3 time points between blood draw and downstream processing, and 8 RNA purification methods using the supplier specified minimum and maximum plasma input volumes. The impact of these pre-analytical factors is firmly established using deep transcriptome profiling of all small and messenger RNAs from healthy donors' plasma or serum. Synthetic spike-in RNAs were added during and after RNA purification and a wide variety of performance metrics were evaluated (Figure 1). To the best of our knowledge, such a comprehensive analysis of pre-analytical variables in the context of exRNA profiling has not yet been performed.



139

140 Figure 1: Overview of the exRNAQC phase 1 study design. To evaluate the impact of the 8 RNA

141 purification methods (left panel), two blood draws from a single individual were performed to

142 separately apply mRNA capture (study code: exRNAQC004) and small RNA (study code: exRNAQC011)

143 sequencing. Both minimum and maximum recommended plasma input volumes were tested in

144 triplicate. To compare RNA purification performance, 9 performance metrics were calculated. To

145 evaluate the impact of the 10 blood collection tubes (right panel), 9 individuals were sampled, enabling

to test 3 time intervals between blood draw and downstream processing for each of the tubes. Preservation tubes were processed immediately upon blood collection (T0), after 24 hours (T24) or after 72 hours (T72). Non-preservation plasma and serum tubes were processed immediately upon blood collection (T0), after 4 hours (T4) or after 16 hours (T16). Both mRNA capture (study code: exRNAQC005) and small RNA (study code: exRNAQC013) sequencing were performed, and the data was analyzed using 5 performance metrics. To control the RNA purification and library preparation workflows, 189 synthetic spike-in RNA molecules (Sequin and Extracellular RNA Communication Consortium (ERCC) spike-ins for RNA Exome sequencing, and RNA extraction Control (RC) and Library Prep Control (LP) spike-ins for Small RNA sequencing) were used, allowing to calculate relative RNA concentrations and purification efficiency (lower panel). ACD-A: BD Vacutainer Glass ACD Solution A tube; ALC: area left of the curve; Biomatrix: Lbgard Blood Tube; CCF: QIAamp ccfDNA/RNA Kit; CIRC: Plasma/Serum Circulating and Exosomal RNA Purification Kit/Slurry Format; citrate: Vacuette Tube 9 ml 9NC Coagulation sodium citrate 3.2%; DNA Streck: Cell-Free DNA BCT; EDTA: BD Vacutainer Plastic K2EDTA tube; EDTA separator: Vacuette Tube 8 ml K2E K2EDTA Separator; MAP: MagNA Pure 24 Total NA Isolation Kit in combination with the MagNA Pure instrument; MAX: the Maxwell RSC miRNA Plasma and Exosome Kit in combination with the Maxwell RSC Instrument; MIR: the miRNeasy Serum/Plasma Kit; MIRA: the miRNeasy Serum/Plasma Advanced Kit; MIRV: the mirVana PARIS Kit with purification protocol for total RNA; MIRVE: mirVana PARIS Kit with purification protocol for RNA enriched for small RNAs; NUC: the NucleoSpin miRNA Plasma Kit; PAXgene: PAXgene Blood ccfDNA Tube; RNA Streck: Cell-Free RNA BCT; Roche: Cell-Free DNA Collection Tube; serum: BD Vacutainer SST II Advance Tube.

Results

RNA purification methods strongly influence miRNA and mRNA abundance profiles

Eight different total RNA purification kits were selected for comparison: miRNeasy Serum/Plasma Kit (MIR), miRNeasy Serum/Plasma Advanced Kit (MIRA), mirVana PARIS Kit (MIRV), NucleoSpin miRNA

Plasma Kit (NUC), QIAamp ccfDNA/RNA Kit (CCF), Plasma/Serum Circulating and Exosomal RNA Purification Kit/Slurry Format (CIRC), Maxwell RSC miRNA Plasma and Exosome Kit in combination with the Maxwell RSC Instrument (MAX), and MagNA Pure 24 Total NA Isolation Kit in combination with the MagNA Pure instrument (MAP). Since most kits allow a range of blood plasma input volumes, we tested both the minimum and maximum input volume recommended by each supplier. This resulted in 15 unique combinations of kits and input volumes. To evaluate small RNA purification, we added two additional combinations of the mirVana PARIS Kit by applying an alternative protocol for specific enrichment of small RNAs (MIRVE), resulting in 17 unique kit-input volume combinations for small RNA profiling. Blood was collected from one healthy donor and three technical replicates were used for every kit-volume combination, resulting in 45 and 51 samples that were processed for RNA extraction and sequencing library preparation for mRNA capture and small RNA, respectively.

We first investigated potential DNA contamination in the RNA samples using the strandedness of the mRNA capture-seq data as a proxy. As we applied a stranded library preparation protocol, strandedness should be close to 100% in the absence of DNA contamination. Strandedness of data generated using the MAP kit, however, was considerably lower: only 70-75% and 80-85% of reads mapped to the correct strand for MAP2 and MAP4 purification, respectively, while this percentage was above 95% for all other purification methods (Supplemental figure 1c). Moreover, the small RNA-seq data from the MAP kit contained a much higher fraction of mapped reads that did not overlap annotated small RNA sequences (35 to 52% of mapped reads for MAP compared to only 1 to 6% for other purification kits) and more than 80% of these unannotated reads did not overlap with known exons. Despite DNase treatment, these findings strongly suggest residual DNA contamination in MAP kit RNA eluates and we therefore excluded this kit from further analyses.

To evaluate differences among RNA purification kits, we calculated nine different performance metrics: detection sensitivity (number of mRNAs or miRNAs detected), RNA concentration, RNA yield, extraction efficiency, reproducibility based on count threshold, data retention after filtering, reproducibility based on area left of the curve (ALC), PCR duplication rate, and transcriptome coverage

(see Methods for a detailed description of each individual metric; the last two metrics were only evaluated at the mRNA level).

In terms of **sensitivity**, the absolute **number of mRNAs and miRNAs** detected ranged from 989 to 11,322 and from 69 to 171, respectively. While a higher input volume consistently resulted in a higher number of detected mRNAs or miRNAs for a given kit (Figure 2a & b), this was not always true when comparing different kits (e.g. MIRA with 600 μ l (7424 mRNAs on average) versus NUC with 900 μ l plasma input (4766 mRNAs on average); Figure 2a).

The purification kit resulting in the highest relative mRNA **concentration** (CCF4) had on average a 76 times higher eluate concentration than the kit with the lowest concentration (MIRV0.1) (Figure 2c).

For miRNAs, the difference was even larger, with a 238 times higher concentration in CCF4 compared to MIRVE0.1 (Figure 2d). When excluding MIRVE, which was not tested at mRNA level, the difference

between the kit with the highest and lowest relative miRNA concentration was on average 29-fold. The **RNA yield** metric represents the relative amount of RNA in the total eluate volume after purification.

For mRNA capture sequencing, there was on average a 30-fold difference in yield between the kit with the highest yield (CIRC5) compared to the kit with the lowest yield (NUC0.3) (Supplemental figure 2e).

For small RNA sequencing, there was on average an 85-fold difference between the kit with the highest yield (MAX0.5) compared to the kit with the lowest yield (MIRVE0.1) (Supplemental figure 2f). Overall, yield differences among kits were smaller than concentration differences, as expected given that differences in eluate volumes (from 14 to 100 μ l) are canceled out.

The **extraction efficiency** metric is a performance metric that, besides RNA yield, also takes into account differences in input volume for RNA purification. It is a relative measure of how well a certain kit purifies RNA from the plasma input volume. When looking at the extracellular mRNA transcriptome, the highest average purification efficiency (MAX0.1) was 10 times higher than the lowest (MIRV0.1) (Supplemental figure 2g). For small RNAs, the highest average efficiency (MAX0.1) was 25 times higher than the lowest (MIRV0.625) (Supplemental figure 2h). Note that the extraction efficiency is kit

dependent, whereby -expectedly- no differences are observed between the maximum and minimum input volume for a given kit.

For each purification kit, we determined a **count threshold** to filter noisy data based on eliminating 95% of single positive observations between technical replicates. Higher thresholds indicate higher variability. This threshold varied from 5 to 14 counts at mRNA level for CCF4 and MIRV0.1, respectively, and from 2 to 16 counts at miRNA level for MIRA0.6 and MIRVE0.1, respectively (Supplemental figure 2a & b; Supplemental table 2). A related metric, **data retention**, represents the fraction of total counts that are retained after applying the count threshold. For mRNA capture sequencing, data retention ranged from an average of 93.5% in MIRV0.1 to an average of 99.7% in CCF4 (Supplemental figure 2c). For small RNA sequencing, data retention ranged from an average of 98.8% in MIRVE0.1 to an average of 99.8% in MAX0.5 (Supplemental figure 2d).

To assess **reproducibility**, we determined the area left of the curve (ALC), a robust metric based on differences in mRNA or miRNA counts between technical replicates (see Methods and the miRQC study¹⁴). The higher the reproducibility, the lower the ALC value. Most kits performed equally well with respect to miRNA count reproducibility (Figure 2f) with the exception of MIRVE0.1. For mRNA, CIRC0.25 and MIRV0.1 had a lower reproducibility than the other kits while CCF4 had the best reproducibility, closely followed by CIRC5 and MIRO.2 (Figure 2e). Within a kit, the maximum input volume consistently resulted in a better reproducibility compared to the minimum input volume.

A low amount of input RNA, as is the case for plasma, typically results in mRNA capture-seq libraries with a high fraction of PCR duplicates. The average **duplication rate** ranged from 82.2% (CCF4) to 97.3% (NUC0.3) of mRNA capture sequencing reads (Supplemental figure 1a). Note that even a small difference in PCR duplication rate can have a high impact on the total number of non-duplicated reads: with CCF4, on average six times more non-duplicated reads were generated compared to NUC0.3 (Supplemental table 3).

Finally, the **transcriptome coverage** metric was used to assess the diversity of mRNA capture sequencing reads. The MIRV0.1 kit had the lowest average coverage: only 1.8% of the human Ensemble

v91 transcriptome was covered by at least one sequencing read. Purification with CCF4 resulted in the highest average coverage (17.7%, Supplemental figure 1b).

A summary plot of all performance metrics after robust z-score transformation is shown in Figure 2g & h, for mRNA and small RNA level, respectively. For each metric, a higher z-score indicates a better performance. In general, kit differences are smaller for miRNA than for mRNA (less variability in z-score and metric values). For mRNA capture sequencing, kits with a higher plasma input volume such as CIRC5 and CCF4 scored better on most performance metrics. Kits with plasma input volumes below 0.5 ml were in general less performant than other kits, with the exception of MIRA0.2. Note, however, that despite the lower performance scores, MAX0.1 and MIRV0.1 were quite efficient in purifying RNA from the given 0.1 ml of plasma. Moreover, plasma input volume alone does not completely determine performance as some kits with a lower plasma input volume still perform better than kits with a higher input, for example MIRA0.6 and CCF1. For small RNAs, we mainly observed low performance in the smaller input volume kits, but there were exceptions. MAX0.5 and MIRA0.6, for example, scored surprisingly well or even better compared to kits with a much larger plasma input volume such as CIRC5 and CCF4. In contrast to mRNA capture sequencing, more plasma input for a given kit did not always result in better small RNA sequencing performance (see CIRC5 vs CIRC0.25).

