

1 BRIEF COMMUNICATION  
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4 **The impact of viral mutations on recognition by SARS-CoV-2 specific T-cells**  
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40

## 41 **Abstract**

42 We identify amino acid variants within dominant SARS-CoV-2 T-cell epitopes by interrogating  
43 global sequence data. Several variants within nucleocapsid and ORF3a epitopes have arisen  
44 independently in multiple lineages and result in loss of recognition by epitope-specific T-cells  
45 assessed by IFN- $\gamma$  and cytotoxic killing assays. These data demonstrate the potential for T-cell  
46 evasion and highlight the need for ongoing surveillance for variants capable of escaping T-cell as  
47 well as humoral immunity.

48

## 49 **Main**

50 Evolution of SARS-CoV-2 can lead to evasion from adaptive immunity generated following  
51 infection and vaccination. Much focus has been on humoral immunity and spike protein mutations  
52 that impair the effectiveness of neutralizing monoclonal antibodies and polyclonal sera. T-cells  
53 specific to conserved proteins play a significant protective role in respiratory viral infections such  
54 as influenza, particularly in broad heterosubtypic immunity<sup>1</sup>. T-cell responses following SARS-  
55 CoV-2 infection are directed against targets across the genome and may play a role in favourable  
56 outcomes during acute infection and in immunosuppressed hosts with deficient B-cell immunity<sup>2</sup>.

57 <sup>4</sup>. While CD8+ T-cells may not provide sterilising immunity, they can protect against severe  
58 disease and limit risk of transmission, with a potentially more important role in the setting of  
59 antibody escape.

60  
61 Little is known about the potential for SARS-CoV-2 mutations to impact T-cell recognition.  
62 Escape from antigen-specific CD8+ T-cells has been studied extensively in HIV-1 infection, where  
63 rapid intra-host evolution renders T-cell responses ineffective within weeks of acute infection<sup>5</sup>.  
64 While these escape variants play an important role in the dynamics of chronic viral infections, the  
65 opportunities for T-cell escape in acute respiratory viral infections are fewer and consequences are  
66 different. Nevertheless, several cytotoxic T-lymphocyte (CTL) escape variants have been  
67 described in influenza, such as the R384G substitution in the HLA B\*08:01-restricted  
68 nucleoprotein<sub>380-388</sub> and B\*27:05-restricted nucleoprotein<sub>383-391</sub> epitopes<sup>6</sup>. Long-term adaptation  
69 of influenza A/H3N2 has been demonstrated, with the loss of one CTL epitope every three years  
70 since its emergence in 1968<sup>7</sup>.

