

1 **Ensemble cryo-electron microscopy reveals conformational  
2 states of the nsp13 helicase in the SARS-CoV-2 helicase  
3 replication-transcription complex**

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19 The authors declare no conflict of interest

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26 **The SARS-CoV-2 nonstructural proteins coordinate genome replication and gene**  
27 **expression. Structural analyses revealed the basis for coupling of the essential**  
28 **nsp13 helicase with the RNA dependent RNA polymerase (RdRp) where the holo-**  
29 **RdRp and RNA substrate (the replication-transcription complex, or RTC)**  
30 **associated with two copies of nsp13 (nsp13<sub>2</sub>-RTC). One copy of nsp13 interacts**  
31 **with the template RNA in an opposing polarity to the RdRp and is envisaged to**  
32 **drive the RdRp backwards on the RNA template (backtracking), prompting**  
33 **questions as to how the RdRp can efficiently synthesize RNA in the presence of**  
34 **nsp13. Here, we use cryo-electron microscopy and molecular dynamics**  
35 **simulations to analyze the nsp13<sub>2</sub>-RTC, revealing four distinct conformational**  
36 **states of the helicases. The results suggest a mechanism for the nsp13<sub>2</sub>-RTC to**  
37 **turn backtracking on and off, using an allosteric mechanism to switch between**  
38 **RNA synthesis or backtracking in response to stimuli at the RdRp active site.**

39

40 COVID-19, caused by the coronavirus SARS-CoV-2 <sup>1,2</sup>, continues to devastate the  
41 world. The viral RNA-dependent RNA polymerase (RdRp, encoded by non-structural  
42 protein 12, or nsp12) functions as a holo-RdRp (comprising nsp7/nsp8<sub>2</sub>/nsp12) in a  
43 replication-transcription complex (holo-RdRp + RNA, or RTC) to direct RNA synthesis  
44 from the viral RNA genome <sup>3-5</sup>. The RdRp is also a target for the clinically approved  
45 antiviral remdesivir <sup>6-8</sup>. In addition to the holo-RdRp, the virus encodes several nucleic  
46 acid processing enzymes, including a helicase (nsp13), an exonuclease (nsp14), an  
47 endonuclease (nsp15), and methyltransferases (nsp14 and nsp16) <sup>9</sup>. Little is known  
48 about how these enzymes coordinate to replicate and transcribe the viral genome.

49 Nsp13, essential for viral replication <sup>10-13</sup>, is a superfamily 1B (SF1B) helicase  
50 that can unwind DNA or RNA substrates with a 5'->3' directionality <sup>14-16</sup>. Along with the  
51 two canonical RecA ATPase domains of SF1 helicases <sup>14,17</sup>, nsp13 contains three  
52 additional domains; an N-terminal zinc-binding domain (ZBD, unique to nidoviral  
53 helicases), a stalk, and a 1B domain <sup>13,18,19</sup>. Prior studies established that the nsp13  
54 helicase forms a stable complex with the RTC, and single-particle cryo-electron  
55 microscopy (cryo-EM) structures of an nsp13<sub>2</sub>-RTC (the RTC with two nsp13 protomers  
56 bound) have been determined <sup>20-22</sup>.

57 In the nsp13<sub>2</sub>-RTC structure, two protomers of nsp13 (nsp13.1 and nsp13.2;  
58 Fig. 1) sit on top of the RTC with each nsp13-ZBD interacting with one of the two N-  
59 terminal helical extensions of nsp8 <sup>20-22</sup>. This overall architecture places the nsp13.1  
60 active site directly in the path of the downstream template-RNA (t-RNA). The cryo-EM  
61 maps showed that the 5'-single-stranded overhang of the t-RNA (Fig. S1) passed  
62 through the nucleic acid binding channel of nsp13.1 <sup>23</sup>, but the low resolution of the map  
63 due to structural heterogeneity precluded detailed modeling <sup>20</sup>.

64 The structural analysis of the nsp13<sub>2</sub>-RTC provided new perspectives into the  
65 role of the nsp13 helicase in the complex viral replication-transcription program,  
66 suggesting that nsp13 may facilitate processive elongation by the RdRp on the highly  
67 structured RNA genome <sup>24,25</sup>, but may also generate backtracked RTCs for  
68 proofreading, template-switching during sub-genomic RNA transcription, or both <sup>20,26</sup>.  
69 How nsp13 directs these diverse processes that regulate RdRp function remains less

70 understood. For instance, the structures indicate that nsp13 translocates on the t-RNA  
71 strand in the 5'->3' direction <sup>16</sup>, while the RdRp would translocate on the same strand in  
72 the opposite direction (Fig. 1B). How can the RdRp rapidly replicate the ~30 kb viral  
73 genome <sup>27</sup> if it is opposed by the helicase? Also, what is the role of the second nsp13  
74 protomer (nsp13.2), which appears capable of ATPase and translocation/helicase  
75 activity but does not appear to be engaged with nucleic acid in the structures <sup>20,26</sup>?

76 Here we describe an extensive structural analysis of a cryo-EM dataset of the  
77 nsp13-RTC, combined with molecular dynamics (MD) simulation analysis of the  
78 resulting structures. The results yield a cryo-EM map of the nsp13<sub>2</sub>-RTC at a nominal  
79 resolution of 2.8 Å (2.1-2.5 Å in the active site core of the RdRp; Fig. 1). Structural  
80 heterogeneity apparent in the nsp13 portions of the map was resolved by classification  
81 approaches, revealing four distinct conformational states of the nsp13 subunits.  
82 Analysis of these conformational states suggest solutions to the apparent contradictions  
83 regarding the role of nsp13 and provides further insight into models for nsp13 function  
84 during viral replication/transcription.

85

## 86 RESULTS

87 **An augmented cryo-EM dataset allows extensive structural analysis of the nsp13-  
88 RTC.** Previously we described a single-particle cryo-EM analysis of a stable SARS-  
89 CoV-2 nsp13-RTC from a curated set of 88,058 particle images <sup>20</sup>. These particles were  
90 classified into three distinct assemblies, nsp13<sub>1</sub>-RTC (4.0 Å nominal resolution), nsp13<sub>2</sub>-  
91 RTC (3.5 Å), and a dimer of nsp13<sub>2</sub>-RTC [(nsp13<sub>2</sub>-RTC)<sub>2</sub>; 7.9 Å]. Here we analyzed a  
92 much larger dataset (nearly five times as many particles; Fig. S1, Table S1) collected  
93 from the same sample preparation. From a consensus refinement (Figs. S1 and S2,  
94 map1; Note: Fig. S1 shows the details of the cryo-EM processing pipeline; Fig. S2 is a  
95 streamlined cryo-EM processing pipeline that highlights the essential steps), the  
96 particles were classified <sup>28</sup> into the same three assemblies observed previously [nsp13<sub>1</sub>-  
97 RTC (map2), nsp13<sub>2</sub>-RTC (map3), (nsp13<sub>2</sub>-RTC)<sub>2</sub> (map4)] <sup>20</sup> with a very similar  
98 distribution of particles between the three assemblies (Figs. S1 and S2; Tables S1 and  
99 S2), confirming the robustness of the classification procedure. We focus primarily on the  
100 nsp13<sub>2</sub>-RTC because the bulk of the particles (72%) belong to this class and generated  
101 the highest resolution map (Figs. S1, S2 and S3; map3; 2.9 Å nominal resolution).

102 To obtain the best possible consensus cryo-EM map of the entire complex, we  
103 generated a series of cryo-EM maps by focused refinement around sub-domains of the  
104 nsp13<sub>1</sub>-RTC (map2) and nsp13<sub>2</sub>-RTC (map3) maps and combined these, generating a  
105 composite map with a nominal resolution of 2.8 Å (Fig. 1; Figs. S1-S3, map9). Local  
106 resolution analysis <sup>29</sup> suggested that the active site and NiRAN ligand-binding pocket of  
107 the RdRp were resolved to between 2.1-2.6 Å resolution (Fig. S3). This was supported  
108 by the excellent quality of the cryo-EM map, where the ADP-Mg<sup>2+</sup> bound in the NiRAN  
109 domain enzymatic site could be visualized (Fig. S4), and RNA base pairs near the  
110 RdRp active site could be identified directly from the cryo-EM density (Fig. S4).  
111 Although not as well resolved, the ADP-AlF<sub>3</sub>-Mg<sup>2+</sup> and surrounding residues in the  
112 nsp13 active sites could also be modeled (Fig. S4).

113        Despite the excellent map quality for most of the RTC (Figs. 1 and S4), features  
114 of the composite consensus map (map9) suggested substantial heterogeneity in the  
115 nsp13 subunits, particularly in the RecA2 and 1B domains (Fig. S3). Therefore, we  
116 generated a mask surrounding the nsp13.1 and nsp13.2 RecA1, RecA2, and 1B  
117 domains (of map3; Figs. 2 and S2) and used masked classification with signal  
118 subtraction<sup>30</sup> to identify four distinct conformational states (Figs. 2, S1, S2, and S5;  
119 Table S3) with significant differences in the dispositions of the nsp13 subunits,  
120 particularly nsp13.1.

121        The class II structure (Figs. 2 and S5) contains the most particles and the nsp13  
122 subunits are best resolved in this map (map12; Figs. 2, S2 and S5). Compared to the  
123 other structures, the nsp13.1 RecA domains of class II (map12) are closed onto each  
124 other more than the other structures (Fig. S5) and are thereby engaged most tightly with  
125 the RNA (see below). We call this the 'nsp13.1-engaged' structure and use it as a  
126 reference to give a general overview of the conformational changes in the other classes.

127        While each of the classes shows significant changes in both the disposition of  
128 each nsp13 subunit as a whole as well as intramolecular domain motions within each  
129 nsp13 subunit, each structural class can be characterized by one dominant  
130 conformational change in nsp13.1 (compared to the nsp13.1-engaged structure used as  
131 a reference):

132        i) In class I, the nsp13.1 RecA2 domain is rotated open by 21° with respect to RecA1.  
133 Concomitantly, the RNA binding site is empty while occupancy of the nsp13.1  
134 nucleotide-binding site is ambiguous. We therefore call this the 'nsp13.1-apo' structure  
135 (Fig. 2).

136        ii) In class III, the nsp13.1 subunit swivels as a whole by 38° away from nsp13.2. We  
137 call this the 'nsp13.1-swiveled' structure (Fig. 2).

138        iii) In class IV, the nsp13.1 domain 1B is rotated 85° away from the nsp13.1 RNA  
139 binding channel, creating the '1B-open' structure (Fig. 2).

