

1 ROBUST ONLINE MULTIBAND DRIFT ESTIMATION IN ELECTROPHYSIOLOGY DATA

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3 ABSTRACT

4 High-density electrophysiology probes have opened new possibilities for systems neuroscience
5 in human and non-human animals, but probe motion (or drift) while recording poses a challenge
6 for downstream analyses, particularly in human recordings. Here, we improve on the state of
7 the art for tracking this drift with an algorithm termed **DREDge** (Decentralized Registration of
8 **E**lectrophysiology **D**ata) with four major contributions. First, we extend previous decentralized
9 methods to exploit *multiband* information, leveraging the local field potential (LFP), in addition to
10 spikes detected from the action potentials (AP). Second, we show that the LFP-based approach
11 enables registration at *sub-second* temporal resolution. Third, we introduce an efficient *online*
12 motion tracking algorithm, allowing the method to scale up to longer and higher spatial resolution
13 recordings, which could facilitate real-time applications. Finally, we improve the *robustness* of
14 the approach by accounting for the nonstationarities that occur in real data and by automating
15 parameter selection. Together, these advances enable fully automated scalable registration of
16 challenging datasets from both humans and mice.

17 **Index Terms**— Decentralization, online optimization, electrophysiology, motion estimation,
18 preprocessing, extracellular recording, neuropixels

19

1. INTRODUCTION

20 Dense electrophysiology via multi-channel microelectrode probes, such as the Neuropixels probe
21 [1, 2], provide an unprecedented view of neural circuits in human and non-human animal brains
22 at extremely high resolution both temporally (30 kHz) and spatially (20-400 μ m). In contrast with
23 older recording technologies using lower spatial resolution arrays, the latest probes that pene-
24 trate into the brain allow us to measure activity in large populations of neurons (several hundreds)
25 and the local field potential (LFP) with high fidelity. Since their introduction and ongoing develop-
26 ment, these probes have allowed testing a variety of novel scientific hypotheses, including those
27 related to neural correlates of consciousness [3], motor planning [4] and visual choice tasks [5],
28 cementing their role as a staple tool for systems neuroscience for the foreseeable future. Fur-
29 thermore, Neuropixels probes have recently been successfully employed for high-quality intra-
30 operative recordings in awake and anesthetized humans [6, 7], enabling us to directly answer
31 fundamental questions about human brain physiology with possible clinical implications.

32 However, several biological and physical sources of noise and variability reduce the neural
33 recording effectiveness of Neuropixels probes [8]. In the case of in vivo measurements, espe-
34 cially in human participants, the probe signal can be impacted by brain motion effects due to the
35 heart rate and breathing of the patients as well as unexpected brain shifting during recording
36 (such as when the participants start to talk in clinically indicated awake tasks) [6]. This motion
37 results in drift in the voltage measurements across channels, potentially corrupting the ability
38 to isolate single unit activity on a given channel, which may lead to undersampling of spikes or
39 over-splitting of identified unit clusters [9, 10, 11]. Together, these errors reduce the ability to
40 characterize the functional activity of neural populations that are measured.

41 While drift affects voltage measurements in any rigid electrode, it is both visible and fixable
42 in probes with very high spatial resolution such as Neuropixels. There are two main approaches
43 to solving the motion drift problem in dense electrophysiology probes. Experimental approaches
44 involve designing hardware to steady the probe during measurement. For example, probe move-
45 ment can be stabilized at the open craniotomy as done in non-human primate preparations using

46 O-rings or other materials pressing down on the brain [12]. However, in the human operating
47 room, attempts to stabilize the probe using the same techniques could induce problematic cap-
48 illary damage on the surface of the cortex and may require considerable careful non-human
49 testing before implementing these approaches.

50 Two main computational methods have been developed to estimate motion drift in Neuropix-
51 els probes. Kilosort 2.5 [2] uses a template-based approach, computing a template signal, and
52 then using cross-correlations to shift the drifting signal back to the template space. In contrast,
53 Varol et al. [13] take a *decentralized* approach, measuring local signal shifts between all pairs of
54 time-binned signals to learn a global displacement vector. These two techniques have been in
55 wide deployment by several experimental groups [6, 14], however, they have three main short-
56 comings. Kilosort 2.5’s template-based approach is plagued by model misspecification if the
57 neural signal rapidly changes, eliminating the possibility that the recording would be described
58 by a single template. The decentralized approach [13] circumvents this issue but is hindered by
59 the computation of a $T \times T$ matrix that might be prohibitively large in chronic recordings. Further-
60 more, both of these methods have a variety of parameters such as time bin sizes and correlation
61 cutoff parameters that need to be carefully tuned by practitioners to recover the tracked mo-
62 tion, reducing their robustness in high-throughput settings. Last and most importantly, these two
63 techniques rely on the spike waveforms, probe locations, and discrete spike times of the high-
64 frequency action potential (AP) band of the voltage signal, but ignore the smoother local field
65 potential (LFP) band to estimate drift. Although AP band approaches require localizing spikes
66 over time to estimate motion, the number of spikes in smaller time bins becomes too sparse to
67 accurately compute motion-induced shifts. Hence, AP band approaches are limited to estimate
68 drift on the order of ~ 1 second temporal resolution. On the other hand, the LFP band possesses
69 smoother and more continuous signal across the entire recording, which has the potential to
70 capture drift with a temporal resolution that is only limited by the sampling rate of measurement
71 (~ 2.5 KHz).

72 To overcome these obstacles, we introduce a novel extension to the decentralized registra-

73 tion approach [13] with the following **main contributions**: 1) fast online GPU based-optimization
74 of displacement estimates in large-scale recordings, 2) sub-second temporal resolution, 3) au-
75 tomatic statistical tuning of parameters, and 4) multiband (LFP and AP based) motion estima-
76 tion. Together, these four new features provide us with a fast, scalable, and robust approach for
77 motion-correcting electrophysiological data with minimal or no parameter tuning. We term our
78 approach **DREDge** which stands for **D**ecentralized **R**egistration of **E**lectrophysiology **D**ata.

