

1 **The recent evolutionary rescue of a staple crop depended on over half a century of global**  
2 **germplasm exchange**

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29 **ABSTRACT**

30 Rapid environmental change can lead to extinction of populations or evolutionary rescue via  
31 genetic adaptation. In the past several years, smallholder and commercial cultivation of sorghum  
32 (*Sorghum bicolor*), a global cereal and forage crop, has been threatened by a global outbreak of  
33 an aggressive new biotype of sugarcane aphid (SCA; *Melanaphis sacchari*). Here we  
34 characterized genomic signatures of adaptation in a Haitian sorghum breeding population, which  
35 had been recently founded from admixed global germplasm, extensively intercrossed, and  
36 subjected to intense selection under SCA infestation. We conducted evolutionary population  
37 genomics analyses of 296 post-selection Haitian lines compared to 767 global accessions at  
38 159,683 single nucleotide polymorphisms. Despite intense selection, the Haitian population  
39 retains high nucleotide diversity through much of the genome due to diverse founders and an  
40 intercrossing strategy. A genome-wide fixation ( $F_{ST}$ ) scan and geographic analyses suggests that  
41 adaptation to SCA in Haiti is conferred by a globally-rare East African allele of *RMES1*, which  
42 has also spread to other breeding programs in Africa, Asia, and the Americas. *De novo* genome  
43 sequencing data for SCA resistant and susceptible lines revealed putative causative variants at  
44 *RMES1*. Convenient low-cost markers were developed from the *RMES1* selective sweep and  
45 successfully predicted resistance in independent U.S.  $\times$  African breeding lines and eight U.S.  
46 commercial and public breeding programs, demonstrating the global relevance of the findings.  
47 Together, the findings highlight the potential of evolutionary genomics to develop adaptive trait  
48 breeding technology and the value of global germplasm exchange to facilitate evolutionary  
49 rescue.  
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## 51 INTRODUCTION

52 Ongoing processes of global change, encompassing climate change, nutrient cycles, and pest  
53 outbreaks, are shaping the evolution of natural and agricultural ecosystems (1, 2). Intense  
54 selection pressure following environment changes may lead to the rapid decline or extinction of  
55 populations (3, 4). If a population is to persist under such strong selection, adaptive standing  
56 genetic variation must exist or adaptive *de novo* variation must arise on a sufficiently fast  
57 timescale (5). This population genetic phenomenon, evolutionary rescue, has become a focus of  
58 considerable empirical and theoretical study in ecology and conservation biology, since the  
59 current rate of global change could exceed the capacities of many populations to adapt (6, 7).  
60 Still, there is a lack of examples of evolutionary rescue occurring in the field and at large  
61 geographic scales (4). In agricultural systems, the spread of pests or emergence of new  
62 aggressive biotypes may lead to a reduction of crop diversity or a total loss of crop cultivation  
63 (8). Therefore, understanding and facilitating evolutionary rescue in agricultural systems is  
64 critical for global food security.

65 Populations of crops or wild species subjected to strong selection pressure may  
66 experience a major population bottleneck, resulting in a loss of genetic diversity (9). The level of  
67 diversity preserved in a population recovering from strong selection depends on the number of  
68 backgrounds on which the adaptive alleles emerge (10), which can determine the potential for  
69 future adaptation or genetic gain. Conversely, adaptation conferred by a beneficial variant  
70 derived from a single progenitor causes the removal of genetic diversity from the surviving  
71 population (10, 11). Evolutionary population genomics approaches using genome-wide  
72 polymorphism data from diverse germplasm can identify candidate loci for adaptive traits (12).  
73 While genome scans for selection have been widely used to identify putative adaptive alleles in  
74 crops (9, 13), they have not yet been used to identify trait-predictive markers for molecular  
75 breeding of stress-resilient varieties (14).

76 Sorghum (*Sorghum bicolor* L. [Moench]) is among the world's most important staple  
77 crops for smallholder farmers in semiarid regions, as well as a commercial grain and forage crop  
78 in industrialized nations (15). Since 2013 an aggressive biotype of the sugarcane aphid (SCA;  
79 *Melanaphis sacchari*) has become a major threat to global sorghum production, with widespread  
80 and substantial yield loss (16, 17). The *M. sacchari* superclone has been rapidly expanding (18),  
81 putting >90% of the sorghum-producing areas of North America at risk and threatening to end  
82 sorghum cultivation in some areas (16). In Haiti, a Caribbean nation with one of the world's  
83 highest rates of food insecurity, sorghum is among the most important staple crops (19).  
84 However, heavy infestations by *M. sacchari* since 2015 have caused the loss of over 70% of  
85 sorghum production in the country and prevented production of most local landraces (20).  
86 Shortly before the SCA outbreak, a new Haitian breeding population had been launched by  
87 Chibas using global admixed germplasm, rapid-cycling intercrossing, and selection under  
88 smallholder conditions (i.e. no insecticidal treatment) (21). Selecting from a small number of  
89 breeding lines that survived SCA infestation, a new SCA resistant sorghum variety, Papèpichon,  
90 was developed and distributed nationally (19), and intercrossing and advancement of resistant

91 breeding lines has continued.

92 Here we used a retrospective genomic analysis of the Haitian sorghum breeding  
93 population that was subjected to strong selection under SCA infestation, to understand the  
94 genetic basis of the evolutionary rescue following the SCA outbreak, as well as the origins of the  
95 SCA resistance alleles. We find that the rapid adaptation of the Haitian breeding population to  
96 the SCA outbreak was due to selection for a globally-rare Ethiopian allele at the *RMES1* SCA  
97 resistance locus, which is shared across programs in Africa, Asia, and the Americas because of  
98 >50 years of global germplasm exchange prior to the SCA outbreak. Further, we developed a  
99 convenient low-cost molecular marker based on the evolutionary genome scan and validated it in  
100 eight commercial and public sorghum breeding programs, demonstrating the value of leveraging  
101 global germplasm exchange and evolutionary population genomics to improve crop resilience.

## 102 RESULTS

### 103 Genome-wide polymorphism and nucleotide diversity

104 To understand the evolutionary rescue of sorghum following the SCA outbreak, we conducted a  
105 retrospective genomic analysis of the Haitian breeding population (HBP) in comparison to a  
106 global diversity panel (GDP). Genotyping-by-sequencing of 296 HBP and 767 GDP (Supp. Fig.  
107 S1; Supp. File S1) sorghum lines generated 159,683 polymorphic SNPs with an average SNP  
108 density of 75 and 229 per Mb in the HBP and GDP, respectively (Supp. Fig. S2). The GDP had a  
109 higher proportion of low-frequency minor alleles (<5% MAF) compared to the HBP (Supp. Fig.  
110 S3). Average inbreeding coefficients ( $F_{IS}$ ) in HBP and the GDP was estimated at 0.7 and 0.9,  
111 respectively (Supp. Table S1). The effect of selection on genetic diversity in HBP was assessed  
112 based on genome-wide nucleotide diversity ( $\pi$ ) in the HBP in comparison to (i) the GDP and (ii)  
113 a major public program in the US (Texas A&M pre-breeding lines, TAM-PBL,  $N = 35$ ). Average  
114 nucleotide diversity in the HBP was estimated at  $2.3 \times 10^{-5}$ . In the GDP and TAM-PBL, estimates  
115 of average  $\pi$  were  $5.8 \times 10^{-5}$  and  $4.8 \times 10^{-5}$ , respectively (Fig. 1A-C, Supp. Table S2). In the HBP,  
116 31% of 1 Mb windows have negative average Tajima's  $D$  values, while in the GDP  
117 predominantly positive values of Tajima's  $D$  were observed (Supp. Fig. S4).