140

#### 141        **The nsp13.1-engaged conformation grasps the downstream RNA t-strand**

142        In the nsp13.1-engaged structure, the distance between the center-of-gravity of the two  
143 nsp13.1 RecA domains, 27.3 Å, is the shortest of the eight nsp13 conformations  
144 (Fig. S5). The RecA domains are thus 'closed' and grasp the downstream t-RNA single-  
145 stranded 5'-segment emerging from the RdRp active site, giving rise to well-resolved  
146 cryo-EM density for the RNA passing through the helicase (Fig. 3A). The RNA is  
147 corralled in a tunnel between the two RecA domains and the 1B domain, which is also  
148 in a closed conformation (Figs. 2 and 3A). The pattern of purine and pyrimidines in the  
149 cryo-EM density is clearly discernable, allowing the unique sequence register of the  
150 RNA engaged with the nsp13.1 helicase to be determined (Fig. 3A).

151        The ordered RNA segment is 7 nucleotides in length (+9 to +15; Fig. 3), with the  
152 five central nucleotides (+10 to +14) completely enclosed within the helicase. The RNA  
153 phosphate backbone generally faces the nsp13.1 RecA domains, and the mostly  
154 stacked bases face the 1B domain (Fig. 3). As might be expected, the helicase  
155 establishes extensive interactions with the RNA phosphate backbone, including several

156 polar interactions. Interactions with the RNA bases are mostly van der Waals  
157 interactions and not expected to be base-specific (Fig. 3).

158

159 **The nsp13.1-apo state**

160 Comparison of the nsp13.1-apo and nsp13.1-engaged structures revealed a striking  
161 change in the conformation of the RecA-like ATPase domains of nsp13.1.  
162 Superimposition of the  $\alpha$ -carbons of nsp13.1 RecA1 (residues 235-439) or  
163 RecA2 (residues 440-596) alone yielded root-mean-square-deviations (rmsds) of  
164 0.387 and 0.673 Å, respectively, indicating the structures of the individual domains are  
165 very similar between the two states. However, superimposition via the  $\alpha$ -carbons of only  
166 RecA1 gave an rmsd of 7.05 Å for the RecA2  $\alpha$ -carbons, indicating a substantial  
167 change in the relative disposition of the two domains. The movement of RecA2 with  
168 respect to RecA1 corresponds to an  $\sim$ 21° rotation about the axis shown in Fig. 4A (also  
169 see Video S1), corresponding to an opening of the RecA domains; the nsp13.1 RecA  
170 domains of the nsp13.1-apo state are the furthest open of any of the eight nsp13  
171 protomer structures (Fig. S5F).

172 The consensus nsp13<sub>2</sub>-RTC cryo-EM map (map3; Figs. S1 and S2) contains low-  
173 resolution density indicating that the downstream single-stranded 5'-segment of the t-  
174 RNA occupies the nsp13.1 RNA binding channel (Fig. 1B). Moreover, the t-RNA 5'-  
175 segment occupying the nsp13.1 RNA binding channel of the nsp13.1-engaged state is  
176 well resolved (Fig. 3). By contrast, the nsp13.1-apo cryo-EM density shows that the  
177 nsp13.1 RNA-binding path is empty (Fig. 4B). The nsp13.1-apo cryo-EM density also  
178 does not support occupancy of ADP-AlF<sub>3</sub>-Mg<sup>2+</sup> in the nucleotide-binding site of nsp13.1,  
179 although the low resolution of the map in this region makes this conclusion tentative.

180

181 **Spontaneous and reversible transition of the nsp13.1 RecA domains between the**  
182 **nsp13.1-engaged and nsp13.1-apo conformations**

183 To characterize the RecA1-RecA2 interdomain movement and how a bound substrate  
184 may influence that movement, we performed MD simulations of free nsp13.1  
185 (i.e., without nsp13.2 or the RTC) under four different substrate-bound conditions  
186 (ATPMg<sup>2+</sup>/RNA, ADPMg<sup>2+</sup>/RNA, ATPMg<sup>2+</sup> only, and ADPMg<sup>2+</sup> only). For each condition,  
187 we ran three independent 5- $\mu$ s simulations, all initiated from the nsp13.1-engaged  
188 conformation (Figs. 2 and 3).

189 In simulations of ATPMg<sup>2+</sup>/RNA-bound nsp13.1, the RecA2 domain maintained  
190 its general orientation with respect to RecA1 throughout the simulations (Fig. 4C). The  
191 average rmsd of RecA2 between the initial nsp13.1-engaged cryo-EM structure and the  
192 structures from the MD trajectories, aligned on the RecA1, was low ( $\sim$ 2.9 Å; some  
193 adjustment of RecA2 from the initial nsp13.1-engaged cryo-EM structure position in  
194 these simulations was expected, as the cryo-EM structure was determined using ADP-  
195 AlF<sub>3</sub>/RNA in place of ATPMg<sup>2+</sup>/RNA). Conformations resembling the nsp13.1-apo  
196 structure (rmsd  $<3.5$  Å) were not observed (Fig. 4C, D).

197 In simulations of ADPMg<sup>2+</sup>/RNA-bound nsp13.1, RecA2 rotated away from its  
198 initial position in the nsp13.1-engaged conformation, and nsp13.1-apo-like  
199 conformations were repeatedly visited throughout the simulations (Figs .4C, D). The  
200 ADPMg<sup>2+</sup>/RNA-bound nsp13.1-apo-like conformations were metastable, and  
201 interconverted with the nsp13.1-engaged conformations. Spontaneous and reversible  
202 conversion between the nsp13.1-engaged and nsp13.1-apo conformations was also  
203 observed in the simulations of ATPMg<sup>2+</sup>-bound and ADPMg<sup>2+</sup>-bound nsp13.1  
204 (Figs. 4C, D). These results suggest that the presence of both the ATPMg<sup>2+</sup> and RNA  
205 may stabilize the nsp13.1-engaged conformation and that the absence of either  
206 substrate may destabilize the nsp13.1-engaged conformation and facilitate the transition  
207 to the nsp13.1-apo conformation, consistent with the observations from the cryo-EM  
208 analysis.

209

210 **The '1B-open' conformation of nsp13.1 may explain how the RdRp can synthesize**  
211 **RNA in the presence of nsp13**

212 In the nsp13.1-engaged state, the downstream single-stranded t-RNA is guided through  
213 a deep groove between the RecA1 and RecA2 domains that is completely closed off by  
214 the 1B domain (Fig. 5A). Remarkably, in the 1B-open structure, the nsp13.1 1B domain  
215 rotates 85° about the stalk away from the nsp13.1 RNA binding channel, creating an  
216 open groove rather than a closed tunnel (Fig. 5B). The cryo-EM density allows modeling  
217 of the downstream single-stranded t-RNA emerging from the RdRp active site up to the  
218 edge of the open groove proximal to the RdRp, but the RNA density disappears there,  
219 indicating that the RNA is not engaged within the active site of the helicase (Fig. 5B).

220 In the 1B-open conformation, the nsp13.1 1B domain appears to be trapped  
221 open by the presence of nsp13.2 (Fig. 5B), with the transition from the 1B-open to the  
222 1B-closed conformation blocked by nsp13.2. Consistent with this, we analyzed the  
223 nsp13 conformational states in the nsp13<sub>1</sub>-RTC (nsp13.2 absent) by masked  
224 classification with signal subtraction around the RecA1, RecA2 and 1B domains of the  
225 single nsp13 (Fig. S1) but the 1B-open nsp13 conformation was not observed. We  
226 propose that the 1B-open conformation of the nsp13.1 1B domain is trapped by the  
227 presence of nsp13.2.

228 We note that in the (nsp13<sub>2</sub>-RTC)<sub>2</sub> dimer (Figs. S1-S3), the nsp13 protomers  
229 corresponding to nsp13.1 are also in the '1B-open' state, as was observed by Yan *et al.*  
230<sup>31</sup>. Since the dimer only comprises 8% of our particle dataset (Table S1) while the  
231 nsp13<sub>2</sub>-RTC complex comprises 72% of the particles, we have focused our attention on  
232 the latter complex. We observe that the (nsp13<sub>2</sub>-RTC)<sub>2</sub> dimer forms in the absence of  
233 additional factors such as nsp10-14<sup>20</sup>, in contrast to what's reported in Yan *et al.*<sup>31</sup>.

234 Yan *et al.*<sup>31</sup> observed the 1B-open state of nsp13.1 (labeled nsp13-2 in their  
235 nomenclature) in their (dimer) dCap(0)-RTC structure, curiously assigned as a  
236 backtracking-competent state. This is at odds with: i) observations that nsp13.1 in the  
237 1B-open conformation does not engage RNA in its RNA-binding groove [Fig. 5B; also  
238 observed by Yan *et el.*<sup>31</sup>] and so would be incompetent for RNA translocation, and  
239 ii) the finding that nsp13 stimulated SARS-CoV-2 RTC backtracking<sup>26</sup>.

240

241 **Spontaneous transition of the nsp13.1 1B domain from the 1B-open to 1B-closed**  
242 **conformations**

243 The conformations of the nsp13.1 1B domain in the nsp13.1-engaged and nsp13.1-apo  
244 structures are related by a  $\sim 10^\circ$  rotation around the nsp13-stalk, but the 1B domains are  
245 closed on the nsp13-RecA domains in both structures. We refer to these collectively as  
246 '1B-closed' states (Fig. 2). These conformations have also been observed in crystal  
247 structures of isolated nsp13 as well as some other SF1-like helicases<sup>32</sup>. The  
248 conformation of the 1B domain in the 1B-open cryo-EM structure, in which the domain is  
249 rotated  $\sim 85^\circ$  compared to the 1B-closed conformations, was only seen in nsp13.1 when  
250 it was paired with nsp13.2 in the RTC, suggesting that this conformation may not be  
251 stable in isolated nsp13. To test this hypothesis, we performed five independent 25- $\mu$ s  
252 simulations on isolated (free) nsp13 (with ADPMg<sup>2+</sup>), initiated from the 1B-open  
253 conformation (Fig. 2).