79 We validate **DREDge** on two human Neuropixels recordings [6] and a mouse recording [2]
80 from two separate research groups. We measure its performance in terms of registration quality
81 and run-time and compare it with state-of-the-art techniques [13] and [2]. See <https://github.com/evarol/DREDge> for open-source code.

83 **2. METHODS**

84 We first motivate the **DREDge** algorithm using the decentralized registration approach [13] that
85 it builds on in section 2.1. Then we describe several additions that enable **DREDge** to esti-
86 mate drift in multiband electrophysiology data in a robust, efficient, and online manner in sec-
87 tions 2.2,2.4, and 2.5. In section 2.6, we describe the procedure for realigning electrophysiology
88 data through interpolation, adjusting for the shifting signal after motion estimation. Finally, we
89 summarize all of these steps in algorithmic pseudocode in section 2.7.

90 **2.1. Review: decentralized registration.**

91 Given a $D \times T$ signal \mathbf{R} with columns \mathbf{r}_t , our goal is to discover $\mathbf{p} \in \mathbb{R}^T$ such that \mathbf{p}_t is the
92 displacement of the t th time bin \mathbf{r}_t . Here, \mathbf{R} may be the signal from the LFP band, or it may be
93 a rasterized representation of spiking activity from the AP band 1. In the LFP case, in practice,
94 rather than using LFP directly, we spatially filter it to obtain one form of what we call current
95 source density (CSD) [15], which provides spatially sharpened local features for registration. In
96 the AP case, we construct \mathbf{R} by first estimating depth positions along the probe for all spikes,

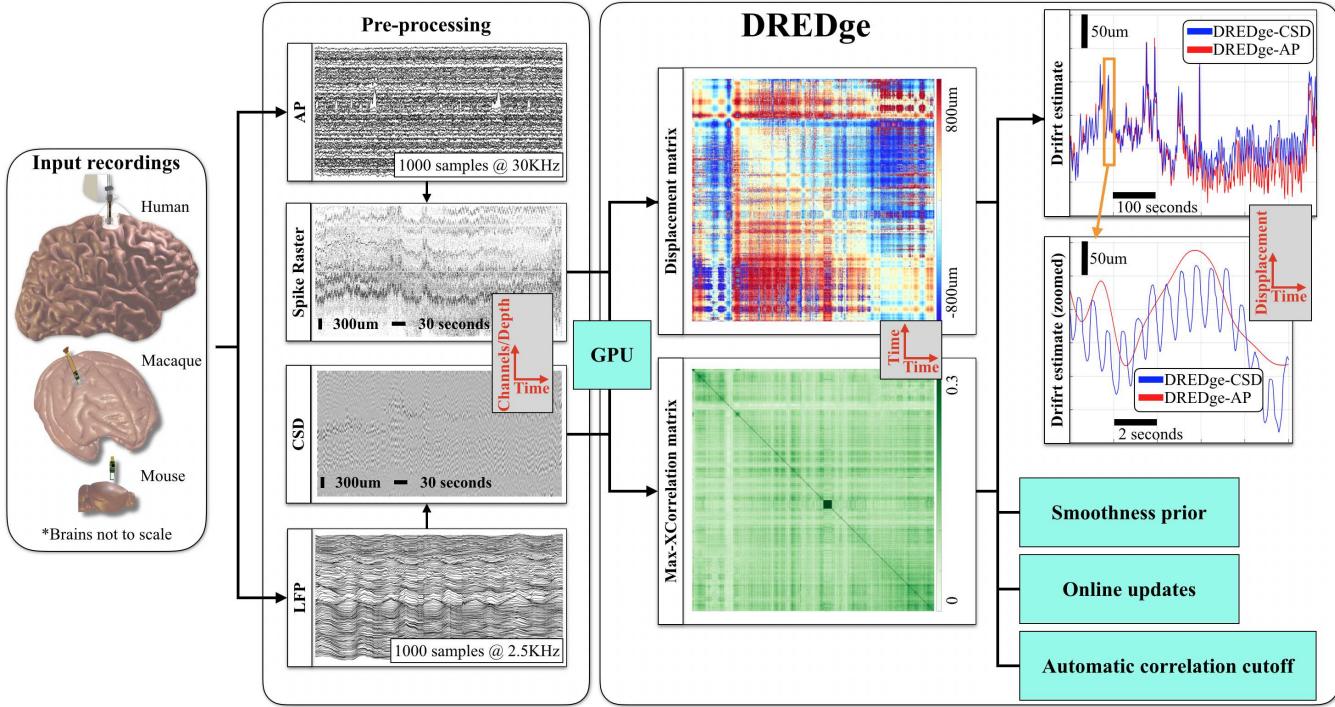


Fig. 1. Overview of the **DREDge** algorithm. AP or LFP data from electrophysiology recordings from human, non-human primate, or mouse data is first processed to yield depth (D) \times time (T) features \mathbf{R} . Then each time-binned feature is cross-correlated with every other time-binned feature to generate a $T \times T$ displacement shift matrix and its corresponding maximum cross-correlation. This step is done efficiently on the GPU. The displacement matrix is filtered using an automatically derived correlation cutoff and the remaining terms are used to solve a *centralization* equation to estimate drift estimates for each time bin. This procedure is robustified using priors that ensure that nearby drift terms are close to each other. Furthermore, for large recordings, the entire routine is done in smaller overlapping time chunks in an online fashion to efficiently calculate drift without the need to store a large $T \times T$ displacement shift matrix in memory.

97 typically using a point-source localization model [16]. Then, we divide the depth domain into D
 98 bins and the time domain into T bins and set \mathbf{R}_{dt} to the average amplitude of spikes falling in the
 99 d th depth and t th time bins, taking the average in empty bins to be 0 by convention. In the LFP
 100 case, we construct \mathbf{R} by directly taking the voltage signal at time t at each channel location with
 101 depth d such that \mathbf{R}_{dt} is the LFP value at depth d and time t (see Fig.1 for examples of \mathbf{R} in the
 102 left side).