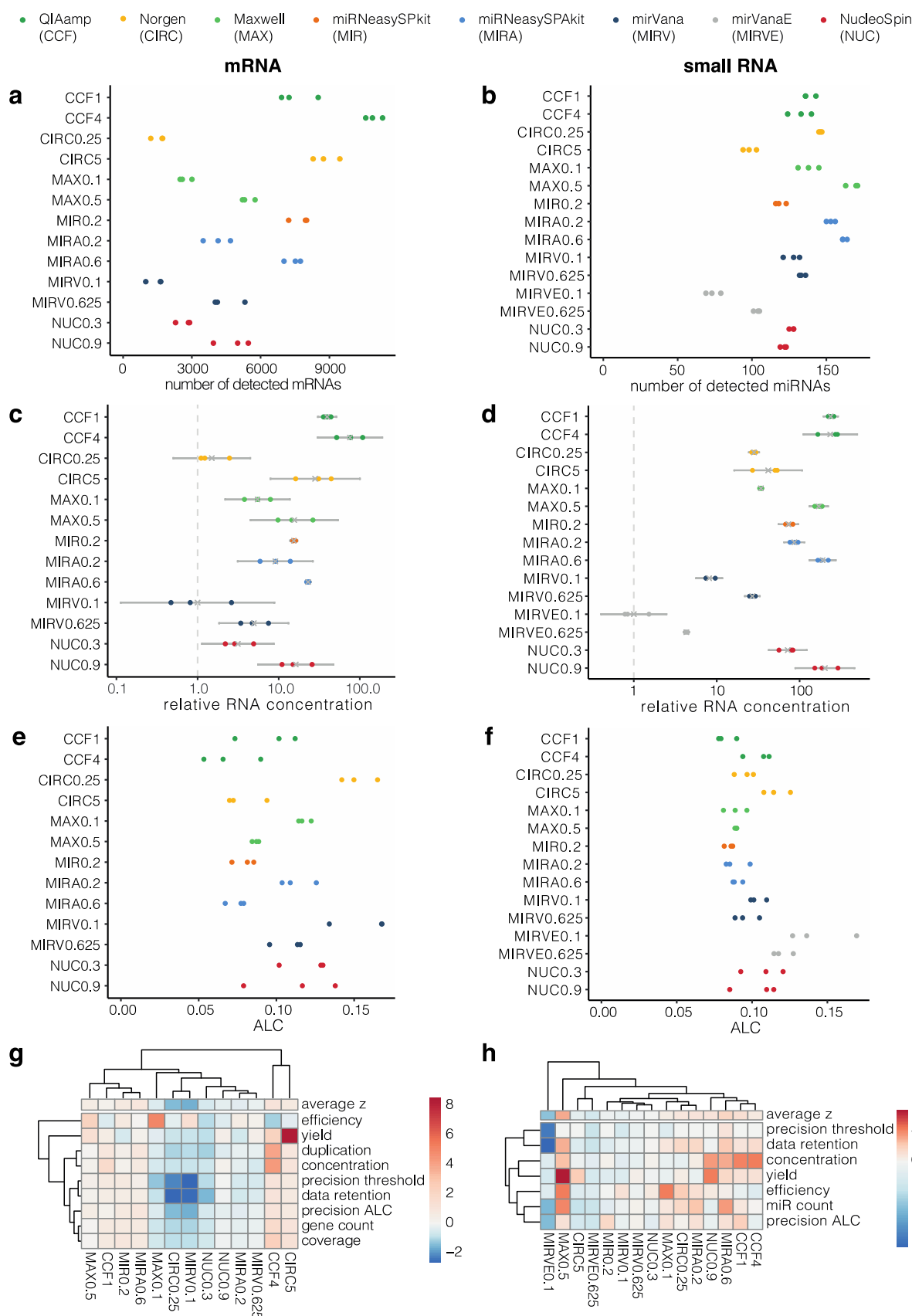


Figure 2: Performance of RNA purification kits for mRNA capture sequencing (mRNA) and small RNA sequencing (miRNA). a&b: absolute number of mRNAs and miRNAs, resp., that reach count threshold;

c: relative endogenous RNA concentration at mRNA level (ratio of endogenous RNA to ERCC spikes); d: relative endogenous miRNA concentration (ratio of endogenous miRNA to LP spikes); c&d: values are log rescaled to the lowest mean of all kits and transformed back to linear space, 95% confidence interval is shown; e&f: reproducibility between technical replicates based on ALC (smaller ALC indicates better reproducibility) at mRNA and miRNA level, resp.; g&h: overview of all performance metrics at mRNA capture and small RNA sequencing level, resp., after transforming the values to robust z-scores where a higher z-score indicates a better performance, rows and columns of heatmap are clustered according to complete hierarchical clustering based on Euclidean distance, average z refers to the mean of robust z-scores for a specific purification kit. Number that follows the abbreviation of the purification kit is the plasma input volume (in ml).

Blood preservation tubes are not suitable for exRNA analysis

Eleven different blood collection tubes were selected, belonging to two categories: tubes that are not designed to stabilize nucleic acids (which we termed “non-preservation tubes”; n = 6), and so-called “preservation tubes” (n = 5) that are specifically designed to allow more time between the blood draw and plasma preparation. The selected non-preservation tubes were the BD Vacutainer Plastic K2EDTA tube (EDTA), Vacuette Tube 8 ml K2E K2EDTA Separator (EDTA separator), BD Vacutainer Glass ACD Solution A tube (ACD-A), Vacuette Tube 9 ml 9NC Coagulation sodium citrate 3.2% (citrate), and BD Vacutainer SST II Advance Tube (serum). The preservation tubes were the Cell-Free RNA BCT (RNA Streck), Cell-Free DNA BCT (DNA Streck), PAXgene Blood ccfDNA Tube (PAXgene), Cell-Free DNA Collection Tube (Roche) and LBgard Blood Tube (Biomatrica). For each of the blood collection tubes, we recruited three healthy volunteers and three time intervals between blood draw and plasma preparation were chosen: immediately (T0), time point 1 (4 hours for non-preservation tubes, 16 hours for preservation tubes), and time point 2 (16 hours for non-preservation tubes and 72 hours for preservation tubes). This resulted in 90 samples that were subsequently processed for RNA extraction, mRNA capture-seq and small RNA-seq. To evaluate tube stability over time, we calculated 5 different

performance metrics: (1) hemolysis, (2) relative RNA concentration, (3) number of mRNA or miRNA genes detected, (4) fraction of counts mapping on mRNAs or miRNAs, and (5) reproducibility (ALC) (see Methods for a detailed description of each metric). Stability of each metric over time was evaluated as a fold change between time point 0 and time point 1 and between time point 0 and time point 2 as exemplified in Supplemental figure 3. If processing time has no impact on any of the above-described methods, respective fold changes should be close to one. For each blood tube, the average fold change of each performance over time is shown in Figure 3.

Hemolysis was quantified based on absolute absorbance at 414 nm and evaluated by visual inspection during liquid biopsy preparation. For the non-preservation tubes, hemolysis measurements were below the generally accepted absorbance threshold of 0.2^{15,16} across all donors and time points (Supplemental figure 4a, Supplemental figure 5a and **Supplemental figure 6**). Oppositely, for all preservation tubes except the Biomatrix tube, plasma showed to be hemolytic for at least one donor at T0. At T72, the Biomatrix hemolysis measurements also exceeded the 0.2 threshold. Despite the low absorbance values, we did observe up to two-fold differences in function of time: mean fold changes in non-preservation tubes ranged from 1.05 to 2.04, in preservation tubes from 1.19 to 2.08 (Supplemental figure 7a & Supplemental figure 8a).

Relative RNA concentration in non-preservation tubes remained quite stable over time, with a 1.23 to 1.48 fold increase in mRNA mass and 1.57 to 2.97 fold increase in miRNA mass (Supplemental figure 7b & Supplemental figure 8b). Unexpectedly, RNA concentration was less stable in preservation tubes, with fold changes of 1.84 to 4.03 and 1.75 to 10.50 for mRNA and small RNA, respectively. While RNA concentration did not change substantially between time points for the RNA Streck tubes, the relative RNA concentration at the individual time points for these tubes was substantially lower compared to the other tubes (on average 4.97-fold lower for mRNA and 10.36-fold lower for small RNA (Supplemental figure 4b & Supplemental figure 5b).

The **absolute number of mRNAs and miRNAs** in non-preservation tubes remained relatively constant over time: mean fold changes ranged from 1.29 to 1.59 and from 1.10 to 1.36 at mRNA and small RNA

sequencing level, respectively. In preservation tubes, the mean fold change ranged from 1.86 to 4.01 and from 1.08 to 1.67, for mRNA and miRNA, respectively (Supplemental figure 7c & Supplemental figure 8c). Furthermore, and similar to the RNA concentration, the absolute number of mRNAs and miRNAs was substantially lower in DNA Streck and RNA Streck tubes compared to the others (mean number of mRNAs: 385 and 840 for RNA Streck and DNA Streck, respectively; mean number of miRNAs: 60 for RNA Streck) (Supplemental figure 4c & Supplemental figure 5c).

The **fraction of total counts mapping to mRNAs and miRNAs** (Supplemental figure 4d & Supplemental figure 5d) in non-preservation tubes remained fairly constant over time: mean fold changes ranged from 1.08 to 1.14 and from 1.13 to 1.47, for mRNA and miRNA, respectively. For the preservation tubes, the mean fold changes were higher: from 1.69 to 2.28 and from 1.38 to 4.52, for mRNA and miRNA, respectively (Supplemental figure 7e & Supplemental figure 8e).

Reproducibility remained stable over time for both preservation and non-preservation tubes: mean fold changes ranged from 1.06 to 1.18 (Supplemental figure 7d & Supplemental figure 8d).

As noted above, the relative RNA concentration and number of detected mRNAs or miRNAs were considerably lower when using the preservation tubes DNA Streck and RNA Streck compared to the others. We also observed that the fraction of reads mapping to the correct strand was lower for these tubes compared to other tubes (see strandedness in <https://github.com/OncoRNALab/exRNAQC>). Moreover, library construction for RNA isolated from these two tubes resulted in libraries with an insufficient library yield for equimolar pooling. Therefore, these tube types seem unfit for blood plasma-based exRNA analysis at the evaluated time points. In general, the stability of the performance metrics over time was substantially higher for the non-preservation tubes compared to the preservation tubes (Figure 3).

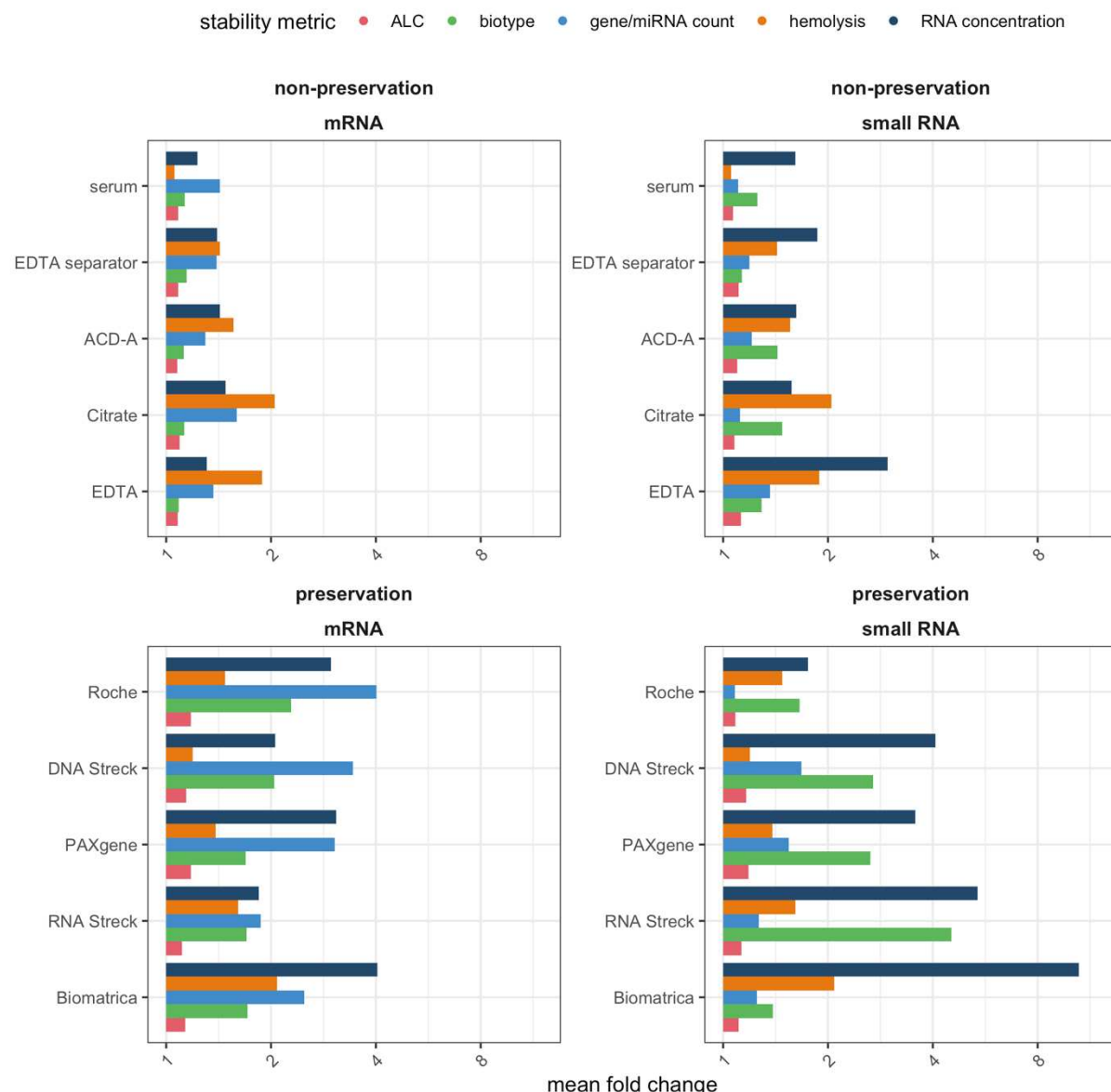


Figure 3. Summary of mean fold changes (FC) between time point 1 (centrifugation step 4 hours after blood collection for non-preservation tubes; 24 hours for preservation tubes) and time point 0 vs. time point 2 (centrifugation step 16 hours after blood collection for non-preservation tubes; 72 hours for preservation tubes) and time point 0, per tube and per metric, for mRNA capture sequencing (**left**) and small RNA sequencing (**right**). Ideally, the mean FC of the stability metrics approaches 1, indicating that there is little change from baseline and the blood collection tube performs well across time.

