

1      **Modelling how the altered usage of cell entry pathways by the SARS-CoV-2 Omicron**  
2      **variant may affect the efficacy and synergy of TMPRSS2 and Cathepsin B/L inhibitors**

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20 **ABSTRACT**

21 The SARS-CoV-2 Omicron variant harbours mutations in its spike protein, which may affect  
22 its cell entry, tropism, and response to interventions. To elucidate these effects, we developed  
23 a mathematical model of SARS-CoV-2 entry into cells and applied it to analyse recent *in vitro*  
24 data. SARS-CoV-2 enters cells using host proteases, either Cathepsin B/L or TMPRSS2. We  
25 estimated >4-fold increase and >3-fold decrease in entry efficiency using Cathepsin B/L and  
26 TMPRSS2, respectively, of the Omicron variant relative to the original or other strains in a cell  
27 type-dependent manner. Our model predicted that Cathepsin B/L inhibitors would be more and  
28 TMPRSS2 inhibitors less efficacious against the Omicron than the original strain. Furthermore,  
29 the two inhibitor classes would exhibit synergy, although the drug concentrations maximizing  
30 synergy would have to be tailored to the Omicron variant. These findings provide insights into  
31 the cell entry mechanisms of the Omicron variant and have implications for interventions.

32

33 *Keywords:* SARS-CoV-2; COVID-19; Omicron; Spike protein; TMPRSS2; Cathepsin;  
34 Mathematical modelling; Viral kinetics; Drug synergy

35

## 36 INTRODUCTION

37 The SARS-CoV-2 variant Omicron, declared a variant of concern on 25<sup>th</sup> November  
38 2021, has become the dominant strain worldwide. It harbours as many as 37 mutations in the  
39 spike protein compared to the original SARS-CoV-2 strain (Cameroni et al., 2021) and is able  
40 to evade neutralising antibodies generated by previously infected or vaccinated individuals  
41 (Cameroni et al., 2021; Garcia-Beltran et al., 2021; Hoffmann and et al, 2021; Willett et al.,  
42 2022), possibly leading to its increased transmissibility and rapid global spread. The spike  
43 protein facilitates the entry of the virus into cells (Hoffmann et al., 2020a; Koch et al., 2021).  
44 Indeed, emerging data indicates that the high number of mutations in the Omicron variant  
45 affects its viral entry properties and cell tropism (Garcia-Beltran et al., 2021; Hoffmann and et  
46 al, 2021; Meng et al., 2021; Peacock et al., 2022; Willett et al., 2022; Zhao et al., 2021), which  
47 in turn may influence its ability to establish infection post-exposure and the severity of the  
48 subsequent symptoms. How the mutations influence entry and the efficacies of entry inhibitors  
49 remains to be elucidated.

50 Early studies showed that the original SARS-CoV-2 (Wuhan-Hu-1) strain displayed  
51 broad cell tropism, with viral entry a key determinant of the tropism both *in vitro* (Hoffmann  
52 et al., 2020a) and *in vivo* (Liu et al., 2021). Intriguingly, multiple recent *in vitro* studies suggest  
53 that cell tropism of the Omicron variant may be altered and its entry efficiency may be different  
54 from the original and other variants in a cell line-dependent manner (Garcia-Beltran et al.,  
55 2021; Hoffmann and et al, 2021; Meng et al., 2021; Peacock et al., 2022; Zhao et al., 2021).  
56 For instance, SARS-CoV-2 pseudotyped virus bearing either the B.1 or the Delta variant spike  
57 protein showed higher entry efficiency than the Omicron pseudotyped virus in Caco-2 (human,  
58 colon) and Calu-3 (human, lung) cells, whereas the Omicron pseudotyped virus entered Vero  
59 (African green monkey, kidney) and 293T (human, kidney) cells more efficiently than the other  
60 variants(Hoffmann and et al, 2021). Similar trends were observed in live SARS-CoV-2 virus

61 infection assays (Meng et al., 2021; Zhao et al., 2021): the Delta variant infection spread was  
62 significantly greater than the Omicron variant in Calu-3 cells (Meng et al., 2021; Zhao et al.,  
63 2021), whereas the spread of the two variants was similar in VeroE6 cells (Zhao et al., 2021).  
64 What causes the entry efficiency of the Omicron variant relative to other variants to be higher  
65 in some cells and lower in others?

66 The first step in SARS-CoV-2 entry into target cells is the binding of the viral spike  
67 protein, S, with the host cell surface receptor angiotensin-converting enzyme 2 (ACE2)  
68 (Balistreri et al., 2021; Jackson et al., 2022). Cell tropism is thus expected to be affected by  
69 ACE2 expression levels (Hoffmann et al., 2020a; Koch et al., 2021; Liu et al., 2021). The  
70 Omicron spike protein binds soluble human ACE2 strongly (Cameroni et al., 2021; Hoffmann  
71 and et al, 2021). Further, ACE2 is necessary for the entry of all SARS-CoV-2 variants (Garcia-  
72 Beltran et al., 2021; Hoffmann and et al, 2021; Hoffmann et al., 2020a; Meng et al., 2021; Zhao  
73 et al., 2021). Thus, any variation in ACE2 expression across cell types is likely to have similar  
74 effects on the entry efficiencies of all the variants. The cell type-dependent variation in the  
75 entry efficiency of the Omicron variant is therefore unlikely to arise from the variations in the  
76 ACE2 expression level across cell types. Omicron spike protein incorporation into pseudotyped  
77 virus appears to be compromised compared to the Delta and Wuhan D614G strains (Meng et  
78 al., 2021). However, this reduction in spike protein density is expected to decrease the Omicron  
79 entry efficiency across all cell types, thus ruling it out as a potential cause of the differential  
80 entry efficiency observed.

81 Following ACE2 engagement, successful entry requires that the spike protein be  
82 cleaved into subunits by host proteases, either by the transmembrane serine protease TMPRSS2  
83 at the plasma membrane or the cysteine proteases Cathepsin B and Cathepsin L in the  
84 endosomal vesicles (Jackson et al., 2022). The proteases TMPRSS2 and Cathepsin B/L are  
85 thought to work independently, facilitating SARS-CoV-2 entry through two independent

86 pathways (Koch et al., 2021; Padmanabhan et al., 2020) (Fig. 1A). We recently analysed data  
87 on SARS-CoV-2 pseudotyped virus infection *in vitro* (Hoffmann et al., 2020a) using a  
88 mathematical model of SARS-CoV-2 entry and found that the relative usage of the TMPRSS2  
89 and Cathepsin B/L entry pathways by the original SARS-CoV-2 strain varied widely across  
90 cell lines (Padmanabhan et al., 2020). For example, Vero cells predominantly admitted entry  
91 through the Cathepsin B/L pathway, whereas Calu-3 cells allowed entry via the TMPRSS2  
92 pathway. Vero cells overexpressing TMPRSS2 permitted entry via both pathways (Hoffmann  
93 et al., 2020a). Importantly, the original strain displayed the ability to use either pathway, with  
94 the preferred pathway possibly based on the relative expression levels of the two proteases  
95 (Koch et al., 2021). We reasoned that the Omicron variant might use these pathways differently  
96 from the original (or the Delta) strain, possibly underlying its differential entry efficiency and  
97 altered cell tropism.

98 Here, to elucidate the entry mechanisms of the Omicron variant, we developed a  
99 mathematical model of SARS-CoV-2 entry that explicitly considered the two independent  
100 entry pathways. Applying the model to the analysis of available *in vitro* data, we deduced the  
101 usage of the two pathways by the Omicron variant relative to the other strains. We then applied  
102 the model to predict the efficacies of drugs that target host proteases facilitating entry via the  
103 two pathways.