### 118 Contributions of global sorghum diversity to the Haitian breeding population

119 The genetic ancestry of the HBP from global germplasm was inferred based on population  
120 structure analyses. In a neighbor joining analysis, the HBP clusters with caudatum accessions  
121 (Fig. 2A), specifically caudatums from East Africa. Similarly, in principal coordinate analysis,  
122 the HBP cluster with East African caudatum accessions (Fig. 2C). To estimate ancestry  
123 coefficients for HBP lines, we used Bayesian model-based clustering in ADMIXTURE,  
124 projecting HBP lines onto ancestral populations and allele frequencies defined using only GDP  
125 (with HBP lines omitted). With the GDP, the lowest cross-validation error was observed at  $K = 8$   
126 (Supp. Fig. S5) and accessions clustered by ecogeographic region and botanical type, as  
127 expected. ADMIXTURE projection analysis suggests that the HBP is admixed, largely  
128 consisting of caudatum haplotypes (>80% of the genome) with a remaining small percentage  
129 being contributed by durra and guinea sorghums (Fig. 2D).

130 **Evidence of a selective sweep in the Haitian breeding population at *RMES1***  
131 To identify genome regions implicated in the evolutionary rescue of the HBP, genome-wide  
132 scans for outlier loci were performed based on an  $F_{ST}$  test. Overall, the HBP is moderately  
133 differentiated from the global diversity panel, with an average genome-wide  $F_{ST}$  of 0.16 (Fig.  
134 3A, Supp. File S2). Based on a Bonferroni-adjusted  $P$ -value  $< 0.01$ ,  $F_{ST}$  analysis identified 171  
135 outlier genomic regions, which are candidate selective sweep regions. Several genomic regions  
136 with  $F_{ST}$  outlier regions co-localized with candidate genes for traits under selection by the Chibas  
137 breeding program, including photoperiodic flowering, inflorescence architecture, stay-green,  
138 stem sugar content, and SCA resistance (Fig 1D; Supp. File S3). Interestingly, the most extreme  
139  $F_{ST}$  outliers were observed on chromosome 6, precisely colocalizing with *RMES1*, a locus  
140 previously shown to underlie SCA resistance in a Chinese sorghum line of unknown pedigree  
141 (22) (Fig. 3A-B). To characterize the prevalence of the putative selected haplotype and identify  
142 its geographic origin, we mapped the allelic distribution of the highest  $F_{ST}$  SNP S6\_2995581 in  
143 global georeferenced sorghum landraces (Fig. 3C) and compared these distributions to the allele  
144 frequency in US and Haitian breeding germplasm (Fig. 3C, inset left). Globally, the allele is rare  
145 (<2%), found only in Ethiopian caudatum landraces and a few breeding lines from West Africa  
146 and the US. However, the sweep-associated allele is common (~40%) in Ethiopian caudatum  
147 accessions (Fig. 3C; Supp. Table S3). The high local frequency of the sweep-associated allele in  
148 Ethiopia suggests a likely origin of the SCA resistance allele in the Ethiopian highlands (Fig. 3C,  
149 inset right).

## 150 **Comparative genomic analysis to identify candidate causative variants**

151 To identify candidate causative variants for the *RMES1* locus, we used whole-genome  
152 resequencing and *de novo* genome sequencing of sorghum accessions with known SCA  
153 reactions. The *RMES1* interval previously defined based on biparental linkage mapping (22)  
154 includes seven gene models (Sobic.006G017000, Sobic.006G017100, Sobic.006G017200,  
155 Sobic.006G017332, Sobic.006G017266, Sobic.006G017400, and Sobic.006G017500) that were  
156 candidates for the causative gene. Comparative genomic analyses based on local multiple  
157 sequence alignment (MSA) of *de novo* genome sequence of the resistant accession (PI 276837,  
158 the Ethiopian progenitor of SCA resistant line SC170) and three sorghum reference genomes of  
159 SCA susceptible lines (BTx623, Tx430, and BTx642) were used to identify potential causative  
160 variants. No sequence variants were identified in the exons of three of the seven genes  
161 (Sobic.006G017000, Sobic.006G017100, and Sobic.006G017266). A total of 35, 32, and 29  
162 nonsynonymous SNPs were detected in the exons of Sobic.006G017200, Sobic.006G017400,  
163 and Sobic.006G017500, when comparing the sequences of the resistant PI 276837 and the three  
164 susceptible accessions. In addition, three insertion-deletion variations resulting in frame-shift  
165 were detected in Sobic.006G017500. (Supp. File S4). To further refine the set of candidate  
166 causative variants, we performed a localized association analysis for SCA resistance ("resistant"  
167 or "susceptible", based on literature classification) around *RMES1* with resequencing data for  
168 diverse sorghum accessions (Fig. 4, Supp. File S5) that detected 101 highly significant  
169 associations ( $P$ -value  $> 0.0001$ ). Annotations of the variants within the *RMES1* locus indicate

170 that only ten of 101 associated variants are nonsynonymous (5 of 10 in Sobic.006G017200 and  
171 the remaining 5 of 10 in Sobic.006G017500.

## 172 **Development and validation of a molecular marker based on the selective sweep**

173 Next, we sought to test the hypothesis that the genome region identified by the  $F_{ST}$  scan  
174 underlies variation for SCA resistance in other global sorghum germplasm. Therefore we  
175 developed a kompetitive allele specific PCR (KASP) marker based on the SNPs at the *RMES1*  
176 locus identified in the  $F_{ST}$  scan. Of the candidate SNPs (Supp. File S2, Supp. Table S3, Supp.  
177 File S6), SNP 06\_02892438 was determined to have the best combination of linkage, LD, and  
178 technical KASP functionality of the SNPs. Alternative SNPs were also developed into markers  
179 (Supp. File S6), and while the markers are often used as technical checks, testing has confirmed  
180 the priority of the marker based on SNP 06\_02892438 (Sbv3.1\_06\_02892438R). Initial  
181 validation of the Sbv3.1\_06\_02892438R KASP marker using DNA samples from known  
182 resistant lines (SC110, Tx2783, and IRAT204), susceptible lines (BTx623 and BTx642) (23),  
183 and multiple  $F_2$  families segregating for SCA resistance demonstrated that the KASP marker  
184 Sbv3.1\_06\_02892438R was in complete agreement with historical phenotypes of inbred lines  
185 and segregated within  $F_2$  populations (Supp. File S7).