Legend: “gene/miRNA count” represents stability of the absolute number of protein coding genes (mRNA) or absolute number of miRNAs (small RNA), “RNA concentration” corresponds to the stability of the relative RNA concentration as determined by number of endogenous reads vs Sequin spike-in

RNA (mRNA) or the stability of the relative RNA concentration as determined by number of endogenous reads vs RC spike-in RNA (small RNA), “hemolysis” corresponds to stability of the absorbance of light at 414 nm (mRNA and small RNA), “biotype” corresponds to the stability of the fraction of all counts mapping to mRNAs (i.e. the protein coding fraction) or the stability of the fraction of all counts mapping to micro RNAs (small RNA), “ALC” corresponds to the area left of the curve, a reproducibility metric (mRNA and small RNA). Non-preservation tubes are the BD Vacutainer Plastic K2EDTA tube (EDTA), Vacuette Tube 8 ml K2E K2EDTA Separator (EDTA separator), BD Vacutainer Glass ACD Solution A tube (ACD-A), Vacuette Tube 9 ml 9NC Coagulation sodium citrate 3.2% (citrate), and BD Vacutainer SST II Advance Tube (serum). The preservation tubes are the Cell-Free RNA BCT (RNA Streck), Cell-Free DNA BCT (DNA Streck), PAXgene Blood ccfDNA Tube (PAXgene), Cell-Free DNA Collection Tube (Roche) and LBGard Blood Tube (Biomatrica). Note that different donors were sampled and that tubes were processed at different time points for preservation and non-preservation tubes.

Discussion

In the extracellular RNA Quality Control (exRNAQC) study, we examined eight RNA purification methods and ten blood collection tubes as pre-analytical variables affecting exRNA quantification, using both mRNA as well as small RNA sequencing. Eight kits marketed for RNA purification from serum or plasma, and 10 blood collection tubes commonly used in the clinic available at study initiation were selected for investigation. More than 1.4 liter of blood was collected from 11 different healthy donors in order to conduct all experiments in triplicate, resulting in 276 extracellular transcriptomes. To control the RNA purification and library preparation workflows, 189 synthetic spike-in RNA molecules (Sequin and ERCC spike-ins for mRNA sequencing, and RC and LP spike-ins for small RNA sequencing) were used. We previously demonstrated the importance of using these spike-in RNAs for deep sequencing-based quantification of exRNA^{17,18}, and further confirmed their critical importance in the current exRNAQC study. Here, spike-in RNAs were used to assess the relative RNA yield and concentration, and to determine the extraction efficiency of the different RNA purification methods.

Importantly, we do not only provide full access to the data and analysis pipelines (European Genome-phenome Archive (EGA): EGAS00001005263 and ArrayExpress, <https://github.com/OncoRNALab/exRNAQC>), but also supply the research community with consistent and standardized pre-analytics information to better interpret, compare and reproduce our results. To this purpose, the transcriptomes are annotated with multiple pre-analytical variables, including the Biospecimen Reporting for Improved Study Quality (BRISQ) elements^{19,20} (Supplemental table 4). Overall, these aspects make the exRNAQC study not only the largest, but also the most comprehensive sequencing-based evaluation of pre-analytical factors affecting exRNA analysis so far. Although all eight tested RNA purification kits are marketed for extraction of exRNA from serum or plasma, unexpectedly large performance differences were observed for both small RNA and, to a greater extend, mRNA. With most exRNA kits specifically developed for microRNA quantification, it is not very surprising that the kit performance at miRNA level is more homogenous than at mRNA level. We clearly noted that the mRNA purification performance was linked to the biofluid input and eluate volume. More specifically, a higher biofluid input volume resulted in higher relative mRNA concentrations. This association did not hold true for microRNA, as exemplified by CCF1 and CCF4. Also, RNA purification kits with a large eluate volume typically showed a high yield but low relative RNA concentration. For these kits, condensing the eluate volume prior to library preparation could potentially increase their overall performance. Kits with a high extraction efficiency did not always result in better RNA quantification results because of limited input volumes. If these kits would accommodate a larger plasma input volume (while maintaining their extraction efficiency), their overall performance could also improve. Note, however, that the efficiency of some kits decreased when using the maximum input volume compared to the minimum (e.g. CCF). Finally, we want to emphasize the importance of removing co-purified genomic DNA (gDNA) from the extracted RNA samples before proceeding to exRNA quantification²¹. We observed high-level gDNA contamination in RNA-eluates produced with the MAP kit despite applying a commonly used gDNA removal strategy that worked well for the other RNA kits. This gDNA contamination is most likely due to an incompatibility between the RNA elution

buffer and the gDNA removal reagents. Alternative gDNA removal strategies should be used before applying the MAP RNA extraction kit for exRNA analysis.

To evaluate the impact of the blood collection tube on downstream exRNA sequencing, biofluids (serum and plasma) were prepared at three different time points upon blood collection to assess potential changes in exRNA content due to blood storage at room temperature. To set a reference, each tube type was also processed immediately after blood collection. For non-preservation tubes, we set the processing time points at 4 and 16 hours to mimic same-day processing and next-day processing, real-life situations often happening in clinics. For preservation tubes that are specifically marketed to stabilize extracellular nucleic acids for 7 up to 14 days, more extreme time points for plasma preparation were selected, i.e. 24 and 72 hours upon blood collection. Surprisingly, in terms of stability over time, preservation tubes performed far worse than non-preservation tubes (including serum), as reflected in increasing RNA concentrations and number of detected genes over time and by compromised reproducibility. While preservation tubes were stored at room temperature for longer duration compared to non-preservation tubes, storage time was still substantially shorter than advertised for these tubes. In addition, exRNA concentrations were much lower and hemolysis levels remarkably higher in some of these tubes compared to non-preservation tubes, even at baseline (i.e. immediate processing upon blood draw). Although hemolysis may induce changes in exRNA content, the observed instability of the performance metrics over time for these tubes cannot solely be explained by differences in hemolysis over time. In this context, it is worth mentioning that, between individuals and across time points, we observed substantial differences in the amount of plasma that could be prepared from the preservation tubes, an issue that was reported before²². This also points towards performance instability (over time). Based on these findings, we conclude that the studied preservation tubes are not suitable for exRNA analysis at the examined time intervals. We invite blood collection tube manufacturers to increase their efforts to develop a plasma or serum tube that preserves the transcriptome for at least 3 days.

We are currently extending the exRNAQC study with a second phase, results of which are not shown in this paper, in which we aim to assess possible interactions between pre-analytic variables. To this purpose, three non-preservation blood collection tubes (serum, EDTA and citrate) and two RNA purification kits were selected for further evaluation. The tube selection was based on the superior performance of these tubes as well as their widespread availability in the clinic. The kit selection was based on both sensitivity (number of detected protein coding genes or miRNAs) and reproducibility (pairwise comparison of gene counts in technical replicates). Plasma input volume was used as an additional criterium, as we included at least one kit which requires less than one milliliter plasma. Because of the differences in kit performance on mRNA and miRNA level, the kits that were selected for each biotype separately are MAX0.5 and MIRA0.6 for small RNA sequencing, and MIR0.2 and CCF2 for mRNA capture sequencing (Supplemental figure 9).

In the exRNAQC study phase 1, we demonstrate that the selection of RNA purification method and blood collection tube substantially impacts mRNA and miRNA quantification by evaluation of 11 performance metrics. Here, 8 commercially available RNA purification methods and 10 blood collection tubes were studied, but the proposed framework and metrics can also be used to evaluate the performance of more recently developed RNA purification methods and blood collection tubes. Note that the metrics solely assess technical performance, and that the impact of the pre-analytics on biomarker detection was not addressed in this study. In addition, for small RNA sequencing, we only focused on the analysis of microRNAs. While important, analysis of other types of small RNAs was beyond the scope of the exRNAQC study. Based on the findings presented here, we highly recommend a) standardizing sample collection and processing, b) carefully annotating and reporting pre-analytics, and c) making use of synthetic spike-in RNA molecules for deep sequencing-based analyses of exRNA. This is crucially important for interpretation and comparison of all exRNA study results, and will enhance the reproducibility of exRNA research, as a starting point for biofluid based biomarker studies.

Materials and methods

Donor material and liquid biopsy preparation

Sample collection was approved by the ethics committee of Ghent University Hospital (Belgian Registration number B670201733701) and written informed consent was obtained from 11 healthy donors. Venous blood was collected from an elbow vein after disinfection with 2% chlorhexidine in 70% alcohol. In total, 10 different blood collection tubes were used: the BD Vacutainer SST II Advance Tube (referred to as serum in this study; Becton Dickinson and Company, 366444), BD Vacutainer Plastic K2EDTA tube (EDTA; Becton Dickinson and Company, 367525), Vacuette Tube 8 ml K2E K2EDTA Separator (EDTA separator; Greiner Bio-One, 455040), BD Vacutainer Glass ACD Solution A tube (ACD-A; Becton Dickinson and Company, 366645), Vacuette Tube 9 ml 9NC Coagulation sodium citrate 3.2% (citrate; Greiner Bio-One, 455322), Cell-Free RNA BCT (RNA Streck; Streck, 230248), Cell-Free DNA BCT (DNA Streck; Streck, 218996), PAXgene Blood ccfDNA Tube (PAXgene; Qiagen, 768115), Cell-Free DNA Collection Tube (Roche; Roche, 07785666001), and LBgard Blood Tube (Biomatrica; Biomatrica, M68021-001). Immediately after blood draw, blood collection tubes were inverted five times and all tubes were transported to the laboratory for plasma or serum preparation. Tubes were immediately processed or at 4h, 16h, 24h or 72h upon blood collection. Details on the different blood draws and plasma/serum preparations are available in the Supplemental Materials and Methods.

RNA isolation and gDNA removal

In total, 8 different exRNA extraction methods, including 6 spin column-based kits and 2 automated extraction procedures, were used according to the manufacturer's manual: the miRNeasy Serum/Plasma Kit (abbreviated to MIR in this study; Qiagen, 217184), miRNeasy Serum/Plasma Advanced Kit (MIRA; Qiagen, 217204), mirVana PARIS Kit (MIRV; Life Technologies, AM1556), NucleoSpin miRNA Plasma Kit (NUC; Macherey-Nagel, 740981.50), QIAamp ccfDNA/RNA Kit (CCF; Qiagen, 55184), Plasma/Serum Circulating and Exosomal RNA Purification Kit/Slurry Format (CIRC; Norgen Biotek Corp., 42800), Maxwell RSC miRNA Plasma and Serum Kit (Promega, AX5740 and

AS1680) in combination with the Maxwell RSC Instrument (MAX; Promega, AS4500), and MagNA Pure 24 Total NA Isolation Kit (Roche, 07658036001) in combination with the MagNA Pure 24 instrument (MAP; Roche, 07290519001). Per 100 µl liquid biopsy input volume, 1 µl sequin spike-in controls (Garvan Institute of Medical Research²³) and/or 1 µl RNA extraction Control (RC) spike-ins²⁴ (IDT) were added to the lysate for TruSeq RNA Exome Library Prep sequencing and/or TruSeq Small RNA Library Prep sequencing, respectively (see Supplemental Materials and Methods). To maximally concentrate the RNA eluate, minimum eluate volumes were used, unless otherwise recommended by the manufacturer. For evaluation of the different extraction methods, both the minimum and maximum recommended plasma input volumes were tested in triplicate. Details on the exRNA extraction methods, and sequin and RC spike-in controls are available in the Supplemental Materials and Methods.

gDNA removal of RNA samples for TruSeq RNA Exome Library Prep sequencing was performed using HL-dsDNase (ArcticZymes, 70800-202) and Heat & Run 10X Reaction Buffer (ArcticZymes, 66001). Briefly, 2 µl External RNA Control Consortium (ERCC) spike-in controls (ThermoFisher Scientific, 4456740), 1 µl HL-dsDNase and 1.4 µl reaction buffer were added to 12 µl RNA eluate, and incubated for 10 min at 37 °C, followed by 5 min at 55 °C. To RNA samples used for both TruSeq RNA Exome Library Prep sequencing and TruSeq Small RNA Library Prep sequencing, also 2 µl Library Prep Control (LP) spike-ins²⁵ (IDT) were added to the RNA eluate before starting gDNA removal and 1.6 µl reaction buffer was used. RNA samples solely used for TruSeq Small RNA Library Prep sequencing were not DNase treated. Here, 2 µl LP spike-ins were added to 12 µl RNA eluate before starting library preparation. ERCC and LP spike-in control details are available in the Supplemental Materials and Methods.