104

## 105 METHODS

### 106 Model of SARS-CoV-2 entry and the efficacy of entry inhibitors targeting host proteases

107 *In vitro* experiments typically employ virions or pseudotyped viral particles bearing the  
108 spike protein, S, of a specific SARS-CoV-2 strain to assess its entry efficiency, determined by  
109 measuring the fraction of cells infected post virus exposure. We previously developed a  
110 mathematical model to analyse such experiments (Padmanabhan et al., 2020). We adapted the

111 model here to compare the pathway usage of the Omicron variant relative to other variants and  
112 to predict the effect of entry inhibitors.

113 In culture, the expression levels of host proteases are expected to vary across cells and  
114 affect viral entry into the cells. We let the expression levels,  $n_t$  and  $n_c$ , of TMPRSS2 and

115 Cathepsin B/L, respectively, follow the log-normal distributions  $\psi_t(n_t) = \frac{1}{n_t \sigma_t \sqrt{2\pi}} e^{-\frac{(\ln n_t - \bar{n}_t)^2}{2\sigma_t^2}}$

116 and  $\psi_c(n_c) = \frac{1}{n_c \sigma_c \sqrt{2\pi}} e^{-\frac{(\ln n_c - \bar{n}_c)^2}{2\sigma_c^2}}$ , with  $\bar{n}_t$  and  $\bar{n}_c$  the associated means, and  $\sigma_t$  and  $\sigma_c$  the

117 standard deviations. Thus, at the start of infection, a fraction  $\phi_{tc} = \psi_t(n_t) \psi_c(n_c) \Delta n_t \Delta n_c$ , of the  
118 cells in culture would express proteases in the narrow range  $\Delta n_t$  and  $\Delta n_c$  around  $n_t$  and  $n_c$ ,  
119 respectively. Recognizing that entry efficiency would increase with protease expression  
120 (Padmanabhan et al., 2020; Padmanabhan and Dixit, 2011, 2012), we defined the relative  
121 susceptibility of these latter cells to virus entry through the TMPRSS2 pathway,  $S_t$ , and the

122 Cathepsin B/L pathway,  $S_c$ , as  $S_t = \frac{(n_t)^{h_t}}{(n_t^{50})^{h_t} + (n_t)^{h_t}}$  and  $S_c = \frac{(n_c)^{h_c}}{(n_c^{50})^{h_c} + (n_c)^{h_c}}$ , where  $h_t$  and

123  $h_c$  were Hill coefficients, and  $n_t^{50}$  and  $n_c^{50}$  were expression levels at which  $S_t = 0.5$  and  
124  $S_c = 0.5$ , respectively. The overall susceptibility of the cells was thus  $S_{tc} = S_t + S_c - S_t S_c$ ,  
125 based on the independence of the two pathways.

126 We defined  $T_{tc}$  as the above subpopulation (or fraction) of cells and considered a total  
127 of  $M \times N$  such subpopulations, with  $t = 1, 2, \dots, M$  and  $c = 1, 2, \dots, N$ , defining the range of  
128 expression levels of the two proteases across cells. The following equations then described the  
129 ensuing viral dynamics:

130 
$$\frac{dT_{tc}}{dt} = (\lambda - \mu)T_{tc} - kS_{tc}T_{tc}V; \quad t = 1, 2, \dots, M; \quad c = 1, 2, \dots, N \quad (1)$$

131 
$$\frac{dI_{tc}}{dt} = kS_{tc}T_{tc}V - \delta I_{tc}; \quad t = 1, 2, \dots, M; \quad c = 1, 2, \dots, N \quad (2)$$

132 
$$\frac{dV}{dt} = p \sum_{c=1}^N \sum_{t=1}^M I_{tc} - c_V V \quad (3)$$

133 Here, cells in the subpopulation  $T_{tc}$  proliferate and die with rate constants  $\lambda$  and  $\mu$ ,  
134 respectively, and get infected by free virions,  $V$ , with the second order rate constant  $kS_{tc}$ .  $k$   
135 is thus the rate constant of the infection of the subpopulation for which entry is not limited by  
136 the proteases, *i.e.*, for cells with  $S_{tc} = 1$ . Infection produces corresponding infected cell  
137 subpopulations  $I_{tc}$ , which release free virions at the rate  $p$  per cell and die with a rate constant  
138  $\delta$ . Virions are cleared with the rate constant  $c_V$ . With pseudotyped viruses, which are  
139 replication incompetent, we let  $p = 0$ .

140 In the presence of a TMPRSS2 inhibitor, a Cathepsin B/L inhibitor, or both, the  
141 susceptibilities were lowered to  $S_{tc}(D_T)$ ,  $S_{tc}(D_C)$ , and  $S_{tc}(D_T, D_C)$ , respectively, where  $D_T$   
142 and  $D_C$  were the concentrations of the TMPRSS2 and Cathepsin B/L inhibitors. We describe  
143 the latter susceptibilities below.

144

145 **Effect of host protease inhibitors.** In the presence of a protease inhibitor targeting a specific  
146 protease, the drug would bind and block a fraction of the protease molecules from facilitating  
147 entry. With a TMPRSS2 inhibitor at concentration  $D_T$ , we described the abundance of free  
148 TMPRSS2, denoted  $n_t^f$ , using  $n_t^f = \frac{\gamma_T}{\gamma_T + D_T} n_t$ , where  $\gamma_T$  was the drug concentration that  
149 reduced the abundance by half. The expression for  $n_t^f$  could be derived mechanistically  
150 assuming reaction equilibrium of drug-protease binding and species balance constraints

151 (Padmanabhan et al., 2020; Padmanabhan and Dixit, 2011). The susceptibility of cells  $T_{tc}$  to  
 152 infection through the TMPRSS2 pathway thus reduced to  $S_t(D_T) = \frac{(n_t^f)^{h_t}}{(n_t^{50})^{h_t} + (n_t^f)^{h_t}}$ . We  
 153 assumed that the susceptibility through the Cathepsin B/L pathway was unaffected by a  
 154 TMPRSS2 inhibitor. Similarly, in the presence of a Cathepsin B/L inhibitor at concentration  
 155  $D_c$ , we let the abundance of free Cathepsin B/L,  $n_c^f$ , follow  $n_c^f = \frac{\gamma_c}{\gamma_c + D_c} n_c$ , and the  
 156 susceptibility through the Cathepsin B/L pathway be reduced to  $S_c(D_C) = \frac{(n_c^f)^{h_c}}{(n_c^{50})^{h_c} + (n_c^f)^{h_c}}$ .  
 157 When both types of inhibitors are used simultaneously, the susceptibility was  
 158  $S_{tc}(D_T, D_C) = S_t(D_T) + S_c(D_C) - S_t(D_T)S_c(D_C)$ .

