

1      **Expanding the range of editable targets in the wheat genome using the**  
2      **variants of the Cas12a and Cas9 nucleases**

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4      Wei Wang<sup>1</sup>, Bin Tian<sup>1</sup>, Qianli Pan<sup>1</sup>, Yueying Chen<sup>1</sup>, Fei He<sup>1</sup>, Guihua Bai<sup>2</sup>, Alina Akhunova<sup>1,3</sup>, Harold N.  
5      Trick<sup>1</sup> and Eduard Akhunov<sup>1\*</sup>

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7      <sup>1</sup> Department of Plant Pathology, Kansas State University, Manhattan, KS, USA; <sup>2</sup> USDA-ARS, Hard  
8      Winter Wheat Genetics Research Unit, Manhattan, KS; <sup>3</sup> Integrated Genomics Facility, Kansas State  
9      University, Manhattan, KS, USA.

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11      \*correspondence should be addressed to [eakhunov@ksu.edu](mailto:eakhunov@ksu.edu)

12 **Abstract**

13 The development of CRISPR-based editors having different protospacer adjacent motif (PAM)  
14 recognition specificities, or guide RNA length/structure requirements broadens the range of possible  
15 genome editing applications. Here, we evaluated the natural and engineered variants of Cas12a  
16 (FnCas12a from *Francisella novicida* and LbCas12a from *Lachnospiraceae bacterium*) and Cas9 for  
17 wheat genome editing efficiency and ability to induce heritable mutations in endogenous genes  
18 controlling important agronomic traits in wheat. Unlike FnCas12a, LbCas12a was able to induce  
19 mutations in the wheat genome in the current study, even though with a lower rate than that reported for  
20 SpCas9. The eight-fold improvement in the gene editing efficiency was achieved for LbCas12a by using  
21 the guide RNAs flanked by ribozymes and driven by the RNA polymerase II promoter from switchgrass.  
22 The efficiency of multiplexed genome editing (MGE) using LbCas12a was mostly similar to that obtained  
23 using the simplex RNA guides. A LbCas12a-MGE construct was successfully applied for generating  
24 heritable mutations in a gene controlling grain size and weight in wheat. We show that the range of  
25 editable loci in the wheat genome could be expanded by using the engineered variants of Cas12a  
26 (LbCas12a-RVR) and Cas9 (Cas9-NG and xCas9) that recognize the TATV and NG PAMs, respectively,  
27 with the Cas9-NG showing higher editing efficiency on the targets with atypical PAMs compared to  
28 xCas9. In conclusion, our study reports the set of validated natural and engineered variants of Cas12a and  
29 Cas9 editors for targeting loci in the wheat genome not amenable to Cas9-based modification.

30

31 **Keywords**

32 Wheat genome editing, Cas12a, engineered Cas9, altered PAMs, multiplex gene editing, grain size and  
33 weight

## 34 Introduction

35 Among considerations for designing genome editing experiments using an easily customizable  
36 CRISPR-Cas system, one of the important factors is the availability of target sequences with specific  
37 protospacer adjacent motifs (PAMs). The Cas9-based editor's specificity is defined by the 20 nt-long  
38 target sequence located next to G-rich NGG PAM (Jinek et al., 2012), which limits availability of target  
39 sequences. The discovery of Cas12a nuclease (Zetsche et al., 2015) and engineered SpCas9 variants (Hu  
40 et al., 2018a; Nishimasu et al., 2018) allows to expand the number of editable target sites. The T-rich  
41 PAMs of Cas12a (TTTV or TTV) and the atypical NG PAMs of xCas9 and Cas9-NG allows for genome  
42 editing in the regions that might lack the G-rich PAMs needed for SpCas9. When the Cas12a nucleases  
43 were initially studied in human cells, contrary to the FnCas12a from *Francisella novicida* U112, only  
44 AsCas12a from *Acidaminococcus sp. BV3L6* and LbCas12a from *Lachnospiraceae bacterium ND2006*  
45 induces detectable mutations (Zetsche et al., 2015). Since the length of the PAM (TTTV) for the  
46 AsCas12a and LbCas12a nucleases limits the possible target spaces for editing in genomes, the  
47 development of engineered AsCas12a (carrying mutations S542R/K607R or S542R/K548V/N552R) and  
48 LbCas12a (carrying mutations G532R/K595R or G532R/K538V/Y542R) with altered PAMs (TYCV or  
49 TATV) further broadened further the utility of the Cas12a system for genome engineering applications  
50 (Gao et al., 2017).

51 The application of CRISPR-Cas12a system has been widely studied in different plant species  
52 including *Arabidopsis*, rice, soybean, and tobacco (Begemann et al., 2017; Endo et al., 2016; Hu et al.,  
53 2017; Kim et al., 2017; Tang et al., 2017; Xu et al., 2017; Yin et al., 2017). In rice, the efficiency of  
54 LbCas12a-mediated gene editing was comparable to that obtained using Cas9, while the AsCas12a-  
55 induced mutations were hardly detectable (Tang et al., 2017). The FnCas12a, which has shorter PAM  
56 (TTV), was proved to induce robust DNA cleavage in multiple species, including such model species as  
57 rice and tobacco (Begemann et al., 2017; Endo et al., 2016; Wang et al., 2017; Wang et al., 2018a; Zhong  
58 et al., 2018). In wheat, until now, only two target sites in the exogenous gene GUS ( $\beta$ -glucuronidase) were  
59 edited using LbCas12a (Liu et al., 2020), with one of the targets showing the genome editing efficiency  
60 lower than that of Cas9.

61 The efficiency of multiplex genome editing (MGE) is another important consideration when choosing  
62 the CRISPR-Cas system. To edit multiple targets in a genome using the CRISPR-Cas9 system, multiple  
63 guide RNAs (gRNAs) should either be expressed each from its own independent promoter or be  
64 expressed as a long tandem gRNA array with individual units separated by spacers, which should undergo  
65 endogenous or exogenous RNA processing to produce functional RNA guides (Wang et al., 2016; Xing et  
66 al., 2014). The ability of Cas12a to process a precursor crRNA array (Fonfara et al., 2016; Zetsche et al.,  
67 2017) permits using it without need to separate each guide by a spacer sequence. Additionally, the short

68 length of crRNAs makes the CRISPR-Cas12a system an ideal MGE tool, which was successfully applied  
69 to editing the genome of different species, including rice (Wang et al., 2017).

70 To expand the range of editable targets, one of the most broadly used nucleases, SpCas9, was  
71 engineered to recognize the NG PAM instead of NGG. The two engineered variants, referred to as xCas9  
72 and Cas9-NG, were initially tested in human/mammalian cells (Hu et al., 2018a; Nishimasu et al., 2018),  
73 and later in other species, including some crops (Ren et al., 2019; Zeng et al., 2019; Zhong et al., 2019).  
74 The xCas9 nuclease was substantially less effective than SpCas9 for editing the exogenous GUS gene in  
75 wheat (Liu et al., 2020), and less effective than Cas9-NG for editing the endogenous targets in rice (Zeng  
76 et al., 2019; Zhong et al., 2019). However, the application of both xCas9 and Cas9-NG for engineering  
77 the endogenous gene targets in wheat was not reported.

78 In this study, we investigated the nuclease activity of the natural and engineered variants of Cas12a  
79 and Cas9 towards the targets in the wheat genome with the canonical and non-canonical PAMs using the  
80 simplex and multiplex gene editing constructs. Using Cas12a we have produced a stable mutant with  
81 heritable mutations in a gene affecting grain size and weight in wheat. To improve the efficiency of  
82 Cas12a-based editing in wheat, we have evaluated constructs with humanized and plant codon-optimized  
83 variants of Cas12a expressing guide RNAs under the control of the PvUbp promoter from switchgrass,  
84 and assessed the effect of ribozyme-based guide RNA processing on the efficiency of genome editing. We  
85 have demonstrated the ability of the engineered Cas12a and Cas9 nucleases with the altered PAMs to  
86 induce mutations at targets inaccessible to Cas9, expanding further the range of editable loci in the wheat  
87 genome.