TruSeq RNA Exome sequencing

mRNA libraries were prepared starting from 8.5 µl RNA eluate using the TruSeq RNA Exome Kit (Illumina, 20020189, 20020490, 20020492, 20020493, 20020183), according to the manufacturer's

protocol with following adaptations: fragmentation of RNA for 2 min at 94 °C, second strand cDNA synthesis for 30 minutes at 16 °C (with the thermal cycler lid pre-heated at 40 °C), and second PCR amplification using 14 PCR cycles. Upon the first and second PCR amplification, libraries were validated on a Fragment Analyzer (Advanced Analytical Technologies), using 1 µl of library. Library concentrations were determined using Fragment Analyzer software for smear analysis in the 160 to 700 base pair (bp) range. Library quantification was qPCR-based, using the KAPA Library Quantification Kit (Kapa Biosystems), and/or based on NanoDrop 1000 measurements. Details on library preparation protocol, library quantification, pooling and sequencing are available in the Supplemental Materials and Methods and in Hulstaert *et al.*¹⁸

TruSeq Small RNA Library Prep sequencing

Small RNA libraries were prepared starting from 5 µL RNA eluate using the TruSeq Small RNA Library Prep Kit (Illumina, RS-200-0012, RS-200-0024, RS-200-0036, RS-200-0048), according to the manufacturer's protocol with following adaptations: the RNA 3' adapter (RA3) and the RNA 5' adapter (RA5) were 4-fold diluted with RNase-free water, and the number of PCR cycles was increased to 16^{17,26}. Samples were divided across library prep batches according to index availability. For each batch, 3 µl of small RNA library from each sample was pooled prior to automated size selection using the Pippin prep (Sage Sciences, CDH3050). Size selected libraries were quantified using qPCR, and sequenced on a MO flow cell (Illumina, NextSeq 500) using loading concentrations ranging from 1.2 to 2.4 pM. Differences in read distribution across samples were subsequently used to re-pool individual libraries in order to obtain an equimolar pool. After size selection on a Pippin prep and qPCR quantification, these pools were sequenced on a HO flow cell (Illumina, NextSeq 500, NextSeq 500/550 High Output Kit v2.5, 20024907) using loading concentrations ranging from 1.2 to 3 pM.

Data analysis

The study resulted in four sequencing data sets and the raw, processed and metadata were submitted to the European Genome-phenome Archive (EGAS00001005263) and ArrayExpress. RNA Exome and Small RNA sequencing of the RNA purification kit study were identified with study codes exRNAQC004 and exRNAQC011, respectively. RNA Exome and Small RNA sequencing of the blood collection tube study were identified with study codes exRNAQC005 and exRNAQC013, respectively. A high-level summary of the sequencing statistics can be found in Supplemental table 3-Supplemental table 7. Detailed pre-analytics information (for the BRISQ elements^{19,20}) can be found in Supplemental table 4.

Quality control and quantification of TruSeq RNA Exome Library Prep sequencing data

In case of adapter contamination indicated by FASTQC²⁷ (v0.11.8), adapters were trimmed with Cutadapt²⁸ (v1.18; 3' adapter R1: 'AGATCGGAAGAGCACACGTCTGAACTCCAGTCA'; 3' adapter R2 'AGATCGGAAGAGCGTCGTGTAGGGAAAGAGTGT'). Read pairs with a low a base calling accuracy (< 99% in at least 80% of the bases in both mates) were discarded. Subsequently, FASTQ files were subsampled with Seqtk²⁹ (v1.3) to the lowest number of reads pairs obtained in the experiment (floored to a million). Since the low amount of input RNA resulted in a high number of duplicates (Supplemental table 3 and Supplemental table 6), we removed these duplicates using Clumpify³⁰ dedupe (v38.26) with the following specifications: paired-end mode, 2 substitutions allowed, kmersize of 31, and 20 passes). For duplicate removal, only the first 60 bases of both reads were considered to account for the sequencing quality drop at the end of the reads. Strand-specific transcript-level quantification of the deduplicated FASTQ files was performed with Kallisto³¹ (v0.44.0). For coverage and strandedness analysis, mapped reads were obtained by STAR³² (v2.6.0c) using the default parameters (except for --twopassMode Basic, --outFilterMatchNmin 20 and --outSAMprimaryFlag AllBestScore). For all exons coverage information was retrieved by the genomeCoverageBed and intersectBed functions of BEDTools³³ (v2.27.1). Strandedness information was obtained with RSeQC³⁴ (v2.6.4). The reference files for all analyses were based on genome build hg38³⁵ and transcriptome build Ensembl v91^{36,37}. Spike annotations were added to both genome and transcriptome files.

Quality control and quantification of TruSeq Small RNA Library Prep sequencing data

First, adaptor trimming (3' adapter: TGGAATTCTCGGGTGCCAAGG) was performed using Cutadapt²⁸ (v1.16) with a maximum error rate of 0.15 and discarding reads shorter than 15 bp and those in which no adaptor was found. Subsequently, low quality reads were filtered out (Q20 in less than 80% of the bases) by FASTX-Toolkit³⁸ (v0.0.14). Filtered FASTQ files were subsampled to the minimum number of reads in the experiment (Supplemental table 5 and Supplemental table 7) using Seqtk²⁹ (v1.3). Reads were collapsed with FASTX-Toolkit and LP and RC spike reads (including possible fragments) were annotated. The non-spike reads were mapped with Bowtie³⁹ (v1.2.2, with additional parameters -k 10 -n 1 -l 25) considering only perfect matches. Mapped reads were annotated by matching the genomic coordinates of each read with genomic locations of miRNAs (obtained from miRBase⁴⁰⁻⁴⁵, v22) and other small RNAs (tRNAs obtained from UCSC GRCh38/hg38; snoRNA, snRNA, MT_tRNA, MT_rRNA, rRNA, and miscRNA from Ensembl, v91).

Defining performance metrics

The statistical programming language R⁴⁶ (v4.0.3) was used throughout this section and all scripts can be found at GitHub (<https://github.com/OncoRNALab/exRNAQC>). Depending on the study (kit or tube selection), different metrics were used which are briefly explained below. For each part of the study, more in-depth descriptions of the metrics and results are also available through GitHub.

- *Count threshold (kit & tube study)*

In order to distinguish signal from noise we made use of pairwise count comparisons across three technical replicates for the kit study. We defined a count threshold for each RNA purification method and biotype in a similar manner as defined in the miRQC study¹⁴. Specifically, a threshold that reduces the fraction of single positives in technical replicates by at least 95 % (single positives are cases where a given gene has a zero value in one replicate and a non-zero value in the other one). This threshold can be used as a reproducibility metric between technical replicates. For each kit-volume combination, the median threshold of the three pairwise replicate comparisons was used (Supplemental table 2). As the tube study did not have technical replicates and RNA purification always happened with the

miRNeasy Serum/Plasma Kit, the median thresholds of MIRO.2 (3 counts for small RNAs; 6 counts for mRNAs) were applied here as well.

- *Data retention (kit study)*

Data retention is defined as the percentage of gene counts remaining after applying the count threshold as filter, therefore giving information about the fraction of counts lost by applying the cut-off.

- *Sensitivity or gene count (kit & tube study)*

We defined sensitivity as the number of different protein coding genes or miRNAs picked up above the count threshold.

- *Relative RNA concentration (kit & tube study)*

The same plasma was used throughout the entire purification kit experiment. By adding equal amounts of ERCC and LP spikes (for mRNA and small RNA, respectively) after RNA extraction, we were able to calculate relative endogenous RNA concentrations in the eluate. For instance, in cases of low endogenous RNA content after RNA purification, relatively more ERCC and LP spikes will be sequenced. By dividing the total sum of endogenous counts by the sum of ERCC or LP spikes, we could therefore compare the relative RNA concentrations in the eluate of the different extraction methods.

For the tube experiment, we were interested impact of the different tubes on the RNA concentration in plasma. By adding equal amounts of Sequin and RC spikes (for mRNA and small RNA, respectively) before RNA extraction, we were able to calculate relative endogenous RNA concentrations in the plasma. For instance, in cases of low endogenous RNA content before extraction, relatively more Sequin and RC spikes will be sequenced. By dividing the total sum of endogenous counts by the sum of Sequin or RC spikes, we could therefore compare the relative RNA concentrations in plasma of the different tubes.

- *Relative RNA yield extraction (kit study)*

Multiplying the relative RNA concentration by the eluate volume gives the relative RNA yield in the total eluate.

- *Relative extraction efficiency (kit study)*

Correcting the relative RNA yield for the plasma input volume (dividing yield by input volume) gives an idea of the theoretical RNA extraction efficiency of the method.

- *Reproducibility based on area left of the curve (kit & tube study)*

As described in the miRQC study¹⁴, the area left of the cumulative distribution curve (ALC) was calculated by comparing the actual cumulative distribution curve of log2 fold changes in gene or miRNA abundance between pairs of replicates to the theoretical cumulative distribution (optimal curve). Less reproducibility between samples results in more deviations from this optimal curve and therefore larger ALC-values.

- *Duplication rate (kit study)*

Duplication rate was obtained by dividing the number of reads after Clumpify duplicate removal (see methods) by the number of reads after subsampling, therefore giving information about the unique reads generated after sequencing.

- *Coverage (kit study)*

Coverage is the percentage of bases from the total transcriptome covered by at least one sequencing read.

- *Hemolysis (tube study)*

Hemolysis was measured with Nanodrop (absorbance of light at 414 nm) in plasma across all tubes.

- *Fraction mRNAs or miRNAs (tube study)*

Fraction of total counts that go to mRNA (RNA Exome data) or miRNAs (small RNA sequencing data).

Transform performance metrics into robust z-scores (kit study)

Individual scores for performance metrics were transformed to z-scores in the kit study. As the standard z-score is sensitive to outliers, we used a robust z-score transformation, based on the median ($\mu_{1/2}$) and median absolute deviation ($MAD = \text{median}_i(|X_i - \text{median } X_{1...n}|)$), instead. The general formula for robust z-score calculation is shown below:

$$robust\ zscore = \frac{x - \mu_{1/2}}{s}$$

Where s is a scaling factor that depends on the MAD. In case MAD is not zero: $s = MAD * 1.4826$. If MAD equals zero, s approximately equals the standard deviation: $s = meanAD * 1.2533$, with $meanAD = mean_i(|X_i - mean X_{1...n}|)$.^{47,48}

Accounting for size selection bias (kit study)

For the small RNA library prep, the three technical replicates of each extraction method were divided over three different pools. Next, pippin prep size selection for miRNAs occurred on each pool individually. To account for size selection bias (which resulted in consistently lower sequencing counts in the second pool), we each time down-sampled the miRNA counts of the other two replicates to the sum of miRNA counts of the replicate in the second pool. Down-sampling was based on reservoir sampling - random sampling without replacement (subsample_miRs.py script on <https://github.com/OncoRNALab/exRNAQC>).

Fold change analyses for stability over time assessment (tube study)

In order to evaluate tube stability across time points, we determined several performance metrics per blood collection tube at different time points. We then calculated, for every tube and donor, the fold change across different time points (each time relative to the base point at T0, so excluding T24-72 and T04-16). Given that there are 3 donors and 3 time points per tube, this resulted in six fold change values per tube. An example is shown in Supplemental figure 3.