186 An  $F_4$  population derived from a cross between IRAT204 (resistant African variety) and  
187 Tx430 (susceptible US breeding line) was used to further validate the broader utility and  
188 predictiveness of the KASP marker for marker-assisted selection (Fig. 5A-B). A total of 50  $F_4$   
189 lines together with resistant (IRAT204 and SC110) and susceptible controls (RTx430) were  
190 genotyped with the KASP marker Sbv3.1\_06\_02892438R. Both resistant controls and 23  $F_4$  lines  
191 were homozygous for the resistant allele. The susceptible control and 9  $F_4$  lines were  
192 homozygous for the susceptible allele, and the remaining 18  $F_4$  lines were heterozygous at the  
193 SNP. Twenty-three selected  $F_4$  lines with three resistant and three susceptible control lines were  
194 tested for SCA reaction in a free-choice flat screen assay in the greenhouse, scoring aphid  
195 damage rating, leaf greenness (SPAD), and seedling height. The SCA reaction phenotypes match  
196 the KASP marker genotypes, demonstrating the reliability and predictability of using KASP  
197 markers in marker-assisted selection for SCA resistance breeding (Fig. 5A-B; Supp. File S7).

## 198 **Multi-program validation and deployment in commercial and public breeding programs**

199 To further validate the utility of the SCA resistance KASP markers, we tested them with five US  
200 commercial seed company breeding programs and three US public sector breeding programs,  
201 representing a large fraction of the US sorghum breeding community (Fig. 5C). (The programs  
202 are anonymized to avoid disclosing proprietary information.) Under the hypothesis that (i)  
203 *RMES1* underlies SCA resistance in US breeding programs and (ii) the KASP marker  
204 (Sbv3.1\_06\_02892438R) tags the relevant resistant vs. susceptible haplotypes, the breeders'  
205 phenotype-based classification of SCA resistance should largely match the KASP marker  
206 genotype-based prediction. As expected, the match between the phenotype-based breeder  
207 classification and KASP marker genotypes is high, ranging from ~60-100%, with most  
208 germplasm sets (9/12) have >80% matching (Fig. 5C; Fig. S6). Less than 0.5% of mismatches  
209 (5/1100) were observed among technical replicates (independent tissue samples from the same

210 plant), so mismatches are unlikely to be due to KASP genotyping errors. Mismatches may be due  
211 to differences among programs of SCA resistant or susceptible haplotypes, or errors in the  
212 phenotype-based resistance classifications (some of which are based on visual ratings under  
213 natural field infestations, which are prone to false positives (24)). There were also some  
214 genotype-phenotype mismatches in public germplasm checks used by commercial and public  
215 programs (Fig. 5C). In nearly all cases, further investigation revealed that mismatches were due  
216 to unexpected heterogeneity in public germplasm within or among breeding programs (Supp.  
217 Table S4).

## 218 DISCUSSION

219 ***RMES1* is a major resistance gene underlying evolutionary rescue of sorghum worldwide**  
220 Understanding the genetics of evolutionary rescue, including the genetic architecture and  
221 molecular basis, could contribute to more resilient conservation and breeding strategies (25).  
222 Here we hypothesized, parsimoniously, that a single Mendelian SCA resistance locus *RMES1*  
223 could underlie the global evolutionary rescue of sorghum to the new *M. sacchari* superclone.  
224 Previous studies had suggested that a single dominant locus is responsible for SCA resistance in  
225 families derived from resistant Chinese grain sorghum variety Henong 16 (H16) and susceptible  
226 BTx623, or families derived from US breeding lines, resistant RTx2738 and susceptible CK60  
227 (22, 26). The H16 resistance was mapped to a ~130 kb region at 2.7 Mb on chromosome 6  
228 (*RMES1*) (22). Consistent with the *RMES1* evolutionary rescue hypothesis, the genome region  
229 with the highest  $F_{ST}$  in the HBP colocalized precisely with *RMES1* (Fig. 3). Together, the  
230 evolutionary genome scan (Fig. 3) and multi-program marker validation (Fig. 5) provides strong  
231 evidence that *RMES1* is the major SCA resistance locus globally, shared across the Americas,  
232 Asia, and Africa. However, our findings do not preclude the hypothesis that other SCA  
233 resistance loci were selected in Haiti and were required for the evolutionary rescue. In particular,  
234 other  $F_{ST}$  scan peaks on chromosome 2, 7, 8, and 9 (Fig. 3) could correspond to other SCA  
235 resistance loci. Given that SCA resistance is fixed in the Haitian program, further population  
236 development and quantitative trait locus mapping for SCA resistance will be necessary to test  
237 this hypothesis.

238 Identifying the causal variant underlying SCA resistance would advance our  
239 understanding of aphid resistance mechanisms in plants (27) and facilitate development of  
240 perfectly-predictive molecular markers for SCA resistance breeding (28). Our comparative  
241 genomic analysis between the resistant PI 276837 and the three susceptible reference genomes  
242 identified four candidate genes with putative functional variants within the *RMES1* locus  
243 (Sobic.006G017200, Sobic.006G017332, Sobic.006G017400 and Sobic.006G017500; Supp. File  
244 S4). Three of the four genes in the candidate region encode leucine-rich repeat (LRR) proteins, a  
245 gene family involved in immune responses to invading pathogens and insects (29). Given that  
246 some LRR genes mediate plant resistance to aphids and other phloem-feeding insects (27) these  
247 genes represent promising candidates for the *RMES1* causative gene. Functional annotation and  
248 sequence comparison between the resistant and susceptible accession identified non-synonymous  
249 variants only in Sobic.006G017200 and Sobic.006G017500 (Fig. 4), suggesting these NLR are

250 promising candidates for the *RMES1* gene. Fine-mapping and positional cloning will be needed  
251 to test these hypotheses and positively identify the causative variant.

252 **Evolutionary rescue of sorghum depended on a half century of global germplasm exchange**

253 In the twentieth century, sorghum genebanks and breeding programs exchanged germplasm  
254 widely (30, 31). Based on pedigree records and morphology we hypothesized that the Haitian  
255 breeding population originated from global admixed germplasm with a primary contribution of  
256 Ethiopian caudatum of the zerazer working group. Consistent with this hypothesis, HBP  
257 genotypes clustered with caudatum sorghum of East Africa (Fig. 2), but admixture analysis  
258 identified a contribution from durra and guinea sorghum from West Africa (Fig. 2D). Combining  
259 population genomics findings (Fig. 2, 3) with genebank and pedigree records (32, 33), we can  
260 map the history of global germplasm exchange that led to the evolutionary rescue of sorghum in  
261 Haiti following the SCA outbreak (Fig. 6A), as well as the spread of the SCA resistance allele  
262 from Ethiopia to breeding programs around the world (Fig. 6B). Notably, the evolutionary rescue  
263 of sorghum in the Americas (Haiti and US) involved germplasm and knowledge exchange over a  
264 period of >50 years, involving nine countries on three continents.

265 In the case of the SCA outbreak, the global sorghum improvement community was  
266 fortunate that the rare SCA resistance allele originated in East African caudatum, since this  
267 germplasm is preferred by many sorghum breeders worldwide and widely used by breeding  
268 programs in Africa, Asia, and the Americas (30, 34). The SCA resistance allele appears to have  
269 been inadvertently spread across sorghum breeding programs across the three continents long  
270 before the recent SCA outbreak (Fig. 6). For example, SC110, a converted version of an Ethiopia  
271 caudatum landrace (PI 257599/IS 12610) identified as SCA resistant in several world regions  
272 (23, 35), is a major contributor to the pedigrees of most SCA-resistant breeding lines in the US  
273 (Fig. 6B) (36). The same progenitor line (IS 12610) was used by breeding programs in West  
274 Africa (Fig. 6B) as a parent of IRAT204 (CE151-262; PI 656031), a widely-adopted variety (37)  
275 and key progenitor of current West African breeding programs (34).