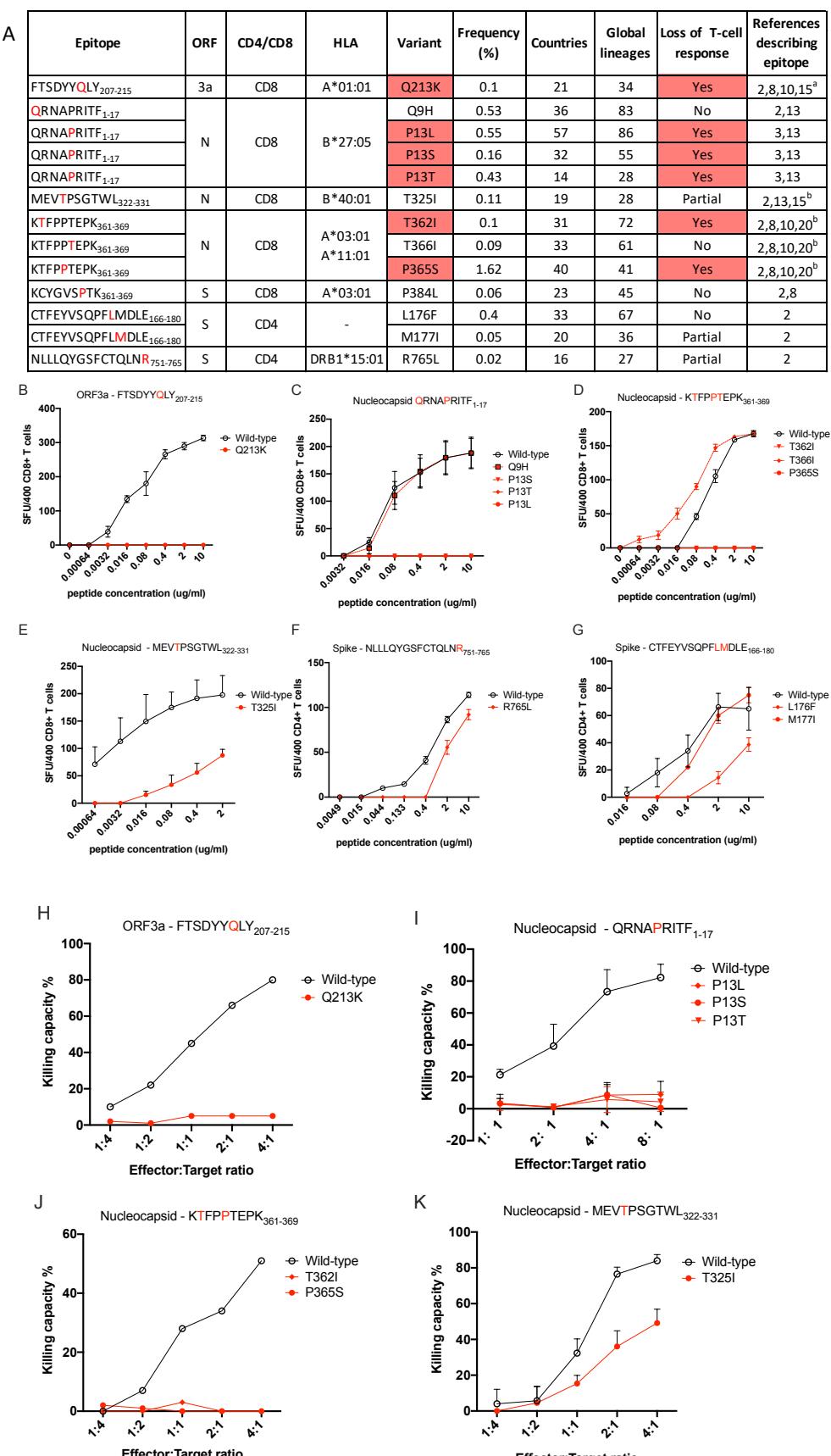
71  
72 To explore the potential for viral evasion from SARS-CoV-2-specific T-cell responses, we  
73 conducted a proof-of-concept study, focusing initially on identifying common amino acid  
74 mutations within experimentally proven T-cell epitopes and testing the functional implications in  
75 selected immunodominant epitopes that we and others have described previously. We conducted  
76 a literature review in PubMed and Scopus databases (29<sup>th</sup> of November 2020; Supplementary  
77 Information) that identified 14 publications defining 360 experimentally proven CD4+ and CD8+  
78 T-cell epitopes<sup>2,8-20</sup>. Of these, 53 that were described in  $\geq 1$  publication were all CD8+ epitopes  
79 (Table S1) and distributed across the genome (n=14 ORF1a, n=5 ORF1b, n=18 S, n=2 M, n=8 N,  
80 n=5 ORF3a, n=1 ORF7a). In total 7538 amino acid substitutions or deletions were identified within  
81 the 360 T-cell epitopes by searching the COVID-19 Genomics UK consortium (COG-UK) global  
82 alignment, dated 29<sup>th</sup> January 2021 and containing 309,119 sequences (Figure S1, Table S2). 1087

83 amino acid variants were present within the 53 CD8+ T-cell epitopes with responses described  
84 across multiple cohorts, with at least one variant in all epitopes (Figure S2, Table S3).

85  
86 We focused on evaluating the functional impact of variants within seven immunodominant  
87 epitopes (five CD8+, two CD4+) described in our study of UK convalescent donors (Figure 1A)<sup>2</sup>.  
88 Of these, all five CD8+ epitopes have been described in at least one other cohort. In particular,  
89 responses to the A\*03:01/A\*11:01-restricted nucleocapsid KTFPPTEPK<sub>361-369</sub><sup>2,8,10,20</sup> and  
90 A\*01:01-restricted ORF3a FTSDYYQLY<sub>207-215</sub><sup>2,8,10,15</sup> epitopes are consistently dominant and of  
91 high magnitude. We tested the functional avidity of SARS-CoV-2 specific CD4+ and CD8+  
92 polyclonal T-cell lines by interferon (IFN)- $\gamma$  ELISpots using wild-type and variant peptide  
93 titrations (Figure 1B-G). We found that several variants resulted in complete loss of responsiveness  
94 to the T-cell lines evaluated: the Q213K variant in the A\*01:01-restricted CD8+ ORF3a epitope  
95 FTSDYYQLY<sub>207-215</sub><sup>2,8,10,15</sup>, the P13L, P13S and P13T variants in the B\*27:05-restricted CD8+  
96 nucleocapsid epitope QRNAPRITF<sub>1-17</sub><sup>2,13</sup>, and T362I and P365S variants in the A\*03:01/A\*11:01-  
97 restricted CD8+ nucleocapsid epitope KTFPPTEPK<sub>361-369</sub><sup>2,8,10,20</sup> (Figure 1B-D).