254 In three out of the five simulations, the 1B domain underwent a  $\sim 90^\circ$  rotation  
255 from the starting 1B-open conformation around the stalk toward the RNA-binding groove  
256 to a 1B-closed conformation (Fig. 6A). These  $\sim 90^\circ$  rotated 1B domain conformations  
257 closely resemble the disposition of the 1B domain in the nsp13.1-apo structure. The  
258 1B domain rmsd between the simulation-generated structures from the last 2  $\mu$ s of the  
259 three trajectories and the 1B domain in the nsp13.1-apo cryo-EM structure (aligned on  
260 the RecA1 domain) was, on average,  $\sim 3.6$  Å. We also observed that a small portion  
261 ( $<5\%$ ) of these 1B-closed structures were more similar to the 1B domain of the nsp13.1-  
262 engaged conformation (rmsd  $<3.5$  Å). These nsp13.1-engaged-like 1B conformations  
263 were short-lived, and once visited they quickly transitioned to the nsp13.1-apo  
264 conformation, presumably because the nsp13.1-engaged conformation was captured in  
265 the presence of RNA, which was not included in our simulations.

266 We next asked whether or not the 1B domain in a 1B-closed state may  
267 spontaneously transition to the 1B-open state. In each of the three simulations in which  
268 we observed a transition of the 1B domain from the 1B-open to a 1B-closed  
269 conformation, the 1B domain remained in the 1B-closed conformation through the end  
270 of the 25- $\mu$ s simulation; a 1B-closed-to-open transition was not observed. We performed  
271 an additional five independent 25- $\mu$ s simulations of the isolated (free) nsp13.1, initiated  
272 from the 1B-closed conformation (of the nsp13.1-engaged structure). We did not  
273 observe any transition events to the 1B-open conformation over the aggregated 125  $\mu$ s  
274 simulation time. Instead, the 1B domain maintained its 1B-closed orientation in the initial  
275 structure, with some minor wobbling back and forth between the 1B-closed  
276 conformations of the nsp13.1-engaged and nsp13.1-apo structures (Fig. 6B).

277 Aligning the nsp13.1 simulation structures in the 1B-open-to-closed transition  
278 pathways with the nsp13.1 of the 1B-open cryo-EM structure showed that, on average,  
279  $\sim 40\%$  (53%, 22%, and 45% in the three simulations) of these 1B domain intermediate  
280 conformations clashed with nsp13.2, suggesting that the 1B-open-to-closed transition  
281 might be blocked by nsp13.2 (Fig. S6). Here we envisage that 1B domain transitions are  
282 facilitated by entry into the 'swiveled' state. The swiveled structure is characterized by  
283 one dominant conformational change; compared to the nsp13.1-engaged structure, the

284 nsp13.1 protomer as a whole swivels with respect to the rest of the RTC by 38°,  
285 repositioning nsp13.1 with respect to nsp13.2 (Fig. 6C).

286 There are some clashes between nsp13.1 and nsp13.2 when the simulation-  
287 generated structures are aligned to the swiveled cryo-EM structure, but to a much  
288 lesser extent (9%, 0%, and 2% in the three simulations; Fig. S6). This observation is  
289 consistent with the notion that the swiveled structure may be an intermediate state that  
290 facilitates the transition between the 1B-open and 1B-closed conformations.

291 In summary, our simulations suggest that the conformation of the 1B domain in  
292 the 1B-open structure may only be transiently stable on its own, transitioning  
293 spontaneously into the 1B-closed conformations of the nsp13.1-apo and nsp13.1-  
294 engaged structures. Such transitions may be blocked by the presence of nsp13.2 in the  
295 1B-open nsp13<sub>2</sub>-RTC. We did not observe transitions from the 1B-closed conformations  
296 to the 1B-open conformation, and we speculate that in the presence of RNA in the  
297 nsp13.1 RNA-binding groove (Fig. 3), nsp13.1 may be further stabilized in the closed  
298 1B domain conformation.

299

### 300 **Nsp13 conformations in nsp13<sub>2</sub>-backtracked complexes**

301 In the nsp13.1-engaged state (Fig. 2), the RdRp translocates in the 3'->5' direction on  
302 the t-RNA while nsp13.1 grasps the single-stranded t-RNA ahead of the RdRp (Fig. 3)  
303 and translocates in the 5'->3' direction (Fig. 1B). We proposed that events at the RdRp  
304 active site that would delay or stall p-RNA chain elongation (such as misincorporation or  
305 incorporation of nucleotide analogs) could allow the nsp13.1 translocation activity to  
306 push the RdRp backward on the t-RNA<sup>20</sup>. In this process, termed backtracking, the  
307 complex moves in the 5'->3' direction on the t-RNA accompanied by reverse-threading  
308 of the p-RNA through the complex, generating a single-stranded p-RNA 3'-fragment. In  
309 support of this hypothesis, structural and functional studies showed that the SARS-CoV-  
310 2 RdRp can backtrack, that the resulting single-stranded p-RNA 3'-fragment extrudes  
311 out the RdRp NTP-entry tunnel, and that backtracking is stimulated by nsp13<sup>26</sup>.

312 To compare the conformational states of the nsp13 protomers in the nsp13<sub>2</sub>-  
313 BTCs (backtracked complexes) with the nsp13<sub>2</sub>-RTCs, we used the same masked  
314 classification with signal subtraction protocol (Fig. S2) to classify the nsp13<sub>2</sub>-BTC  
315 particles into four conformational states (Fig. S7). Structural models were built and rigid-  
316 body refined into the cryo-EM densities for each class except for nsp13<sub>2</sub>-BTC-class2  
317 (13% of the particles), which had very poor cryo-EM density for nsp13.1. To compare  
318 these structural models with the nsp13<sub>2</sub>-RTC structures, we aligned the models for each  
319 nsp13<sub>2</sub>-BTC model with the nsp13.1-engaged state by superimposing  $\alpha$ -carbons of  
320 nsp12, yielding rmsds < 0.213 Å. We then calculated rmsds for  $\alpha$ -carbons of nsp13.1  
321 and nsp13.2. Both nsp13<sub>2</sub>-BTC-class1 and nsp13<sub>2</sub>-BTC-class4 aligned well with the  
322 nsp13.1-engaged nsp13<sub>2</sub>-RTC state (Table S4) and both also had strong density for the  
323 downstream t-RNA engaged with nsp13.1 (Fig. S7). Therefore, we classify both of these  
324 structures as nsp13.1-engaged-BTCs. The nsp13<sub>2</sub>-BTC-class3 structure had an open  
325 1B domain of nsp13.1 and clearly aligned with the 1B-open-RTC structure (Table S4).  
326 Thus, in contrast to the nsp13<sub>2</sub>-RTC structures, which were equally divided between the  
327 nsp13.1-engaged and 1B-open states (33% each), the nsp13<sub>2</sub>-BTC structures were

328 heavily skewed towards the nsp13.1-engaged state (72%) vs. the 1B-open state (15%);  
329 Fig. S7).

330

### 331 Discussion

332 In this work, we observed distinct conformational states of the nsp13 protomers within  
333 the SARS-CoV-2 nsp13<sub>2</sub>-RTC, providing functional insights into nsp13 and its complex  
334 with the RTC (see Video S2). Like other helicases, nsp13 is a molecular motor that  
335 translocates along single-stranded nucleic acid, unwinding structural elements in its  
336 path (Mickolajczyk et al., 2020). This process is driven by conformational changes  
337 within nsp13 resulting from NTP hydrolysis.

338 The conformational transition from the nsp13.1-engaged to the nsp13.1-apo  
339 structures, observed both by our cryo-EM (Fig. 4A) and MD (Figs. 4C, D) analyses,  
340 corresponds to an ~21° rotation of the RecA2 domain with respect to RecA1, opening  
341 the gap between the two domains (Fig. 4A; Video S1). The nsp13.1-engaged structure  
342 is engaged with the substrate RNA and is trapped in an 'on-pathway' conformation of  
343 the nucleotide hydrolysis cycle by the non-hydrolyzable ATP analog ADP-AlF<sub>3</sub>. While  
344 the nsp13.1-apo structure, being devoid of RNA, is not 'on-pathway' *per se*, the 21°  
345 opening of the RecA2 domain from the nsp13.1-engaged to nsp13.1-apo conformations  
346 matches the disposition of the RecA2 domains in other SF1 helicases, such as human  
347 Upf1, a structural homolog of nsp13<sup>13,23</sup>. The disposition of the RecA domains of Upf1  
348 with ADP-AlF<sub>3</sub> and RNA substrate [PDB 2XZO]<sup>33</sup> matches the nsp13.1-engaged  
349 structure. On the other hand, the RecA domains in a structure of Upf1 with ADP (so  
350 likely on-pathway) are opened by a 24° rotation about the same axis as the 21° opening  
351 of the nsp13.1-apo RecA domains [PDB 2GK6]<sup>34</sup>. We thus infer that the nsp13.1-apo  
352 conformation reports on an on-pathway conformation of the RecA domains, such as in  
353 the ADP-Mg<sup>2+</sup>/RNA-bound state of the translocation cycle (Figs. 4C, D). Due to the  
354 opening of the nsp13.1 RecA domains, the center-of-gravity of RecA2 shifts roughly  
355 parallel with the RNA backbone by 3.4 Å, corresponding to the rise between stacked  
356 RNA bases. This observation is suggestive of an 'inchworm' model for translocation  
357 (Video S1), as proposed for related SF1 helicase translocation on single-stranded  
358 nucleic acids<sup>14,17,35-39</sup>.

359 Prior structural analysis of the nsp13<sub>2</sub>-RTC identified that the nsp13.1 helicase  
360 and the RdRp translocate on the t-RNA with opposing polarities (Chen et al., 2020). In  
361 circumstances where RdRp elongation of the p-RNA is hindered (such as in the event of  
362 a misincorporation at the p-RNA 3'-end), nsp13.1 translocation activity could backtrack  
363 the RdRp<sup>20</sup>, as shown by follow-up structural and biochemical analyses<sup>26</sup>. The  
364 opposing polarities of the RdRp and nsp13 translocation activities (Fig. 1B) presented a  
365 conundrum that was not addressed by these previous studies; how is it possible for the  
366 RdRp to rapidly and efficiently synthesize RNA if it is constantly being opposed by  
367 nsp13? Moreover, the predominant complex present in the nsp13-RTC samples is the  
368 nsp13<sub>2</sub>-RTC complex (Table S1), but only nsp13.1 was seen to engage with the t-RNA;  
369 what is the role of nsp13.2, the second copy of nsp13 in the nsp13<sub>2</sub>-RTC? The work  
370 herein suggests answers to both questions.