103 The decentralized approach [13] infers \mathbf{p} using estimates of the displacements between all

104 pairs of time bins, represented in a $T \times T$ antisymmetric matrix \mathbf{D} with

$$105 \quad \mathbf{D}_{tt'} = \arg \max_{\Delta y} \text{corr}(\mathbf{r}_t(y), \mathbf{r}_{t'}(y + \Delta y)), \quad (1)$$

106

107 the spatial offset which maximizes the correlation between the two time bins. For AP-band
 108 problems, T is measured in seconds and this matrix is relatively small; for longer recordings or
 109 LF bands, the online method below avoids large matrices. The “centralization” problem, then, is
 110 to find

$$111 \quad \hat{\mathbf{p}} = \arg \min_{\mathbf{p}} \|\mathbf{D} - (\mathbf{p}\mathbf{1}^\top - \mathbf{1}\mathbf{p}^\top)\|_F^2. \quad (2)$$

112 The solution to this simple version of the problem is the row mean:

$$113 \quad \hat{\mathbf{p}}_t = \frac{1}{T} \sum_{t'=1}^T D_{tt'}. \quad (3)$$

114

115 2.2. Extension: decentralized registration with correlation-based subsampling.

116 If due to nonstationarities in the neural activity or large amounts of drift, two time bins \mathbf{r}_t and $\mathbf{r}_{t'}$
 117 do not contain the same features, then their pairwise displacement $\mathbf{D}_{tt'}$ should be excluded from
 118 the objective in Eq. (2). For example, this could occur in two time bins that are temporally distant,
 119 and the subject has completely different neural subpopulations firing, preventing a good shift to
 120 be found that overlays these two patterns.

121 Thus a simple heuristic approach is to include only those pairs of time bins whose maximal
 122 normalized cross-correlation exceeds a certain threshold, which indicates similar neural activity
 123 patterns up to a shift. To that end, we fix a correlation threshold θ , and let $\mathbf{C}_{tt'} = \text{corr}(\mathbf{r}_t(y), \mathbf{r}_{t'}(y +$
 124 $D_{tt'}))$, to be the correlation corresponding to the displacement estimate $\mathbf{D}_{tt'}$. Let $\mathbf{S} \in \mathbb{R}^{T \times T}$ be
 125 the thresholded correlation matrix with $\mathbf{S}_{tt'} = \mathbf{1}_{\mathbf{C}_{tt'} > \theta}$. Now, we modify Eq. (2) to its subsampled
 126 form:

$$127 \quad \hat{\mathbf{p}}_\theta = \arg \min_{\mathbf{p}} \|\mathbf{S} \circ [\mathbf{D} - (\mathbf{p}\mathbf{1}^\top - \mathbf{1}\mathbf{p}^\top)]\|_F^2, \quad (4)$$

128 where \circ indicates the elementwise product.

129 To efficiently solve this problem, consider the set of pairs of times $\{(t_k, t'_k)\}_{k=1, \dots, K}$, where
130 $K = \sum_{t, t'} S_{tt'}$, such that $C_{t_k t'_k} > \theta$. Now, let $\vec{D}_\theta \in \mathbb{R}^K$ be the vector whose k th element is $D_{t_k t'_k}$,
131 and let the matrix $A \in \mathbb{R}^{K \times T}$ have elements $A_{kt} = [\mathbf{I} \otimes \mathbf{1}]_{t_k t} - [\mathbf{1} \otimes \mathbf{I}]_{t'_k t}$. Then, Eq. (4) can be
132 rewritten in the least squares form

133
$$\min_{\mathbf{p}} \|\vec{D}_\theta - A\mathbf{p}\|_2^2, \quad (5)$$

134

135 which can be solved by a sparse least squares solver (e.g. LSMR [17]). Note that such least
136 squares systems usually require $O(T^3)$ to solve, but since A only has $2K \ll T^2$ non-zero
137 elements, the complexity is reduced to $O(K^2 T)$.

138 2.3. Adaptive choice of subsampling threshold:

139 Since different modalities and recordings will have different statistics, it is important to find a
140 way to set the correlation threshold robustly. One simple solution is to set this threshold to a
141 low percentile of the distributions of maximum cross-correlations between neighboring time bins.
142 Since almost all neighboring time bins contain the same features, this method will discover a
143 correlation threshold that is suitable for non-neighboring time bins in a way that adapts to the
144 characteristics of the recording. In the online method below, a threshold can be chosen in this
145 manner for each new batch in order to adapt to nonstationarities in the signal.

146 2.4. Extension: smoothing prior for robustness.

147 Since some time bins may be poorly correlated with the others, these bins may be separated
148 from or only weakly linked to the rest through the subsampled cost function. This effect can
149 lead to jumps in the resulting $\hat{\mathbf{p}}$. To resolve this issue, we place a standard Brownian prior on $\hat{\mathbf{p}}$:
150 $\hat{\mathbf{p}}_{t+1} - \hat{\mathbf{p}}_t \sim N(0, 1)$. Since the difference operator is linear and sparse, it is simple to incorporate

151 this prior into the above least squares framework, effectively turning our objective function into:

152

$$\min_{\mathbf{p}} \|\vec{\mathbf{D}}_{\theta} - \mathbf{A}\mathbf{p}\|_2^2 + \|\Delta\mathbf{p}\|_2^2, \quad (6)$$

153

154 where Δ is the $T \times T$ finite difference matrix with elements $\Delta_{t,t} = 2$ and $\Delta_{t,t-1} = \Delta_{t-1,t} = -1$.