98  
99 In contrast, Q9H in QRNAPRITF<sub>1-17</sub>, T366I in KTFPPTEPK<sub>361-369</sub>, P384L in the A\*03:01-  
100 restricted CD8+ spike epitope KCYGVSPTK<sub>378-386</sub><sup>2,8</sup> and M177I in the CD4+ spike epitope  
101 CTFEYVSQPFLMDLE<sub>166-180</sub><sup>2</sup> showed no impact on T-cell recognition (Figures 1C, D, G, S3).  
102 Several other variants showed partial loss of T-cell responsiveness, with lower avidity observed to  
103 the variant peptide compared to wild-type peptide. These included T325I in the B\*40:01-restricted  
104 nucleocapsid epitope MEVTPSGTWL<sub>322-331</sub><sup>2,13,15</sup>, R765L in the DRB1\*15:01-restricted CD4+  
105 spike epitope NLLLQYGSFCTQLNR<sub>751-765</sub><sup>2</sup>, and M177I in the CD4+ spike epitope  
106 CTFEYVSQPFLMDLE<sub>166-180</sub><sup>2</sup> (Figure 1E-G). In order to confirm our findings, we evaluated the  
107 impact of CD8+ T-cell epitope variants on CTL killing of peptide-loaded autologous B-cells.  
108 Consistent with the ELISpot data, CTL killing ability was significantly impaired by Q213K in  
109 ORF3a FTSDYYQLY<sub>207-215</sub>, P13L, P13S and P13T in nucleocapsid QRNAPRITF<sub>1-17</sub>, and T362I

110 and P365S in nucleocapsid KTFPPTEPK<sub>361-369</sub> (Figure 1H-J). Partial impairment of killing ability  
 111 was seen with T325I in MEVTPSGTWL<sub>322-331</sub> (Figure 1K).



113 **Figure 1. Functional impact of mutations in key SARS-CoV-2 dominant epitopes. A. Epitopes**  
114 *and variants studied. Mutated positions detailed in red within wild-type epitope sequence.*  
115 *Frequency indicates % of sequences where variant is seen within COG-UK Global alignment*  
116 *(309,119 sequenced, 29<sup>th</sup> Jan 2021). Global Lineages refers to Pango lineage assignment.*  
117 *ORF=Open Reading Frame, HLA=Human Leukocyte Antigen. <sup>a</sup>responses to longer peptide also*  
118 *seen in<sup>18</sup>; <sup>b</sup>responses to longer peptide also seen in<sup>10,18</sup>* **B-G.** *Recognition of wild-type (black) and*  
119 *mutant (red) peptide titrations by bulk epitope-specific T-cell lines in IFN- $\gamma$  ELISpot assays.*  
120 *SFU=Spot Forming Units. H-K.* *Ability of CD8+ T-cell lines to kill autologous B-cells loaded*  
121 *with wild-type (black) or mutant (red) peptides in carboxyfluoroscein succinimidyl ester (CFSE)*  
122 *assays. Effector:target ratio denotes proportion of CD8+ T-cell:B-cells in each assay.*