371                    Maximum likelihood classification approaches revealed four distinct  
372                    conformations of the nsp13 protomers in the nsp13<sub>2</sub>-RTC (Figs. 2, 7; Videos S1, S2).  
373                    The nsp13.1-engaged state resolves nsp13.1 clamped onto the single-stranded  
374                    downstream t-RNA, providing an atomic view of nsp13 engaged with the single-  
375                    stranded RNA (Fig. 3). The single-stranded t-RNA threads through a tunnel formed by a  
376                    deep groove between the RecA1 and RecA2 domains and further enclosed by the 1B  
377                    domain (Fig. 5A).

378                    By contrast, the 1B-open state shows nsp13.1 adopting a conformation in which  
379                    the 1B domain is rotated open ~85° about the stalk domain, leaving an open RNA  
380                    binding groove (Fig. 5B). In this state, the single-stranded downstream t-RNA does not  
381                    engage with the helicase. Thus, this represents an inactive state of the helicase that  
382                    would be unable to translocate on the RNA.

383                    Our structural analysis combined with MD simulations confirmed that the  
384                    conformation of the nsp13.1 1B domain in the 1B-open structure is not stable on its own  
385                    but is sterically trapped by the presence of nsp13.2, which blocks the conformational  
386                    change required for 1B domain closure (Fig. 6A). These results suggest that the 1B-  
387                    open state represents a rapidly elongating state of the nsp13<sub>2</sub>-RTC, where the  
388                    downstream single-stranded template RNA feeds into the RdRp active site without  
389                    engaging with nsp13.1. Nsp13.2 may trap the 1B-open (inactive) state of nsp13.1,  
390                    allowing RdRp elongation to proceed without opposition from the nsp13.1 helicase  
391                    (Fig. 7). Finally, swiveling of nsp13.1 in the swiveled state allows space for the 1B-open  
392                    to 1B-closed transition (Fig. 6C), suggesting that the swiveled state represents a  
393                    transition state between the open and closed states of the 1B domain (Fig. 7). We note  
394                    that the presence of nsp13.2 in the nsp13.1-engaged state would also block the 1B-  
395                    closed to 1B-open transition, suggesting how nsp13.2 can enhance the helicase activity  
396                    of nsp13.1<sup>21</sup>

397                    Thus, our results suggest a mechanism for the nsp13<sub>2</sub>-RTC to turn backtracking  
398                    on and off; switching between rapid RNA synthesis (1B-open state; elongating RdRp;  
399                    Fig. 7) and backtracking (nsp13.1-engaged, backtracking RdRp; Fig. 7). In our analysis  
400                    of the conformational states of the nsp13<sub>2</sub>-RTC, the particles were equally divided  
401                    between the nsp13.1-engaged (backtracking on) and 1B-open (backtracking off) states  
402                    (Figs. S2, S7). Remarkably, an identical analysis of the backtracked nsp13<sub>2</sub>-BTC<sup>26</sup>  
403                    revealed a strikingly different distribution of particles in which the nsp13.1-engaged  
404                    (backtracking on) state was heavily favored (Fig. S7). This raises the possibility that the  
405                    conformational switch that turns backtracking on and off is allosterically controlled.

406                    In MD simulations exploring the dynamics of the p-RNA 3'-nucleotide of a pre-  
407                    translocated RTC, a mismatched p-RNA 3'-nucleotide frayed from the t-RNA towards  
408                    and into the NTP-entry tunnel (which also serves as the backtracking tunnel; Video S1),  
409                    while a p-RNA 3'-nucleotide engaged in a Watson-Crick base pair with the t-RNA did  
410                    not<sup>26</sup>. We thus suggest that misincorporation by the RdRp leads to fraying of the p-RNA  
411                    3'-nucleotide into the NTP-entry tunnel, which may allosterically signal the rapidly  
412                    elongating 1B-open state to switch to the backtracking nsp13.1-engaged state (Fig. 7).  
413                    This facilitates a possible proofreading mechanism since backtracking would extrude  
414                    the mismatched p-RNA 3'-nucleotide out of the NTP-entry tunnel (Fig. 7)<sup>26</sup>, allowing the  
415                    nsp10/nsp14 3'-exonuclease proofreading activity to access and degrade the

416 mismatched p-RNA 3'-nucleotide <sup>40-42</sup>. The nsp14-mediated proofreading activity is  
417 crucial for the virus to avoid mutation catastrophe while replicating its ~30 kb genome <sup>41</sup>,  
418 and is also an important determinant of SARS-CoV-2 susceptibility to many anti-viral  
419 nucleotide analogs <sup>43</sup>.

420

421 **Acknowledgments.** We thank M. Ebrahim and L. Urnavicius at The Rockefeller  
422 University Evelyn Gruss Lipper Cryo-electron Microscopy Resource Center and  
423 H. Kuang at the New York Structural Biology Center (NYSBC) for help with cryo-EM  
424 data collection, and R. Landick, T. Appleby, and members of the Darst/Campbell  
425 laboratory for helpful discussions, and M. Grasso, P.M.M. Shelton, T. M. Kapoor,  
426 P.D.B. Olinares, and B.T. Chait (The Rockefeller University) for helpful discussions and  
427 initial sample characterizations and analyses. Some of the work reported here was  
428 conducted at the Simons Electron Microscopy Center (SEMC) and the National  
429 Resource for Automated Molecular Microscopy (NRAMM) and National Center for  
430 CryoEM Access and Training (NCCAT) located at the NYSBC, supported by grants  
431 from the NIH National Institute of General Medical Sciences (P41 GM103310),  
432 NYSTAR, the Simons Foundation (SF349247), the NIH Common Fund Transformative  
433 High Resolution Cryo-Electron Microscopy program (U24 GM129539) and NY State  
434 Assembly Majority. This work was supported by the Pels Family Center for Biochemistry  
435 and Structural Biology (The Rockefeller University), and NIH grants R01 GM114450  
436 (E.A.C.), R35 GM118130 (S.A.D.), and R01 AI161278 (E.A.C./S.A.D.).

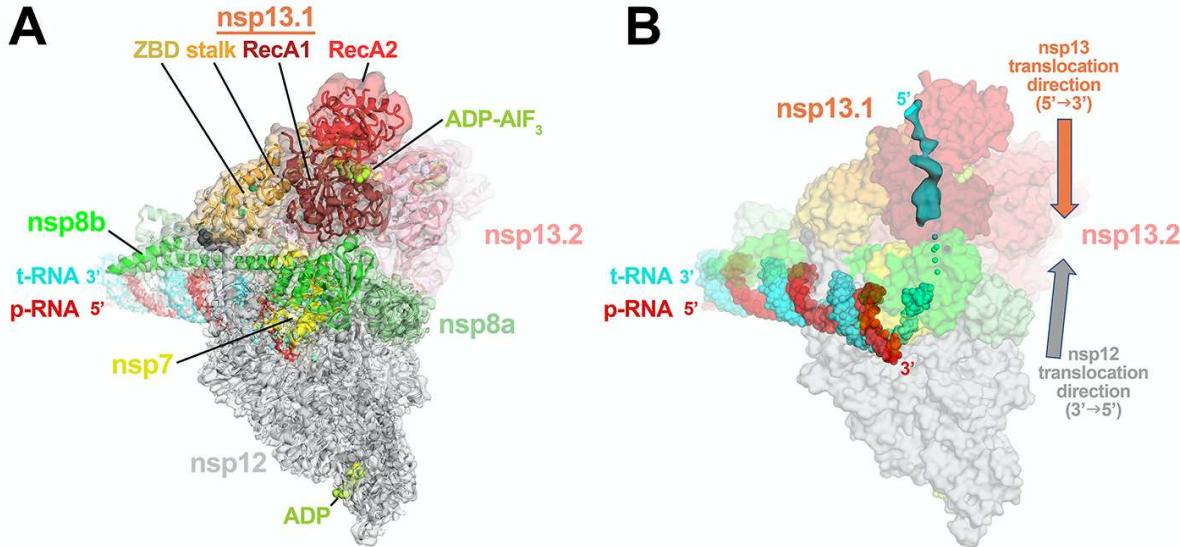
437

438 **Author contributions.** Conceptualization; J.C., Q.W., B.M., J.P., E.A.C., D.E.S.,  
439 S.A.D.; Cloning, protein purification, biochemistry; J.C., B. M., E.L.; Cryo-EM specimen  
440 preparation; J.C., B.M., E.L.; Cryo-EM data collection and processing: J.C., K.M.,  
441 E.T.E.; Model building and structural analysis: J.C., B.M., E.A.C., S.A.D.; Molecular  
442 dynamics simulation and analysis; Q.W., Y.P.; Funding acquisition and supervision:  
443 E.A.C., D.E.S., S.A.D.' Manuscript first draft: Q.W., B.M., J.P., E.A.C., D.E.S., S.A.D.;  
444 All authors contributed to finalizing the written manuscript.

445

446 **Competing interests.** The authors declare there are no competing interests.

447



448 Chen et al., Figure 1

449 **Fig. 1 | Consensus cryo-EM structure of an nsp13<sub>2</sub>-RTC.**

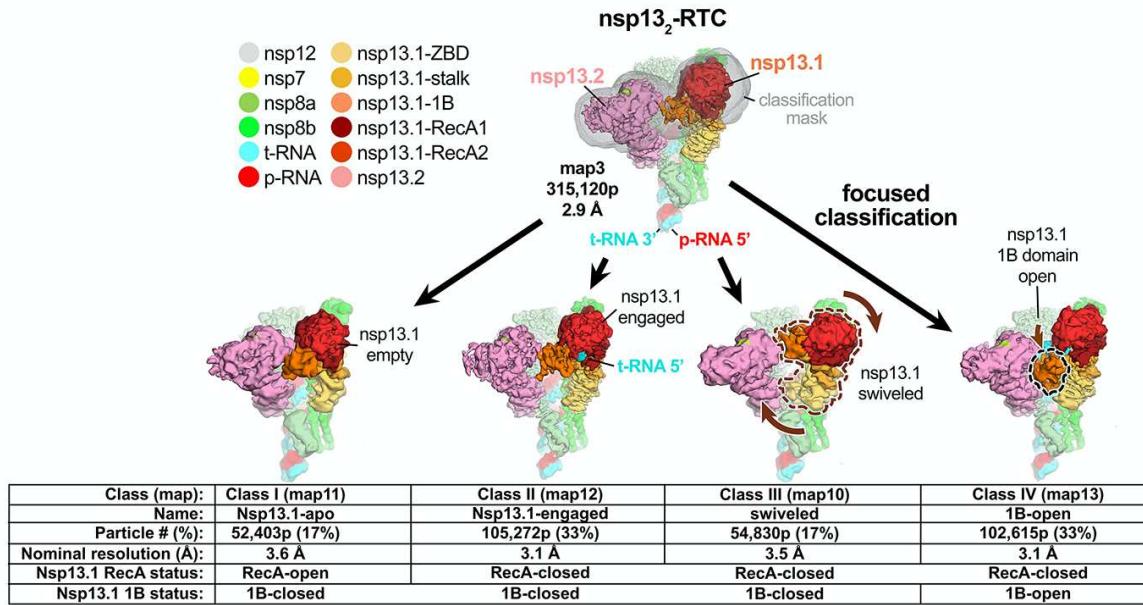
450 **A.** Overall architecture of the consensus nsp13<sub>2</sub>-RTC. Shown is the transparent cryo-  
451 EM density (map3, local-resolution filtered) with the nsp13<sub>2</sub>-RTC model superimposed.