Acknowledgements

This study was in part funded by Ghent University (BOF-GOA), Stand up to Cancer (Kom op tegen Kanker, the Flemish cancer society), Foundation against Cancer, Research Foundation Flanders (FWO) and European Union's Horizon 2020 research and innovation program (grant agreement 826121). C.E., J.D.W., E.H. and R.V.P. were funded by a predoctoral fellowship grant from the FWO (1S07416N,

1S90621N, 1133120N and 11B3718N). F.A.C was supported by a Special Research Fund scholarship from Ghent University (BOF.DOC.2017.0026.01). A.M. was supported by a Special Research Fund scholarship from Ghent University (BOF.DOC.2019.0047.01), Stand up to Cancer and a predoctoral fellowship grant from the FWO (11C1621N). A.D. was supported by a postdoctoral fellowship grant from the Special Research Fund of Ghent University and the FWO (1224021N).

References

1. Taylor-Phillips, S. *et al.* Accuracy of non-invasive prenatal testing using cell-free DNA for detection of Down, Edwards and Patau syndromes: a systematic review and meta-analysis. *BMJ Open* **6**, e010002 (2016).
2. E, S. *et al.* The circulating non-coding RNA landscape for biomarker research: lessons and prospects from cardiovascular diseases. *Acta Pharmacol. Sin.* **39**, 1085–1099 (2018).
3. Guay, C. & Regazzi, R. Circulating microRNAs as novel biomarkers for diabetes mellitus. *Nat. Rev. Endocrinol.* **9**, 513–521 (2013).
4. Zeng, L., Cui, J., Wu, H. & Lu, Q. The emerging role of circulating microRNAs as biomarkers in autoimmune diseases. *Autoimmunity* **47**, 419–429 (2014).
5. Sole, C., Arnaiz, E., Manterola, L., Otaegui, D. & Lawrie, C. H. The circulating transcriptome as a source of cancer liquid biopsy biomarkers. *Semin. Cancer Biol.* **58**, 100–108 (2019).
6. Zaporozhchenko, I. A., Ponomaryova, A. A., Rykova, E. Y. & Laktionov, P. P. The potential of circulating cell-free RNA as a cancer biomarker: challenges and opportunities. *Expert Rev. Mol. Diagn.* **18**, 133–145 (2018).
7. Ward Gahlawat, A. *et al.* Evaluation of Storage Tubes for Combined Analysis of Circulating Nucleic Acids in Liquid Biopsies. *Int. J. Mol. Sci.* **20**, (2019).
8. Sorber, L. *et al.* Circulating Cell-Free DNA and RNA Analysis as Liquid Biopsy: Optimal Centrifugation Protocol. *Cancers* **11**, (2019).
9. Söderström, A. C., Nybo, M., Nielsen, C. & Vinholt, P. J. The effect of centrifugation speed and time on pre-analytical platelet activation. *Clin. Chem. Lab. Med.* **54**, 1913–1920 (2016).

10. Das, S. *et al.* The Extracellular RNA Communication Consortium: Establishing Foundational Knowledge and Technologies for Extracellular RNA Research. *Cell* **177**, 231–242 (2019).
11. Ainsztein, A. M. *et al.* The NIH Extracellular RNA Communication Consortium. *J. Extracell. Vesicles* **4**, 27493 (2015).
12. Spidia. <https://www.spidia.eu/>.
13. CANCER-ID. CANCER-ID – Innovation in Medicine. <https://www.cancer-id.eu/>.
14. Mestdagh, P. *et al.* Evaluation of quantitative miRNA expression platforms in the microRNA quality control (miRQC) study. *Nat. Methods* **11**, 809–815 (2014).
15. Shah, J. S., Soon, P. S. & Marsh, D. J. Comparison of Methodologies to Detect Low Levels of Hemolysis in Serum for Accurate Assessment of Serum microRNAs. *PloS One* **11**, e0153200 (2016).
16. Kirschner, M. B. *et al.* The Impact of Hemolysis on Cell-Free microRNA Biomarkers. *Front. Genet.* **4**, 94 (2013).
17. Hulstaert, E. *et al.* Charting Extracellular Transcriptomes in The Human Biofluid RNA Atlas. *Cell Rep.* **33**, (2020).
18. Hulstaert, E. *et al.* Messenger RNA capture sequencing of extracellular RNA from human biofluids using a comprehensive set of spike-in controls. *STAR Protoc.* **2**, 100475 (2021).
19. Moore, H. M. *et al.* Biospecimen reporting for improved study quality (BRISQ). *J. Proteome Res.* **10**, 3429–3438 (2011).
20. Moore, H. M. *et al.* Biospecimen reporting for improved study quality (BRISQ). *Cancer Cytopathol.* **119**, 92–101 (2011).
21. Verwilt, J. *et al.* When DNA gets in the way: A cautionary note for DNA contamination in extracellular RNA-seq studies. *Proc. Natl. Acad. Sci. U. S. A.* **117**, 18934–18936 (2020).
22. Sorber, L. *et al.* A Multicenter Study to Assess EGFR Mutational Status in Plasma: Focus on an Optimized Workflow for Liquid Biopsy in a Clinical Setting. *Cancers* **10**, (2018).
23. Deveson, I. W. *et al.* Representing genetic variation with synthetic DNA standards. *Nat. Methods* **13**, 784–791 (2016).

24. Locati, M. D. *et al.* Improving small RNA-seq by using a synthetic spike-in set for size-range quality control together with a set for data normalization. *Nucleic Acids Res.* **43**, e89 (2015).
25. Hafner, M. *et al.* RNA-ligase-dependent biases in miRNA representation in deep-sequenced small RNA cDNA libraries. *RNA N. Y. N* **17**, 1697–1712 (2011).
26. Van Goethem, A. *et al.* Depletion of tRNA-halves enables effective small RNA sequencing of low-input murine serum samples. *Sci. Rep.* **6**, 37876 (2016).
27. Babraham Bioinformatics - FastQC A Quality Control tool for High Throughput Sequence Data. <https://www.bioinformatics.babraham.ac.uk/projects/fastqc/>.
28. Martin, M. Cutadapt removes adapter sequences from high-throughput sequencing reads. *EMBnet.journal* **17**, 10–12 (2011).
29. Li, H. *lh3/seqtk*. (2021).
30. BBMap. *SourceForge* <https://sourceforge.net/projects/bbmap/>.
31. Bray, N. L., Pimentel, H., Melsted, P. & Pachter, L. Near-optimal probabilistic RNA-seq quantification. *Nat. Biotechnol.* **34**, 525–527 (2016).
32. Dobin, A. *et al.* STAR: ultrafast universal RNA-seq aligner. *Bioinformatics* **29**, 15–21 (2013).
33. Quinlan, A. R. & Hall, I. M. BEDTools: a flexible suite of utilities for comparing genomic features. *Bioinformatics* **26**, 841–842 (2010).
34. Wang, L., Wang, S. & Li, W. RSeQC: quality control of RNA-seq experiments. *Bioinformatics* **28**, 2184–2185 (2012).
35. GRCh38 - hg38 - Genome - Assembly - NCBI. https://www.ncbi.nlm.nih.gov/assembly/GCF_000001405.26/.
36. Yates, A. D. *et al.* Ensembl 2020. *Nucleic Acids Res.* **48**, D682–D688 (2020).
37. Ensembl genome browser 91. <http://dec2017.archive.ensembl.org/index.html>.
38. FASTX-Toolkit. http://hannonlab.cshl.edu/fastx_toolkit/.
39. Langmead, B., Trapnell, C., Pop, M. & Salzberg, S. L. Ultrafast and memory-efficient alignment of short DNA sequences to the human genome. *Genome Biol.* **10**, R25 (2009).
40. Griffiths-Jones, S. The microRNA Registry. *Nucleic Acids Res.* **32**, D109–D111 (2004).

41. Griffiths-Jones, S., Grocock, R. J., van Dongen, S., Bateman, A. & Enright, A. J. miRBase: microRNA sequences, targets and gene nomenclature. *Nucleic Acids Res.* **34**, D140–D144 (2006).
42. Griffiths-Jones, S., Saini, H. K., van Dongen, S. & Enright, A. J. miRBase: tools for microRNA genomics. *Nucleic Acids Res.* **36**, D154–D158 (2008).
43. Kozomara, A. & Griffiths-Jones, S. miRBase: integrating microRNA annotation and deep-sequencing data. *Nucleic Acids Res.* **39**, D152–D157 (2011).
44. Kozomara, A. & Griffiths-Jones, S. miRBase: annotating high confidence microRNAs using deep sequencing data. *Nucleic Acids Res.* **42**, D68–D73 (2014).
45. Kozomara, A., Birgaoanu, M. & Griffiths-Jones, S. miRBase: from microRNA sequences to function. *Nucleic Acids Res.* **47**, D155–D162 (2019).
46. R: The R Project for Statistical Computing. <https://www.r-project.org/>.
47. How to Detect and Handle Outliers (e-book) | ASQ. <https://asq.org/quality-press/display-item?item=E0801>.
48. Modified z score. www.ibm.com/support/knowledgecenter/ssep7j_11.1.0/com.ibm.swg.ba.cognos.ug_ca_dshb.doc/modified_z.html (2014).
49. Van Paemel, R. *et al.* Genome-wide study of the effect of blood collection tubes on the cell-free DNA methylome. *Epigenetics* 1–11 (2020) doi:10.1080/15592294.2020.1827714.

Figure legends

Within main text.

Supplemental table legends

Supplemental table 1. Available literature on the influence of pre-analytics on RNA sequencing data, including studies on plasma and/or serum. The pre-analytics from these studies are categorized into

different groups: number of blood tubes; hemolysis measured (yes/no); the fluid (serum/plasma or both); number of centrifugation protocols; number of RNA isolation kits; the RNA type; the gene expression analysis method; other pre-analytics.

Supplemental table 2. Filter threshold of different RNA purification methods. Kit: RNA purification kit abbreviation; mRNA threshold: median threshold that removes 95% of single positive genes between technical replicates; miRNA threshold: median threshold that removes 95% of single positive miRNAs between technical replicates. More explanation on these thresholds in methods section “Count threshold”. NA: Not applicable.

Supplemental table 3. RNA Exome sequencing data statistics of RNA purification kit experiment (exRNAQC004). UniqueID: RNA identifier; SampleID: combination of kit abbreviation and technical replicate number; raw_reads: number of sequenced reads pairs; qcfiltered_reads: number of read pairs after quality filtering; post_subsampling: number of read pairs after subsampling; post_deduplication: number of read pairs after Clumpify duplicate removal; duplicate_prct: % of duplicates in subsampled reads; kallisto_prct_alignment: % of duplicate removed reads that were pseudoaligned; strandedness_prct: % of reads on correct strand (stranded protocol).

Supplemental table 4. Pre-analytical variable annotation for all samples included in the exRNAQC study. In the first tab, the different pre-analytical variables are listed, and for each of them a description is provided. Note that the pre-analytics are categorized into three groups, i.e. variables linked to the blood draw (with prefix B_), biofluid preparation (with prefix L_) or RNA purification (with prefix R_). This tab also includes a description of the BRISQ elements^{19,20}. In the following tabs, annotated samples are listed per experiment (the RNA Exome sequencing of the RNA purification kit study (exRNAQC004), the RNA Exome sequencing of the blood collection tube study (exRNAQC005), the Small RNA sequencing of the RNA purification kit study (exRNAQC011), or the Small RNA sequencing of the blood collection tube study (exRNAQC013)).

Supplemental table 5. Small RNA sequencing data statistics of RNA purification kit experiment (exRNAQC011). UniqueID: RNA identifier; SampleID: combination of kit abbreviation and technical

replicate number; raw_reads: number of sequenced (single-end) reads; qcfiltered_reads: number of reads after quality filtering; post_subsampling: number of reads after subsampling; aligned_reads: number of subsampled reads aligned to reference genome; spike_reads: number of reads aligned to spikes; prct_aligned: % of subsampled reads aligned to reference genome; prct_aligned_plus_spikes: % of subsampled reads aligned to reference genome or to spikes.