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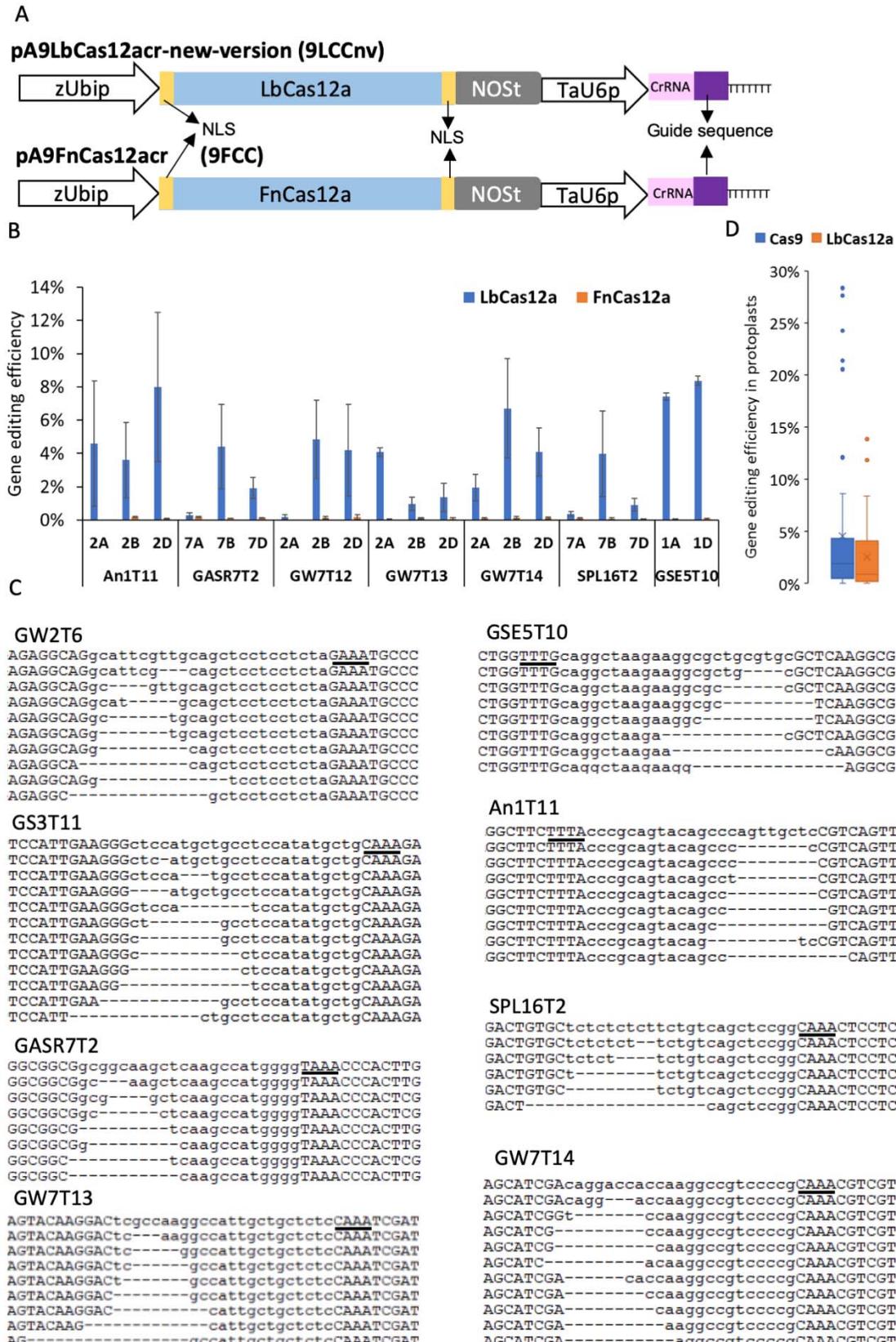
90 **Results**

91 **Comparison of LbCas12a and FnCas12a genome editing efficiency in wheat**

92 Because AsCas12a showed lower gene editing efficiency in both rice and tobacco compared to  
93 LbCas12a (Bernabe-Orts et al., 2019; Tang et al., 2017), in this study, we focused on evaluating the  
94 genome editing efficiency of LbCas12a and FnCas12a, which recognize the TTTV and TTV PAMs,  
95 respectively (Figure 1A). In total, 17 RNA guides were designed for LbCas12a to target eight genes:  
96 *TaAn-1*, *TaGASR7*, *TaGS3*, *TaGSE5*, *TaGW2*, *TaGW7*, *TaPDS*, and *TaSPL16* (Table S1). The efficiency of  
97 genome editing for 10 gene targets assessed in the wheat protoplast, after correcting for transformation  
98 efficiency, was higher than 1% in at least one biological replicate (Figure 1B, Table S2), with the highest  
99 editing efficiency reaching 21.6%. Most of the mutations induced by LbCas12a were deletions longer  
100 than 3 bp located at the 3' end of protospacers distal from PAMs (Figure 1C).

101 Due to overlap of PAM sequences, the guides designed for LbCas12a should target the same genes  
102 when used with FnCas12a. However, using 16 guide sequences designed for LbCas12a and two guides  
103 specifically designed for the targets carrying the TTV PAM, we could not identify FnCas12a-induced  
104 mutations at the target sites in the wheat protoplasts (Figure 1B and Table S3).

105 To compare the gene editing efficiency between LbCas12a and Cas9, the CRISPR-Cas9 guides were  
106 designed for the coding sequences of the *TaGS3*, *TaGSE5*, and *TaPDS* genes. We also used the previously  
107 reported estimates of genome editing efficiency for the CRISPR-Cas9 guides targeting the *TaGW7* gene  
108 (Wang et al., 2019). The editing efficiencies for 21 Cas9 and 12 LbCas12a RNA guides were estimated to  
109 be 64% and 41% at the regions targeted by CRISPR-Cas9 and CRISPR-Cas12a, respectively, and both  
110 had mutation rates higher than 1% (Table S2 and S4). Overall, the gene editing efficiency of CRISPR-  
111 Cas9 was higher than that of LbCas12a in the wheat protoplasts (Figure 1D) The highest gene editing  
112 efficiencies observed for the LbCas12a targets within genes *TaGW7*, *TaGS3*, *TaGSE5*, and *TaPDS* were  
113 6.7%, 13.8%, 8.4% and 3.74%, respectively. The highest gene editing efficiencies for the Cas9 targets  
114 within the *TaGW7*, *TaGS3*, *TaGSE5*, and *TaPDS* genes were 28.4%, 7.7%, 12.1% and 24.2%, respectively  
115 (Table S2 and S4).