452 **B.** The consensus nsp13<sub>2</sub>-RTC structure is shown; RNA is shown as atomic spheres,  
453 proteins are shown as transparent molecular surfaces. A low-pass filtered (6 Å) cryo-EM  
454 difference density reveals the path of the downstream t-RNA 5'-segment through the  
455 RNA binding groove of nsp13.1 (cyan surface).

456 See also Figs. S1-S4.

457

458



Chen et al., Figure 2

459

460 **Fig. 2 | Four conformational states of the nsp13<sub>2</sub>-RTC.**

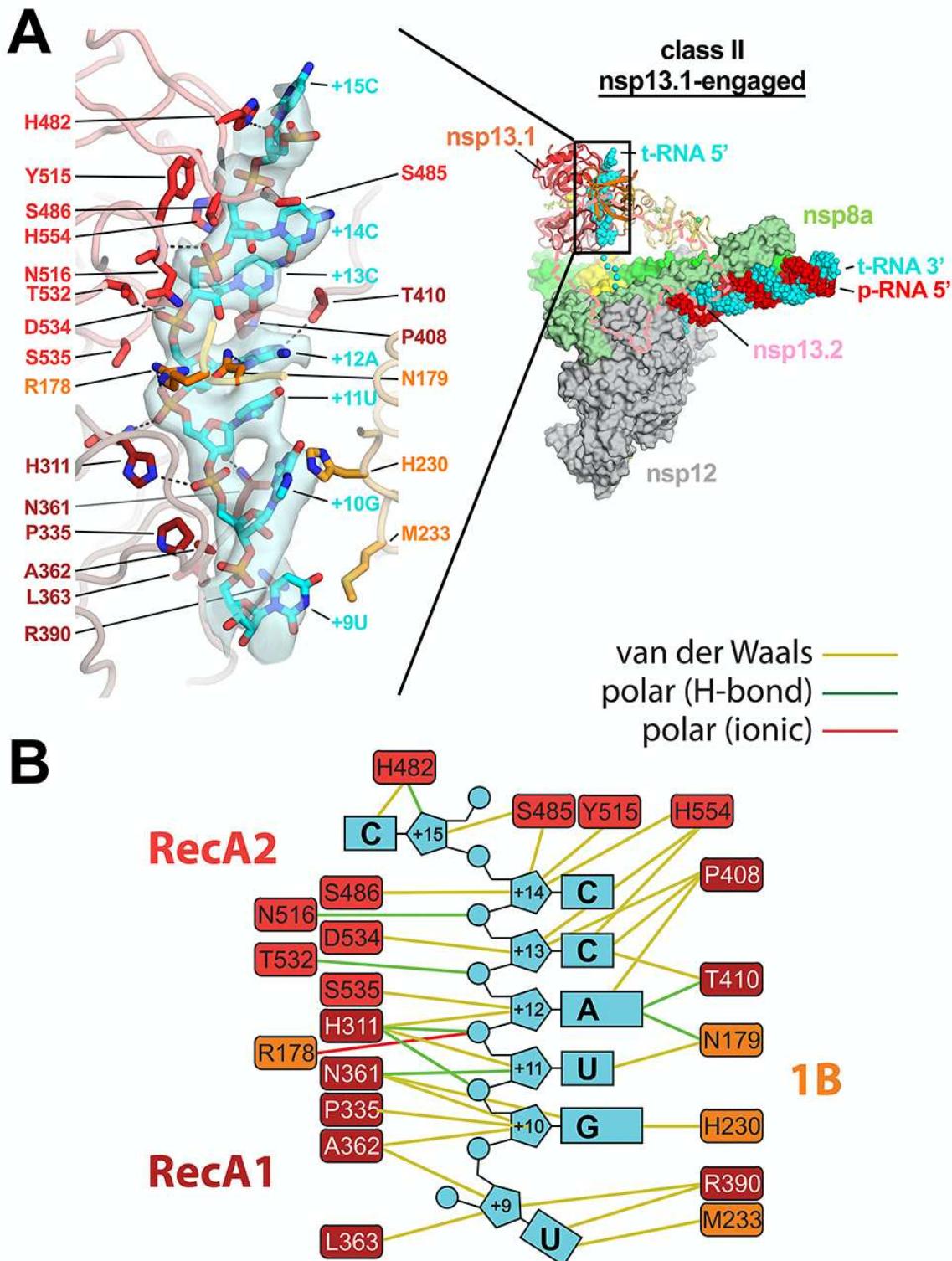
461 (top) Cryo-EM density (map3, local-resolution filtered) colored according to the code on  
462 the left. A mask was constructed surrounding the nsp13.1 and nsp13.2 1B, RecA1, and  
463 RecA2 domains (grey mesh). The 315,120 particles were divided into four distinct  
464 structures (class I, II, III, and IV) by focused classification inside the mask, followed by  
465 further refinement (Figs. S1, S5). Class II contained the most particles, and the nsp13.1  
466 RecA domains were completely closed (Fig. S5), entrapping the 5'-t-RNA segment in a  
467 groove between the two RecA domains and the 1B domain (Fig. 3). Therefore, class II  
468 (nsp13.1-engaged) was used as a reference for comparison of the other structures.  
469 Each class was characterized by one dominant conformational change:  
470 class I) nsp13.1-apo, the RecA domains were completely open (Fig. S5) and devoid of  
471 RNA (Fig. 4), class III) swiveled, the nsp13.1 protomer as a whole was rotated 38° as  
472 shown (Fig. 6), class IV) 1B-open, the nsp13.1 1B domain was rotated open by 85°  
473 (Fig. 5).

474 Also see Fig. S5 and Videos S1 and S2.

475

476

477



Chen et al., Figure 3

479 **Fig. 3 | In class II (nsp13.1-engaged), the nsp13.1 RecA domains and 1B domain**  
480 **clamp onto the 5'-single-stranded t-RNA.**

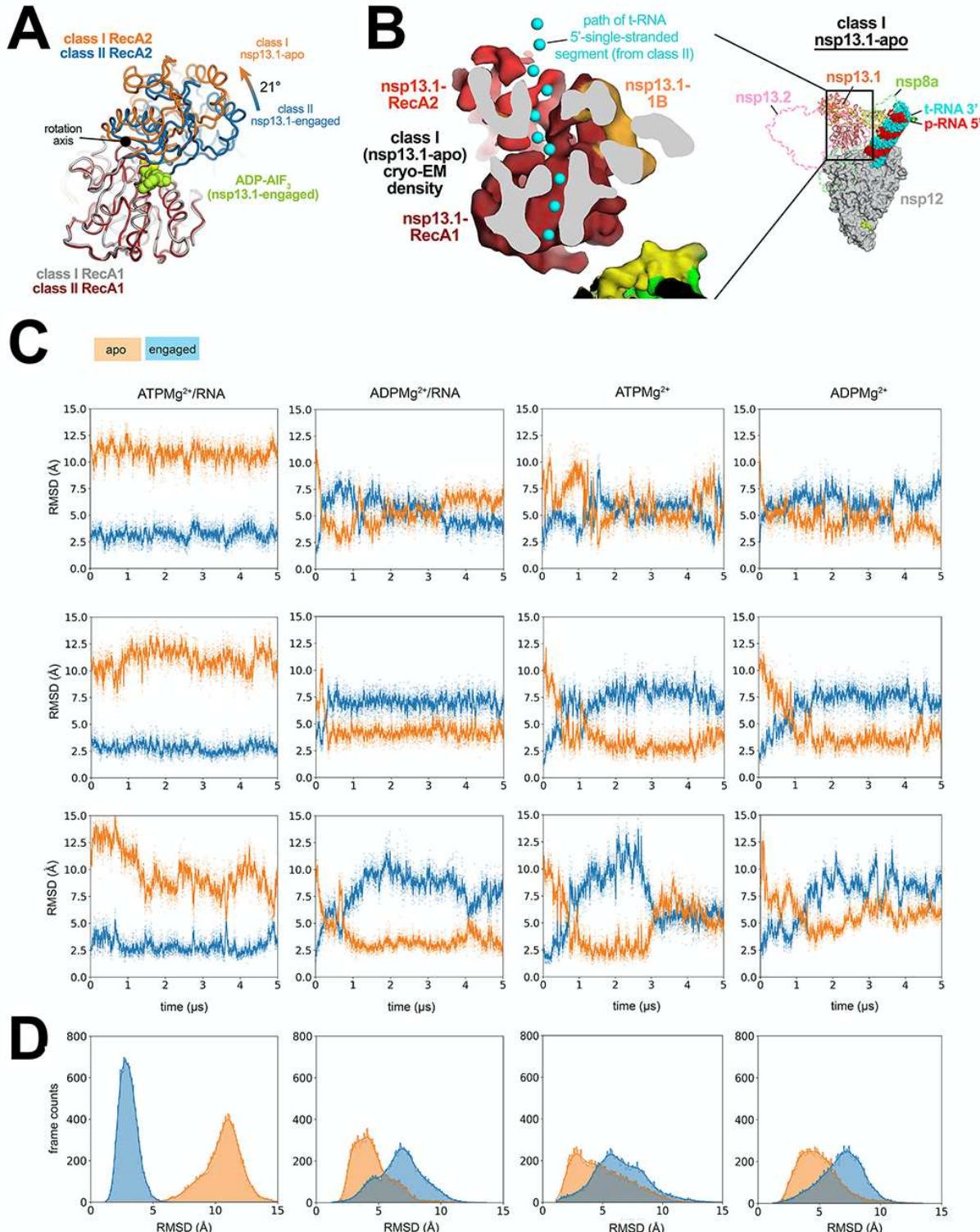
481 **A. (right)** Overall view of the nsp13.1-engaged structure. Proteins are shown as  
482 molecular surfaces except nsp13.1 is shown as a backbone ribbon, and nsp13.2 is  
483 removed and shown only as a dashed outline. The RNA is shown as atomic spheres.  
484 The boxed region is magnified on the left.