Supplemental table 6. RNA Exome sequencing data statistics of blood collection tube experiment

(exRNAQC005). UniqueID: RNA identifier; SampleID: combination of tube abbreviation, donor number (biological replicate), and time point; raw_reads: number of sequenced reads pairs; qcfiltered_reads: number of read pairs after quality filtering; post_subsampling: number of read pairs after subsampling; post_deduplication: number of read pairs after Clumpify duplicate removal; duplicate_prct: % of duplicates in subsampled reads; kallisto_prct_alignment: % of duplicate removed reads that were pseudoaligned; strandedness_prct: % of reads on correct strand (stranded protocol).

Supplemental table 7. Small RNA sequencing data statistics of blood collection tube experiment

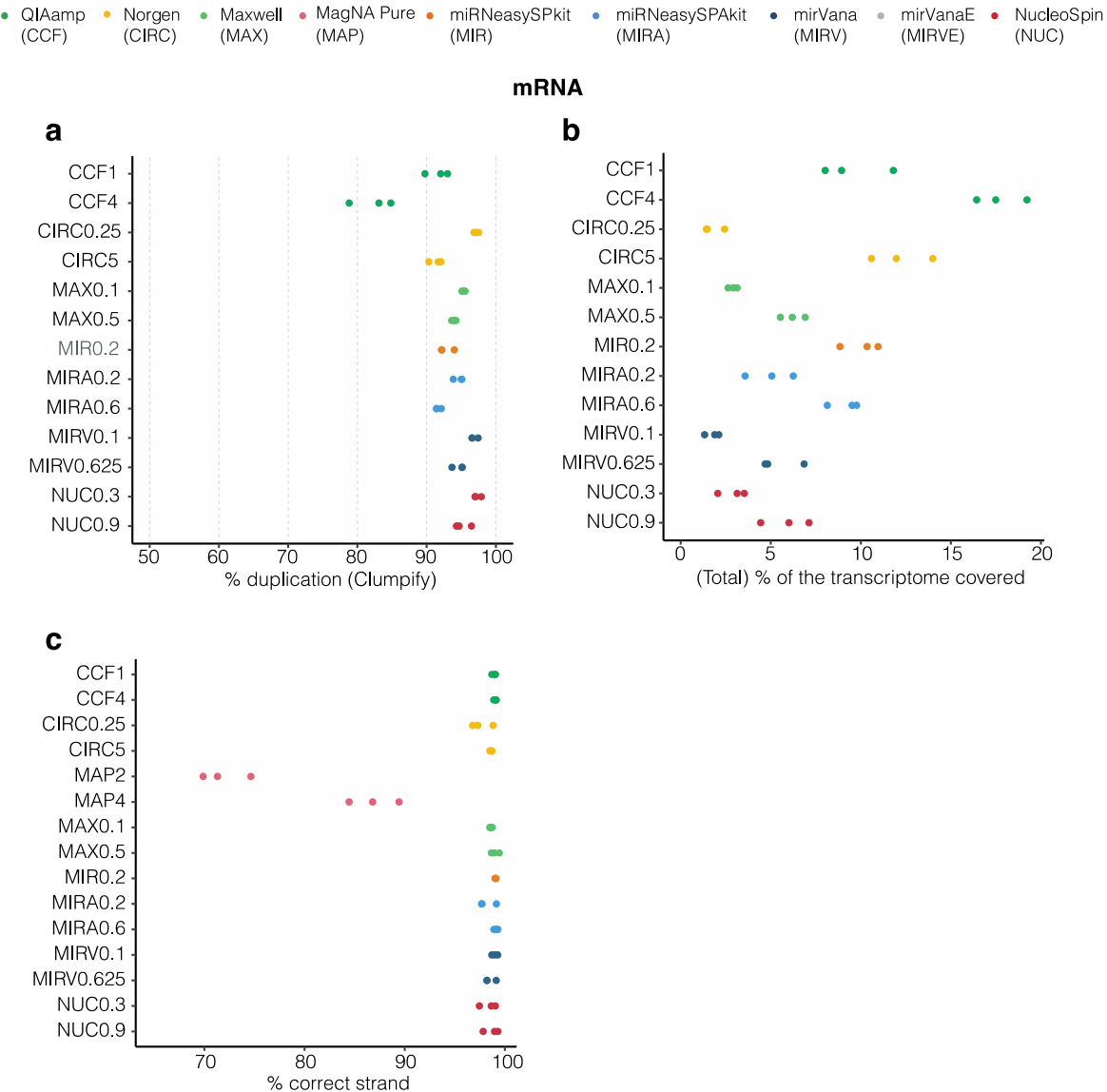
(exRNAQC013). UniqueID: RNA identifier; SampleID: combination of tube abbreviation, donor number (biological replicate), and time point; raw_reads: number of sequenced (single-end) reads; qcfiltered_reads: number of reads after quality filtering; post_subsampling: number of reads after subsampling; aligned_reads: number of subsampled reads aligned to reference genome; spike_reads: number of reads aligned to spikes; prct_aligned: % of subsampled reads aligned to reference genome; prct_aligned_plus_spikes: % of subsampled reads aligned to reference genome or to spikes.

Supplemental table 8. Capture probes for Sequin and External RNA Control Consortium (ERCC) spike-

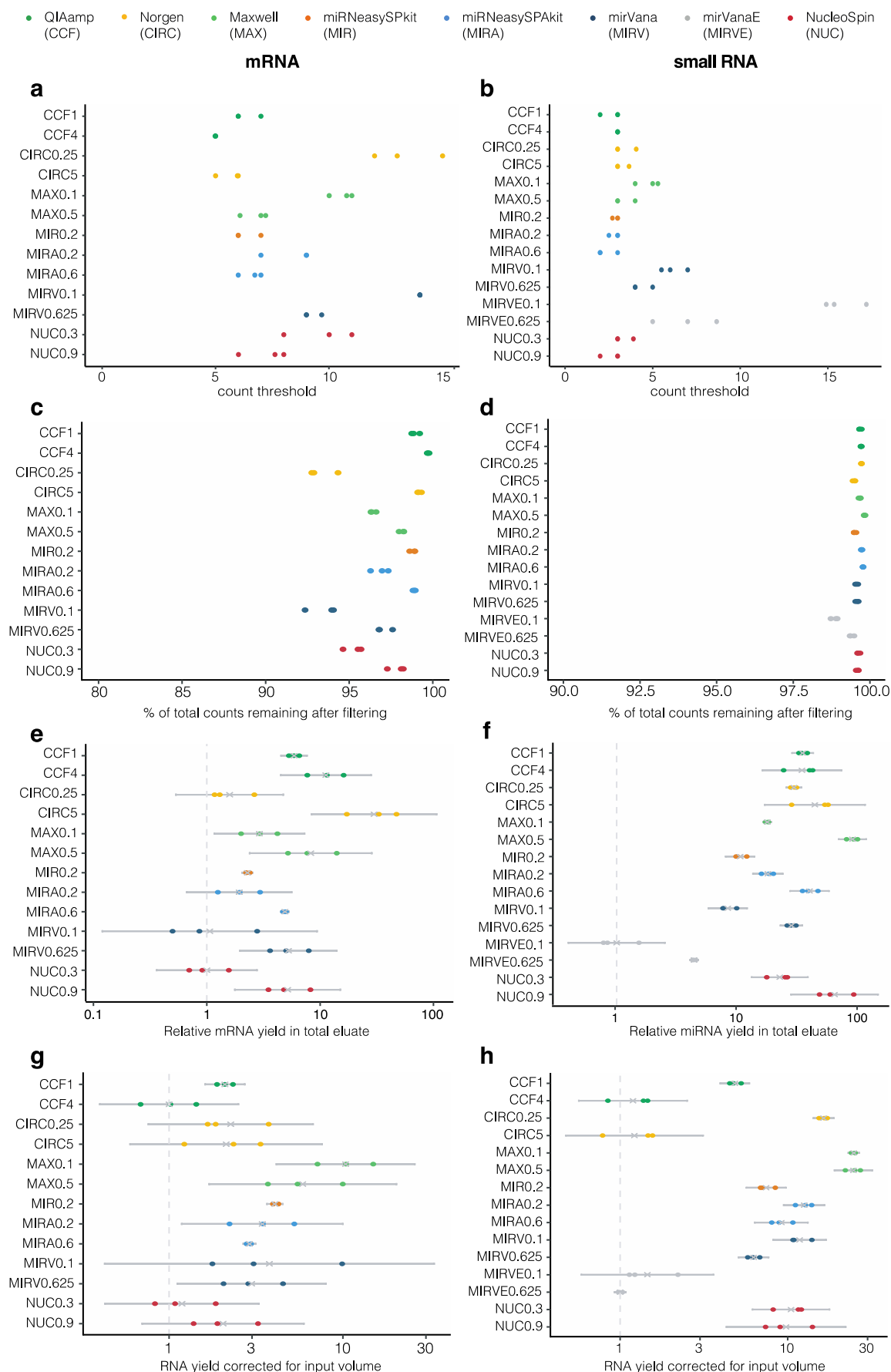
in controls. Oligos to capture the Sequin and ERCC spike-in controls are listed. For each oligo, the probe_ID, sequence, GC content (%), melting temperature (T_m in °C), ΔG and binding position in the Sequin or ERCC spike-in sequence.

818 **Supplemental figures and legends**

819

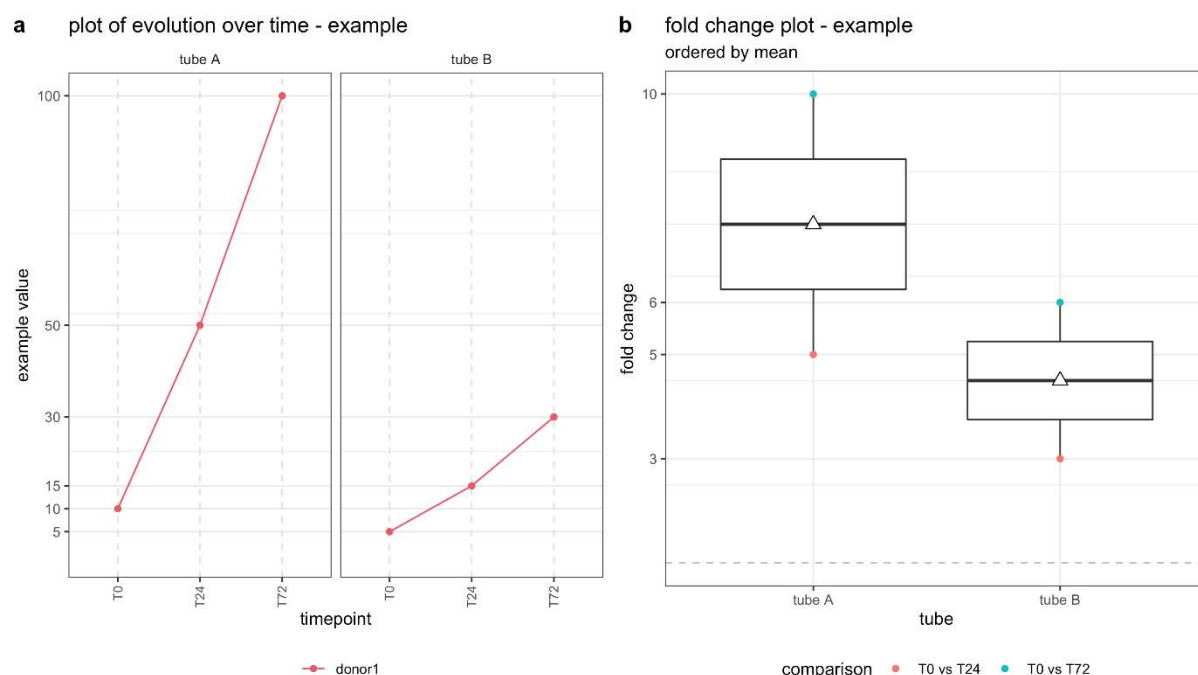


Supplemental figure 1: Performance of RNA purification kits on duplication rate, transcriptome coverage and strandedness at mRNA capture sequencing (mRNA) and small RNA sequencing (small RNA) level. a: percentage of read duplicates found by Clumpify after subsampling; b: percentage of bases in the total transcriptome that are covered at least once; c: percentage of reads on correct strand according to strand-specific protocol; Number that follows the abbreviation of the purification kit is the plasma input volume (in ml).