123

124 In contrast, Q9H in QRNAPRITF<sub>1-17</sub>, T366I in KTFPPTEPK<sub>361-369</sub>, P384L in the A\*03:01-  
125 restricted CD8+ spike epitope KCYGVSP<sub>378-386</sub><sup>2,8</sup> and M177I in the CD4+ spike epitope  
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127 Several other variants showed partial loss of T-cell responsiveness, with lower avidity observed to  
128 the variant peptide compared to wild-type peptide. These included T325I in the B\*40:01-restricted  
129 nucleocapsid epitope MEVTPSGTW<sub>322-331</sub><sup>2,13,15</sup>, R765L in the DRB1\*15:01-restricted CD4+  
130 spike epitope NLLLQYGSFCTQLNR<sub>751-765</sub><sup>2</sup>, and M177I in the CD4+ spike epitope  
131 CTFEYVSQPFLMDLE<sub>166-180</sub><sup>2</sup> (Figure 1E-G). In order to confirm our findings, we evaluated the  
132 impact of CD8+ T-cell epitope variants on CTL killing of peptide-loaded autologous B-cells.  
133 Consistent with the ELISpot data, CTL killing ability was significantly impaired by Q213K in  
134 ORF3a FTSDYYQLY<sub>207-215</sub>, P13L, P13S and P13T in nucleocapsid QRNAPRITF<sub>1-17</sub>, and T362I  
135 and P365S in nucleocapsid KTFPPTEPK<sub>361-369</sub> (Figure 1H-J). Partial impairment of killing ability  
136 was seen with T325I in MEVTPSGTW<sub>322-331</sub> (Figure 1K).

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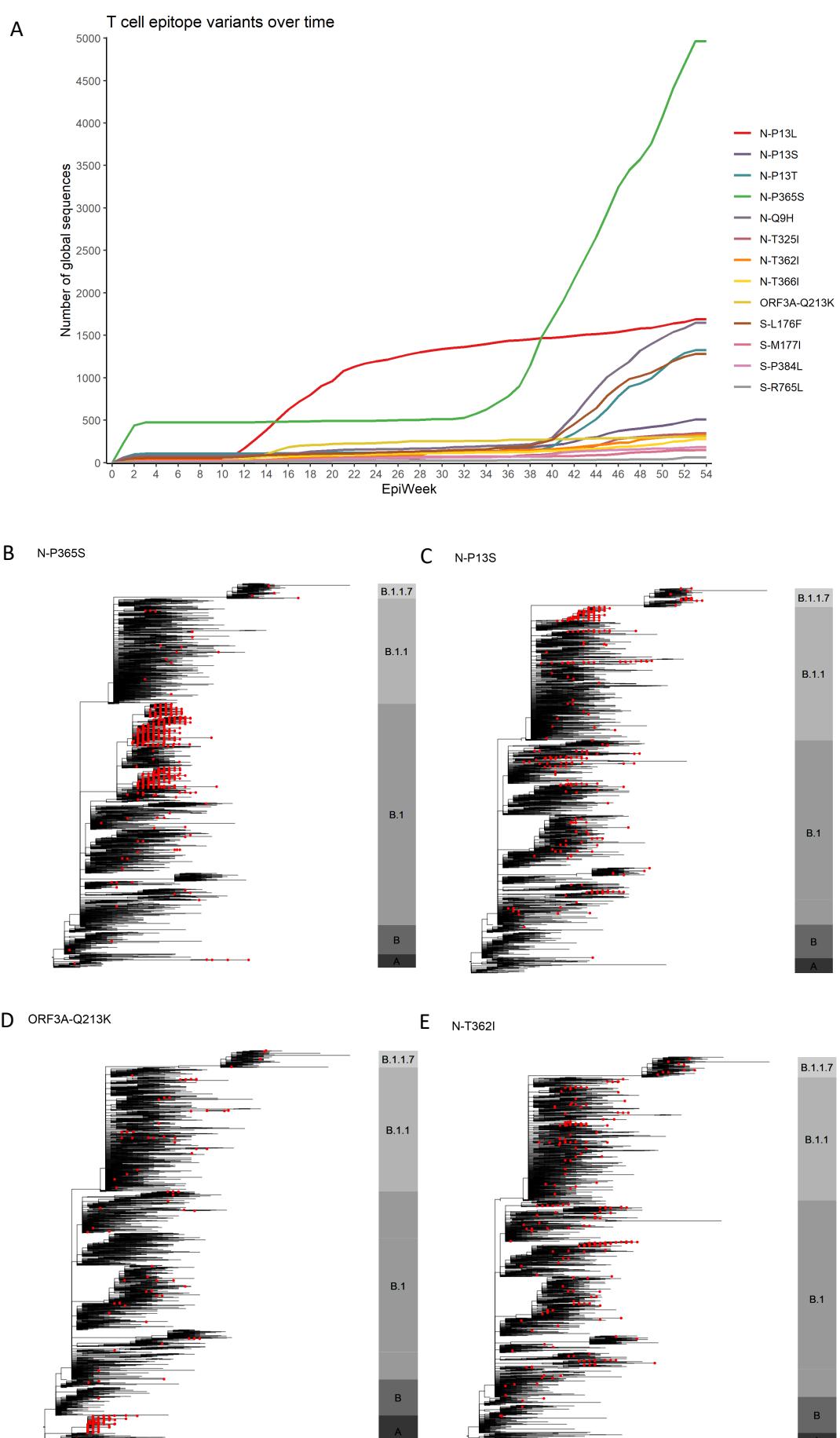
138 T-cell escape can occur via interrupting several mechanisms: antigen processing, binding of MHC  
139 to peptide, or T-cell receptor (TCR) recognition of the MHC-peptide complex. While we did not