155 **2.5. Extension: online motion tracking.**

156 The methods in the previous two sections scale at least quadratically in T , since we compute
 157 $T \times T$ matrices \mathbf{C} and \mathbf{D} and solve a $T \times T$ system. When registering spiking data, where the
 158 time bins typically have lengths on the order of 1 second, this is no issue except in very long
 159 chronic recordings, or when registering sub-second resolution drift in human patients using the
 160 CSD or LFP signal.

161 To mitigate this, we estimate drift in chunks in an ‘online’ fashion. First, break the data \mathbf{R} into
 162 C chunks of size at most $D \times T_0$, $\mathbf{R}^{(c)}$, $c = 1, \dots, C$. We initialize the algorithm by using the batch
 163 version of **DREDge**(Algorithm 1) to find $\mathbf{p}^{(1)}$, the displacement in the first block. Then, given
 164 the previous chunk’s displacement estimate $\mathbf{p}^{(c)}$, we can find the current chunk’s displacement
 165 estimate $\mathbf{p}^{(c+1)}$ according to the problems in Eqs. (2) and (4). Proceeding through the recording
 166 chunk by chunk, we can recover the full displacement estimate by concatenating those in each
 167 chunk. Since the sizes of the chunks’ sub-problems are bounded, this method will scale *linearly*
 168 in the total length of the recording. We present the online method in the case without correlation-
 169 based subsampling, since the notation for the subsampling will complicate things unnecessarily,
 170 but the extension is direct as in Sec. 2.2 and our results use subsampling.

171 Let $\mathbf{p} = [\hat{\mathbf{p}}^{(c)}; \mathbf{p}^{(c+1)}] \in \mathbb{R}^{2T_0}$ be the concatenation of the two displacement vectors, and define
 172 these chunks’ $2T_0 \times 2T_0$ displacement matrix

173

$$\mathbf{D}^{(c+1)} = \begin{bmatrix} \mathbf{D}_{(c,c)} & \mathbf{D}_{(c,c+1)} \\ -\mathbf{D}_{(c,c+1)}^\top & \mathbf{D}_{(c+1,c+1)} \end{bmatrix} \quad (7)$$

174 where the $T_0 \times T_0$ blocks $\mathbf{D}_{(c,c)}$, $\mathbf{D}_{(c,c+1)}$, and $\mathbf{D}_{(c+1,c+1)}$ are pairwise displacement estimates in the
175 previous block $\mathbf{R}^{(c)}$, between the two blocks $\mathbf{R}^{(c)}$ and $\mathbf{R}^{(c+1)}$, and within the current block $\mathbf{R}^{(c+1)}$,
176 respectively. Consider Eq. (2), modified to hold $\hat{\mathbf{p}}^{(c)}$ fixed:

$$177 \quad \hat{\mathbf{p}}^{(c+1)} = \arg \min_{\mathbf{p}^{(c+1)}} \|\mathbf{D}^{(c+1)} - (\mathbf{p}\mathbf{1}^\top - \mathbf{1}\mathbf{p}^\top)\|_F^2. \quad (8)$$

178 This online registration problem ensures that $\hat{\mathbf{p}}^{(c+1)}$ both aligns with the past estimate and cen-
179 tralizes the pairwise displacement estimates for the current time bins. Removing terms which do
180 not include $\mathbf{p}^{(c+1)}$, Eq. (8) simplifies to

$$181 \quad \min_{\mathbf{p}^{(c+1)}} \|\mathbf{D}_{(c+1,c+1)} - (\mathbf{p}^{(c+1)}\mathbf{1}^\top - \mathbf{1}\mathbf{p}^{(c+1)\top})\|_F^2 + 2\|(\hat{\mathbf{p}}^{(c)}\mathbf{1}^\top - \mathbf{D}_{(c,c+1)}) - \mathbf{1}\mathbf{p}^{(c+1)\top}\|_F^2. \quad (9)$$

182

183 Here, the first term is the usual decentralized objective, ensuring the fidelity of the estimate in
184 the current block. The cross term pushes $\mathbf{p}^{(c+1)}$ towards the column means of $\hat{\mathbf{p}}^{(c)}\mathbf{1}^\top - \mathbf{D}_{(c,c+1)}$,
185 encouraging alignment with the past. As above, we can incorporate subsampling and solve this
186 problem by rewriting it in OLS form and using a sparse least squares solver.

187 2.6. Data interpolation after motion estimation

188 After estimating the motion trace, the SpikeInterface [11] library was used to interpolate the
189 underlying raw data to correct for the motion. A simple method was used: first, a displaced
190 coordinate is computed for each channel at each time according to the drift estimate, leading
191 to a set of time-varying coordinates for a virtual probe. If the displacement at a given time was
192 zero, then the original recording is used at that time. Otherwise, each virtual channel's value is
193 computed by a weighted average of the three channels closest to its displaced location, where
194 the weights are the inverse distances from the virtual channel.

195 **2.7. Algorithmic details**

196 We summarize the above pipeline to estimate motion drift in electrophysiology data as the
 197 **DREDge** algorithm whose pseudocode details can be found in Algorithm 1. Note that the spa-
 198 tiotemporal signal matrix $\mathbf{R} \in \mathbb{R}^{D \times T}$ can either be based on the AP band or the LFP band,
 199 resulting in two versions of the algorithm that we refer to below as **DREDge-AP** and **DREDge-**
 200 **CSD**. Algorithm 1 denotes the "batch" version of motion estimation. In large data cases such
 201 as in chronic recordings or in real-time applications, Algorithm 1 can serve as a *subroutine* for
 202 an online estimation of motion as described in section 2.5 where smaller time chunks of signal
 203 matrices \mathbf{R} act as input in a streaming fashion.

Algorithm 1 DREDge (batch).