276 Another potential benefit of germplasm exchange is the maintenance of diversity in  
277 breeding programs following strong selection, including evolutionary rescue. Given the strong  
278 selection on the HBP during the SCA outbreak, it might be expected that the post-selection HBP  
279 no longer retains sufficient diversity for future adaptation and genetic gain (7). However, the  
280 HBP was founded with diverse admixed global germplasm (Fig. 2) and extensively intercrossed,  
281 so it appears to have retained sufficient genetic diversity for future adaptation and crop  
282 improvement. We observe only a modest reduction in nucleotide diversity observed throughout  
283 the genome of the HBP relative to global accessions, East African caudatum, or a major public  
284 pre-breeding program (Fig. 1E; Supp. Fig. S7). Recombination during intercrossing cycles (prior  
285 to the SCA outbreak) presumably reshuffled the SCA resistance allele onto many backgrounds,  
286 suggesting that the intercrossing approach was critical to allow the Haitian program to retain  
287 diversity for future genetic gain and adaptation.

288 **Rapid discovery and deployment of a global trait-predictive molecular marker using**  
289 **evolutionary population genomics**

290 Molecular marker development based on phenotype-to-genotype mapping of trait loci (e.g.  
291 linkage or association mapping) is limited by availability of suitable mapping populations,  
292 phenotyping capacity, and genotyping resources, which can take years to develop (13, 38). For  
293 instance, spatial and temporal variability of SCA pressure in field trials limits the effectiveness  
294 of field phenotyping (24), while greenhouse assays can be complicated and time-consuming for  
295 lower-resourced programs. Thus, an evolutionary genomics approach, which leverages a history  
296 of selection by smallholder farmers or plant breeders, could have advantages for marker  
297 discovery. Despite wide use of evolutionary genome scans in crops, the hypotheses generated on  
298 adaptive loci are rarely, if ever, tested by independent experimental approaches (e.g. with near  
299 isogenic lines) (39). To our knowledge, this is the first example where an evolutionary or  
300 population genomic scan led directly to molecular breeding technology in use in commercial and  
301 public varietal development (Fig. 3, 5).

302 Here we demonstrated the effectiveness of the evolutionary population genomic  
303 approach, showing that a marker discovered in a single developing-country breeding program  
304 (Chibas-Haiti) can link crop improvement efforts across three continents (North America, Africa,  
305 Asia; Fig. 5A, 6) and across the commercial and public sector (Fig. 5A, C). Thus, our findings  
306 establish the value of evolutionary population genomics to facilitate and guide global crop  
307 improvement. The KASP marker developed and validated in this study can facilitate the rapid  
308 conversion of existing farmer-preferred varieties for SCA resistance (e.g. by marker-assisted  
309 introgression) (40). While the *RMES1* resistance allele is currently conferring effective  
310 resistance, a further biotype shift in the aphid could overcome this gene. Several biotype shifts  
311 occurred in the 1960-1980s for the greenbug aphid *Schizaphis graminum* (41) and slowed  
312 genetic gain in sorghum for many years (42). The markers developed here could facilitate  
313 identification of new SCA resistance genes, via by counterselection of *RMES1* allele to reveal  
314 novel SCA resistance. These outsourced KASP markers are convenient for breeding programs,  
315 since they require no laboratory labor or facilities, and are low cost relative to dedicated field or  
316 greenhouse phenotyping capacity, at ~\$2 per sample for DNA extraction and marker genotyping  
317 (43).

318 **Synergy of long-standing germplasm exchange practices with new genomics technologies**

319 In this study, we integrated evolutionary population genomic analyses and historical records on  
320 global germplasm exchange to show that the recent evolutionary rescue of sorghum depended on  
321 >50 years of germplasm exchange. Germplasm exchange led to global diffusion of a rare SCA  
322 resistance allele, sometimes purposely and sometimes inadvertently, from smallholder farmers in  
323 Ethiopian highlands across breeding programs in Africa, the Americas, and Asia. Over the past  
324 several decades, movement of crop genetic resources through international cooperation of  
325 germplasm exchange has provided access to adaptive genetic variation for crop improvement  
326 (31). However, germplasm exchange is increasingly restricted due to commercial or institutional  
327 interests asserting intellectual property (IP) rights and governments asserting national or local

328 sovereignty over genetic resources (44). While IP rights and sovereignty are important  
329 considerations, the question remains how to balance these aims with the benefits of free  
330 exchange of global public goods (45, 46). While we are not in a position to resolve these societal  
331 tradeoffs, our study does highlight the global food security benefits of germplasm exchange and  
332 the opportunities that could be lost due to restrictions on exchange.

333 Taken together, our findings suggest that new genomic technologies will be most  
334 powerful when leveraged with global exchange of germplasm and knowledge. No matter how  
335 powerful new genomic technologies are in terms of accuracy or throughput, their utility will  
336 depend on the germplasm assayed, since all genetic mapping approaches require effective  
337 recombination and allelic diversity (47, 48). Global germplasm exchange vastly increases both  
338 these parameters, providing a "bank" of historical recombinations and allelic variants that can be  
339 rapidly leveraged with new genomic tools (Fig. 3, 4). Therefore, our best opportunity to address  
340 challenges of global change may be to leverage new genomic technologies with long-standing  
341 practices of global germplasm exchange.

## 342 MATERIALS AND METHODS

### 343 **Sorghum breeding and production in Haiti**

344 The Chibas sorghum breeding program was launched in 2013 using admixed global germplasm,  
345 including heterogeneous breeding material from West Africa carrying *ms3* nuclear male sterility,  
346 and inbred global accessions. During 2015–2018, the material was selected in breeding nurseries  
347 under low-input conditions (approximating local smallholder practices) and extensively  
348 intercrossed using the *ms3* sterility system. No insecticides were used to limit SCA infestations  
349 in breeding nurseries in this period and natural SCA infestations were intense during this period  
350 (e.g. Fig. 1C). Note, selection pressure on sorghum by SCA in Haiti is expected to be greater  
351 than in temperate zone (e.g. U.S.) because the SCA infestation occurs year-round in this tropical  
352 environment. Annual sorghum production estimates for Haiti are based on FAOSTAT (2009–  
353 2014 and 2018) (49) and the USDA forecast for 2019–2020 (19). FAOSTAT data for 2015–2017  
354 and 2019 was not used because it was based on imputation ("FAO data based on imputation  
355 methodology") that did not account for the known effects of SCA (e.g. "this aphid spread  
356 throughout the country and decimated Haiti sorghum production") (19). Production for the  
357 missing years of SCA outbreak was inferred based on 2009 agriculture survey acreage prior to  
358 infestation in each region and assessment of sorghum production levels compared to pre-  
359 infestation levels, adjusted to FAOSTAT (1990–2014) production averages for each region.