485 (*left*) Nsp13.1 is shown as a backbone worm but with side chains that interact with the t-  
486 RNA shown. Cryo-EM density for the downstream 5'-t-RNA segment is shown  
487 (transparent blue surface) with the t-RNA model superimposed. The pattern of  
488 purines/pyrimidines in the RNA density was clear and unique, allowing the identification  
489 of the sequence register for the nsp13.1-bound RNA.

490 **B.** Schematic illustrating nsp13.1-RNA interactions.

491 Also see Video S2.

492



Chen et al., Figure 4

493  
494

495 **Fig. 4 | In nsp13.1-apo, the RecA domains are open and devoid of RNA density.**

496 **A.** The RecA1 domains of class I (nsp13.1-apo) and class II (nsp13.1-engaged) were  
497 superimposed (rmsd of 0.387 Å over 205  $\alpha$ -carbons), revealing that the RecA2 domain  
498 of nsp13.1-apo was rotated open by 21° about the rotation axis shown. The ADP-AIF<sub>3</sub>-  
499 Mg<sup>2+</sup> from the nsp13.1-engaged structure is shown as limon atomic spheres.

500 **B. (right)** Overall view of the nsp13.1-apo structure. Proteins are shown as molecular  
501 surfaces except nsp13.1, which is shown as a backbone ribbon, and nsp13.2, which is  
502 removed and shown only as a dashed outline. The RNA is shown as atomic spheres.  
503 The boxed region is magnified on the left.

504 (*left*) Cryo-EM density of nsp13.1-apo reveals that the RNA path is empty (the RNA path  
505 from the nsp13.1-engaged structure is denoted by cyan spheres).

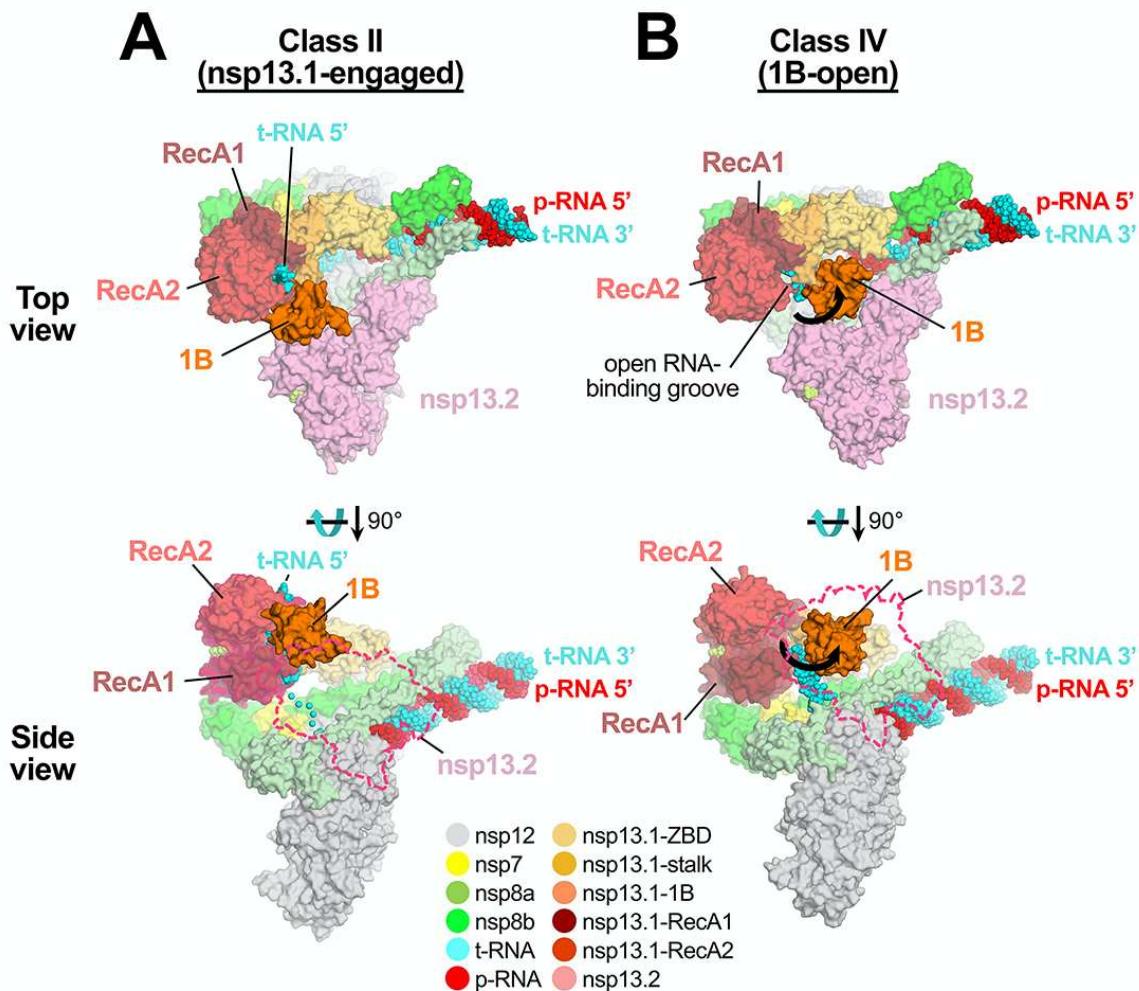
506 **C.** Three independent simulations of nsp13.1 bound to ATPMg<sup>2+</sup>/RNA, ADPMg<sup>2+</sup>/RNA,  
507 ATP Mg<sup>2+</sup>, and ADPMg<sup>2+</sup>. Values of rmsd plotted represent the heavy-atom rmsd of the  
508 RecA2 lobe (after alignment on the RecA1 lobe) with respect to nsp13.1-engaged (blue)  
509 and nsp13.1-apo (orange) cryo-EM structures.

510 **D.** The rmsd histograms represent aggregate values across all three replicates shown  
511 in **(C)**.

512 Also see Video S1.

513

514



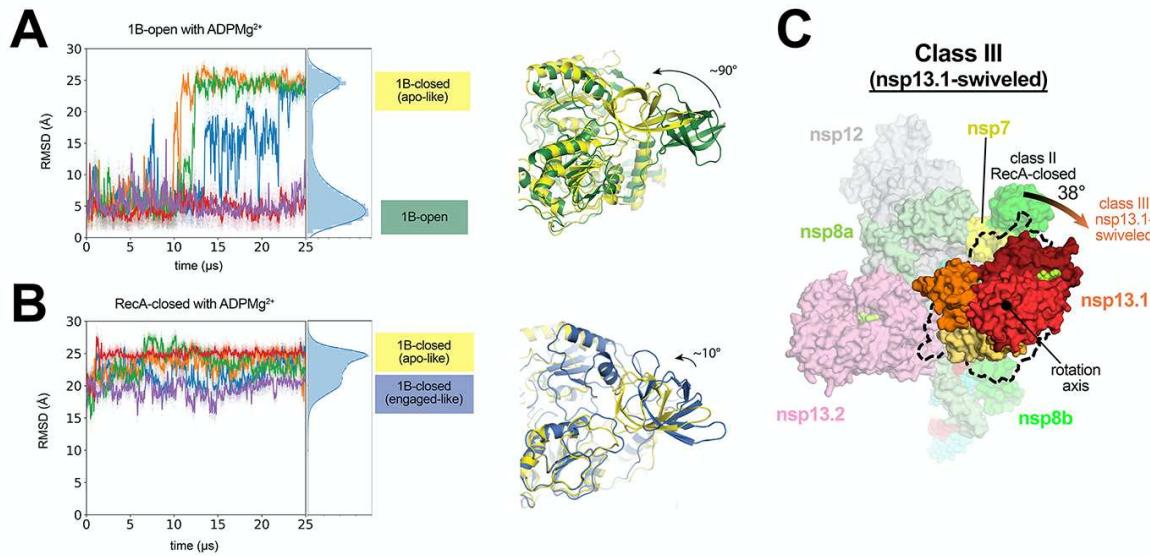
515 Chen et al., Figure 5

516 **Fig. 5 | 1B-open structure.**

517 Comparison of nsp13.1-engaged (A) and 1B-open (B) structures. Two views are shown,  
518 a top view (*top*) and a side view (*bottom*). In the top view, the proteins are shown as  
519 molecular surfaces and color-coded according to the key at the bottom. In the side view,  
520 nsp13.2 is shown only as a dashed outline. The RNA is shown as atomic spheres. In  
521 the 1B-open structure (B), the nsp13.1 1B domain is rotated open by 85° (represented  
522 by thick black arrows). The 5'-t-RNA emerging from the RdRp active site approaches  
523 the nsp13.1 RNA binding groove but does not enter it.

524 Also see Video S2.

525  
526



Chen et al., Figure 6

527

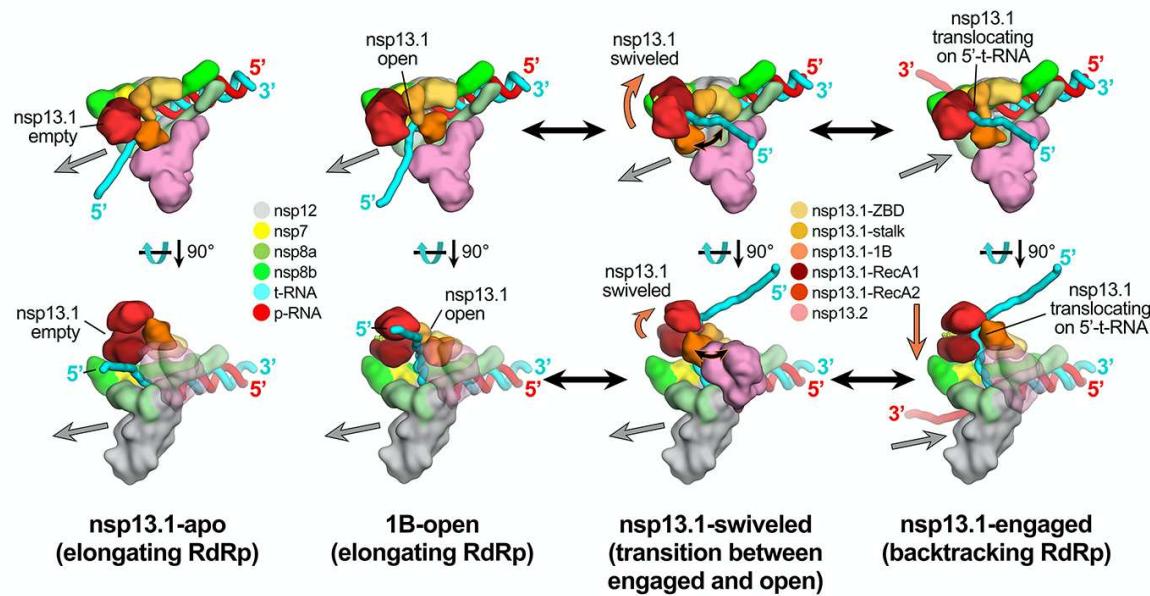
528 **Fig. 6 | In the nsp13.1-swiveled structure, the entire nsp13.1 promoter is rotated.**

529 **A.** Front view of the nsp13.1-swiveled structure, highlighting nsp13.1. The position of  
530 the nsp13.1 promoter in the nsp13.1-engaged structure is illustrated by the dashed  
531 black outline. The nsp13.1 promoter of the nsp13.1-swiveled structure is rotated by 38°  
532 as shown.