140 explicitly establish which of these was responsible in each case, it is likely that any partial  
141 impairment of T-cell recognition is due to reduced TCR binding to MHC-peptide. Reasons for  
142 complete escape are more difficult to predict. As the anchor residues of peptide-MHC binding in  
143 A\*03:01/A\*11:01-restricted KTFPPTEPK<sub>361-369</sub> are at positions 2 and 9, T362I (position 2) may  
144 impair peptide-MHC binding, while P365S (position 5) may affect a T-cell binding residue. The  
145 proline changes (P13L, P13S, P13T) in the B\*27:05-restricted QRNAPRITF<sub>1-17</sub> (position 5) again  
146 may be at a key T-cell contact residue. The anchor residues for the A\*01:01-restricted  
147 FTSDYYQLY<sub>207-215</sub> are predicted to be at position 3 and 9, with auxiliary anchors at positions 2  
148 and 7, which may explain the impact of the Q213K (position 7) variant. In keeping with this, we  
149 see no significant impact of these mutations on the predicted binding affinities of epitope to MHC  
150 (Table S4). Despite a modest 4-fold decrease in predicted IC<sub>50</sub> for Q213K compared to wild-type,  
151 FTSDYYKLY<sub>207-215</sub> is still a strong binder to A\*01:01.

152

153 *Ex vivo* IFN- $\gamma$  ELISpots in two A\*03:01 and two B\*27:05 convalescent donors confirmed loss of  
154 responses to variant peptides seen with T-cell lines specific to KTFPPTEPK<sub>361-369</sub> and  
155 QRNAPRITF<sub>1-17</sub> (Figure S4). Thus, our findings using T-cell lines are representative of the  
156 circulating T-cell response to these epitopes and of physiological relevance. Interestingly, one  
157 A\*03:01 donor had low level responses to P365S and T362I, suggesting that subdominant  
158 responses via alternative TCR are possible. Our data are also biased by using T-cell lines generated  
159 from donors recruited early in the pandemic and therefore likely infected with ‘wild-type’ viruses<sup>2</sup>.  
160 While variants that impair antigen processing or MHC-peptide binding result in irreversible loss  
161 of T-cell recognition, CTLs with new TCR repertoires can overcome TCR-mediated escape  
162 variants, as has been described in HIV-1 infection<sup>21</sup>.

163  
164



166 **Figure 1. Global presence of variants in key dominant SARS-CoV-2 epitopes. A. Weekly**  
167 *frequency over time since beginning of SARS-CoV-2 pandemic of all variants studied in functional*  
168 *experiments. COG-UK global alignment dated 29<sup>th</sup> Jan 2021 and 309,119 sequences used.*  
169 *Variants named with prefix of SARS-CoV-2 protein (S=spike, N=nucleocapsid), followed by wild-*  
170 *type amino acid, position within protein and variant amino acid. B-E. Phylogenies representing*  
171 *global SARS-CoV-2 genomes depicting the presence of epitopes variants impacting T-cell*  
172 *responses. In each case, phylogenies represent all available variant sequences (red tips), along*  
173 *with a selection of non-variant sequences, which were subsampled for visualisation purposes. The*  
174 *bar to the right of each phylogeny is annotated by main ancestral lineages only and not each*  
175 *individual PANGO lineage that viruses belong to. The grapevine pipeline*  
176 *(<https://github.com/COG-UK/grapevine>) was used for generating the phylogeny based on all data*  
177 *available on GISAID and COG-UK up until 16<sup>th</sup> of February 2021.*