### 360 **Plant genetic resources**

361 The HBP (N = 296) are inbred lines derived from a recurrent selection breeding population  
362 developed by intercrossing germplasm that survived natural SCA infestation. For genomic DNA  
363 extraction, fresh leaf tissue of each accession was collected from two weeks old seedlings raised  
364 in a greenhouse. Tissue was lyophilized for two days and then grounded up using a 96-well plate  
365 plant tissue grinder (Retsch Mixer Mill). Genomic DNA was extracted using the BioSprint 96  
366 DNA Plant Kit (QIAGEN), quantified using Quant-iT™ PicoGreen® dsDNA Assay Kit, and

367 normalized to 10 ng/uL. An additional set of global accessions (GDP,  $N = 767$ ) was assembled  
368 based on a published data set (50, 51) including sorghum accessions from 52 countries on five  
369 continents and all major botanical types (Supp. Fig. S1, Supp. File S1). The GDP accessions  
370 included 164 caudatum, 96 guinea, 81 durra, 57 bicolor, and 47 kafir accessions, along with 288  
371 of other botanical types and 34 accessions of unknown botanical type.

### 372 **Genotyping-by-sequencing**

373 Genotypes for the 296 Haitian breeding lines were generated with genotyping-by-sequencing.  
374 Genomic DNA digestion, ligation and PCR amplification processes were performed according to  
375 the methods previously described (50). The libraries were sequenced using the single-end 100-  
376 cycle sequencing by Illumina HiSeq2500 (Illumina, San Diego CA, USA) at the University of  
377 Kansas Medical Center, Kansas City, MO, USA. A total of 220 million reads for the HBP were  
378 combined with published data for the GDP (50) for SNP calling. TASSEL 5 GBS v2 pipeline  
379 (52) was used to perform the SNP calling of the sequence data obtained from Illumina  
380 sequencing. Reads were aligned to the BTx623 sorghum reference genome v.3.1 (53) with the  
381 Burrows-Wheeler Alignment (54). The SNPs were filtered for 20% missingness, then missing  
382 data were imputed using BEAGLE 4.0 (55). Genotyping data are available at Dryad [*accession*  
383 *to be added following acceptance*].

### 384 **Population genomic analyses**

385 Genome-wide nucleotide diversity ( $\pi$ ) and Tajima's  $D$  statistics for HBP and GDP were  
386 estimated based on a non-overlapping sliding window of 1 Mbp across the genome using  
387 VCFtools (56). The characterization of the population structure of the HBP was based on a  
388 discriminant analysis of principal components (DAPC) in the Adegenet package in R (57). A  
389 distance matrix calculated based on a modified Euclidean distance model was used to create a  
390 cladogram based on a neighbor-joining algorithm in TASSEL (58). Neighbor-joining analysis  
391 was visualized using the APE package in R (59). The population structure of the germplasm  
392 panel was further assessed by the Bayesian model-based clustering method implemented in the  
393 ADMIXTURE program (60). Pairwise SNP differentiation ( $F_{ST}$ ) between the HBP and the GDP  
394 were calculated and outlier loci were detected based on an inferred distribution of neutral  $F_{ST}$   
395 using the R Package OutFLANK (61).

### 396 **Whole genome resequencing**

397 Around the 130 kb mapped interval in BTx623, SNPs from 10 sorghum accessions with known  
398 SCA resistance status were examined to search for functional mutations responsible for SCA  
399 resistance. Six of the 10 resequenced accessions represent known susceptible lines, which  
400 include RTx430 (PI 655996), BTx623 (PI 564163), Tx7000 (PI 655986), Tx2737 (PI 655978),  
401 BTx642, and RTx436. The remaining four resequenced accessions represent known resistant  
402 lines, which includes PI 257599 (SC110 original exotic parent), PI 276837 (SC170 original  
403 exotic parent), PI 534157 (SC170), and IS 36563 (IRAT204). These samples were used pre-  
404 publication for this interval analysis with permission from TERRA-REF (Mockler), JGI  
405 Sorghum Pan-genome project (Mockler), BMFG Sorghum Genomic Toolbox (Mockler and

406 Morris), JGI Sorghum Diversity project (John Mullet), and the JGI EPICON project (Vogel).  
407 The reads were mapped to *Sorghum bicolor* v3.1 using bwa-mem. The bam file was filtered for  
408 duplicates using Picard (<http://broadinstitute.github.io/picard>) and realigned around indels using  
409 GATK (62). Multi-sample SNP calling was done using SAMtools mpileup and Varscan V2.4.0  
410 with a minimum coverage of 8 and a minimum alternate allele frequency of four. Repeat content  
411 of the genome was masked using 24 bp kmers. Kmers that occur at a high frequency, up to 5%,  
412 were masked. SNPs around 25 bp of the mask were removed for further analysis. A SNP was  
413 included for further analysis only when it has coverage in 75% of the samples, and a MAF >  
414 0.005. Functional annotation of the variants within the *RMES1* locus was performed using  
415 SNPEff.

#### 416 ***De novo* genome sequencing**

417 *De novo* genome assembly of the resistance sorghum line PI 276837 was used to perform  
418 comparative genomic analysis to identify the causative variant for SCA resistance at the *RMES1*  
419 locus. PI 276837 main assembly consisted of 101.47x of PACBIO coverage with an average read  
420 size of 11,931 bp. The genome was assembled using Canu 1.8, a fork of the Celera Assembler  
421 designed for high-noise single-molecule sequencing. The resulting sequence was polished using  
422 ARROW. The assembled genome resulted in contig N50 sizes ranging from 14 to 19 kb and  
423 scaffold N50 sizes ranging from 5 to 65 kb. Sequence variations at *RMES1* locus between the *de*  
424 *novo* sequence of PI 276837 were compared to the reference genomes of BTx623, Tx430, and  
425 BTx642.

#### 426 **KASP marker development**

427 SNPs from the  $F_{ST}$  genomic selection scan were selected for development into markers based on  
428 several factors: LOD score of the  $F_{ST}$  analysis, proximity to *RMES1* locus, and suitability of the  
429 flanking sequence for KASP assay development. The KASP assays were developed utilizing a  
430 third-party genotyping service provider, Intertek AgriTech (Alnarp, Sweden), who designed the  
431 KASP assays via the Kraken software. All genomic DNA extraction and KASP genotyping were  
432 performed by Intertek using two 6 mm leaf punches dried with silica beads. Initial technical  
433 validation of the KASP marker was performed using known resistant (SC110, IRAT204 and  
434 Tx2783) and susceptible (KS585 and Tx7000) sorghum lines. Further validation was performed  
435 by genotyping a panel of 10 known resistant and 28 known susceptible lines, along with multiple  
436 F1 crosses of each of the lines. The KASP markers developed for SCA resistance selection  
437 (Supp. File S6) are publicly available through the third-party genotyping service provided by  
438 Intertek. For further information on accessing markers contact the corresponding author.