Input: signal $\mathbf{R} \in \mathbb{R}^{D \times T}$, neighbor correlation quantile q , true/false value continuity-prior
Output: motion estimate $\mathbf{p} \in \mathbb{R}^T$

Compute optimal displacements and correlations

Allocate $T \times T$ matrices \mathbf{D}, \mathbf{C}

for $1 \leq t, t' \leq T$ **do**

$\mathbf{D}_{tt'} \leftarrow \arg \max_{\Delta y} \text{corr}(\mathbf{r}_t(y), \mathbf{r}_{t'}(y + \Delta y))$

$\mathbf{C}_{tt'} \leftarrow \text{corr}(\mathbf{r}_t(y), \mathbf{r}_{t'}(y + D_{tt'}))$

end for

Compute the adaptive correlation threshold θ

$\theta \leftarrow \text{quantile}_q\{\mathbf{C}_{t,t+1} : t = 1, \dots, T-1\}$

Solve the centralization problem

Find pairs of times $\{(t_k, t'_k)\}_{k=1, \dots, K}$ such that $C_{t_k, t'_k} \geq \theta$

Compute $\mathbf{d} \in \mathbb{R}^K$, where $\mathbf{d}_k = D_{t_k, t'_k}$

Compute the sparse matrix $\mathbf{A} \in \mathbb{R}^{K \times T}$, where $\mathbf{A}_{kt} = [\mathbf{I} \otimes \mathbf{1}]_{t_k t} - [\mathbf{1} \otimes \mathbf{I}]_{t'_k t}$

if continuity-prior **then**

 Grow \mathbf{d} by appending a vector of $T-1$ 0s

 Grow \mathbf{A} by appending $T-1$ new rows $\mathbf{A}_{K+1}, \dots, \mathbf{A}_{K+T}$, where $\mathbf{A}_{K+t} = \delta_{t+1} - \delta_t$

end if

Find \mathbf{p} by solving the sparse least squares problem $\min_{\mathbf{p}} \|\mathbf{d} - \mathbf{A}\mathbf{p}\|_2^2$ using a solver like LSMR [17]

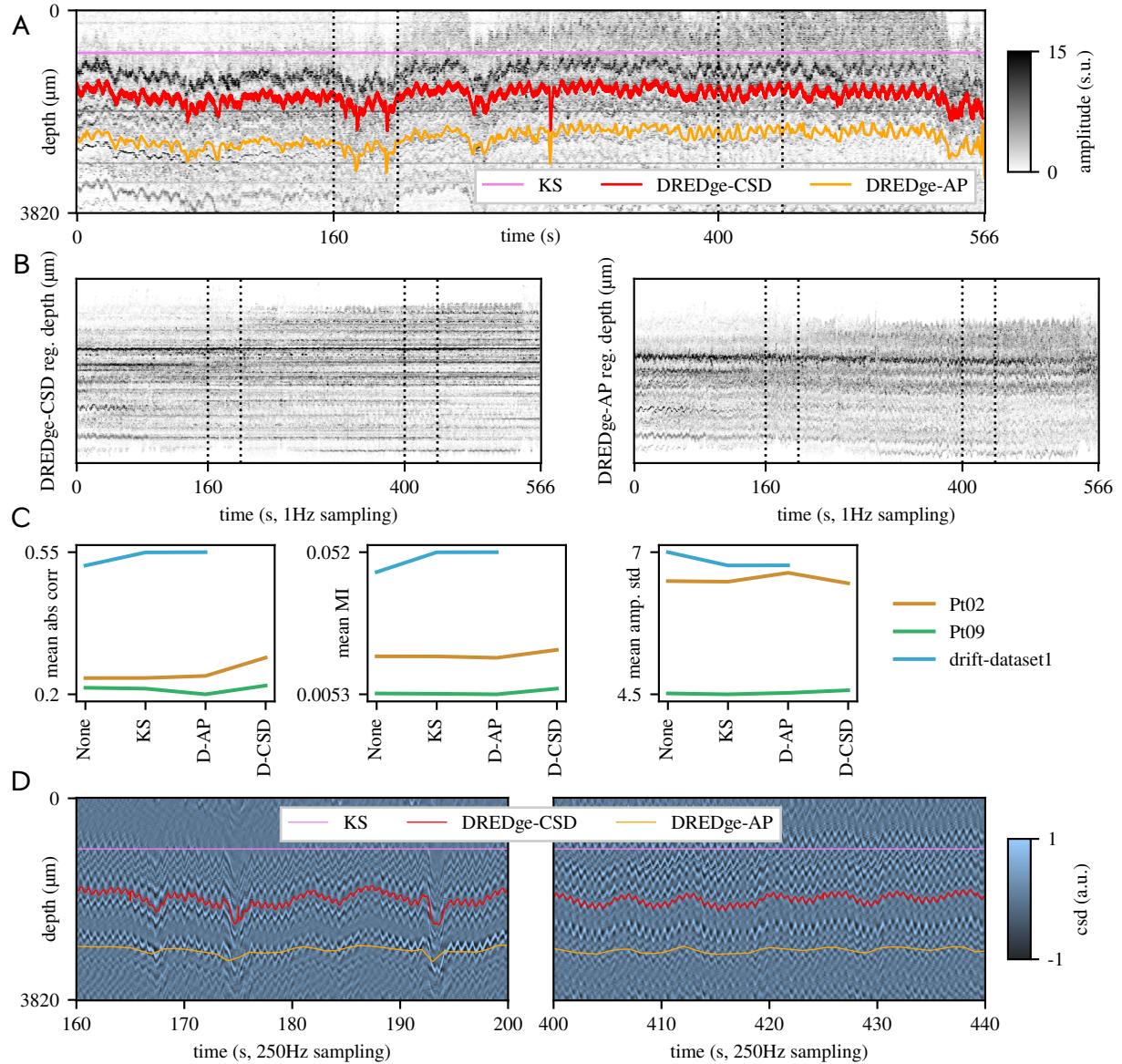


Fig. 2. (a) Rasterized spike amplitudes from the Pt. 02 dataset [6], with estimated motion traces from Kilosort 2.5 and **DREDge**; regions of interest starting at 160 and 400s displayed in (d) show that the large jumps do correspond to the observed spatially filtered LFP which we refer to as CSD (d). (b) Spike amplitude maps after shifting the spike depths according to **DREDge** displacement estimates (CSD, top, and AP, below). (c) Metrics on three datasets, two human (Pts. 02 and 09, [6]) and one mouse (drift-dataset1, [2]). The first two metrics are the mean correlation/mutual information across pairs of rasterized spike activity time bins; the last is the mean standard dev. of the amplitude in-depth bins. (d) Motion traces for 40s regions of interest in the CSD. Color scale shared in (a) and (b).