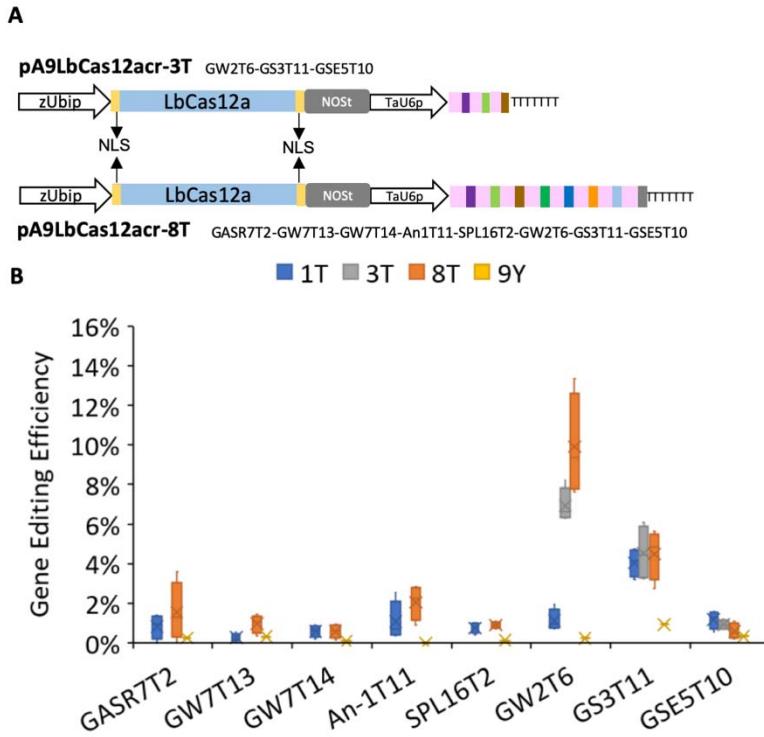


117 **Figure 1. LbCas12a but not FnCas12a induces mutations in the wheat genome. A)** A schematic  
118 illustration of plasmids pA9LbCas12aCr and pA9FnCas12aCr. Both the maize *Ubiquitin* promoter and  
119 wheat U6 promoter are shown with open arrows and marked as zUbip and TaU6p, respectively. The  
120 coding sequences of *LbCas12a* and *FnCas12a* are shown as blue rectangles. The nuclear localization  
121 signal peptide sequences that flank the *Cas12a* coding sequences are shown as yellow rectangles. The  
122 NOS poly A terminator is shown as grey rectangle and marked as NOST. The direct repeat and guide  
123 sequence of crRNA are shown as pink and purple rectangle, respectively. The sequence of seven “T”  
124 bases is terminator for TaU6p. **B)** The comparison of gene editing efficiency between LbCas12a and  
125 FnCas12a. The gene editing efficiency was normalized by protoplast transformation efficiency. The bar  
126 plots showed the data as mean  $\pm$  standard error. Each target had three biological repeats. **C)** The  
127 representative NGS reads generated for regions targeted by CRISPR-LbCas12a. The sequences of the  
128 wild type alleles are shown on the top. The PAM and target sequences are shown as underlined and lower-  
129 case letters, respectively. **D)** The comparison of mean gene editing efficiency for Cas9 (21 targets) and  
130 LbCas12a (12 targets) estimated for genes *TaGS3*, *TaGW7*, *TaPDS* and *TaGSE5* (Table S2 and S4). The  
131 duplicated target sites in the A, B, and D genomes were treated as independent targets. The gene editing  
132 efficiency of each target was normalized by protoplast transformation efficiency. The means of two or  
133 three biological replicates for each target were used to make the box and whisker plot.

134

### 135 **CRISPR-Cas12a-based multiplex gene editing**

136 The MGE efficiency of the CRISPR-Cas12a system was investigated by transforming the wheat  
137 protoplasts using the constructs expressing LbCas12a and tandem arrays of three, four or eight crRNA  
138 units (Figure 2A and S2). The LbCas12a-induced mutations were detected for all targets included into the  
139 MGE constructs (Table S5). The editing efficiency of the multiplexed guides, except for GW2T6, from  
140 the LbCas12a MGE constructs, was comparable to that of the LbCas12a constructs carrying only one  
141 guide RNA (Figure 2B and S2, Table S5, *t*-test *p*-value  $> 0.05$ ). The editing efficiency of the GW2T6  
142 guide was significantly higher than those of the remaining guides and also increased with increase in the  
143 number of crRNA units in the tandem arrays of the LbCas12a MGE constructs (Figure 2B and S2).



144

145 **Figure 2. The gene editing efficiency of CRISPR-LbCas12a constructs with single and multiple**  
146 **gRNA units. A)** Schematic illustration of the MGE CRISPR-LbCas12a constructs. The MGE constructs  
147 targeting three and eight targets are referred to as pA9LbCas12acr-3T and pA9LbCas12acr-8T,  
148 respectively. The order of guide sequences for different targets are shown next to the construct names. **B)**  
149 The box and whisker plots of gene editing efficiency for the CRISPR-LbCas12a constructs targeting one  
150 (1T), three (3T) and eight (8T) targets. The data generated from the protoplasts transformed with  
151 construct pA9eYFP was used as negative control (marked as 9Y). Because targets located in the A, B, and  
152 D genomes showed similar LbCas12a-induced mutation rates, data generated for all three genomes was  
153 pooled together for calculating the gene editing efficiency. The estimates for each target site were based  
154 on four biological replicates.

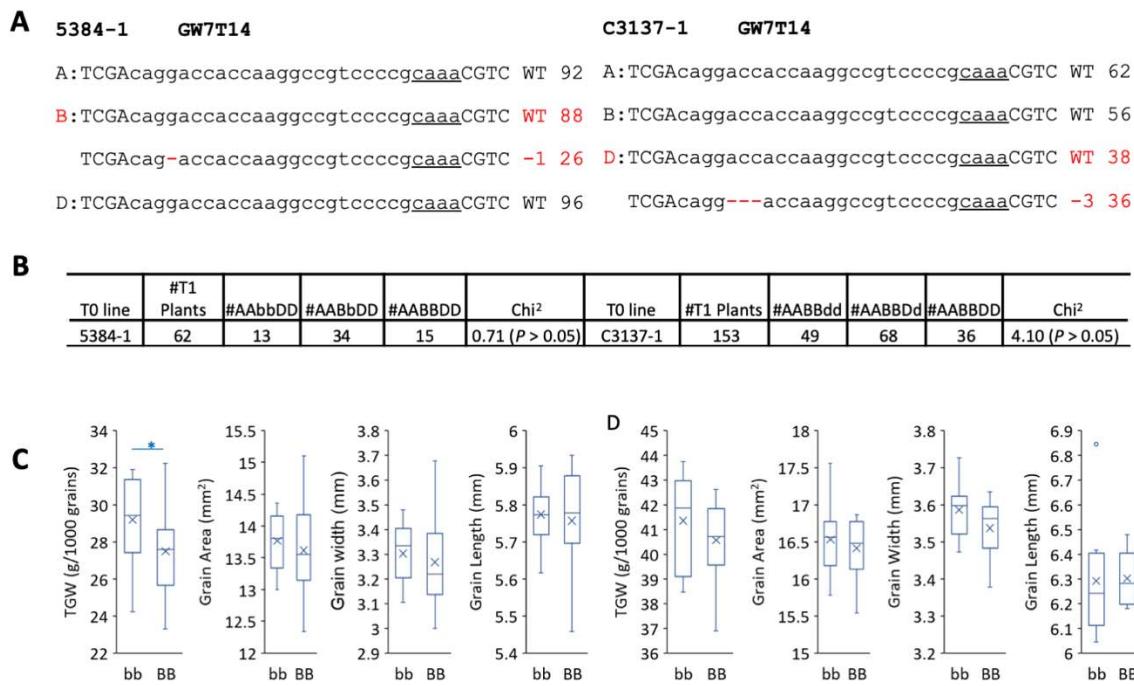
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### 156 **A CRISPR-Cas12a-induced mutation in the *TaGW7-B1* gene changed grain shape and weight**

157 To further validate the CRISPR-Cas12a system in wheat plants, we created 35 and 51 transgenic  
158 plants carrying the 9LCCnv-GS3T11 and 9LCCnv-8T constructs, respectively. All regions targeted by  
159 RNA guides in these LbCas12a-positive plants were genotyped by NGS. No mutations were detected in  
160 the 35 plants carrying the 9LCCnv-GS3T11 construct. Among 51 LbCas12a positive plants transformed  
161 with the 9LCCnv-8T construct, two plants (5384-1 and C3137-1) had mutations in the target site  
162 GW7T14, with no mutations detected in the remaining target sites. The  $T_0$  plant 5384-1 was heterozygous

163 for 1-bp deletion in *TaGW7-B1*, and the T<sub>0</sub> plant C3137-1 was heterozygous for 3-bp deletion in *TaGW7-*  
 164 *DI* (Figure 3A). This was consistent with the segregation ratio of the mutated alleles in the T<sub>1</sub> progeny of  
 165 5384-1 and C3137-1 plants (Figure 3B).