178  
179 Many variants examined in our study were at relatively low frequency and stable prevalence at the  
180 time of writing, other than P365S in KTFPPTEPK<sub>361-369</sub>, R765L in NLLLQYGSFCTQLNR<sub>751-765</sub>  
181 and variants affecting the proline at position 13 in QRNAPRITF<sub>1-17</sub> (Figures 1A and 2A). We  
182 explored whether variants that result in loss of T-cell recognition appeared as homoplasies in the  
183 phylogeny of SARS-CoV-2 suggestive of repeated independent selection, or whether global  
184 frequency is due mainly to the expansion of lineages after initial acquisition. While in some cases,  
185 variant frequency was dependent on a few successful lineages, P365S, Q213K, T362I, P13L, P13S  
186 and P13T had arisen independently on several occasions including within the recently emerged  
187 B.1.1.7 lineage (Figures 2B-E, S5A-B). It is important to emphasise that this homoplasy and our  
188 functional data do not prove selection due to T-cell escape, which would require demonstration of  
189 intra-host evolution. The positions we find important for T-cell recognition may be under selective  
190 pressure for reasons other than T-cell immunity. A recent study has documented intra-host  
191 evolution of minority variants within A\*02:01 and B\*40:01 CD8+ epitopes that impair T-cell

192 recognition, though not all epitopes are dominant and very few of the variants studied were  
193 represented amongst the global circulating viruses<sup>22</sup>.

194  
195 There is unlikely to be adequate population immunity at present to see global changes due to T-  
196 cell selection akin to what has been seen in adaptation of H3N2 influenza over time<sup>7</sup>. Furthermore,  
197 polymorphism in HLA genes restricts the selective advantage of escape within one particular  
198 epitope to a relatively small proportion of the population, given the breadth in T-cell responses we  
199 and others have shown. Nevertheless, responses to many of the CTL epitopes we have studied are  
200 dominant within HLA-matched individuals across many cohorts<sup>2</sup>. As A\*03:01, A\*11:01 and  
201 A\*01:01 are common HLA alleles globally, loss of T-cell responses to dominant epitopes such as  
202 KTFPPTEPK<sub>361-369</sub> and FTSDYYQLY<sub>207-215</sub> may be significant. Substitution of three different  
203 amino acid variants at nucleocapsid position 13 within the B\*27:05-restricted QRNAPRITF<sub>1-17</sub>  
204 epitope is also striking and suggests significant positive selective pressure at this site. A single  
205 dominant, protective B\*27:05-restricted epitope has been described in HIV-1 infection, with T-  
206 cell escape associated with progression to AIDS. T-cell escape from a B\*27:05-restricted influenza  
207 A epitope (nucleoprotein<sub>383-391</sub>) has also been observed<sup>6</sup>.

208  
209 A significant increase in sites under diversifying positive selective pressure was observed around  
210 November 2020, most notably in ORF3a, N and S<sup>23</sup>. As vaccine and naturally-acquired population  
211 immunity increases further, the frequency of variants we have described should be monitored  
212 globally, as well as further changes arising within all immunodominant T-cell epitopes. We have  
213 recently incorporated the ability to identify spike T-cell epitope variants in real-time sequence data  
214 into the COG-UK mutation explorer dashboard (<http://sars2.cvr.gla.ac.uk/cog-uk/>). Non-spike T-  
215 cell immune responses will also become increasingly important to vaccine-induced immunity as  
216 inactivated whole virus vaccines are rolled out. Our findings demonstrate the potential for T-cell  
217 evasion and highlight the need for ongoing surveillance for variants capable of escaping T-cell as  
218 well as humoral immunity.

219

220

221 **Methods**

222

223 *Identification of amino acid variants within T-cell epitopes*

224 Variants within the 360 experimentally proven T-cell epitopes were identified using the COVID-  
225 19 Genomics UK consortium (COG-UK) global alignment, dated 29<sup>th</sup> January 2021 and  
226 containing 309,119 sequences . Sequences were excluded if they did not contain a start and/stop  
227 codon at the beginning and end of each open reading frame (ORF). Each sequence was translated  
228 and compared to reference (MN908947.3) using custom python scripts (Python 3.7.6) utilising  
229 Biopython (version 1.78).