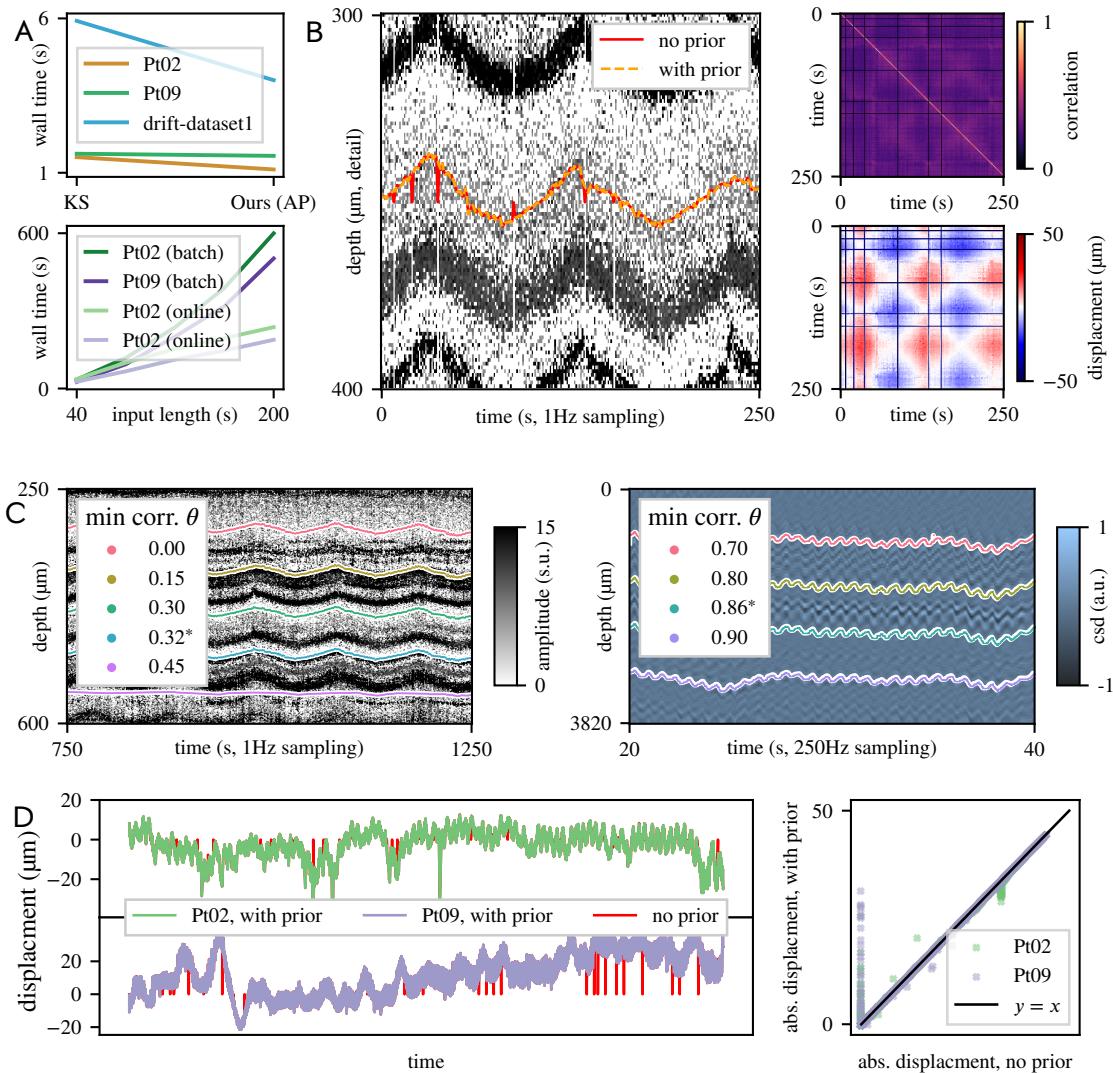


Fig. 3. (a) Timing comparisons: top, **DREDge**-AP method vs. KS; below, online vs. offline in CSD. **DREDge**-AP is on par with KS thanks to a fast GPU implementation. (b) Comparing results with no prior and with prior on a test version of drift-dataset1 [2] with 5% of time bins erased at random: left, estimated motion traces over rasterized spike amplitudes; right, correlation and displacement matrices; horizontal and vertical stripes in these reflect the erased time bins. (c) Motion estimates using adaptive correlation threshold choices (marked *) vs. a grid of other choices: top, in real mouse AP data ($\theta^* = 5$ percentile of neighbor correlations) and bottom, in human CSD (0.1 percentile). (d) Left, full CSD displacement estimates with prior (purple, green) and without (red); right, adding the prior pushes estimates for isolated frames away from 0 without causing shrinkage.