533 **B.** Five independent simulations of ADPMg<sup>2+</sup>-bound nsp13.1, starting from the 1B-open  
534 cryo-EM structure. Values plotted represent the heavy-atom rmsd of the 1B domain  
535 (nsp13 residues 150-228) compared to the 1B domain in the 1B-open cryo-EM structure  
536 (aligned on the RecA1 domain). The rmsd histograms on the right represent aggregate  
537 values across all five simulations. Representative structures of the two major  
538 conformations from the rmsd histogram from simulations are shown (right).

539 **C.** Five independent simulations of ADPMg<sup>2+</sup>-bound nsp13.1, starting from the nsp13.1-  
540 engaged state. Values plotted represent the heavy-atom rmsd of the 1B domain  
541 compared to the 1B domain in the 1B-open cryo-EM state (aligned on the RecA1  
542 domain). The rmsd histograms on the far right represent aggregate values across all  
543 five simulations. Representative structures of the two major conformations from the  
544 rmsd histogram from simulations are shown (right).

545 See also Fig. S6.



546 Chen et al., Figure 7

547 **Fig. 7. Schematic model for RTC elongation (1B-open) vs. backtracking (nsp13.1-  
548 engaged) states.**

549 Top views (top row) and side views (bottom row) of each structural class.

550 Nsp13.1-apo (17%): The nsp13.1 RecA domains are open, consistent with the absence  
551 of nucleotide. The nsp13.1 is therefore not engaged with the downstream 5'-t-RNA and  
552 the RdRp can freely translocate on the t-RNA with concurrent elongation of the p-RNA  
553 (gray arrow pointing downstream).

554 1B-open (33%): The nsp13.1 1B domain is rotated open and sterically trapped by the  
555 presence of nsp13.2. The nsp13.1 is therefore unable to engage with the downstream  
556 5'-t-RNA and is inactive. The RdRp is able to elongate freely in the downstream  
557 direction.

558 Nsp13.1-swiveled (17%): The rotation of the nsp13.1 protomer away from nsp13.2  
559 provides space for the nsp13.1 1B domain to open and/or close. We therefore propose  
560 that nsp13.1-swiveled represents a transition state between the 1B-open (elongating)  
561 and nsp13.1-engaged (backtracking) states.

562 Nsp13.1-engaged (33%): The nsp13.1 1B and RecA domains are clamped onto the  
563 downstream 5'-t-RNA. In this state, nsp13.1 can translocate on the t-RNA in the 5'-3'  
564 direction (shown by the orange arrow). This counteracts RdRp elongation and causes  
565 backtracking (backward motion of the RdRp on the RNA, shown by the gray arrow  
566 pointing upstream).

567 Also see Fig. S7 and Videos S1 and S2.

568

569 **METHODS**

570 No statistical methods were used to predetermine sample size. The experiments were  
571 not randomized, and the investigators were not blinded to allocation during experiments  
572 and outcome assessment.

573

574 **Protein expression and purification**

575 SARS-CoV-2 *nsp12* was expressed and purified as described <sup>20</sup>. Briefly, a pRSFDuet-1  
576 plasmid containing His<sub>6</sub>-SUMO SARS-CoV-2 *nsp12* (Addgene #159107) was  
577 transformed into *E. coli* BL21-CodonPlus cells (Agilent). Cells were grown and protein  
578 expression was induced by the addition of isopropyl β-d-1-thiogalactopyranoside  
579 (IPTG). Cells were collected and lysed in a French press (Avestin). The lysate was  
580 cleared by centrifugation and purified on a HiTrap Heparin HP column (Cytiva). The  
581 fractions containing *nsp12* were loaded onto a HisTrap HP column (Cytiva) for further  
582 purification. Eluted *nsp12* was dialyzed, cleaved with His<sub>6</sub>-Ulp1 SUMO protease, and  
583 passed through a HisTrap HP column to remove the SUMO protease. Flow-through was  
584 collected, concentrated by centrifugal filtration (Amicon), and loaded on a Superdex 200  
585 Hiloade 16/600 (Cytiva). Glycerol was added to the purified *nsp12*, aliquoted, flash-frozen  
586 with liquid N<sub>2</sub>, and stored at -80°C.

587 SARS-CoV-2 *nsp7/8* was expressed and purified as described <sup>20</sup>. Briefly, the  
588 pCDFDuet-1 plasmid containing His<sub>6</sub> SARS-CoV-2 *nsp7/8* (Addgene #159092) was  
589 transformed into *E. coli* BL21 (DE3). Cells were grown and protein expression was  
590 induced by the addition of IPTG. Cells were collected and lysed in a French press  
591 (Avestin). The lysate was cleared by centrifugation and purified on a HisTrap HP  
592 column (Cytiva). Eluted *nsp7/8* was dialyzed, cleaved with His<sub>6</sub>-Prescission Protease to  
593 cleave His<sub>6</sub> tag, and then passed through a HisTrap HP column to remove the protease  
594 (Cytiva). Flow-through was collected, concentrated by centrifugal filtration (Amicon), and  
595 loaded onto a Superdex 75 Hiloade 16/600 (Cytiva). Glycerol was added to the purified  
596 *nsp7/8*, aliquoted, flash-frozen with liquid N<sub>2</sub>, and stored at -80°C.

597 SARS-CoV-2 *nsp13* was expressed and purified as described <sup>20</sup>. Briefly, the pet28  
598 plasmid containing His<sub>6</sub> SARS-CoV-2 *nsp13* (Addgene #159390) was transformed into  
599 *E. coli* Rosetta (DE3) (Novagen). Cells were grown and protein expression was induced  
600 by the addition of IPTG. Cells were collected and lysed in a French press (Avestin). The  
601 lysate was cleared by centrifugation and purified on a HisTrap HP column (Cytiva).  
602 Eluted *nsp13* was dialyzed, cleaved with His<sub>6</sub>-Prescission Protease, and then passed  
603 through a HisTrap HP column to remove protease (Cytiva). Flow-through was collected,  
604 concentrated by centrifugal filtration (Amicon), and loaded onto a Superdex 200 Hiloade  
605 16/600 (Cytiva). Glycerol was added to the purified *nsp13*, aliquoted, flash-frozen with  
606 liquid N<sub>2</sub>, and stored at -80°C.

607

608 **Preparation of SARS-CoV-2 *nsp13*-replication/transcription complex (RTC) for**  
609 **Cryo-EM.** Cryo-EM samples of SARS-CoV-2 *nsp13*-RTC were prepared as described  
610 <sup>20</sup>. Briefly, purified *nsp12* and *nsp7/8* were concentrated, mixed in a 1:3 molar ratio, and  
611 incubated for 20 min at 22°C. Annealed RNA scaffold (Horizon Discovery, Ltd.) was

612 added to the nsp7/8/12 mixture and incubated for 15 min at 22°C. Sample was buffer  
613 exchanged into cryo-EM buffer [20 mM HEPES pH 8.0, 150 mM K-Acetate, 10 mM  
614 MgCl<sub>2</sub>, 2 mM DTT] and further incubated for 20 min at 30°C. The sample was purified  
615 over a Superose 6 Increase 10/300 GL column (Cyriva) in cryo-EM buffer. The peak  
616 corresponding to nsp7/8/12/RNA complex was pooled and concentrated by centrifugal  
617 filtration (Amicon). Purified nsp13 was concentrated by centrifugal filtration (Amicon)  
618 and buffer exchanged into cryo-EM buffer. Buffer exchanged nsp13 was mixed with  
619 ADP (1 mM final) and AlF<sub>3</sub> (1 mM final) and then added to nsp7/8/12/RNA at a molar  
620 ratio of 1:1. Complex was then incubated for 5 min at 30°C.

621

622 **Cryo-EM grid preparation.** Prior to grid freezing, 3-([3-  
623 cholamidopropyl]dimethylammonio)-2-hydroxy-1-propanesulfonate (CHAPSO,  
624 Anatrace) was added to the sample (8 mM final), resulting in a final complex  
625 concentration of 8 μM. The final buffer condition for the cryo-EM sample was  
626 20 mM HEPES pH 8.0, 150 mM K-Acetate, 10 mM MgCl<sub>2</sub>, 2 mM DTT, 1 mM ADP,  
627 1 mM AlF<sub>3</sub>, 8 mM CHAPSO. C-flat holey carbon grids (CF-1.2/1.3-4Au, EMS) were  
628 glow-discharged for 20 s prior to the application of 3.5 μL of sample. Using a Vitrobot  
629 Mark IV (Thermo Fisher Scientific), grids were blotted and plunge-froze into liquid  
630 ethane with 90% chamber humidity at 4°C.