159 To assess whether the drugs exhibited synergy, we predicted the population of infected  
 160 cells in the absence of drugs,  $I_{tc}$ , in the presence of a TMPRSS2 inhibitor,  $I_{tc}(D_T)$ , a Cathepsin  
 161 B/L inhibitor,  $I_{tc}(D_C)$ , and both,  $I_{tc}(D_T, D_C)$ , at any given time following the start of infection.  
 162 The total fractions of cells unaffected by the drugs individually and together were then given

$$163 \text{ by } f^u(D_T) = \frac{\sum_{c=1}^N \sum_{t=1}^M I_{tc}(D_T)}{\sum_{c=1}^N \sum_{t=1}^M I_{tc}}, \quad f^u(D_C) = \frac{\sum_{c=1}^N \sum_{t=1}^M I_{tc}(D_C)}{\sum_{c=1}^N \sum_{t=1}^M I_{tc}}, \quad \text{and } f^u(D_T, D_C) = \frac{\sum_{c=1}^N \sum_{t=1}^M I_{tc}(D_T, D_C)}{\sum_{c=1}^N \sum_{t=1}^M I_{tc}}.$$

164 We computed the expected fraction of cells unaffected by drugs assuming Bliss independence  
 165 using  $f_{Bliss}^u(D_T, D_C) = f^u(D_T)f^u(D_C)$ . The extent of Bliss synergy then followed as  
 166  $\beta_{Bliss} = f_{Bliss}^u(D_T, D_C) - f^u(D_T)f^u(D_C)$ , so that

$$167 \beta_{Bliss} = \frac{\sum_{c=1}^N \sum_{t=1}^M I_{tc}(D_T)}{\sum_{c=1}^N \sum_{t=1}^M I_{tc}} \times \frac{\sum_{c=1}^N \sum_{t=1}^M I_{tc}(D_C)}{\sum_{c=1}^N \sum_{t=1}^M I_{tc}} - \frac{\sum_{c=1}^N \sum_{t=1}^M I_{tc}(D_T, D_C)}{\sum_{c=1}^N \sum_{t=1}^M I_{tc}} \quad (4)$$

168

169 **Mean-field model to compare entry efficiencies of variants**

170 To quantify the relative efficiency of the usage of the Cathepsin B/L and TMPRSS2  
171 pathways by the Omicron variant, we developed a mean-field version of the model above by  
172 considering ‘average’ susceptibilities of the cells to entry via the two pathways. We thus let  
173 target cells,  $T$ , be infected by pseudotyped virions,  $V$ , to produce infected cells,  $I$ , depending  
174 on the ‘mean’ expression levels of TMPRSS2 and Cathepsin B/L. The following equations then  
175 described the ensuing dynamics:

176 
$$\frac{dT}{dt} = (\lambda - \mu)T - k\bar{S}_{tc}TV \quad (5)$$

177 
$$\frac{dI}{dt} = k\bar{S}_{tc}TV - \delta I \quad (6)$$

178 
$$\frac{dV}{dt} = pI - c_V V \quad (7)$$

179 Here, we defined the average susceptibility of the cell population to entry via the  
180 Cathepsin B/L pathway as  $\bar{S}_c$  and that via the TMPRSS2 pathway as  $\bar{S}_t$ . These susceptibilities  
181 increase with the expression levels of the respective proteases and saturate to unity when the  
182 levels are in excess. For a given cell type, a viral variant that has a higher  $\bar{S}_c$  (or  $\bar{S}_t$ ) would be  
183 more efficient at entry via the Cathepsin B/L (or TMPRSS2) pathway than a variant with a  
184 lower  $\bar{S}_c$  (or  $\bar{S}_t$ ). Assuming entry via the two pathways to be independent, the overall  
185 susceptibility of the population to entry can be written as  $\bar{S}_{tc} = \bar{S}_t + \bar{S}_c - \bar{S}_t \bar{S}_c$ . This  
186 susceptibility determined the rate of target cell infection relative to the maximal rate  $k$ . We let  
187  $p = 0$  as described above.

188

189 **Data analysis using the mean-field model.** Experiments with pseudotyped virions typically  
190 last less than 24 h. It is reasonable to assume that cell proliferation and death do not affect the  
191 infection dynamics significantly during this period. Therefore, we ignored the proliferation and  
192 death terms in Eqs. (5-6), which allowed us to derive an analytical expression for the time

193 evolution of the population of infected cells:  $I(t) = T_0 \left( 1 - e^{\frac{kS_{tc}V_0}{c_V}(e^{-c_V t} - 1)} \right)$ , where  $T_0$  and  
194  $V_0$  are the initial target cell density and viral concentration, respectively. Using this expression,  
195 we obtained the fraction of cells infected by the pseudotyped virions,  $\chi$ , at time  $t_d$  post-  
196 infection as

197 
$$\chi = \frac{I(t_d)}{T_0} = \left( 1 - e^{\vartheta S_{tc}V_0} \right) \quad (8)$$

198 where  $\vartheta = \frac{k}{c_V} (e^{-c_V t_d} - 1)$  is a constant for a given  $t_d$ .

199 We fit this expression to measured data from recent assays and estimated the efficiency  
200 of the usage of the two pathways by the Omicron variant relative to the original or other strains.

201

202 **Model parameters.** We chose model parameters, such as the target cell proliferation and death  
203 rate constants, from the literature (Gonçalves et al., 2020; Hoffmann et al., 2020a; Jiang et al.,  
204 2019; Padmanabhan and Dixit, 2015; Ursache et al., 2015) and our previous study  
205 (Padmanabhan et al., 2020). The model parameter values and initial conditions are summarised  
206 in Table 1.

207

## 208 RESULTS

### 209 Correlation of entry efficiency with pathway usage

210 To test our hypothesis that the Omicron variant uses the entry pathways differently, we  
211 examined data from the recent experiments of Hoffmann et al. (2021), who measured the extent  
212 of infection of cells *in vitro* by the Omicron variant (BA.1 sublineage) relative to other variants  
213 in different cell types. Interestingly, we found a correlation between the entry efficiency of the  
214 Omicron variant and the relative usage of the two entry pathways by the original strain (Fig.  
215 1B). With cell lines (Calu-3 and Caco-2) where the usage of the TMPRSS2 entry pathway by  
216 the original strain was dominant, the Omicron pseudotyped virus entry was significantly less

217 efficient than the B.1 and delta strains. By contrast, the Omicron virus entry was more efficient  
218 in cell lines (293T and Vero) where the Cathepsin B/L entry pathway usage by the original  
219 strain was dominant (Fig. 1B). One possible interpretation of this relationship is that the  
220 Omicron variant entry is relatively less efficient through the TMPRSS2 pathway and more  
221 efficient via the Cathepsin B/L pathway than the other strains. Consistent with this notion,  
222 camostat mesylate, a TMPRSS2 inhibitor, was less potent against the Omicron variant than the  
223 Delta variant in blocking live virus infection of VeroE6 cells overexpressing TMPRSS2, which  
224 allows entry via both pathways (Zhao et al., 2021). Moreover, syncytium formation in a cell-  
225 cell fusion assay, which requires TMPRSS2 but not Cathepsins, was severely impaired for the  
226 Omicron variant compared to both the Delta (Meng et al., 2021; Zhao et al., 2021) and the  
227 Wuhan-Hu-1 D614G (Meng et al., 2021) strains. The increased efficiency of Cathepsin B/L  
228 usage together with the reduced efficiency of TMPRSS2 usage by the Omicron variant may  
229 explain its altered cell tropism relative to the original strain or other variants.