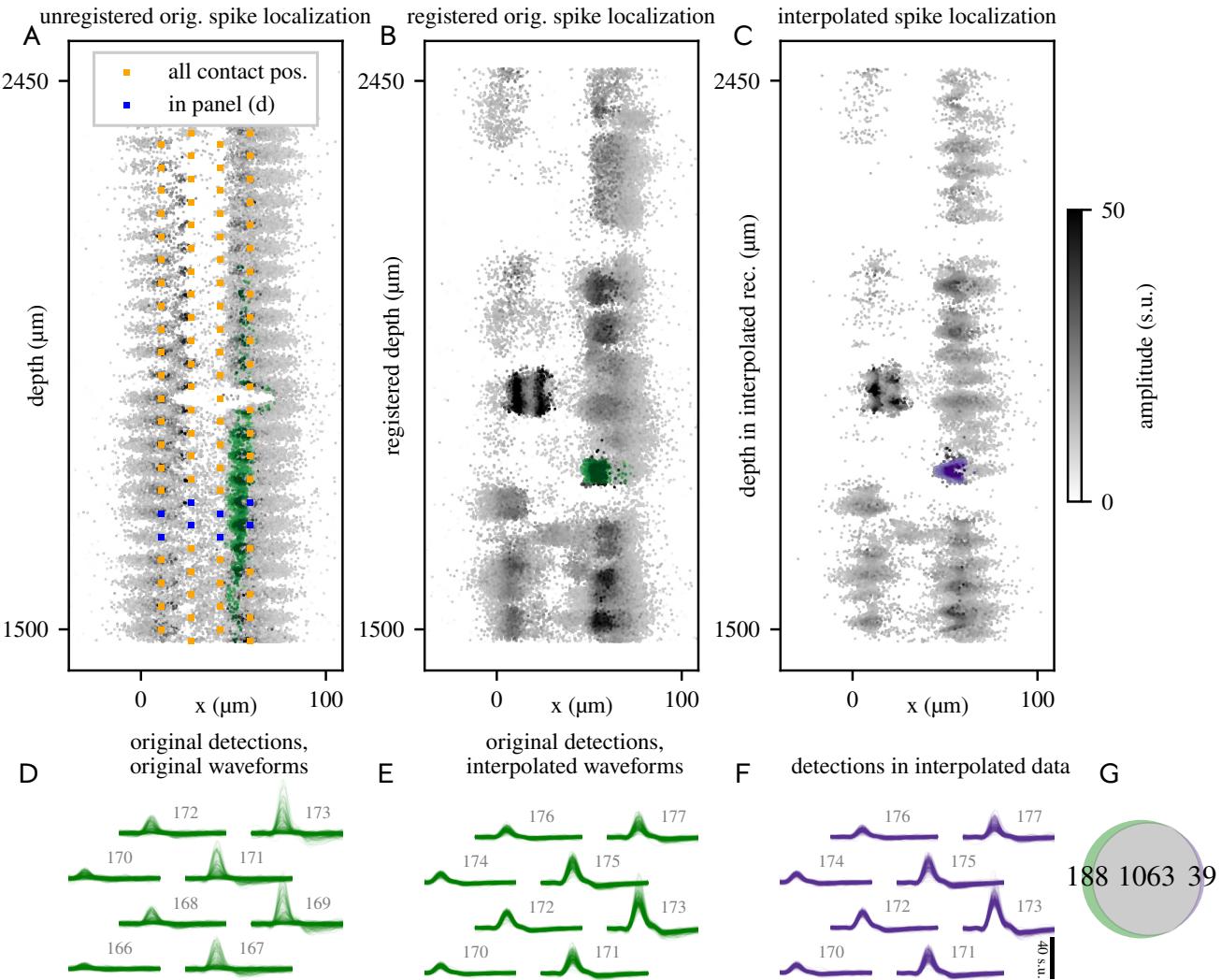


Fig. 4. Checking the drift estimate using drift-corrected spike localizations [16] and interpolated waveforms. (a) Localizations of spikes detected in the Pt. 02 dataset. (b) Spike localizations shifted according to a drift estimate (**DREDge**-CSD in Fig. 2.a); green dots in (a) and (b) represent the same events, corresponding to spikes in a well-isolated cluster in (b) isolated by manually thresholding amplitude and selecting a rectangular region in x, z . (c) Spike localizations computed from events detected in a version of the recording which was interpolated to correct for drift using the SpikeInterface framework [11]. Purple dots lie in a region matching the region used to select the green dots in (b). (d) Waveforms corresponding to spikes highlighted in the green box in (a-b) read from the original recording, shown on high-amplitude channels (contacts shown in blue in (a)). (e) Waveforms corresponding to the same spike times, read from the motion-corrected interpolated binary. The cluster which emerged after correcting the spike depths corresponds to a unit with a well-stereotyped waveform shape in the interpolated binary, providing a validation of the drift estimate. (f) Waveforms corresponding to spikes highlighted in purple in (c); these waveforms match (e) in appearance. (g) A Venn diagram comparing spike times found in the green and purple clusters in (b) and (c); most (1063) spikes are shared between the two spike trains, and few spikes in each cluster do not match (188 and 39 spikes).

204

3. RESULTS

205 Experiments were carried out in three datasets: a mouse dataset with induced motion (dataset1
206 from [2]), and two human datasets (Pts. 02 and 09 from [6]). The mouse dataset is characterized
207 by a slow (period ~ 100 s) and shallow (tens of microns) induced triangle wave drift, while the hu-
208 man datasets are characterized by large (hundreds of microns) and fast (sub-second) drift driven
209 by heartbeat and breathing patterns. Comparisons were carried out against the registration al-
210 gorithm in Kilosort 2.5, which performs well on the same mouse dataset as previously shown in
211 [2], but fails on the human datasets (see Fig. 2.(a, c)). Online methods used 10^4 samples per
212 chunk.