166 The effects of CRISPR-LbCas12a-induced mutations in the *TaGW7-B1* gene on grain size and  
 167 thousand grain weight (TGW) were assessed in the T<sub>1</sub> and T<sub>2</sub> generation plants derived from 5384-1. The  
 168 C3137-1 was excluded from further analyses because the 3-bp deletion does not cause frameshift in the  
 169 coding sequence. In T<sub>1</sub> generation, the TGW of *TaGW7-B1* homozygous mutants was increased by 6.2%  
 170 compared to the wild-type lines segregated from the same T<sub>0</sub> plants (*t*-test,  $P < 0.05$ ) (Figure 3C). This  
 171 result was confirmed by the 2% increase of TGW in the *TaGW7-B1* homozygous mutants compared to the  
 172 wild-type plants in the T<sub>2</sub> generation, though difference was not statistically significant (Figure 3D).  
 173 While the grain length of *TaGW7-B1* homozygous mutants was not increased compared to the wild-type  
 174 plants, the grain width was increased by 1.1% and 1.4% in the T<sub>1</sub> and T<sub>2</sub> generation, respectively. This  
 175 increase of grain width was accompanied by the slight increase of grain area in the T<sub>1</sub> and T<sub>2</sub> generation  
 176 plants. Though these increases in grain size were not statistically significant in both the T<sub>1</sub> and T<sub>2</sub>  
 177 generation plants, the direction of phenotypic change in the mutant lines from both populations was the  
 178 same, and also was consistent with the changes of TGW and grain size in the *TaGW7-B1* mutants  
 179 previously created by our group using the CRISPR-Cas9-based genome editing (Wang et al., 2019).



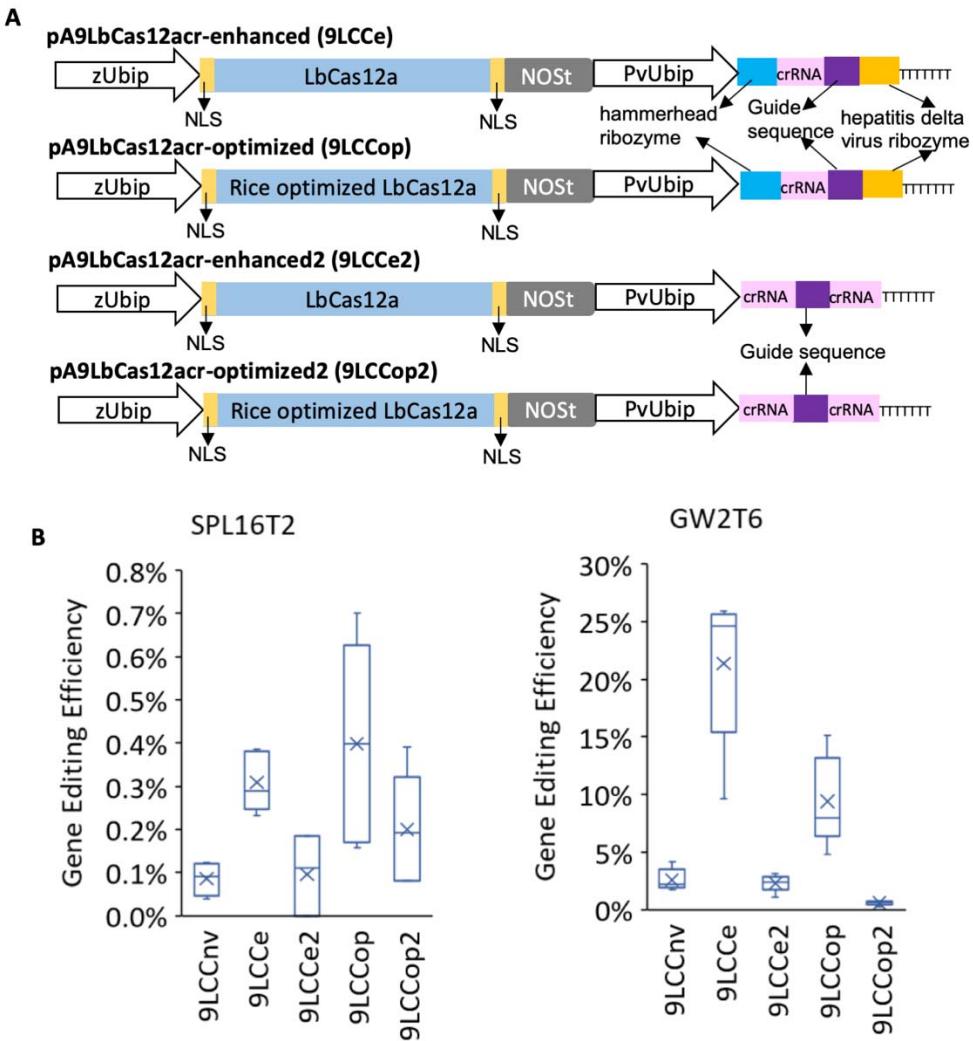
180  
 181 **Figure 3. The phenotypic effects of heritable mutations induced by the Cas12a MGE construct. A)**  
 182 The aligned NGS reads flanking the target site GW7T14 and frequencies of wild-type and mutated reads  
 183 in the T0 lines 5384-1 and C3137-1. WT stands for wild-type alleles in cv. Bobwhite; “-” sign and

184 numbers after them represent number of nucleotides deleted. The PAM sequences are underlined. The  
185 deleted nucleotides are shown with red dashed lines. **B)** The segregation of Cas12a-induced mutated  
186 alleles in the *TaGW7* gene in T<sub>1</sub> generation. The capital letters A, B and D and the lower-case letters a, b  
187 and d represent the wild-type and mutated alleles of *TaGW7* in the A, B and D genomes, respectively.  
188 The  $\chi^2$ - test for the expected Mendelian segregation ratio. **C)** and **D)** Box and whisker plots show the trait  
189 distribution for TGW, grain area, grain width, and grain length in T<sub>1</sub> (**C**) and T<sub>2</sub> (**D**) progeny of line 5384-  
190 1. The mean value of each group is shown as a “x” sign within the box-plot. Only the B genome  
191 genotypes with the *Cas12a*-induced mutations are shown. The capital letters and the lower-case letter  
192 represent the wild-type and mutated alleles, respectively. The T<sub>1</sub> plants were derived from T<sub>0</sub> line 5384-1,  
193 with 13 plants having genotype *AAbbDD*, and 15 plants having genotype *AABBDD*. All T<sub>2</sub> plants were  
194 derived from T<sub>1</sub> plant 5384-1-3 with 10 plants having genotype *AAbbDD*, and 11 plants having genotype  
195 *AABBDD*.

196  
197

### 198 **Improving the CRISPR-Cas12a-based genome editing efficiency in wheat**

199 The editing efficiency of the CRISPR-LbCas12a construct for most genes was significantly lower than  
200 that of the CRISPR-Cas9-based constructs (Figs. 1D and 2B). In rice, it was shown that the LbCas12a’s  
201 editing efficiency could be significantly improved by using the maize ubiquitin promoter to drive  
202 expression of a crRNA flanked by ribozymes (Tang et al., 2017). To improve the CRISPR-LbCas12a gene  
203 editing capacity in wheat, we created a construct (hereafter referred to as pA9LbCas12acr-enhanced or  
204 9LCCe), where the expression of crRNA flanked by two ribozymes was driven by the switch grass  
205 ubiquitin promoter (PvUbp) (Fig. 4A). Considering the ability of Cas12a to process CRISPR array into  
206 mature crRNAs, we tested a construct (henceforth pA9LbCas12acr-enhanced2 or 9LCCe2), in which the  
207 flanking ribozymes were removed and one extra direct crRNA repeat was added to the 3’ end of the  
208 crRNA protospacer (Figure 4A). In addition, the human codon optimized LbCas12a in 9LCCe and  
209 9LCCe2 was replaced by the plant codon optimized LbCas12a (Tang et al., 2017) to create constructs  
210 henceforth referred to as 9LCCop and 9LCCop2, respectively (Figure 4A).