230

### 231 **Omicron variant entry with low Cathepsin B/L expression**

232 We reasoned that the increased efficiency of Cathepsin B/L usage and reduced  
233 TMPRSS2 usage by the Omicron variant would imply that the variant would successfully enter  
234 cells that express low Cathepsin B/L levels, where the original strain might fail. To elucidate  
235 this, we performed calculations using our model (Eqs. (1-3)) by varying the expression level  
236 of Cathepsin B/L. We first set  $n_t^{50}=3.6 \times 10^5$  copies/cell and  $n_c^{50}=8 \times 10^4$  copies/cell for the  
237 Omicron variant and  $n_t^{50}=1.2 \times 10^5$  copies/cell and  $n_c^{50}=2.4 \times 10^5$  copies/cell for the original  
238 strain so that for given distributions of the TMPRSS2 and Cathepsin B/L expression levels, the  
239 susceptibility of cells to entry via the TMPRSS2 pathway was lower (Fig. 2A) and the  
240 Cathepsin B/L pathway was higher (Fig. 2B) for the Omicron variant than the original strain.  
241 Recall that  $n_t^{50}$  is the expression level at which entry efficiency via the TMPRSS2 pathway is

242 half-maximal. Thus, the lower the  $n_t^{50}$ , the more efficient is the usage of the TMPRSS2  
243 pathway. Analogous notions apply to the Cathepsin B/L pathway. We then considered three *in*  
244 *silico* cell lines with a constant TMPRSS2 distribution (Fig. 2C) but different Cathepsin B/L  
245 levels, the latter termed low, medium and high (Fig. 2D). For each of these cell lines, we  
246 predicted the distributions of cells infected by the original strain as well as by the Omicron  
247 variant (Fig. 2E) and compared the two (Fig. 2F). We found that when Cathepsin B/L  
248 expression was high, the advantage of the Omicron variant was undermined because of the  
249 abundance of Cathepsin B/L, so that the two strains had similar entry levels (Fig. 2F). As the  
250 expression level decreased, the Omicron variant was more successful at entry. With medium  
251 expression level, the Omicron variant had ~1.4-fold greater entry, and with low expression  
252 level, it had more than ~2.9-fold greater entry success than the original strain.

253 With these predictions further strengthening our hypothesis above, we asked whether  
254 we could apply our model to analyse available data to quantify the increased (decreased) entry  
255 efficiency of the Omicron variant via the Cathepsin B/L (TMPRSS2) pathway. Because the  
256 distributions of the protease levels across cells are not known, we employed a simplified, mean-  
257 field version of our model for this analysis (Methods).

258

## 259 Quantitative estimates of relative entry efficiency

260 We considered data from cell types where the original strain nearly exclusively entered  
261 via either the Cathepsin B/L or the TMPRSS2 pathways, so that we could estimate the relative  
262 efficiency of the usage of either pathway by the Omicron variant. We thus examined first data  
263 from Garcia-Beltran et al. (2021), who measured the fraction of 293T-ACE2 cells infected by  
264 pseudotyped virus bearing the wild-type or the Omicron spike proteins at different viral  
265 concentrations,  $V_0$  (Fig. 3A). 293T-ACE2 cells predominantly permit original strain entry via  
266 the Cathepsin B/L pathway (Padmanabhan et al., 2020). Given the relatively poor efficiency

267 of TMPRSS2 usage by the Omicron variant, we made the approximation  $\overline{S}_{tc} \approx \overline{S}_c$  in Eqs. (5-  
268 6). Using the resulting expression (Eq. (8)), we fit predictions of  $\chi$  as a function of  $V_0$  to the  
269 corresponding experimental data (Garcia-Beltran et al., 2021) and estimated the composite  
270 parameter  $\vartheta \overline{S}_c$  for the wild-type (wt) and the Omicron variant. Our model provided good fits  
271 to the data (Fig. 3A). Taking ratios of the estimated composite parameter and recognizing that  
272  $\vartheta$  is unlikely to be strain dependent, we obtained  $\overline{S}_c^{\text{Omicron}} / \overline{S}_c^{\text{wt}} \sim 4.6$ , indicating an ~4.6-fold  
273 increased efficiency of the usage of the Cathepsin B/L pathway by the Omicron variant in  
274 293T-ACE2 cells relative to the original strain.

275 We next analysed the experiments of Hoffmann et al. (2021) mentioned above (Fig  
276 1B). We focussed on Calu-3 cells, which appear to allow entry of the original strain nearly  
277 exclusively via TMPRSS2. Here, we therefore made the approximation  $\overline{S}_{tc} \approx \overline{S}_t$  in Eqs. (5-6).  
278 Unlike the above dataset, measurements here were available at a single initial viral load.  
279 Further, measurements were available of the fractions of cells infected by viruses pseudotyped  
280 with the Omicron variant relative to those with the B.1 or Delta variants. Note that B.1 has  
281 spike proteins identical to the original strain except for the D614G mutation. Because the  
282 overall infection of Calu-3 cells is small, Taylor series expansion of Eq. (7) yielded the  
283 approximation  $\chi \approx \vartheta \overline{S}_t V_0$ . Accordingly, the susceptibility of cells to entry via the TMPRSS2  
284 pathway of the Omicron variant relative to the B.1 strain would be given by the ratio of the  
285 fractions of cells infected in the two assays:  $\overline{S}_t^{\text{Omicron}} / \overline{S}_t^{\text{B.1}} = \chi^{\text{Omicron}} / \chi^{\text{B.1}}$ . Using the latter  
286 expression and the corresponding data yielded  $\overline{S}_t^{\text{Omicron}} / \overline{S}_t^{\text{B.1}} \sim 0.28$  and  $\overline{S}_t^{\text{Omicron}} /$   
287  $\overline{S}_t^{\text{Delta}} \sim 0.12$ . Thus, we estimated ~3.6-fold and ~8.3-fold decreased efficiency of the Omicron  
288 variant in using TMPRSS2 for entry in Calu-3 cells compared to the B.1 and Delta strains,  
289 respectively (Fig. 3B).

290        Together, thus, the Omicron variant appears to have evolved to use Cathepsin B/L more  
291    efficiently and TMPRSS2 less efficiently for virus entry than the original and other strains.

292

### 293    **Efficacies of Cathepsin B/L and TMPRSS2 inhibitors in blocking Omicron variant entry**

294        Drugs targeting the proteases Cathepsin B/L and TMPRSS2 offer promising routes to  
295    treat SARS-CoV-2 infection (Hashimoto et al., 2021; Hoffmann et al., 2020a; Hoffmann et al.,  
296    2020b; Kreutzberger et al., 2021; Ou et al., 2021). The improved efficiency of Cathepsin B/L  
297    usage and reduced efficiency of TMPRSS2 usage would imply that drugs targeting the former  
298    but not the latter would be preferred for treating infections with the Omicron variant. To  
299    examine this, we applied our model (Eqs. (1-3)) to predict the ability of the two classes of  
300    inhibitors to prevent viral entry. We considered an *in silico* cell line with log-normal  
301    distributions of the expression levels of TMPRSS2 and Cathepsin B/L (Fig. 4A) and the strain-  
302    dependent susceptibility of cells to entry via the two pathways (Fig. 2A, B). In the presence of  
303    a TMPRSS2 inhibitor, our model predicted that entry of the original strain would decrease in  
304    a dose-dependent manner and saturate, for the parameters chosen, to ~45% of that in the  
305    absence of the drug (Fig. 4B). The latter plateau represented the entry via the Cathepsin B/L  
306    pathway when entry via the TMPRSS2 pathway was fully blocked. Under the same conditions,  
307    entry of the Omicron variant saw hardly any decrease compared to that in the absence of the  
308    drug. This is because the Omicron variant entry proceeded only minimally via the TMPRSS2  
309    pathway, leaving little room for the drug to act.

310        The use of a Cathepsin B/L inhibitor, however, had the opposite effect. The entry of  
311    the original strain showed hardly any reduction with increase in drug concentration (Fig. 4C).  
312    On the other hand, the entry of the Omicron variant decreased in a dose-dependent manner and  
313    plateaued to a value of ~38% of that in the absence of the drug.

314 It followed, thus, that Cathepsin B/L inhibitors would be more effective in blocking  
315 Omicron variant entry than TMPRSS2 inhibitors.