631

632 **Cryo-EM data acquisition and processing.** Structural biology software was accessed  
633 through the SBGrid consortium <sup>44</sup>. Grids were imaged using a 300 kV Titan Krios  
634 (Thermo Fisher Scientific) equipped with a GIF BioQuantum and K3 camera (Gatan).  
635 Images were recorded with Leginon <sup>45</sup> with a pixel size of 1.07 Å/px (micrograph  
636 dimension of 5760 × 4092 px) over a defocus range of −0.8 μm to −2.5 μm with a 20 eV  
637 slit. Movies were recorded in “counting mode” (native K3 camera binning 2) with ~30 e-  
638 /px/s in dose-fractionation mode with subframes of 50 ms over a 2.5 s exposure  
639 (50 frames) to give a total dose of ~66 e-/Å<sup>2</sup>. Dose-fractionated movies were gain-  
640 normalized, drift-corrected, summed, and dose-weighted using MotionCor2 <sup>46</sup>. The  
641 contrast transfer function (CTF) was estimated for each summed image using the Patch  
642 CTF module in cryoSPARC v2.15.0 <sup>47</sup>. Particles were picked and extracted from the  
643 dose-weighted images with box size of 256 px using cryoSPARC Blob Picker and  
644 Particle Extraction. The entire dataset consisted of 17,806 motion-corrected images with  
645 3,750,107 particles. Particles were sorted using two rounds of cryoSPARC 2D  
646 classification (N=100, where N equals the number of classes), resulting in 661,105  
647 curated particles that were re-extracted with a boxsize of 320 px. An initial model was  
648 generated using cryoSPARC *Ab initio* Reconstruction (N=3) on a subset of the particles.  
649 Particles were further curated using this initial model as a 3D template for cryoSPARC  
650 Heterogeneous Refinement (N=3), resulting in 451,760 particles (green map, Fig. S1).  
651 Curated particles were further classified using cryoSPARC Heterogeneous Refinement  
652 (N=3). Each of the resulting 3D classes were further processed with cryoSPARC  
653 *Ab initio* Reconstruction (N=3), generating three distinct models that could be used to  
654 sort particles [Ref 1: nsp13<sub>1</sub>-RTC, Ref 2: nsp13<sub>2</sub>-RTC, Ref 3: (nsp13<sub>2</sub>-RTC)<sub>2</sub>]. Using  
655 Ref 1-3 as 3D templates for Heterogeneous Refinement (N=6), multi-reference  
656 classification was performed on the 451,760 curated particles. Classification revealed

657 three unique classes: nsp13<sub>1</sub>-RTC (class1; 85,206 particles; yellow), nsp13<sub>2</sub>-RTC  
658 (class2-4; 315,216 particles; red), and (nsp13<sub>2</sub>-RTC)<sub>2</sub> (class5; 35,403 particles; blue).  
659 Particles within each class were further processed using RELION 3.1-beta Bayesian  
660 Polishing <sup>48</sup>. Polished particles were refined using cryoSPARC Local and Global CTF  
661 Refinement in combination with cryoSPARC Non-uniform Refinement <sup>49</sup>, resulting in  
662 structures with the following particle counts and nominal resolutions: nsp13<sub>1</sub>-RTC  
663 (85,187 particles; 3.2 Å), nsp13<sub>2</sub>-RTC (315,120 particles; 2.9 Å), (nsp13<sub>2</sub>-RTC)<sub>2</sub> (35,392  
664 particles; 3.3 Å). To facilitate model building of nsp13<sub>2</sub>-RTC, particles from nsp13<sub>1</sub>-RTC  
665 and nsp13<sub>2</sub>-RTC were combined in a cryoSPARC Non-uniform Refinement, subtracted  
666 (masking the RTC), and further refined with cryoSPARC Local Refinement using a  
667 mask encompassing the RTC. The resulting map, deemed RTC (local), had nominal  
668 resolution of 2.8 Å. Additionally, particles from the nsp13<sub>2</sub>-RTC were subtracted in  
669 different regions (using separate masks for nsp12-NiRAN, nsp13.1, and nsp13.2) and  
670 the particles from each subtraction were further refined with masked cryoSPARC Local  
671 Refinement. The resulting maps had the following nominal resolutions:  
672 nsp13.1(local): 3.4 Å, nsp13.2(local): 3.3 Å, nsp12-NiRAN(local): 2.7 Å. Locally refined  
673 maps were combined into an nsp13<sub>2</sub>-RTC composite map using PHENIX 'Combine  
674 Focused Maps' <sup>50,51</sup>, with resulting nominal resolution of 2.8 Å. The nsp13-RecA  
675 domains in particles from the nsp13<sub>1</sub>-RTC and nsp13<sub>2</sub>-RTC classes were sorted using  
676 particle subtraction (masking around the RecA domains, shown as red mesh in Fig. S1),  
677 followed by masked RELION 3D classification. Classification of RecA domains in the  
678 nsp13<sub>1</sub>-RTC particles (pale yellow) did not reveal discrete conformational heterogeneity  
679 in the RecA domains. However, classification of RecA domains in the nsp13<sub>2</sub>-RTC  
680 particles (light red) revealed unique conformations of the RecA domains with the  
681 following particle counts and nominal resolutions: RecA classI (52,403 particles; 3.5 Å),  
682 RecA class II (102,615 particles; 3.1 Å), RecA class III (54,830 particles; 3.5 Å),  
683 RecA class IV (105,272 particles; 3.1 Å). Local resolution calculations were generated  
684 using blocres and blocfilt from the Bsoft package <sup>29</sup>.

685  
686 **Model building and refinement.** For an initial model of the nsp13<sub>2</sub>-RTC, the initial RTC  
687 model was derived from PDB 6XEZ <sup>20</sup> and the initial nsp13 model from PDB 6ZSL <sup>32</sup>.  
688 The models were manually fit into the cryo-EM density maps using Chimera <sup>52</sup> and rigid-  
689 body and real-space refined using Phenix real-space-refine <sup>50,51</sup>. For real-space  
690 refinement, rigid body refinement was followed by all-atom and B-factor refinement with  
691 Ramachandran and secondary structure restraints. Models were inspected and  
692 modified in Coot <sup>53</sup>.

693

## 694 Molecular dynamics simulations

695 *General simulation setup and parameterization.* Proteins, ATP, ADP, and ions were  
696 parameterized with the DES-Amber SF1.0 force field <sup>54</sup>. RNAs were parameterized with  
697 the Amber ff14 RNA force field <sup>55</sup> with modified electrostatic, van der Waals, and  
698 torsional parameters to more accurately reproduce the energetics of nucleobase  
699 stacking <sup>56</sup>. The systems were solvated with water parameterized with the TIP4P-D  
700 water model <sup>57</sup> and neutralized with a 150 mM NaCl buffer. The systems each contained  
701 ~160,000 atoms in a 110 × 110 × 110 Å cubic box.

702 Systems were first equilibrated on GPU Desmond using a mixed NVT/NPT  
703 schedule<sup>58</sup>, followed by a 1  $\mu$ s relaxation simulation on Anton, a special-purpose  
704 machine for molecular dynamics simulations<sup>59</sup>. All production simulations were  
705 performed on Anton and initiated from the last frame of the relaxation simulation.  
706 Production simulations were performed in the NPT ensemble<sup>60</sup> at 310 K using the  
707 Martyna-Tobias-Klein barostat<sup>61</sup>. The simulation time step was 2.5 fs, and a modified r-  
708 RESPA integrator<sup>62</sup> was used in which long-range electrostatic interactions were  
709 evaluated every three time steps. Electrostatic forces were calculated using the *u*-series  
710 method<sup>63</sup>. A 9- $\text{\AA}$  cutoff was applied for the van der Waals calculations.

711 *System preparation.* The initial conformations of Class II nsp13.1 bound to the various  
712 substrates (ATPMg<sup>2+</sup>/RNA, ADPMg<sup>2+</sup>/RNA, ATPMg<sup>2+</sup>, and ADPMg<sup>2+</sup>) were prepared  
713 based on the cryo-EM structure of the Class II nsp13<sub>2</sub>-BTC<sub>5</sub>. The initial conformation of  
714 the Class IV, 1B-open nsp13.1 structure was prepared from the cryo-EM Class IV  
715 nsp13<sub>2</sub>-BTC<sub>5</sub> structure. AlF<sub>3</sub> was removed from the active site. Missing loops and  
716 termini in proteins were capped with ACE/NME capping groups. In simulations with ATP  
717 at the active site, ATP was manually placed using ADP in the cryo-EM structure as the  
718 reference. The systems were prepared for simulation using the Protein Preparation  
719 Wizard in Schrödinger Maestro (Schrödinger Release 2020-4: Maestro, Schrödinger,  
720 LLC, New York, NY, 2020).

721 *Simulation analysis.* The average rmsd was calculated for the RecA2 domain (residues  
722 450–690) and 1B domain (residues 145–200) of nsp13.1 between the cryo-EM  
723 structures and instantaneous structures from the trajectories, aligned on the RecA1 lobe  
724 (residues 240–440). Simulation structures shown in figures were rendered using PyMol  
725 (The PyMOL Molecular Graphics System, Version 2.0 Schrödinger, LLC).

726

727 **Quantification and statistical analysis.** The local resolution of the cryo-EM maps  
728 (Figs S3 and S5) was estimated using blocres<sup>29</sup> with the following parameters: box  
729 size 15, sampling 1.1, and cutoff 0.5. Directional 3DFSCs (Figs. S3 and S5) were  
730 calculated using 3DFSC<sup>64</sup>. The quantification and statistical analyses for model  
731 refinement and validation were generated using MolProbity<sup>65</sup> and PHENIX<sup>51</sup>.

732

733 **Data and code availability.** All unique/stable reagents generated in this study are  
734 available without restriction from one of the Lead Contacts, Seth A. Darst  
735 ([darst@rockefeller.edu](mailto:darst@rockefeller.edu)). The cryo-EM density maps and atomic coordinates have been  
736 deposited in the EMDDataBank and Protein Data Bank as follows: nsp13<sub>1</sub>-RTC (EMD-  
737 24431, 7RE2), nsp13<sub>2</sub>-RTC (composite) (EMD-24430, 7RE1), (nsp13<sub>2</sub>-RTC)<sub>2</sub> (EMD-  
738 24432, 7RE3), nsp13<sub>2</sub>-RTC (nsp13.1-apo) (EMD-24428, 7RDZ), nsp13<sub>2</sub>-RTC (nsp13.1-  
739 engaged) (EMD-24427, 7RDY), nsp13<sub>2</sub>-RTC (nsp13.1-swiveled) (EMD-24429, 7RE0),  
740 nsp13<sub>2</sub>-RTC (1B-open) (EMD-24426, 7RDX). The MD trajectories described in this work  
741 are available at  
742 [https://www.deshawresearch.com/downloads/download\\_trajectory\\_sarscov2.cgi/](https://www.deshawresearch.com/downloads/download_trajectory_sarscov2.cgi/).

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