211

212 **Figure 4. Improving the gene editing efficiency of LbCas12a. A)** Schematic illustration of the  
213 modified LbCas12a constructs. Compared to 9LCCnv, all the constructs have the wheat U6 promoter  
214 replaced by the PvUbip promoter. 9LCCe and 9LCCop have hammerhead and hepatitis delta virus  
215 ribozymes flanking the 5' and 3' ends of crRNA to facilitate its processing; 9LCCe2 and 9LCCop2 have  
216 one extra direct repeat after the 3' end of the guide sequence; 9LCCe and 9LCCe2 have the human  
217 optimized LbCas12a while 9LCCop and 9LCCop2 have the plant codon optimized LbCas12a. **B)** The  
218 comparison of gene editing efficiency among different versions of the LbCas12a constructs. The  
219 efficiencies of gene editing were estimated for the SPL16T2 and GW2T6 target sites conserved across all  
220 three wheat genomes. The estimates were based on four or five biological replicates. The gene editing  
221 efficiency was normalized by the protoplast transformation efficiency.

222

223 The CRISPR-LbCas12a crRNAs SPL16T2 and GW2T6 were subcloned into the modified constructs

(Fig. 4A). Compared to the guides driven by the TaU6 promoter (9LCCnv construct), the gene editing efficiency of the SPL16T2 and GW2T6 crRNA flanked by ribozymes driven by the PvUbip promoter was improved by 3- and 8-fold, respectively (Figure 4B, Table S6). However, guides flanked by the crRNA scaffolds and driven by the PvUbip promoter did not show improvement in gene editing efficiency (Figure 4B, Table S6), indicating that the double ribozyme system improves the gene editing capacity of CRISPR-LbCas12a, likely by improving the efficiency of crRNA processing. The plant codon optimized Cas12a slightly improved the gene editing efficiency at target SPL16T2, but no improvement was observed at target GW2T6 (Figure 4B, Table S6), which indicates that codon optimization did not substantially affect the ability of CRISPR-LbCas12a to induce double strand breaks.

233

## 234 The LbCas12a variant with the altered PAM induces mutations in the wheat genome

To broaden the editing capability of LbCas12a, we created a variant carrying mutations G532R, K538V, and Y542R (henceforth LbCas12a-RVR) that could recognize targets sites with the TATV PAMs (Figure 5A). As expected, the genome editing using LbCas12a-RVR at three target sites, GSE5T9, GW2T6 and PDST16, each having the TTTV PAM resulted in low mutation rate (Table S7). On contrary, by using LbCas12a-RVR in combination with two guides targeting sites with the TATV PAMs in the *TaAn-1* gene (Table S1), we detected mutations at both sites (Figure 5B) with the highest gene editing efficiency reaching 3.1% (Table S7).



242

**Figure 5. Engineered LbCas12a induces mutations in the wheat genome. A)** Schematic illustration of plasmid pA9 LbCas12aRVRCr (9LCCRVR). The modified LbCas12a-RVR contains three amino acid

246 substitutions, S542R, K548V, and N552R, which change PAM recognition specificity to “TATV”. **B**)  
247 The representative mutated reads of two targets on *TaAn-1* induced by CRISPR- LbCas12a-RVR. The  
248 first row for each target is wild type. The PAM sequence is underlined. The target sequences are shown in  
249 lower-case letters in the wild type sequence; the SNPs in the mutated reads of the An1T13 site are also  
250 shown as lower-case letters. The deleted nucleotides are shown as “-”.

251

## 252 **Off-target gene editing activity of LbCas12a and LbCas12a-RVR**

253 It has been reported that both AsCas12a and LbCas12a show lower off-target activity in human cells  
254 compared to Cas9 (Kim et al., 2016a; Kleinstiver et al., 2016), likely due to the long PAM sequence. Here,  
255 we identified the possible off-target sites for the LbCas12a by comparing the target sequences with the  
256 reference genome IWGSC RefSeq v1.0 (The International Wheat Genome Sequencing Consortium  
257 (IWGSC) 2018). Among the analyzed 10 targets for LbCas12a and two targets for LbCas12a-RVR, only  
258 six targets had matching PAMs (Figure S3). Most of these possible off-target sequences were highly  
259 divergent within the 8~10 bp from the PAM-distal end, and one of the possible off-target regions had  
260 SNPs located three and ten base-pairs after PAM (Figure S3). These mutations are expected to prevent  
261 LbCas12a from inducing mutations at the off-target sites. By sequencing these possible off-target sites,  
262 we demonstrated the lack of off-target editing activity for both CRISPR-LbCas12a or CRISPR-  
263 LbCas12a-RVR constructs (Table S8).

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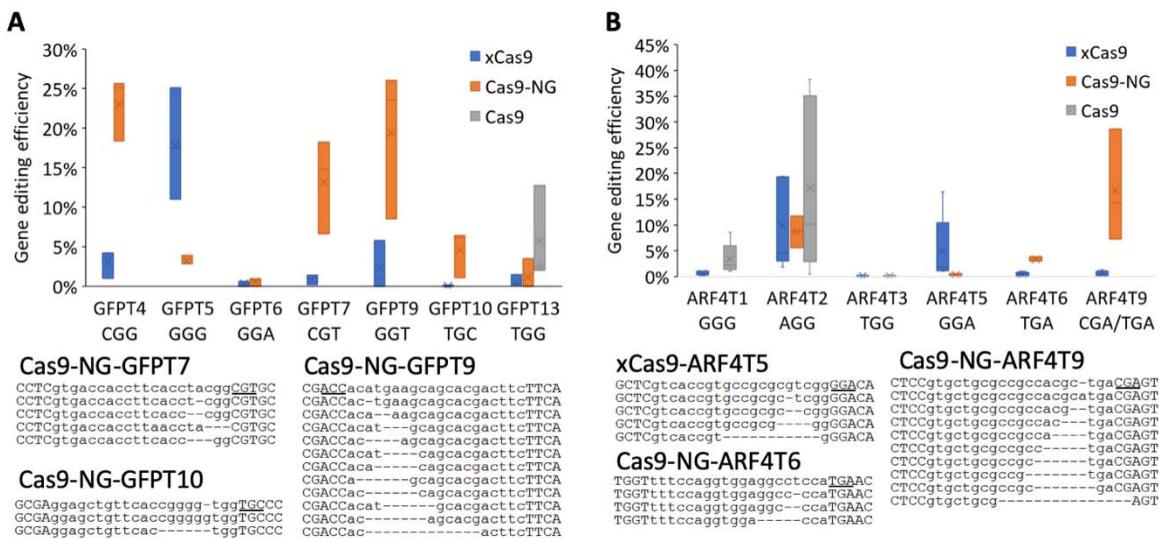
## 265 **Cas9-NG and xCas9 expand the range of genome editing targets in wheat**

266 To investigate whether the xCas9 and Cas9-NG enzymes engineered to recognize NG PAMs could  
267 edit genes in the wheat genome, the maize codon-optimized Cas9 in construct pBUN421 was replaced by  
268 the synthesized maize codon-optimized xCas9 and Cas9-NG, henceforth referred to as pBUN421x and  
269 pBUN421NG, respectively. The gene editing ability of xCas9 and Cas9-NG was investigated by  
270 transforming them into the wheat protoplasts isolated from a wheat line constitutively expressing green  
271 fluorescent protein (GFP). Seven targets with the “NGN” PAMs were designed for the GFP coding  
272 sequence (Table S1). While both xCas9 and Cas9-NG induced mutations in the targets followed by NGG  
273 PAMs, they showed preference for different targets. Compared to xCas9, Cas9-NG showed eight times  
274 higher editing efficiency for target GFPT4 (Figure 6A). In contrast, xCas9 showed four times higher  
275 editing efficiency than Cas9-NG for target GFPT5 (Figure 6A). When compared to wild type Cas9, both  
276 xCas9 and Cas9-NG had lower editing efficiency for target GFPT13, which was followed by the NGG  
277 PAM (Figure 6A). For all four targets with the NGH PAMs, xCas9 showed lower editing efficiency than  
278 Cas9-NG (Figure 6A). The highest editing efficiency of 5.8% and 26% was observed for xCas9 and Cas9-  
279 NG on target site GFPT9 (Figure 6A and Table S9). While Cas9-NG induced mutations in targets GFPT7

and GFPT10 with the editing efficiency of 13.2% and 4.6%, respectively, no mutations on these targets were detected in the cells transformed with the xCas9 construct (Figure 6A and Table S9).