#### 439 **Marker validation in public and commercial breeding programs**

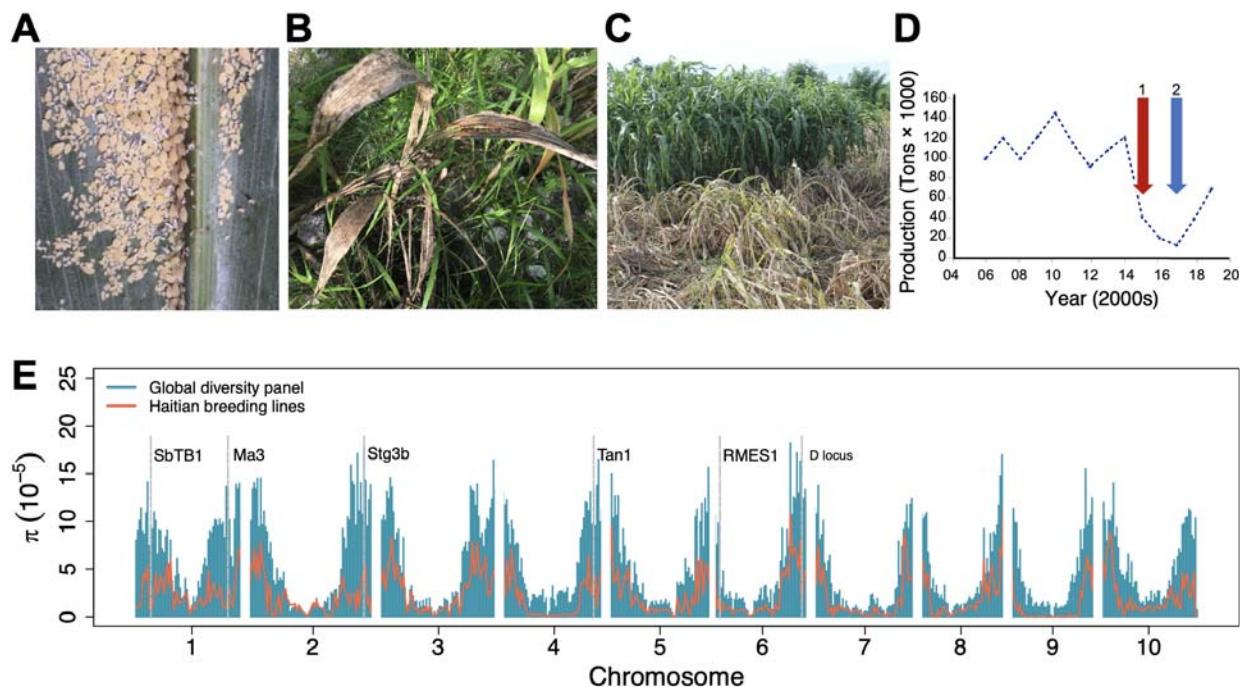
440 To test the predictiveness of the marker, a population segregating for SCA resistance was  
441 developed by crossing the susceptible Tx430 and resistant IRAT204. F<sub>3</sub> and F<sub>4</sub> lines of the  
442 Tx430 x IRAT204 population were genotyped with the KASP marker together with the  
443 susceptible and resistant parents. The same population was evaluated for SCA reaction using a  
444 free-choice flat-screen trial in the greenhouse. Tx2783 and SC110 were included as known

445 resistant genotypes, along with the known susceptible genotypes, KS 585 and Tx7000 (63, 64).  
446 Free-choice flat-screen assay, data collection (damage rating, SPAD score, and plant height  
447 difference), and analysis were conducted as previously described (24). Validation of the KASP  
448 marker across different breeding programs was performed in eight breeding programs, five  
449 commercial and three public in the US. Each program collected tissue samples from known  
450 tolerant and susceptible parental breeding lines, F<sub>1</sub>s of the parental lines, and later generation  
451 lines from their SCA tolerance breeding populations; the SCA reaction phenotypes of the late  
452 generation lines may or may not have been known. For the parental breeding lines, both  
453 technical replicates (tissue samples from the same plant) and biological replicates (tissue samples  
454 from separate plants) were collected in order to test both the technical function of the markers  
455 and the reliability of the germplasm, respectively. Additionally, most programs included public  
456 sources (e.g. Tx2783) of known SCA tolerance as checks. Tissue samples were sent to Intertek,  
457 who extracted DNA and performed the KASP genotyping.

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471 manuscript. Such use does not constitute an official endorsement or approval by the United  
472 States Department of Agriculture or the Agricultural Research Service of any product or service  
473 to the exclusion of others that may be suitable. USDA is an equal opportunity provider and  
474 employer. The authors declare that they have no competing interests. All data needed to evaluate  
475 the conclusions in the paper are present in the Supplementary Materials or Dryad.

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**Figure 1: Evolutionary rescue following a continental outbreak of a sorghum pest**

(A) Infestation of sugarcane aphid (SCA), *M. sacchari*, on a commercial hybrid in the US sorghum-growing production region (Kansas). (B) SCA infestation on a traditional sorghum variety on a smallholder farm in Haiti (brown plant in foreground; green leaves in background are maize and wild grasses). (C) Reaction of susceptible (brown plants; foreground) and resistant (green plants; background) sorghum breeding lines under natural SCA infestation during breeding trials in Haiti. (D) Estimates of annual sorghum production in Haiti (2006-2019), indicating the start of the SCA outbreak (1, red arrow) and the start of national distribution of SCA resistant variety, Papépichon (2, blue arrow). (E) Genome-wide nucleotide diversity ( $\pi$ ) in the Haitian breeding population (red line) compared to a global diversity panel (blue bars). Nucleotide diversity was calculated for a non-overlapping sliding window of 1 Mbp across the genome. The grey vertical dashed lines indicate the position of *a priori* candidate genes for breeding targets of the Haiti program which colocalized with genomic regions of reduced  $\pi$  (see Supp. File S3 for details).