Supplemental figure 2: Performance of RNA purification kits on filter threshold, data retention, yield, and efficiency at mRNA capture sequencing (mRNA) and small RNA sequencing (small RNA) level.

a&b: count threshold required to eliminate at least 95% of single positive genes or miRNAs, resp., between technical replicates; c&d: data retention – % of total counts that are kept after applying count threshold; e&f: relative mRNA and miRNA yield, resp., obtained by correcting the RNA concentration for eluate volume, values are log rescaled to the lowest mean of all kits and transformed back to linear space, 95% confidence interval is shown; g&h: relative mRNA and miRNA extraction efficiency, resp., obtained by correcting the RNA yield for input volume, values are log rescaled to the lowest mean of all kits and transformed back to linear space, 95% confidence interval is shown. Number that follows the abbreviation of the purification kit is the plasma input volume (in ml).



840

841 **Supplemental figure 3. Illustrative example of quality control metric evolution over time for one**

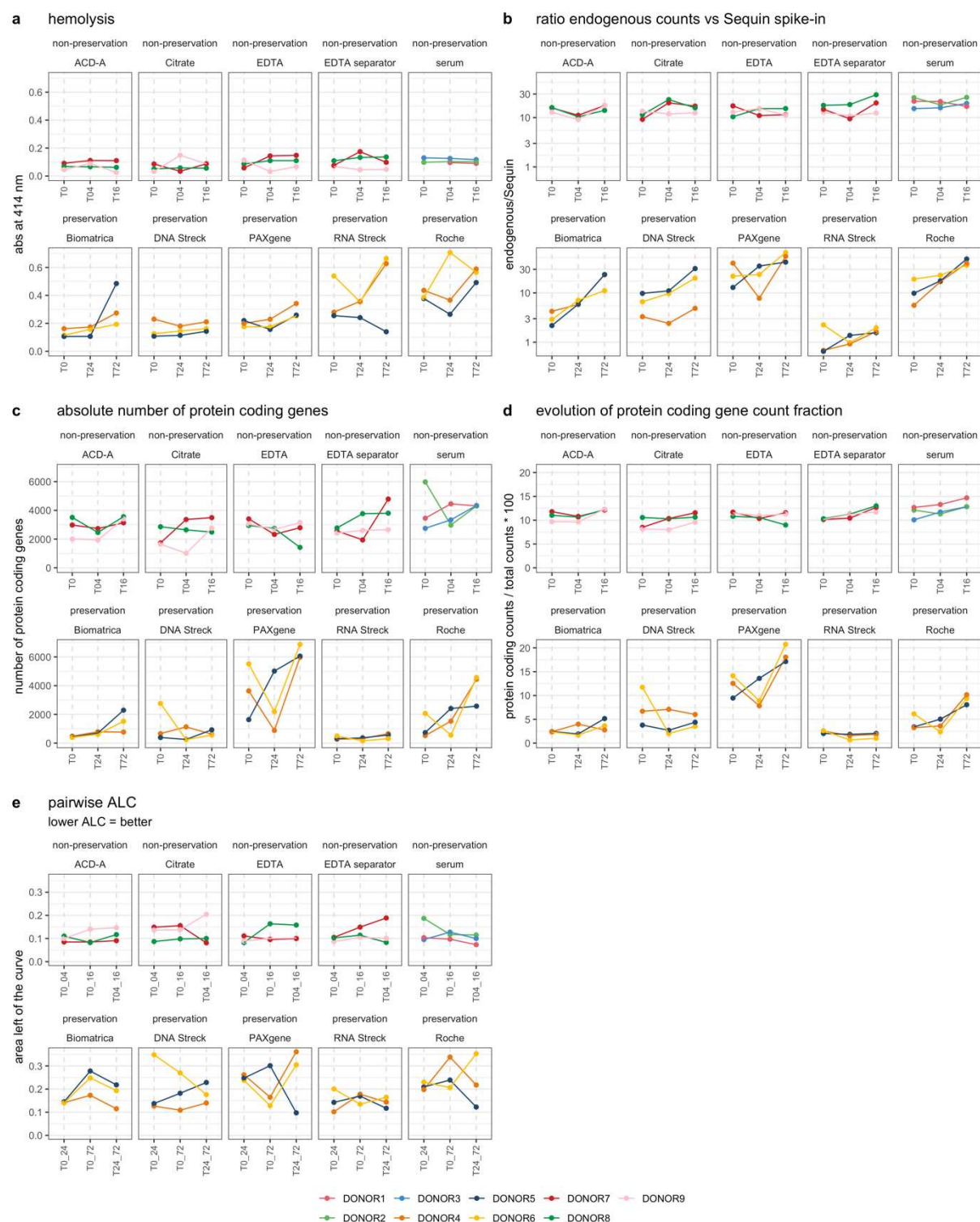
842 donor, two tubes and three time points (a) and corresponding boxplot of the fold changes per tube

843 (b). T0: plasma prepared immediately after blood draw, T24, T72: plasma prepared 24 hours and 72

844 hours after blood draw, respectively. The white triangle on the boxplot corresponds to the mean.

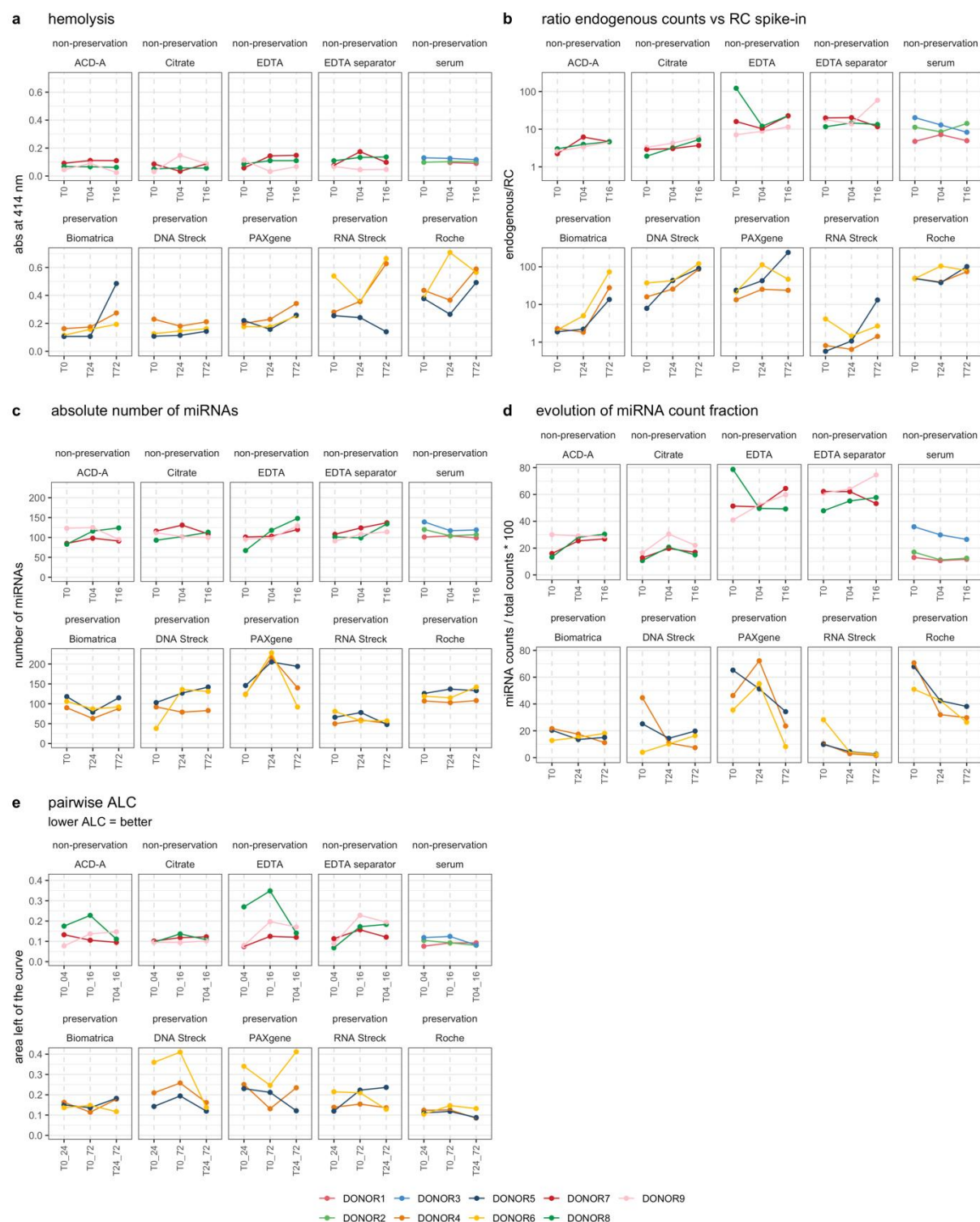
845 Reproduced from Van Paemel *et al.*⁴⁹.

846



Supplemental figure 4. Performance metrics of blood tubes over time at mRNA level. a: evolution of hemolysis in plasma, measured by absorbance at 414 nm with Nanodrop; b: Evolution of relative RNA concentration calculated based on number of endogenous counts vs Sequin spike-in RNA; c: evolution the number of the absolute number of protein coding genes; d: evolution of the fraction of counts

852 mapping to mRNAs vs. all counts; e: evolution of the pairwise area left of the curve, a reproducibility
853 metric. T0: plasma prepared immediately after blood draw. T04, T16, T24, T72: plasma prepared 4
854 hours, 16 hours, 24 hours and 72 hours after blood draw, respectively. Note that different donors were
855 sampled and that tubes were processed at different time points for preservation and non-preservation
856 tubes.
857



858

859 **Supplemental figure 5. Performance metrics of blood tubes over time at small RNA level.** a: evolution

860 of hemolysis in plasma, measured by absorbance at 414 nm with Nanodrop; b: evolution of relative

861 RNA concentration calculated based on number of endogenous counts vs RC spike-in RNA; c: evolution

862 the number of the absolute number of micro RNAs; d: evolution of the fraction of counts mapping to

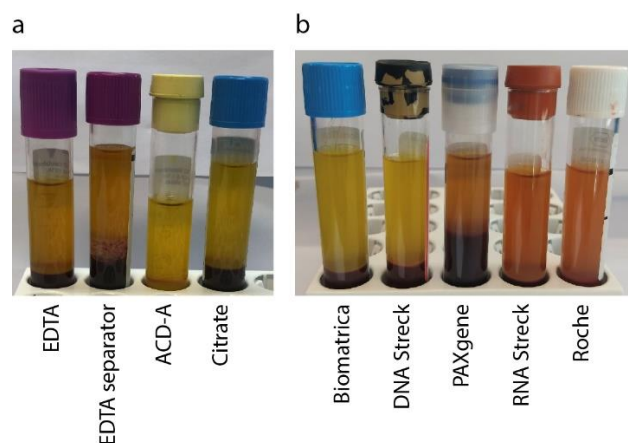
863 micro RNAs vs. all counts; e: evolution of the pairwise area left of the curve, a reproducibility metric.