To evaluate the ability of xCas9 and Cas9-NG to edit genes in the wheat genome, we designed guides for the *TaARF4* gene to target three regions with the NGG PAMs and three regions with the NGA PAMs (Table S1). Similar to target region GFPT13, both xCas9 and Cas9-NG showed lower editing efficiency than the wild-type Cas9 for the target regions ARF4T1 and ARF4T2, both followed by the NGG PAM (Figure 6B and Table S9). Mutations were induced by xCas9 in one of the three targets with the NGA PAM, ARF4T5, with the editing efficiency of 5%. Cas9-NG induced mutations in the other two NGA PAM targets, ARF4T6 and ARF4T9, with the editing efficiency of 16.7% and 3.4%, respectively (Figure 6B and Table S9).

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**Figure 6. Mutations induced by the Cas9 variants in the wheat genome.** **A)** Comparison of the xCas9 and Cas9-NG editing efficiency for targets located within the *GFP* gene. The estimates of gene editing efficiency are based on three biological replicates. **B)** Comparison of the xCas9 and Cas9-NG editing efficiency for targets located within the *TaARF4* genes. Because target sites were conserved across all three wheat genomes, the estimates of gene editing efficiency are based on reads pooled from all three wheat genomes. The gene editing efficiency was normalized by the protoplast transforming efficiency. The representative mutated reads for the Cas9-NG’s targets GFPT7, GFPT9, GFPT10, ARF4T6, and ARF4T9, and the xCas9’s target ARFRT5 are shown under the box and whisker plots. The first row for each target is wild type. The PAM sequence is underlined. The target sequences are shown in lower-case letters. The deleted nucleotides are shown as “-”.

303 **Discussion**

304 In the current study, we successfully applied the natural and engineered variants of the Cas12a  
305 and Cas9 nucleases for genome editing in wheat. Even though FnCas12a was reported to be effective for  
306 genome editing in rice (Zhong et al., 2018), FnCas12a did not induce detectable mutations in our  
307 experiments, making it unsuitable for wheat genome engineering. This observation indicates that further  
308 optimization of newly CRISPR-Cas systems is usually required to improve their portability across species,  
309 even for those that belong to the same clade. On contrary, the Cas12a nuclease from *L. bacterium* was  
310 capable of inducing double strand breaks in the wheat genome, albeit at the lower rates than those  
311 previously observed in our studies for Cas9 (Wang et al., 2016; Wang et al., 2018b; Wang et al., 2019).  
312 Both the LbCas12a and engineered LbCas12a-RVR variants showed no off-target activities and ability to  
313 support highly specific genome editing in wheat.

314 The recovery of mutant lines with the loss-of-function mutations in the *TaGW7-B1* gene,  
315 demonstrating the expected effects on the grain size and weight traits (Wang et al., 2019), suggests that  
316 the Cas12a-based editors are effective tool for modifying the wheat genome, especially in the regions that  
317 lack the NGG PAMs recognized by Cas9. To our best knowledge, this is the first report on the usage of  
318 Cas12a for producing heritable mutations in the wheat genome with the validated effect on phenotypic  
319 traits. We also found that the efficiency of Cas12a-based multiplexed genome editing assessed in the  
320 protoplasts was not directly related to that obtained in the transgenic plants. Consistent with this  
321 observation, our prior work based on Cas9 showed that even though the protoplast-based screening is  
322 critical for selecting guide RNAs for robust genome editing, the genome editing efficiencies assessed  
323 using this approach show relatively low correlation with the number of transgenic plants carrying  
324 mutations at the target loci (Wang et al., 2018b).

325 We show that a crRNA array without spacers in combination with Cas12a could be applied for the  
326 multiplexed wheat genome editing. In agreement with our previous study comparing the efficiency of  
327 simplex and multiplex genome editing using CRISPR-Cas9 in wheat (Wang et al., 2018b), most Cas12a  
328 targets were edited with the efficiency equal to that achieved using the simplex guide RNAs. In addition,  
329 in the wheat protoplasts, we found increase in the GW2T6 target editing efficiency with increase in the  
330 level of multiplexing. While this trend could be explained by various factors, including the increased  
331 Cas12a recruiting capacity of the higher-level multiplexed crRNA arrays, the position of protospacers in  
332 the crRNA array or by the high accessibility of the target loci in the complex genome, it is currently hard  
333 to discern a specific reason for this observation.

334 Contrary to earlier work showing the improvement of genome editing efficiency after codon  
335 optimization in rice (Xing et al., 2014), the codon optimization of LbCas12a did not affect the efficiency  
336 of wheat genome editing. However, modifications introduced into the guide RNA processing system had

337 a substantial effect on the LbCas12a's editing ability. Even though the Cas12a nuclease is capable of  
338 processing crRNA arrays to produce mature crRNAs (Fonfara et al., 2016), its natural crRNA processing  
339 capability appears to limit the efficiency of genome editing in wheat, which could be substantially  
340 enhanced by supplementing crRNA constructs with ribozymes (Tang et al., 2017). Although most Cas12a  
341 targets had editing efficiency lower than 5%, some exhibited high mutation rates, as high as 24%,  
342 indicating that genome editing efficiency could be further improved by optimizing the CRISPR-LbCas12a  
343 system. In addition, the efficiency of Cas12a-induced genome editing could be enhanced by increasing  
344 temperature (Malzahn et al., 2019), or by improving the tolerance of LbCas12a to low temperature  
345 (Schindele and Puchta, 2020). Further studies are warranted to assess these strategies for improving the  
346 Cas12a-based genome editing efficiency in wheat.

347 The engineered variants of Cas12a and Cas9, which demonstrated the ability to recognize  
348 noncanonical PAMs (TATV and NG), further expand the scope of editable loci in the wheat genome. The  
349 application of engineered Cas12a variants to plant genome editing was limited (Zhong et al., 2018), and  
350 the successful modification of the wheat genome targets located next to the TATC PAM using the altered  
351 LbCas12a-RVR nuclease provides great addition to the wheat genome editing toolbox and broaden the  
352 range of species whose genomes could be modified using LbCas12a. We showed that the xCas9 and  
353 Cas9-NG nucleases targeting minimal NG PAM were effective at generating double strand breaks within  
354 the endogenous gene targets that could not be edited using other editors. Though both xCas9 and Cas9-  
355 NG recognized targets with the NG PAMs, in wheat, we observed some bias in the target preference, with  
356 Cas9-NG being more effective at targets followed by the NGH PAM than xCas9 (Zeng et al., 2019;  
357 Zhong et al., 2019).

358

## 359 Conclusion

360 Here, we evaluated the ability of the natural (FnCas12a, LbCas12a) and engineered (LbCas12a-RVR,  
361 xCas9 and Cas9-NG) variants of the CRISPR-based DNA editors to induce mutations within the  
362 endogenous gene targets with the canonical and altered PAMs in the complex wheat genome. We  
363 demonstrated the improved target editing efficiency in the wheat genome using the LbCas12a constructs  
364 with crRNA units flanked by ribozymes. By using the LbCas12a nuclease in combination with the  
365 multiplexed RNA guides, we created stable wheat mutants with higher grain size and weight. We showed  
366 that the scope of editable loci in the wheat genome could be expanded by using the engineered LbCas12a-  
367 RVR, xCas9 and Cas9-NG nucleases recognizing targets with altered PAMs. Our study also highlights the  
368 importance of the systematic testing and optimization of newly developed CRISPR-Cas-based genome  
369 editing technologies to create a crop-specific customized toolkits to effectively implement diverse  
370 genome editing strategies for improving agronomic traits.

## 371 **Experimental procedures**

### 372 **Plasmid Construction**

373 To construct the plasmids for this study, all the DNA oligos and fragments were synthesized by  
374 Integrated DNA Technologies (USA). All the PCR was performed using NEBNext® High-Fidelity 2X  
375 PCR Master Mix (Catalog number: M0541L, New England Biolabs Inc., USA) following the  
376 manufacturer's instructions. All DNA fragments were assembled using the NEBuilder® HiFi DNA  
377 Assembly Cloning Kit (Catalog number: E5520S, New England Biolabs, USA) following the  
378 manufacturer's instructions. All the newly constructed plasmids were confirmed by Sanger sequencing.