316

317 **Synergy between Cathepsin B/L and TMPRSS2 inhibitors against both the original strain**  
318 **and Omicron variant**

319 Previously, we predicted synergy between the two types of inhibitors in blocking virus  
320 entry, which has since been observed in experiments with the original strain (Hoffmann et al.,  
321 2020a; Kreutzberger et al., 2021). Here, we applied our model to estimate the extent of synergy  
322 with the Omicron variant relative to the original strain (Eqs. (1-4)) (Fig. 5A-J). Given the  
323 substantial cell-type dependence in entry efficiency, we first considered two *in silico* cell types,  
324 one with relatively low (Fig. 5A) and the other with relatively high (Fig. 5E) mean TMPRSS2  
325 expression levels. Both the cell types expressed moderate Cathepsin B/L levels (Fig. 5A, E).  
326 The TMPRSS2 and Cathepsin B/L inhibitors worked synergistically to block original strain  
327 and Omicron variant entry in a dose-dependent manner, albeit to different extents (Fig. 5B-D,  
328 F-H).

329 At low drug levels, Bliss synergy,  $\beta_{Bliss}$ , was minimal because the chances of the drugs  
330 acting together on the same cell were minimal (Fig. 5D, H). As the drug levels increased, the  
331 synergy increased and plateaued at a value that depended on the expression levels of the  
332 proteases and the viral strain (Fig. 5D, H). This effect could be understood by considering the  
333 relative usage of the two entry pathways. In our calculations, drug synergy was high when the  
334 relative preferences for the two pathways were similar, so that both pathways had to be blocked  
335 and drugs targeting both pathways would thus synergize. When usage of either of the two  
336 pathways dominated, the drug that blocked the dominant pathway alone had a role, leaving  
337 little room for synergy. For instance, when TMPRSS2 levels were low (Fig. 5A), the original  
338 strain used both pathways for entry (Fig. 5B), whereas the Omicron variant predominantly used

339 the Cathepsin B/L pathway. Consequently, the maximum synergy achieved against the original  
340 strain ( $\beta_{Bliss} = 0.34$ ) was higher than the Omicron variant ( $\beta_{Bliss} = 0.07$ ) (Fig. 5B). In contrast,  
341 when TMPRSS2 levels were high (Fig. 5E), the original strain predominantly used the  
342 TMPRSS2 pathway, and the Omicron variant used both the pathways for entry. The synergy  
343 for the Omicron variant ( $\beta_{Bliss} = 0.54$ ) was now much higher than that for the original strain  
344 ( $\beta_{Bliss} = 0.38$ ) (Fig. 5H).

345 We next predicted synergy by varying either the mean expression levels of TMPRSS2  
346 (Fig. 5I) or Cathepsin B/L (Fig. 5J). The synergy with the original strain and the Omicron  
347 variant showed a non-monotonic dependence on protease expression levels. No synergy was  
348 observed at low and high protease expression levels, when virus entry through one of the two  
349 pathways was dominant (Fig. 5I, J). Synergy peaked at intermediate protease expression levels  
350 when the usage of the two entry pathways was comparable (Fig. 5I, J). Because the Omicron  
351 variant uses the TMPRSS2 pathway less efficiently and the Cathepsin B/L pathway more  
352 efficiently than the original strain, synergy for Omicron peaked at a relatively higher  
353 TMPRSS2 (Fig. 5I) and lower Cathepsin B/L (Fig. 5J) expression levels than for the original  
354 strain. Drug levels that would yield optimal synergy would thus be different for the original  
355 strain and the Omicron variant.

356 In summary, our model predicts that drug combinations targeting TMPRSS2 and  
357 Cathepsin B/L inhibitors would synergistically block original strain and Omicron variant entry,  
358 albeit to different extents in a protease expression level-dependent manner.

359

## 360 DISCUSSION

361 Recent studies have reported changes in cellular tropism and cell entry properties of the  
362 Omicron variant compared to the original SARS-CoV-2 strain and other variant (Garcia-  
363 Beltran et al., 2021; Hoffmann and et al, 2021; Meng et al., 2021; Peacock et al., 2022; Willett

364 et al., 2022; Zhao et al., 2021). Quantifying the changes in the preference of the Omicron  
365 variant for different entry routes may help evaluate the efficacies of entry inhibitors in clinical  
366 development and optimise entry inhibitor-based treatments (Gunst et al., 2021; Zhuravel et al.,  
367 2021). Mathematical models of SARS-CoV-2 kinetics have provided valuable insights into  
368 COVID-19 disease progression, drug action, and the effectiveness of vaccines and treatments  
369 (Amidei and Dobrovolny, 2022; Chatterjee et al., 2022; Desikan et al., 2021; Garg et al., 2021;  
370 Gonçalves et al., 2020; Goyal et al., 2020; Ke et al., 2021; Kissler et al., 2021; Néant et al.,  
371 2021; Padmanabhan et al., 2022; Perelson and Ke, 2020). Here, adapting a previously  
372 developed mathematical model of SARS-CoV-2 entry (Padmanabhan et al., 2020) to the  
373 analysis of *in vitro* data on variants (Garcia-Beltran et al., 2021; Hoffmann and et al, 2021), we  
374 quantified the altered usage of host proteases required for entry by the Omicron variant relative  
375 to the original strain and the Delta variant. We then applied the model to examine the influence  
376 of the latter on the efficacies and synergy of drugs targeting the host proteases mediating entry.

377 Our analysis suggests that the Omicron variant appears to have evolved to use  
378 Cathepsin B/L more efficiently and TMPRSS2 less efficiently than the original strain and the  
379 Delta variant for cell entry. Specifically, we estimated >4-fold enhanced efficiency in the usage  
380 of the Cathepsin B/L pathway and >3-fold reduced efficiency in the usage of the TMPRSS2  
381 pathway by the Omicron variant in the cell lines examined. The alteration in the preference of  
382 the Omicron variant for entry pathways may have clinical implications. Drugs targeting  
383 TMPRSS2, such as camostat mesylate (Hoffmann et al., 2020a), and the Cathepsin B/L  
384 pathway, such as CA-074 methyl ester (Hashimoto et al., 2021), are in development. The  
385 efficacy of TMPRSS2 inhibitors is likely to decrease and that of Cathepsin B/L inhibitors is  
386 likely to increase against the Omicron strain. Our model predictions corroborated this  
387 expectation. Available experimental data too are consistent with this expectation. Camostat  
388 mesylate, a TMPRSS2 inhibitor, worked poorly against the Omicron variant compared to the

389 Delta variant in blocking infection *in vitro* (Zhao et al., 2021). Future studies may test whether  
390 Cathepsin B/L inhibitors would work better against the Omicron variant than the original and  
391 Delta strains. The mechanistic origins of the altered entry pathway usage by the Omicron  
392 variant remain poorly elucidated. Although molecular dynamics simulations can offer insights  
393 (Aggarwal et al., 2021; Ray et al., 2021; Zimmerman et al., 2021), the large number of  
394 mutations in the spike protein of the Omicron variant render the mechanisms difficult to  
395 unravel.

396 In a previous study, we predicted synergy between TMPRSS2 and Cathepsin B/L  
397 inhibitors against original SARS-CoV-2 infection (Padmanabhan et al., 2020). This synergy  
398 has since been observed experimentally (Hoffmann et al., 2020a; Kreutzberger et al., 2021).  
399 Here, we predicted that the drugs would also exhibit synergy against the Omicron variant. The  
400 extent of synergy and the drug concentrations at which the synergy would be maximum,  
401 however, are likely to be different for the Omicron variant compared to the other strains. The  
402 difference is expected because of the different entry pathway usages involved. Our formalism  
403 offers a route to identify the optimal drug concentrations using the distributions of the  
404 expression levels of the proteases and the dependent susceptibilities of cells to entry via the  
405 two pathways as inputs. While such inputs have been available for other viruses such as HIV-  
406 1 and hepatitis C virus and enabled quantitative model predictions (Brandenberg et al., 2015;  
407 Koizumi et al., 2017; Magnus et al., 2009; Mulampaka and Dixit, 2011; Padmanabhan and  
408 Dixit, 2011, 2015, 2017; Padmanabhan et al., 2014; Sen et al., 2019; Venugopal et al., 2018),  
409 they are currently not available for SARS-CoV-2. Consequently, our findings of drug efficacies  
410 and synergy, although robust, remain qualitative.