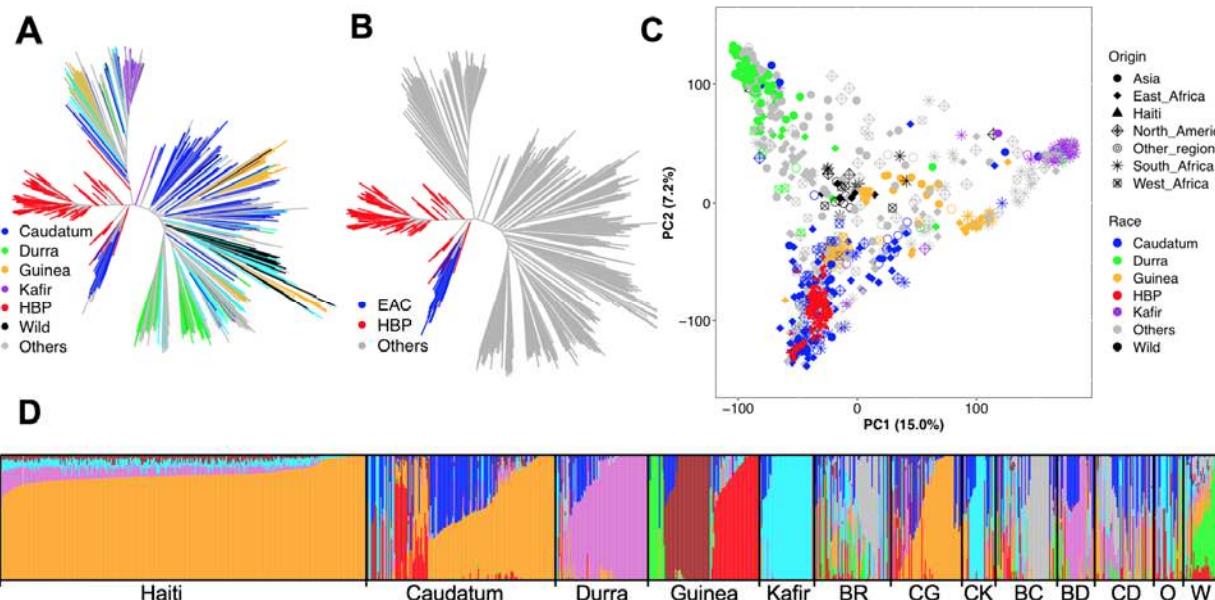
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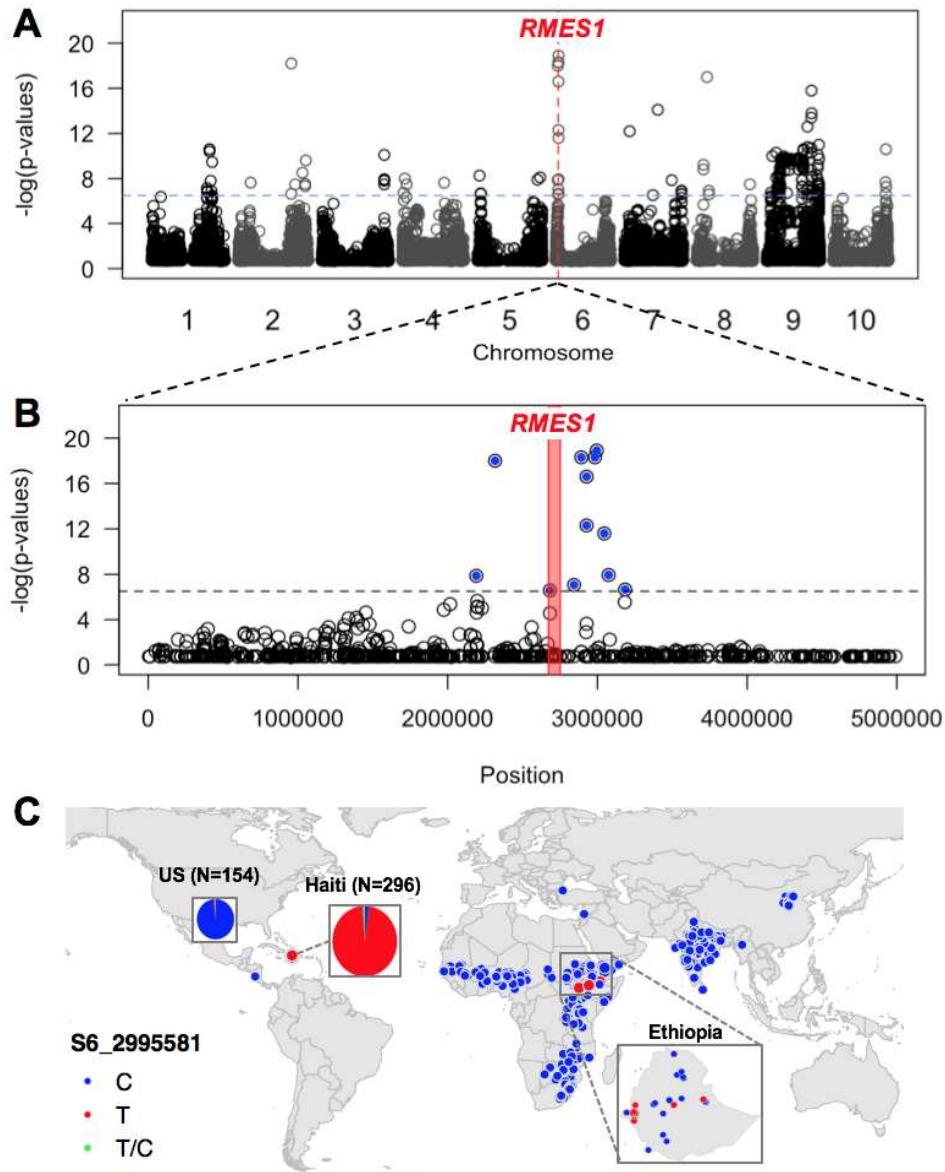
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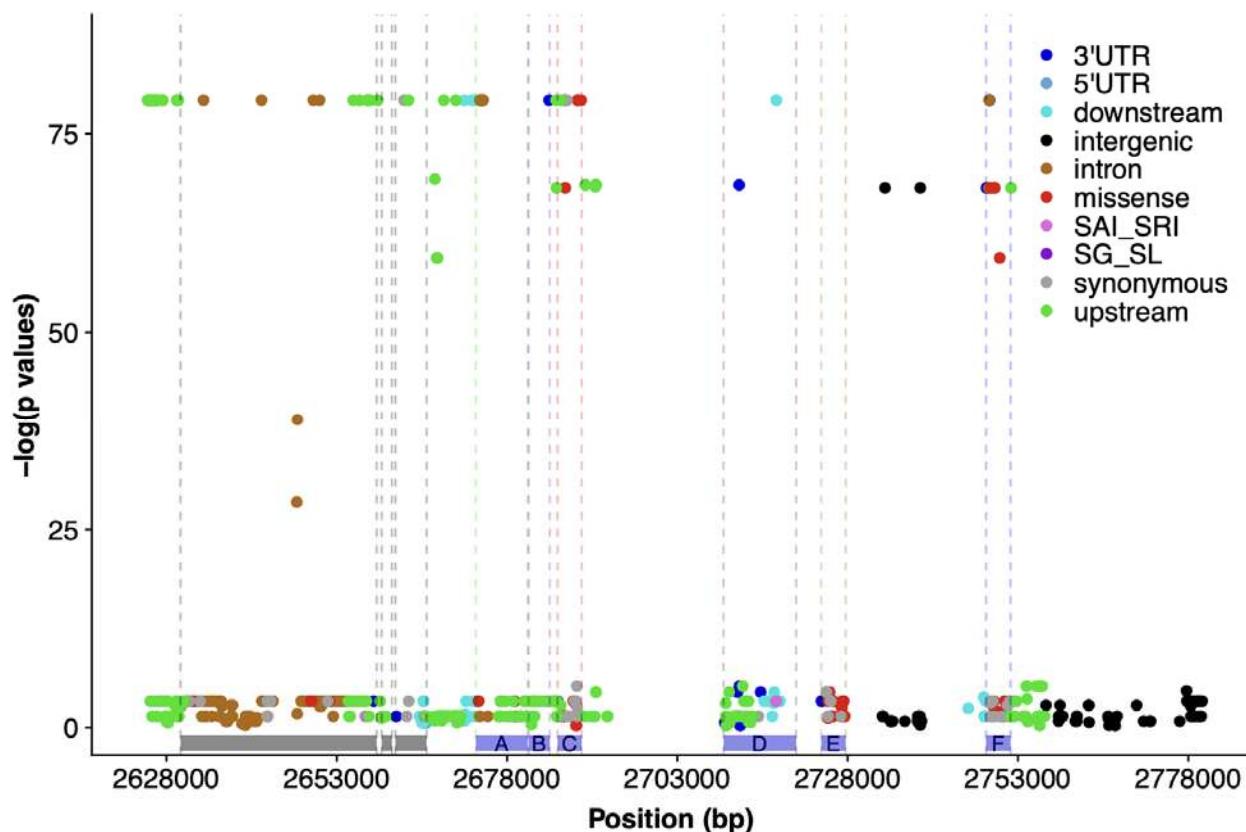