379 A plasmid with AsCas12a crRNA (CRIPSPR RNA of Cas12a from Acidaminococcus sp. BV316)  
380 expression cassette was firstly constructed. The NOS terminator and wheat U6 promoter in plasmid  
381 pA9Cas9sg (Wang et al., 2016; Wang et al., 2019) were amplified using primers NOS-F and DR-  
382 AsCas12aF1 (Table S1). The direct repeat sequence of AsCas12a crRNA, two BsaI cutting sites, and 7 "T"  
383 bases were added by the second round of PCR using the NOS-F and DR-AsCas12aF2 primers (Table S1).  
384 The final PCR products were subcloned into pA9FeYFP (Figure S1) between the XmaI and SacI cutting  
385 sites by replacing the eYFP and NOS terminator. Henceforth, the resulting construct is referred to as  
386 pA9Ascr.

387 To construct plasmid pA9LbCas12acr (9LCC for short) that expressed the humanized LbCas12a and  
388 crRNA, the plasmid pA9Ascr was amplified using the primer pair LbCrF and LbCrR. The PCR product  
389 was self-ligated using NEBuilder® HiFi DNA Assembly Cloning Kit, and henceforth is referred to as  
390 pA9LbCr. The humanized LbCas12a CDS and the 3' end NLS were amplified using the LbCas12aF and  
391 LbCas12aR primers from plasmid pY016 (pcDNA3.1-hLbCas12a). The PCR product was subcloned into  
392 pA9Lbcr to create the plasmid 9LCC (Figure S1). To add one more nuclear localization signal peptide to  
393 the 5' end of humanized LbCas12a CDS, a primer pair SV40NLS\_LbCas12a\_F and LbCas12aR was used  
394 to amplify the humanized LbCas12a CDS and the 3' end NLS. Then the resulting PCR product was  
395 amplified again using a primer pair pA9\_SV40NLS\_F and LbCas12aR, followed by subcloning into  
396 pA9Lbcr to create a plasmid pA9LbCas12acr-new-version, 9LCCnv for short (Figure S1). To create  
397 plasmid pA9 LbCas12aRVRcr, the plasmid pA9LbCas12acr was amplified using a primer pair  
398 pA9\_SV40NLS\_F and LbCas12aRVR-R, and a primer pair LbCas12aRVR-F and LbCas12aR. The  
399 resulting PCR products were ligated and amplified using a primer pair pA9\_SV40NLS\_F and LbCas12aR  
400 followed by subcloning into KpnI and XmaI digested pA9LbCas12acr-new-version to replace the wild  
401 type Cas12a. This created the plasmid 9LCC.

402 To construct pA9FnCas12acr (9FCC for short) expressing a wheat codon optimized FnCas12a and its  
403 crRNA, the FnCas12a coding sequence with NLS and 3 × HA tag on the 3' ends was synthesized (Figure  
404 S1), and amplified using a primer pair SV40NLS\_FnCas12a\_F and FnCas12aR (Table S1). The resulting

405 DNA fragment was amplified again using a primer pair pA9\_SV40NLS\_F and FnCas12aR to finalize the  
406 addition of SV40 NLSs. The PCR product was subcloned into pA9Ascr between KpnI and XmaI cut sites,  
407 resulting in plasmid pA9FnCas12aAscr. To change the crRNA direct repeat sequence from AsCas12a to  
408 FnCas12a, the plasmid was amplified using two primer pairs: NOS-F and FnCrR, and FnCrF and  
409 FnCas12aR. The resulting two PCR products were assembled. This created the plasmid 9FCC.

410 To construct the pA9LbCas12a-enhanced (9LCCe for short), the hammerhead ribozyme and hepatitis  
411 delta virus ribozyme sequences were added to the 5' and 3' ends of LbCas12a crRNA direct repeat,  
412 respectively, using the two rounds of PCR. Two BsaI cutting sites were embedded between the crRNA  
413 direct repeat and delta virus ribozyme. The first round of PCR was conducted using the primer pair  
414 Hammer-crF and HDVribo-crR with plasmid 9LCC as a template. The second PCR was done using the  
415 primer pair Hammer-F and HDVriboR. The PCR product was assembled with PstI +XhoI digested  
416 pMOD\_B2312 (Cermak et al., 2017). The new construct, designated as pMODLBcr, was then amplified  
417 using the primer pair PvUbi1pF4 and 35SterR2, and the 9LCC was amplified using the primer pair NOS-  
418 F5 and NOS-R followed by digestion with BamHI. The first PCR product and the digested product were  
419 assembled and amplified again using primer NOS-F5 and 35SterR2. The new PCR product was  
420 assembled together with the 9LCC construct digested with XmaI and SacI to generate plasmid 9LCCe,  
421 which was then amplified using the two primer pairs, PvUbi1pF4 and PvUbi1pR1, and 35SterF2 and  
422 35SterR2. These two PCR products were assembled and amplified using the primer pair PvUbi1pF4 and  
423 35SterR2. The new PCR product was assembled with SpeI and SacI digested 9LCCe to form the plasmid  
424 pA9LbCas12a-enhanced2 (9LCCe2 for short). Then the plant codon-optimized LbCas12a was amplified  
425 from plasmid pYPQ230 (Tang et al., 2017) and used to replace the human codon-optimized LbCas12a in  
426 9LCCe and 9LCCe2, henceforth pA9LbCas12a-optimized (9LCCop for short) and pA9LbCas12a-  
427 optimized2 (9LCCop2 for short), respectively.

428 To obtain xCas9 and Cas9-NG constructs, mutations were introduced into the maize codon-optimized  
429 zCas9 in plasmid pBUN421 (Xing et al., 2014), resulting in the pBUN421x and pBUN421NG constructs,  
430 respectively. To construct pBUN421x, zCas9 was amplified with the two primer pairs, zCas9F3 and  
431 zCas9seq4, and zCas9R3 and zCas9seq5. Both PCR products were assembled along with the synthesized  
432 DNA fragment zxCas9\_741-3740. The assembled DNA fragment was amplified using the pair of primers  
433 zCas9F3 and zCas9R3, followed by assembling the resulting PCR product with XmaI and StuI digested  
434 pBUN421 to replace the wild type Cas9. To construct pBUN421NG, zCas9 was amplified using the  
435 primers zCas9F3 and zCas9seq19, and the resulting product was assembled along with the synthesized  
436 DNA fragment zCas9-NG\_R. The assembled product was amplified using the primers zCas9F3 and  
437 zCas9R3, followed by assembling the PCR product with XmaI and StuI digested pBUN421 to replace the  
438 wild type Cas9.

439 The sequences of the wheat orthologs of six rice genes, including *OsGW2* (Song et al., 2007), *OsGS3*  
440 (*Mao et al.*, 2010), *OsGSE5* (Duan et al., 2017), *OsAn-1* (Luo et al., 2013), *OsSPL16* (Wang et al., 2012),  
441 *OsARF4* (Hu et al., 2018b), were identified by comparing with the IWGSC RefSeq v2.0 reference  
442 genome on Ensembl Plants (<https://plants.ensembl.org/index.html>). The crRNA protospacers were  
443 selected from the cDNA sequences of the *TaPDS*, *TaGASR7* (Ling et al., 2013), *TaGW2*, *TaGS3*, *TaGSE5*,  
444 *TaAn-1*, *TaSPL16*, and *TaGW7* genes (Wang et al., 2019). Both forward and reverse sequences of the  
445 protospacers along with the 4-nucleotide 5' overhangs were synthesized and sub-cloned into  
446 pA9FnCas12acr, pA9LbCas12acr, pA9 LbCas12aRVRcr, pBUN421x, and pBUN421NG, as previously  
447 described (Wang et al., 2018b). To construct the multiplex gene editing constructs with three, four, or  
448 eight tandem crRNA units, we synthesized one or two ultra-DNA oligonucleotides (Table S1). These  
449 oligonucleotides were assembled and amplified by PCR, and resulting amplicons were sub-cloned into  
450 plasmid 9LCCnv.