411 Since late 2021, more than a hundred Omicron sublineages, including BA.1.1, BA.2,  
412 BA.2.3, BA.2.75, BA.2.9, BA.2.12.1, BA.3, BA.4, and BA.5, have emerged (Xia et al.,  
413 2022). These sublineages have evolved to exhibit different transmissibility, virulence, and

414 immune evasion (Xia et al., 2022). Whether they also have different preferences for entry  
415 routes is not fully understood. Our study has focussed on the BA.1 sublineage. Our model  
416 presents a framework to analyse the emerging *in vitro* data and quantify the changes in the  
417 entry pathways used by the other Omicron sublineages.

418 Implications of our findings also follow for our understanding of the cell tropism of the  
419 Omicron variant. Because of its preferred and more efficient usage of the Cathepsin B/L  
420 pathway, it is likely to preferentially infect cells expressing high levels of Cathepsin B/L. It  
421 would be interesting to test whether such cells are present in greater abundance in the upper  
422 respiratory tract, facilitating greater transmission of the Omicron variant than other pre-  
423 Omicron variants. Similarly, future studies may test whether the reduced usage of TMPRSS2  
424 results in less severe disease compared to the other variants.

425

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428

## 429 **COMPETING INTERESTS**

430 The authors declare that no conflicts of interests exist.

431

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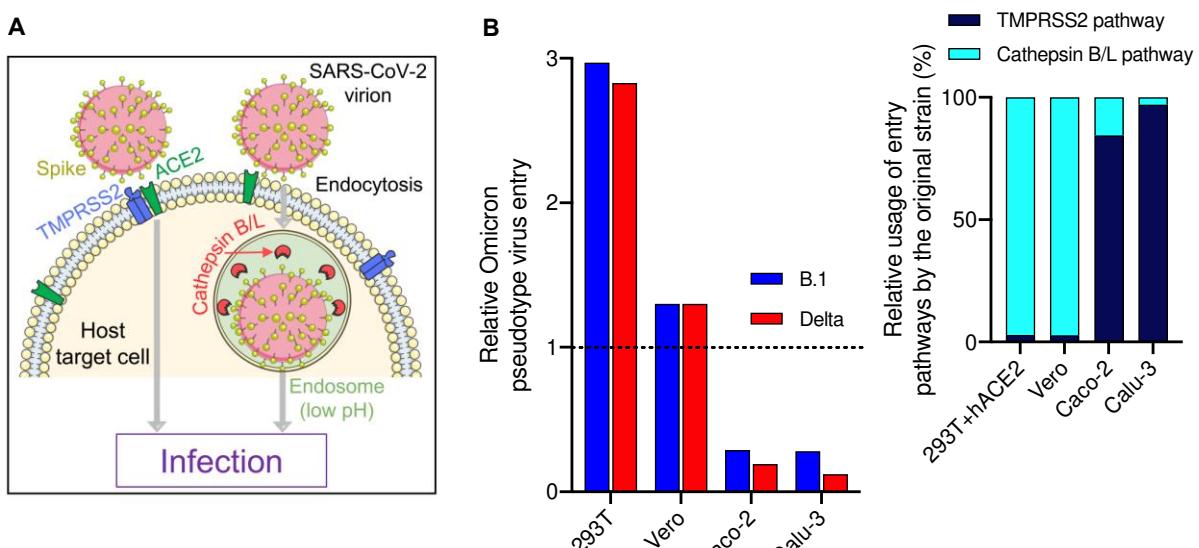
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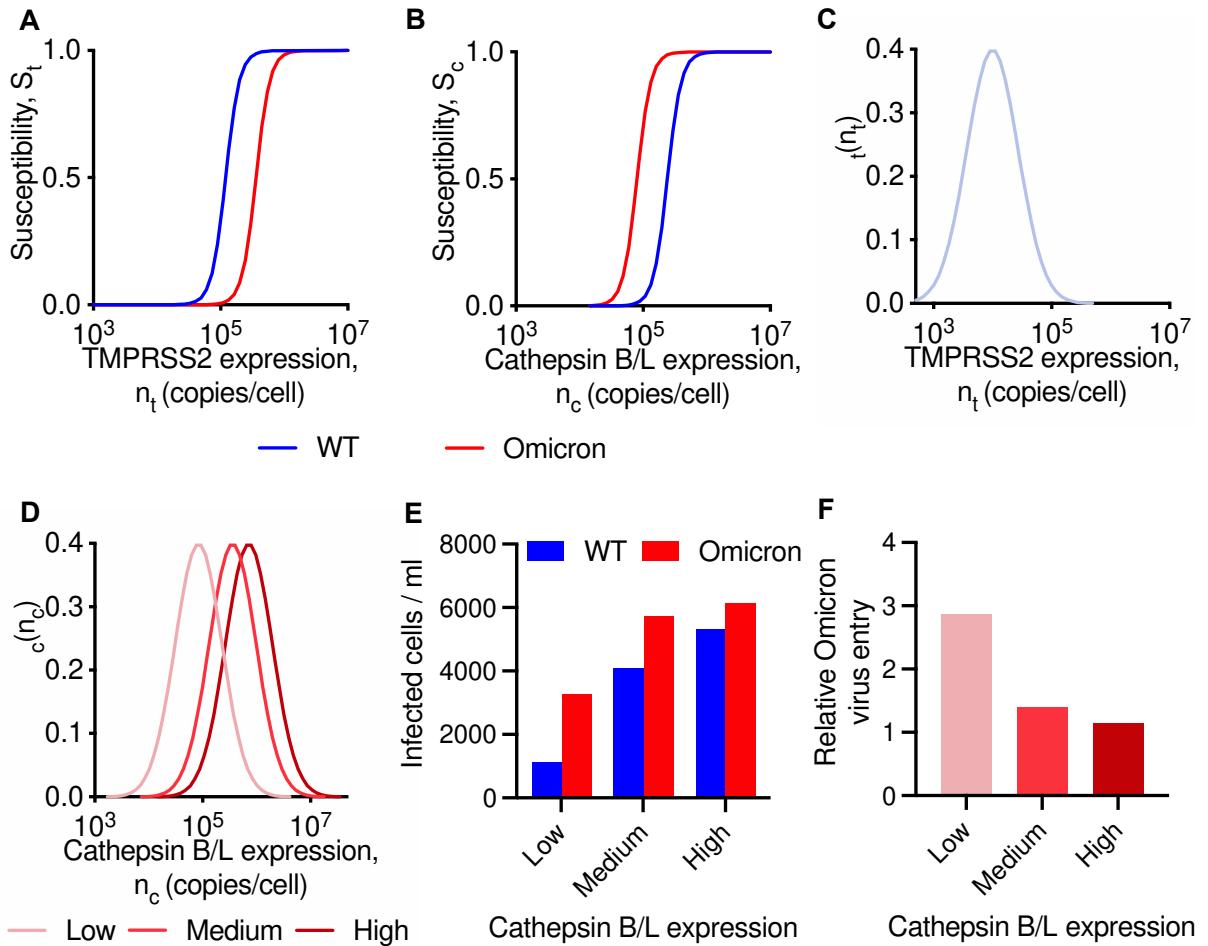
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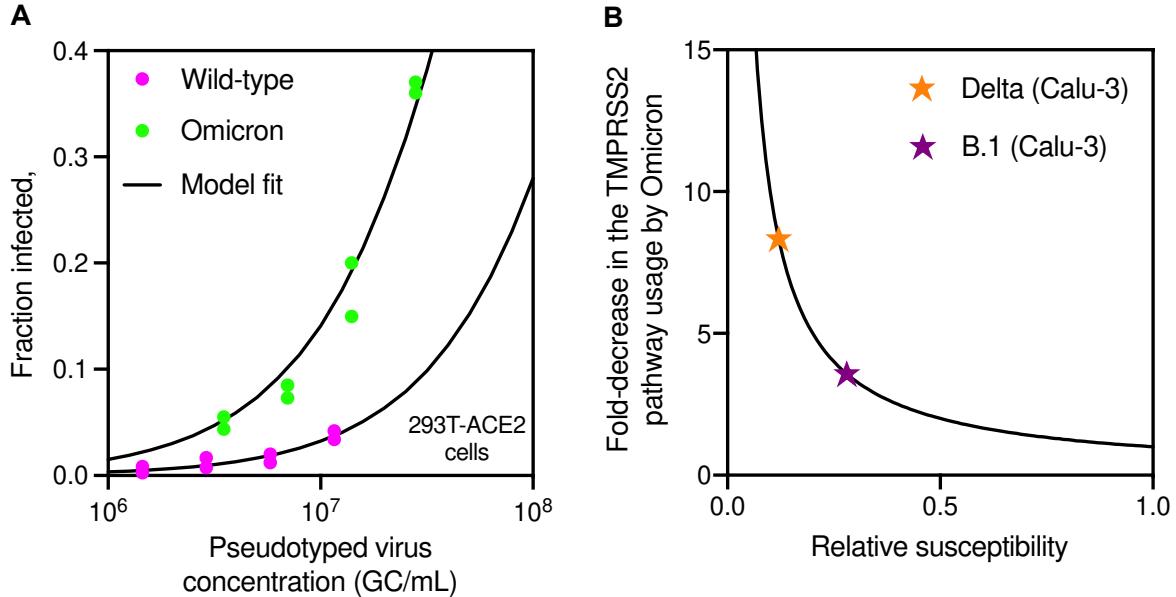
585 **Figure 1. Omicron variant entry efficiency relative to B.1 and Delta strains correlates**  
586 **with the relative usage of the TMPRSS2 and Cathepsin B/L pathways. (A)** Schematic of  
587 the two independent entry pathways accessible to SARS-CoV-2. **(B)** The Omicron entry  
588 efficiency relative to either the B.1 (blue) or the Delta (red) variant across cell types. Data was  
589 taken from Hoffmann et al. (2021). *Inset*, the relative usage of the entry pathways by the  
590 original strain. Data was taken from Padmanabhan et al. (2020).  
591