864 T0: plasma prepared immediately after blood draw. T04, T16, T24, T72: plasma prepared 4 hours, 16

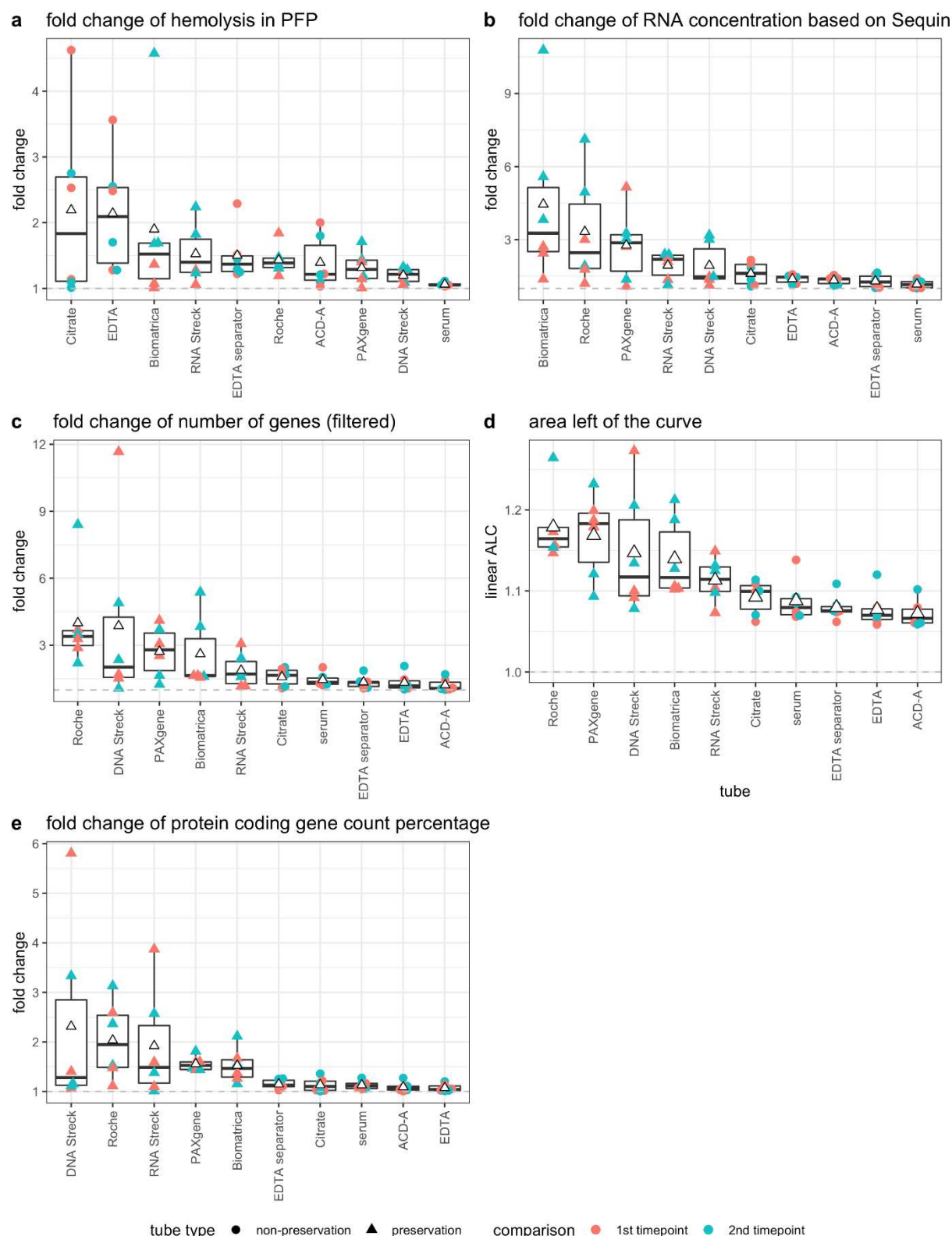
865 hours, 24 hours and 72 hours after blood draw, respectively. Note that different donors were sampled

866 and that tubes were processed at different time points for preservation and non-preservation tubes.

867



Supplemental figure 6. Example of hemolysis in preservation tubes. (a) Visual inspection of non-preservation plasma tubes of DONOR7 (Supplemental figure 4a and 5a) and (b) of preservation plasma tubes of DONOR5 (Supplemental figure 4a and 5a) at time point T0. For DONOR5, plasma from the PAXgene, RNA Streck and Roche tube showed to be hemolytic, which is in line with the NanoDrop measurements (Supplemental figure 4a and 5a).

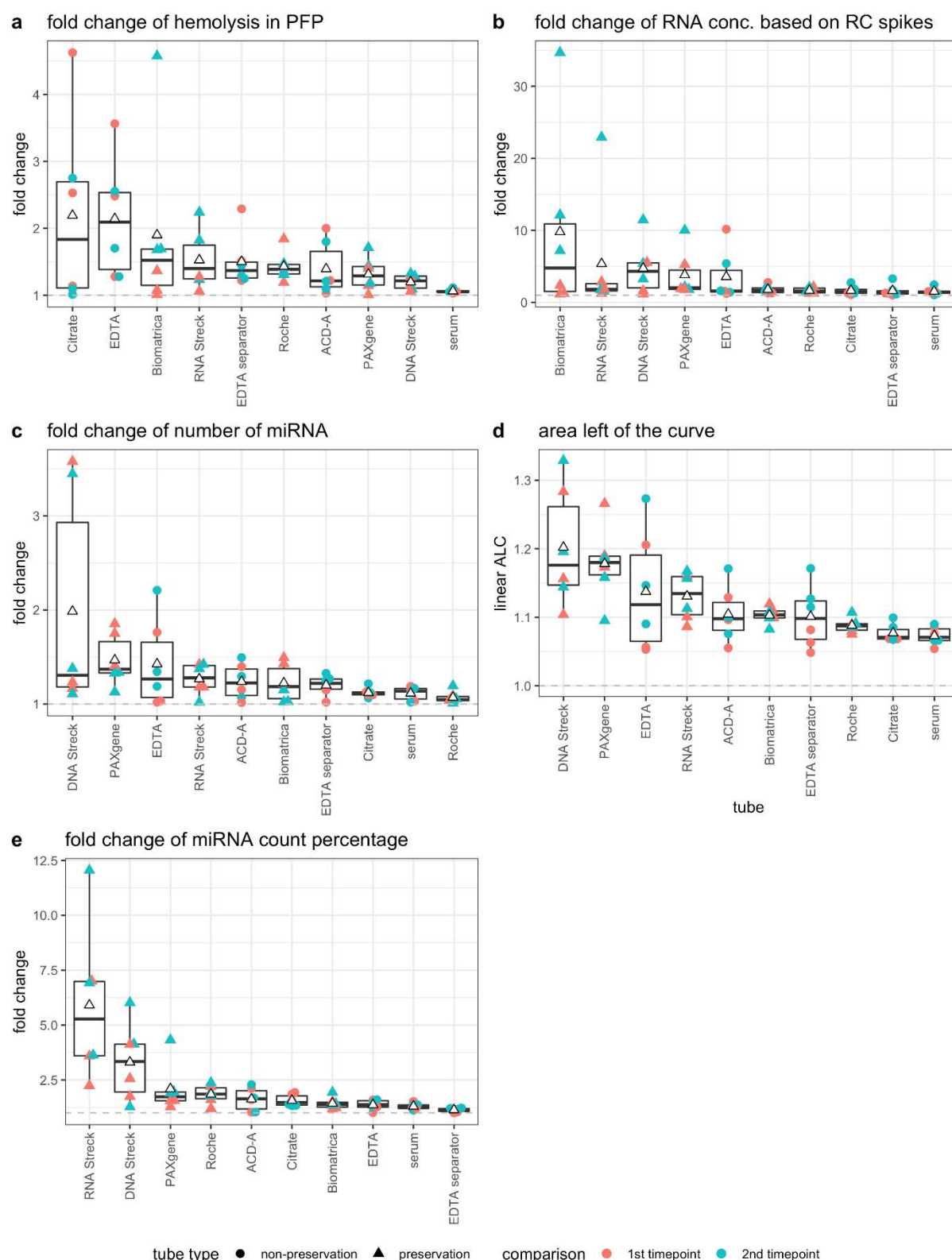


Supplemental figure 7. Fold changes over time at mRNA level for each blood collection tube metric.

a: boxplot of the fold change within each donor across time points, per tube, for hemolysis in platelet-

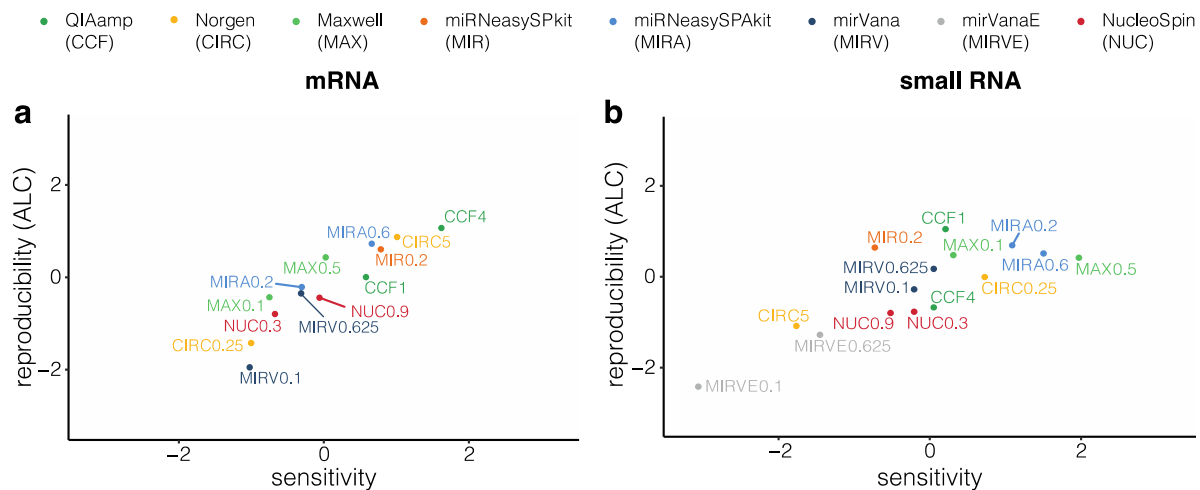
free plasma, as measures by absorbance at 414 nm with Nanodrop; b: boxplot of fold change of relative

RNA concentration, based on the ratio of endogenous reads vs Sequin spike-in RNA reads; c: boxplot of the fold change of the number of genes, after filtering genes with counts fewer than 6 reads; d: area left of the curve, transformed from log2 to linear scale; e: boxplot of the fold change of the fraction of the counts mapping to protein coding genes vs. all counts. The white triangle on the boxplot corresponds to the mean of the fold change. 1st time point corresponds to the comparison of T04 vs. T0 (non-preservation tubes: BD Vacutainer Plastic K2EDTA tube (EDTA), Vacuette Tube 8 ml K2E K2EDTA Separator (EDTA separator) , BD Vacutainer Glass ACD Solution A tube (ACD-A), Vacuette Tube 9 ml 9NC Coagulation sodium citrate 3.2% (citrate), and BD Vacutainer SST II Advance Tube (serum)) or T24 vs. T0 (preservation tubes: Cell-Free RNA BCT (RNA Streck), Cell-Free DNA BCT (DNA Streck), PAXgene Blood ccfDNA Tube (PAXgene), Cell-Free DNA Collection Tube (Roche) and LBgard Blood Tube (Biomatrica)). 2nd time point corresponds to the comparison of T16 vs. T0 (non-preservation tubes) or T72 vs T0 (preservation tubes). T0: plasma prepared immediately after blood draw. T04, T16, T24, T72: plasma prepared 4 hours, 16 hours, 24 hours and 72 hours after blood draw, respectively. Note that different donors were sampled and that tubes were processed at different time points for preservation and non-preservation tubes.



Supplemental figure 8. Fold changes over time at small RNA level for each blood collection tube metric. a: boxplot of the fold change within each donor across time points, per tube, for hemolysis in platelet-free plasma, as measures by absorbance at 414 nm with Nanodrop; b: boxplot of fold change

of relative RNA concentration, based on the ratio of endogenous reads vs RC spike-in RNA reads; c: boxplot of the fold change of the number of micro RNAs, after filtering miRNAs with counts fewer than 3 reads; d: area left of the curve, transformed from log2 to linear scale; e: boxplot of the fold change of the fraction of the counts mapping to micro RNAs vs. all counts. The white triangle on the boxplot corresponds to the mean of the fold change. 1st time point corresponds to the comparison of T04 vs. T0 (non-preservation tubes: BD Vacutainer Plastic K2EDTA tube (EDTA), Vacuette Tube 8 ml K2E K2EDTA Separator (EDTA separator) , BD Vacutainer Glass ACD Solution A tube (ACD-A), Vacuette Tube 9 ml 9NC Coagulation sodium citrate 3.2% (citrate), and BD Vacutainer SST II Advance Tube (serum)) or T24 vs. T0 (preservation tubes: Cell-Free RNA BCT (RNA Streck), Cell-Free DNA BCT (DNA Streck), PAXgene Blood ccfDNA Tube (PAXgene), Cell-Free DNA Collection Tube (Roche) and LBgard Blood Tube (Biomatrica)). 2nd time point corresponds to the comparison of T16 vs. T0 (non-preservation tubes) or T72 vs T0 (preservation tubes). T0: plasma prepared immediately after blood draw. T04, T16, T24, T72: plasma prepared 4 hours, 16 hours, 24 hours and 72 hours after blood draw, respectively. Note that different donors were sampled and that tubes were processed at different time points for preservation and non-preservation tubes.



Supplemental figure 9: Kit selection for exRNAQC phase 2 for mRNA (a) and small RNA (b) sequencing. Selection based on robust z-scores for sensitivity and reproducibility metrics; Number that follows the abbreviation of the purification kit is the plasma input volume (in ml).

Supplemental Materials and Methods

Supplemental Materials and Methods are described in a separate document.