451 **Protoplast transformation**

452 The wheat protoplast transient expression assay was performed as previously described with some  
453 modifications (Wang et al., 2018b). About 100 seedlings of wheat cultivar Bobwhite were grown in the  
454 dark for two weeks, shoot tissues were finely sliced and vacuumed at -600 mbar for 30 min in a 30 ml of  
455 W5 solution (0.1 % glucose, 0.08 % KCl, 0.9 % NaCl, 1.84 %  $\text{CaCl}_2 \cdot 2\text{H}_2\text{O}$ , 2 mM MES-KOH, pH 5.7).  
456 Then the tissues were digested for 2.5 hours in a 30 ml enzymic mix containing 1.5% Cellulase R10  
457 (from *Trichoderma viride*, 7.5 U/mg), 0.75 % Macerozyme R10 (from *Rhizopus* sp.), 0.6 M mannitol, 10  
458 mM MES pH 5.7, 10 mM  $\text{CaCl}_2$  and 0.1% BSA. After digestion, protoplasts were filtered through 40  $\mu\text{m}$   
459 nylon meshes. The remaining tissues were washed with the 30 ml of W5 solution followed by filtering  
460 through the nylon meshes. The resulting cell suspension was mixed gently, and protoplasts were collected  
461 by centrifugation at 100 g for 5 min, and then washed twice with the 10 ml of W5 solution. The final  
462 protoplast pellet was re-suspended in the 5 ml of W5 solution, cell count was estimated using a  
463 hemocytometer. The re-suspended protoplasts were kept on ice for 30 min to allow for the natural  
464 sedimentation. Then protoplast cell count was adjusted to  $10^6$  cells/ml in MMG solution (0.4 M mannitol,  
465 15 mM  $\text{MgCl}_2$ , 4 mM MES, pH 5.7).

466 The 10  $\mu\text{g}$  of plasmid DNA and 100  $\mu\text{l}$  of protoplasts were mixed with the 130  $\mu\text{l}$  of PEG solution (40%  
467 (W/V) PEG 4000, 0.2 M mannitol and 0.1M  $\text{CaCl}_2$ ). After 30-min incubation at room temperature in the  
468 dark, 500  $\mu\text{l}$  of W5 solution was added. The protoplasts were collected by centrifugation at 100 g for 2  
469 min, re-suspended in 1 ml of W5 solution and incubated in the dark at room temperature. The  
470 transformation efficiency was assessed by counting the fraction of fluorescent-positive protoplasts  
471 transformed with pA9mRFP. Protoplasts were collected 48 h after transformation and DNA was isolated  
472 with PureLink Genomic DNA Mini Kit (Thermo Fisher Scientific, Catalog number: K182002) following

473 the manufacture's protocol.

474 **Gene editing efficiency calculation by the next generation sequencing (NGS) of PCR amplicon  
475 library**

476 To detect mutations induced by the CRISPR-Cas12a or CRISPR-Cas9 variants, genomic regions  
477 harboring the crRNA targets were amplified by PCR. The Illumina's TruSeq adaptors on both ends of the  
478 amplicons were added using two rounds of PCR as described (Wang et al., 2016). PCR products were  
479 purified with MinElute PCR Purification Kit (Qiagen), pooled in equimolar ratio, and sequenced on a  
480 MiSeq Sequencer using the MiSeq Reagent Nano Kit v2 (500 cycles, 2 x 250 bp run) at the K-State  
481 Integrated Genomics Facility. The Illumina reads passing quality control were aligned to the wild-type  
482 reference sequences of targeted genes. The gene editing efficiency was calculated by dividing the number  
483 of mutated reads to the total number of all aligned reads.

484 **Transgenic plants regeneration and genotyping**

485 Wheat immature embryo transformation and plant regeneration were performed as previously  
486 described (Saintenac et al., 2013). To isolate DNA, leaf tissues were sampled and homogenized in 500 µL  
487 of TPS buffer, then incubated for 20 min at 75 °C. After centrifugation for 5 min, 140 µL of the  
488 supernatant was mixed with 140 µL isopropanol and incubated for 20 min at room temperature. DNA was  
489 precipitated, washed with 70% ethanol, and re-suspended in 100 µL of deionized water.

490 The presence of CRISPR/Cas12a or CRISPR-Cas9 variants constructs in the transgenic plants was  
491 validated by PCR using four pairs of primers amplifying different regions of the Cas12a and crRNA  
492 expression cassettes (Table S1). The CRISPR/Cas12a-induced mutations were examined only in the  
493 plants showing the presence of three PCR products. The mutations were detected using the NGS-based  
494 procedure described above.

495 **Plant growth and grain morphometric data collection**

496 The CRISPR-Cas12a induced mutant plants were grown and phenotyped as described previously with  
497 slight modifications (Wang et al., 2018c). Briefly, the T1 generation plants were grown in a growth  
498 chamber under 16-h light / 8-h dark cycle. The temperature was set to 24 °C during the day and 20 °C  
499 during the night. The T2 generation plants were grown in the Kansas State University's greenhouses under  
500 natural conditions supplemented by additional light sources to maintain 16-h light / 8-h dark cycle. The  
501 room temperature was set as 24 °C during the day and 20 °C during the night. Three main spikes from  
502 each plant were harvested separately. A MARVIN seed analyzer (GTA Sensorik GmbH, Germany) was  
503 used to measure the grain morphometric traits (grain width, length, area), and thousand grain weight for  
504 each spike. The mean of three spikes from each plant was calculated and used for further analyses.

505 **Statistical analysis**

506 The two-tailed Student's *t*-test was applied to assess the significance of differences between the  
507 simplex and multiplex gene editing efficiencies in the wheat protoplasts.

508

509

510 **Author Contributions**

511 W.W. conducted the gene editing experiments in protoplasts and the genotyping and phenotyping of  
512 transgenic plants, generated and analyzed the next-generation sequencing and phenotyping data, drafted  
513 the manuscript; B.T. conducted plant transformation experiments, provided the GFP transgenic wheat,  
514 helped the design of gene editing experiments; Q.P. analyzed gene editing events using next-generation  
515 sequencing; Y.C. performed biolistic transformation of wheat embryos; F.H. developed pipeline and  
516 helped for NGS data analyses; A.A. designed experiments for NGS analysis of editing events and  
517 performed NGS; H.T. performed biolistic transformation of wheat embryos with the gene editing  
518 constructs and coordinated project; GB provided Sanger sequencing; and E.A. conceived idea,  
519 coordinated project, and wrote the manuscript. All authors revised the manuscript and approved final  
520 version of the manuscript.

521

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528 pBUN421, and pMOD\_B2312, respectively.

529

530

531 **Conflict of interest**

532 The authors declared that they do not have conflict of interests.

533 **Supporting Information**

534 Figure S1. The sequences of plasmids applied in this study.

535 Figure S2. Gene editing efficiency comparison of single target and multiple target CRISPR-LbCas12a  
536 constructs.

537 Figure S3. The off-target blast hits of 12 LbCas12a targets against Chinese Spring RefSeqv1.0.

538 Table S1. The primers and DNA oligoes used in this study.

539 Table S2. The NGS-based gene editing efficiency analyses of CRISPR-LbCas12a constructs in wheat  
540 protoplasts.

541 Table S3. The NGS-based gene editing efficiency analyses of CRISPR-FnCas12a constructs in wheat  
542 protoplasts.

543 Table S4. The NGS-based gene editing efficiency analyses of CRISPR-Cas9 constructs in wheat  
544 protoplasts.

545 Table S5. The NGS-based gene editing efficiency analyses LbCas12a multiplex constructs in wheat  
546 protoplasts.

547 Table S6. The NGS-based gene editing efficiency analyses of improved LbCas12a constructs in wheat  
548 protoplasts.

549 Table S7. The NGS-based gene editing efficiency analyses of LbCas12a-RVR constructs in wheat  
550 protoplasts.

551 Table S8. The NGS-based off-target analyses of LbCas12a and LbCas12a-RVR constructs.

552 Table S9. The NGS-based gene editing efficiency analyses of Cas9 variants in wheat protoplasts.

553

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