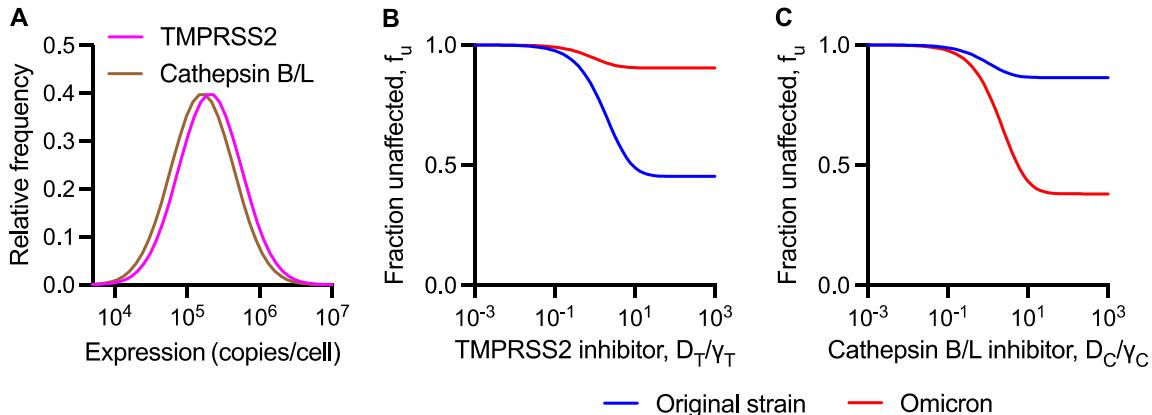
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593

594 **Figure 2. The relative entry efficiency of the Omicron variant depends on the protease**  
 595 **expression. (A, B)** Dependence of susceptibility of infection on TMPrSS2 (A) and Cathepsin  
 596 B/L (B) expression levels for the original strain (blue line) and Omicron variant (red line). (C)  
 597 The log-normal distribution of TMPrSS2 across cells with the mean TMPrSS2 expression  
 598 levels  $\bar{n}_t = 9.21$ . (D) The log-normal distribution of Cathepsin B/L across cells with low ( $\bar{n}_c$   
 599 = 11.34), medium ( $\bar{n}_c = 12.79$ ) and high ( $\bar{n}_c = 13.49$ ) mean Cathepsin B/L expression levels.  
 600 (E, F) Cells infected by the wild-type (blue bar) and Omicron variant (red bar) (E) and the  
 601 Omicron entry efficiency relative to the original strain (F) at different Cathepsin B/L  
 602 expression levels shown in Fig. 2D and fixed TMPrSS2 expression level shown in Fig. 2C.  
 603 The other parameters and initial conditions are listed in Table 1.

604



605  
606 **Figure 3. Estimation of entry pathway usage by the Omicron variant.** (A) Fits of model  
607 predictions (lines) to the experimental data (symbols) taken from Garcia-Beltran et al. (2021)  
608 measuring the fraction of cells infected by pseudotyped virus bearing either the wild-type or  
609 the Omicron spike proteins. Data was fit using the tool NLINFIT in MATLAB R2017b. (B)  
610 The fold-decrease in Omicron entry efficiency through the TMPRSS2 pathway as a function  
611 of relative susceptibility to entry. Symbols place experimental data of relative infection taken  
612 from Hoffmann et al. (2021) on the relative susceptibility curve predicted (see text) so that the  
613 fold decrease can be read off. In A and B, experimental data was extracted using Engauge  
614 Digitizer 12.1.  
615