213 Due to the characteristic noise present in unsorted spiking data, the AP methods (KS and
214 **DREDge**-AP) shown in Fig. 2 cannot be pushed to a sub-second resolution and thus cannot
215 capture the fine drift discovered by the **DREDge**-CSD method (Fig. 2.c), reflected in a well-
216 stabilized spike amplitude raster (Fig. 2.a, top) when compared to the AP method (Fig. 2.a,
217 bottom). The CSD method's improvements over the AP method are reflected in its performance
218 on simple metrics (Fig. 2.c). While the time complexity of the AP-based method is on par with
219 Kilosort and both are just a few seconds (Fig. 3.a, top), when running on CSD sampled at 250Hz
220 the runtime increases dramatically, an effect which is mitigated by the online method (Fig. 3.a,
221 bottom). By using the prior and automatic correlation thresholding, the CSD method can robustly
222 register full recordings (Fig. 3.d), without incurring shrinkage from the prior (right). Intuition for
223 the effect of the prior is examined in a simulation study (Fig. 3.b), in which randomly selected
224 time bins in the mouse AP data are zeroed out, resulting in “glitches” in the correlation and
225 displacement matrices (right). With no prior, these glitches carry through to the drift estimate,
226 but the prior is able to remove them. Throughout these figures, adaptive correlation thresholds
227 were used. The 5th percentile of correlations of neighboring frames is used in AP, where the
228 time scale is faster and thus more nonstationarities appear, while the 0.1 percentile is used in
229 the smoother CSD. These thresholds are among the best performing when looking at a grid of
230 choices (Fig. 3.c).

231 To further validate our drift estimate, we shifted localization features [16] of detected spikes
232 according to the drift. Clusters that were not visible at first (Fig. 4.a) appear after correction
233 (Fig. 4.b). In particular, spikes from a particular cluster shown in green in panel (b) have lo-
234 calizations with a broad spread before motion correction. To see if these particular spikes truly
235 correspond to a single unit, we interpolated the binary file to correct for the drift using the open-
236 source SpikeInterface framework [11]. As expected, waveforms corresponding to spikes in the
237 cluster were spread across many channels in the uncorrected recording (Fig. 4.d), but appear
238 as a stable unit after correction (Fig. 4.e), validating that the drift correction was accurate. For
239 another perspective, we check to see if the cluster can be identified in the localizations of spikes
240 detected in the interpolated binary. Indeed, after localizing events from that binary, shown in
241 (Fig. 4.c), we identified the same cluster manually (purple dots). Waveforms loaded at times
242 corresponding to these spikes (Fig. 4.f) match those shown in (Fig. 4.e). Further, after using
243 SpikeInterface [11] to identify matching spike times across these clusters, the Venn diagram in
244 (Fig. 4.g) shows that these clusters have high spike time agreement.

245 4. DISCUSSION

246 Here, we present an online decentralized algorithm, **DREDge**, to track drift in electrophysiological
247 recordings from the brain using Neuropixels probes. We demonstrate the applicability of tracking
248 the movement of the neural signal relative to the probe with this approach in three different data
249 sets, two recordings in the human cortex [6] and one in mouse [2]. **DREDge** is shown to work
250 using as input both action potentials, representing the activities of single neurons, as well as the
251 local field potential, representing the summed activity of hundreds to thousands of neurons. We
252 develop methods to adapt this algorithm to the structure of individual datasets, improving the
253 robustness and ease of use of this tool.

254 Finally, we suggest that **DREDge** could be used to improve the clustering of spike localization
255 features for applications in spike sorting. Furthermore, as a fast online estimation of the motion of
256 the probe relative to the neural signal, **DREDge** has potential applications in recording sessions

257 with real-time neural feedback and brain-computer interfaces (BCI) [18, 19].

258 The latter point may be a key feature in gathering neural data using Neuropixels in the hu-
259 man cortex. Unlike in mouse preparations [1, 2], recordings in humans, so far, have presented a
260 challenge in that the brain, by virtue of the scale and size, moves considerably due to the heart-
261 beat, breathing, the participants talking, etc. This has required researchers in this domain to
262 rely on manual tracking or only use data where the spike rate is high enough to track the neural
263 signal reliably using Kilosort tools [6, 7]. Such other approaches might not fully correct for the
264 observed motion, with implications for lower yields of unit quality and/or number. **DREDge** allows
265 researchers to move forward in using such precious data to understand human brain activity.

266 A major question is whether after applying the tracked motion to the signal, there are changes
267 that are not present in the original signal. Our data suggest that applying **DREDge** correction to
268 motion-degraded spike and local field potential data has the potential to accurately reconstruct
269 these electrophysiological signals, while not altering their spatiotemporal profiles in datasets
270 without significant motion artefacts. However, the power of our approach is that we use both
271 the LFP and the spiking activity in parallel to estimate the motion and, alternately, can use each,
272 particularly the spike waveforms, to confirm that we are not adding in artefacts. Further validation
273 could therefore make use of 2D cross-correlation to determine if new spiking waveforms were
274 found in the corrected data sets [6]. An additional question is whether ongoing large-scale neural
275 changes such as evoked potentials due to a task, clinically indicated neural signals such as
276 epileptiform activity, anesthesia-induced burst suppression [6], or other types of stimulation could
277 alter the motion registration and, therefore, the tracking. Further analyses of additional data sets
278 from humans, non-human primates, rodents, and other species where we know specific events
279 are occurring will be necessary to parse this effect in a future study.

280 Finally, a true test of this approach is how it can handle different types of probes, probe
281 designs, and layouts, both Neuropixels and other silicon probes, and how this can alter spike
282 sorting [1, 2, 20]. To this end, we were able to apply our method to the staggered Neuropixels
283 1.0 version (the two human data sets) and the Neuropixels 2.0 probe (the mouse data set).

284 Future work would involve applying the method to other probe layouts, particularly to ask whether
285 this approach could be applied to low spatial resolution probes and the spatial and temporal
286 limitations in tracking motion relative to the neural signal.

287 To facilitate this sort of portability, **DREDge** is being developed into a part of the Spikeln-
288 terface [11] framework. In future applications, and as **DREDge** is applied to further data sets
289 using different probe layouts and reaching deeper brain structures other than the cortex, we pro-
290 pose that the underlying algorithm may be applicable to a number of different conditions and
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303 **6. COMPETING INTERESTS STATEMENT**

304 The MGH Translational Research Center has clinical research support agreements with Neu-
305 ralink, Paradromics, and Synchron, for which SSC provide consultative input. None of these
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