230

231 *Peptide titrations using T-cell lines*

232 Polyclonal CD4+ and CD8+ T-cell lines specific for seven previously described immunodominant  
233 epitopes<sup>2</sup> were generated after MHC class I or II tetramer sorting from cultured short-term cultures  
234 of SARS-CoV-2 recovered donor peripheral blood mononuclear cells (PBMCs). Antigen-specific  
235 T-cells were confirmed by corresponding tetramer staining. The functional avidity of T-cell lines  
236 was assessed by IFN- $\gamma$  ELISpot assays performed as described previously<sup>24</sup>, by stimulation with  
237 wild-type and variant peptides starting at 10 $\mu$ g/mL and serial 1:5 dilutions. Peptides were  
238 synthesised by GenScript Biotech (Netherlands) B.V. To quantify antigen-specific responses,  
239 spots of the control wells were subtracted from test wells and results expressed as spot forming  
240 units (SFU) per 10<sup>6</sup> PBMCs. If negative control wells had >30 SFU/10<sup>6</sup> PBMCs or positive control  
241 (phytohemagglutinin) were negative, results were considered invalid. Duplicate wells were used  
242 for each test and results are from three to seven independent experiments.

243

244 *Cytotoxic T-lymphocyte (CTL) killing assays*

245 Autologous B-cells were stained with 0.5 $\mu$ mol/L carboxyfluorescein succinimidyl ester (CFSE,  
246 Thermo Fisher Scientific) before wild-type or variant peptide loading at 1 $\mu$ g/mL for one hour.

247 Peptide-loaded B-cells were co-cultured with CTLs at a range of effector:target (E:T) ratios from  
248 1:4 to 8:1 at 37°C for 6 hours and cells stained with 7-AAD (eBioscience) and CD19-BV42  
249 (eBioscience). Assessment of cell death in each condition was based on the CFSE/7-AAD  
250 population present.

251  
252 *Predictions of binding strength of peptides to MHC*

253 NetMHCpan 4.1 (<http://www.cbs.dtu.dk/services/NetMHCpan/>) was used to predict the binding  
254 strength of wild type and variant epitopes under standard settings (strong binder % rank 0.5,  
255 weak binder % rank 2). The predicted affinity (IC<sub>50</sub> nM) for variant epitopes was compared with  
256 wild type.

257  
258 *Phylogenetic tree generation*

259 Phylogenies were generated using the grapevine pipeline (<https://github.com/COG-UK/grapevine>)  
260 based on all data available on GISAID and COG-UK up until 16<sup>th</sup> February 2021. In order to  
261 visualise all sequences with a specific amino acid variant of interest in a global context, a  
262 representative sample of global sequences was obtained in two steps. First, one sequence per  
263 country per epi week was selected randomly, followed by random sampling of the remaining  
264 sequences to generate a sample of 4000 down-sampled sequences. The global tree was then pruned  
265 using code adapted from the tree-manip package (<https://github.com/josephhughes/tree-manip>).  
266 The tips of sequences with amino acid variants impacting T-cell recognition were colour-coded.  
267 Visualisations were produced using R/ape, R/ggplot2, R/ggtree, R/treeio, R/phangorn, R/stringr,  
268 R/dplyr, R/aplot.

269  
270 *Ex vivo IFN-γ ELISpots in SARS-CoV-2 recovered donors*  
271 Cryopreserved PBMCs were used from SARS-CoV-2 recovered donors recruited into the Sepsis  
272 Immunomics study with ethical approval from the South Central - Oxford C Research Ethics  
273 Committee in England (Ref 13/SC/0149). These were used for *ex vivo* IFN-γ ELISpots with wild-  
274 type and variant peptides. Peptides were added to 200,000 PBMCs at a final concentration of

275 2 $\mu$ g/mL for 16-18 hours (two replicates per condition). Results were interpreted as detailed above.  
276 PBMCs used were from samples taken when patients were between 35 to 53 days from symptom  
277 onset.

278  
279  
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293 or MRC.

294

295 **Contributions**

296 TIdS and TD conceptualized the project; TD, TIdS and YP designed and supervised T cell  
297 experiments, BBL and MDP conducted the viral sequence analyses, DS conducted the literature  
298 review and collated T-cell epitope information, GL, DD performed experiments and analysed the  
299 data, XY, ZY, AA. and RB provided critical reagents and technical assistance, JCK and AJM,  
300 established clinical cohorts; TIdS and TD wrote and edited the original draft, all co-authors  
301 reviewed and edited the manuscript.

302  
303 **Competing Interests**  
304  
305 The authors declare no competing interests  
306  
307  
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