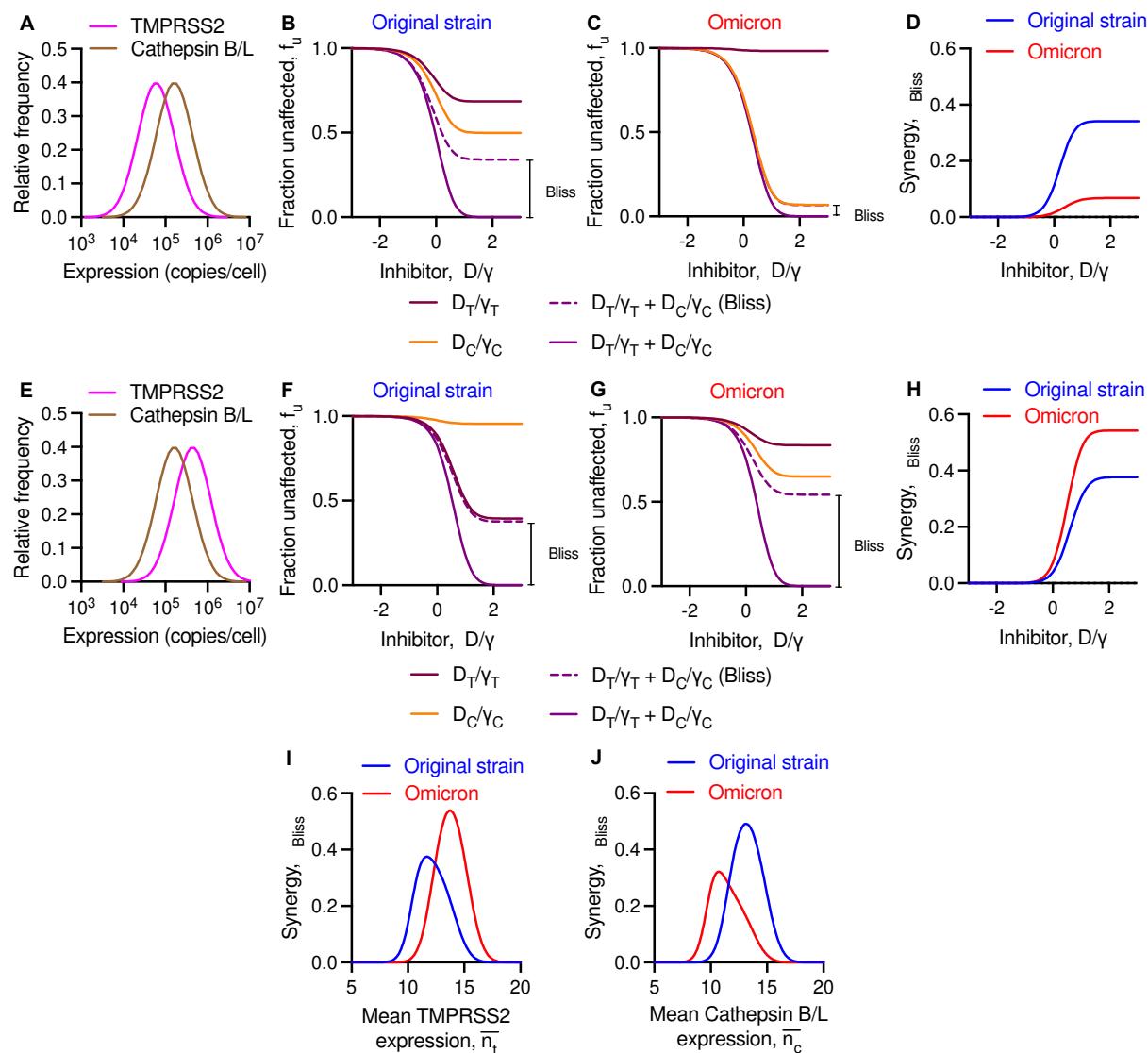
616

617 **Figure 4. Predictions of the efficacies of TMPRSS2 and Cathepsin B/L inhibitors against**  
618 **the wild-type and Omicron variant. (A)** The log-normal distribution of TMPRSS2 and  
619 cathepsin B/L across cells. **(B, C)** The fraction of infection events caused by the original strain  
620 (blue line) and Omicron variant (red line) uninhibited by different concentrations of a  
621 TMPRSS2 inhibitor (B) and a Cathepsin B/L inhibitor (C). In A-C,  $\bar{n}_c = 12$  and  $\bar{n}_t = 12.25$ .  
622 In D-E,  $\bar{n}_c = 12$ . In F-G,  $\bar{n}_t = 12.25$ . The other parameters and initial conditions are listed in  
623 Table 1.

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628 **Figure 5. Predictions of the effect of combination treatment targeting both TMPRSS2**  
629 **and Cathepsin B/L pathways against the original strain and Omicron variant. (A-D)** The  
630 log-normal distribution of TMPRSS2 and cathepsin B/L across cells (A) employed to predict  
631 the fraction of infection events caused by the original strain (B) and the Omicron variant (C)  
632 unaffected by Cathepsin B/L inhibitor, TMPRSS2 inhibitor or both and the Bliss synergy (D)  
633 over a range of drug concentrations. (E-H) The log-normal distribution of TMPRSS2 and  
634 cathepsin B/L across cells (E) employed to predict the fraction of infection events caused by  
635 the original strain (F) and the Omicron variant (G) unaffected by Cathepsin B/L inhibitor,  
636 TMPRSS2 inhibitor or both and the Bliss synergy (H) over a range of drug concentrations. In  
637 B, C, F, and G, the extent of Bliss synergy is marked. (I, J) The predicted Bliss synergy for  
638 varying TMPRSS2 expression and fixed mean ( $\bar{n}_c = 12$ ) Cathepsin B/L expression and for  
639 varying Cathepsin B/L expression and fixed mean ( $\bar{n}_t = 12$ ) TMPRSS2 expression at two  
640 different drug concentrations. In B-D and F-H,  $D_C/\gamma_C = D_T/\gamma_T = D/\gamma$ . In I,  $\bar{n}_c = 12$ . In J,  $\bar{n}_t =$   
641 12. In I-J,  $D_C/\gamma_C = D_T/\gamma_T = 10$ . The other parameters and initial conditions are listed in Table 1.

642

643

644 **Table 1: Model parameters and their values.**

Parameter	Description	Values	Ref.
$\lambda$	Target cell proliferation rate constant	0.77 d <sup>-1</sup>	(Jiang et al., 2019)
$\mu$	Target cell death rate constant	0.22 d <sup>-1</sup>	(Ursache et al., 2015)
$\delta$	Infected cell death rate constant	0.53 d <sup>-1</sup>	(Gonçalves et al., 2020)
$c_V$	Virion clearance rate constant	10 d <sup>-1</sup>	(Gonçalves et al., 2020)
$k$	Infection rate constant of cells with excess proteases	1x10 <sup>-4</sup> ml ffu <sup>-1</sup> d <sup>-1</sup>	(Padmanabhan and Dixit, 2015)
$T(0)$	Initial target cells	1x10 <sup>5</sup> cell ml <sup>-1</sup>	(Padmanabhan and Dixit, 2015)
$V(0)$	Initial viral titre	1x10 <sup>4</sup> ffu ml <sup>-1</sup>	(Padmanabhan and Dixit, 2015)
$t_d$	Time of assessment	24 h	(Hoffmann et al., 2020a)
$h_t$	Hill coefficient	4	(Padmanabhan and Dixit, 2015)
$h_c$	Hill coefficient	4	(Padmanabhan and Dixit, 2015)
$\bar{n}_t$	Mean of TMPRSS2 distribution	6 – 18	Varied
$\bar{n}_c$	Mean of Cathepsin B/L distribution	6 – 18	Varied
$n_t^{50}$ (Original strain)	TMPRSS2 expression at which $S_t = 0.5$	1.2 x 10 <sup>5</sup> copies/cell	Assumed
$n_c^{50}$ (Original strain)	Cathepsin B/L expression at which $S_c = 0.5$	2.4 x 10 <sup>5</sup> copies/cell	Assumed
$n_t^{50}$ (Omicron variant)	TMPRSS2 expression at which $S_t = 0.5$	3.6 x 10 <sup>5</sup> copies/cell	Assumed
$n_c^{50}$ (Omicron variant)	Cathepsin B/L expression at which $S_c = 0.5$	8 x 10 <sup>4</sup> copies/cell	Assumed
$\sigma_t$	Standard deviation of TMPRSS2 distribution	1	(Padmanabhan and Dixit, 2015)
$\sigma_c$	Standard deviation of Cathepsin B/L distribution	1	(Padmanabhan and Dixit, 2015)

645 \*ffu stands for focus-forming units. We set  $p = 0$  for pseudoviruses.