**Figure 2: Population structure of the Haitian breeding population in relation to global sorghum diversity reflects its derivation from East African germplasm.** Genetic relatedness of the Haitian breeding population (HBP) to the global diversity assessed by neighboring joining method, color-coded by botanical type (A) or highlighting the close relationship between the HBP and East African caudatum (EAC) germplasm (C) Scatterplot of the first two principal component (PC) of genome wide SNP variation, demonstrating the clustering of HBP within EAC germplasm. (D) Bayesian hierarchical clustering of the HBP and GDP with the probability of membership ( $Q$ ) in each of  $K = 8$  ancestral populations. The  $Q$ -value bar plots are arranged by botanical types to reflect the relationship of the HBP to the GDP. Note, color-coding of the bar plots in panel D is arbitrary and does not reflect the color-code in panels A-C. BR = Bicolor, CG = caudatum-guinea, CK = caudatum-kafir, BC = bicolor-caudatum, BD = bicolor-durra, CD = caudatum durra, O = others (includes botanical types containing less than 10 individuals), W = wild.



512  
513 **Figure 3: Genome scan for selection identifies the major aphid resistance allele at *RMES1***  
514 **originating in Ethiopia.** (A) Genome-wide scan for selection in the Haitian breeding population  
515 using fixation index ( $F_{ST}$ ) with the  $-\log(F_{ST}p\text{-value})$  (y-axis) plotted against position on the  
516 chromosome (x-axis). (B) Detailed view (5 Mb) of top  $F_{ST}$  peak on chromosome 6 that  
517 colocalizes with the *RMES1* locus. The ~130 kb region from 2,667,082 to 2,796,847 bp  
518 corresponding to the published *RMES1* interval is denoted with the red bar. (C) Global allele  
519 distribution of the SNP that showed the highest  $F_{ST}$  value (S6\_2995581), which colocalized with  
520 the *RMES1* locus. Allelic state for georeferenced global germplasm is denoted with points. Allele  
521 frequencies in the United States (C=151, T=2, T/C=1) and Haiti (C=6, T=287, T/C=3) breeding  
522 germplasm, denoted in pie charts with area proportional to number of accessions, show the allele  
523 is almost fixed in Haitian breeding germplasm and rare in U.S. breeding germplasm.

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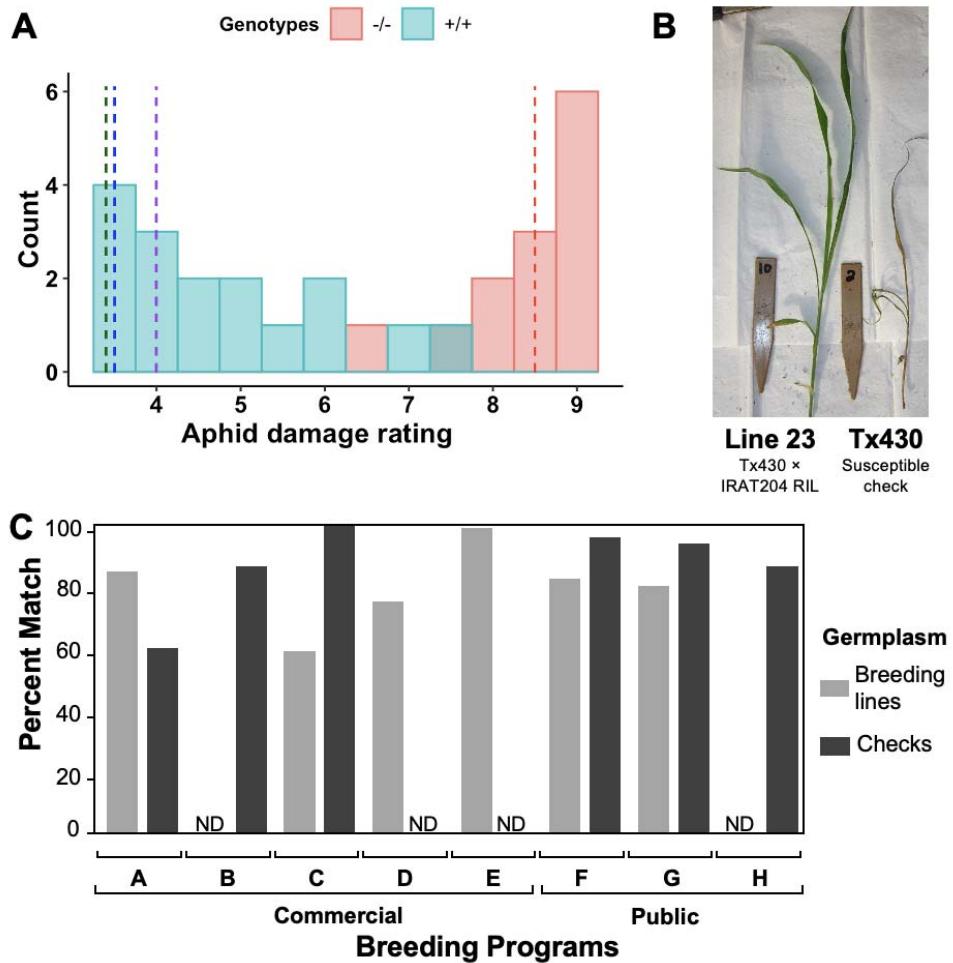
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**Figure 4: Whole-genome resequencing and local association mapping identifies potential causative variants at *RMES1*.** Functional annotation and association mapping of nucleotide polymorphisms within the *RMES1* locus across a set of 13 diverse sorghum accessions with known SCA resistance or susceptibility. The -log of *p*-values of local marker-trait association scan plotted against the chromosomal positions at the *RMES1* locus on chromosome 6. Variants are color-coded by annotation generated by the SnpEff program. Blue bars represent the seven annotated genes within the *RMES1* interval (A = Sobic.006G017000, B = Sobic.006G017100, C = Sobic.006G017200, D = Sobic.006G017332 and Sobic.006G017266, E = Sobic.006G017400, F = Sobic.006G017500.v3.1). Grey bars indicate genes outside of the *RMES1* interval as originally defined (22). 3'UTR: 3 prime UTR variant, 5'UTR: 5 prime UTR variant, downstream: Downstream gene variant, intergenic: intergenic region, intron: Intron variant, missense: Missense variant, SAI\_SRI: splice acceptor/intron or splice region intron variants, SG\_SL: stop gained or stop loss variant, synonymous: Synonymous variant upstream: Upstream gene variant



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**Figure 5: Multi-program evaluation of a molecular marker developed based on the selective sweep validates its global utility.**

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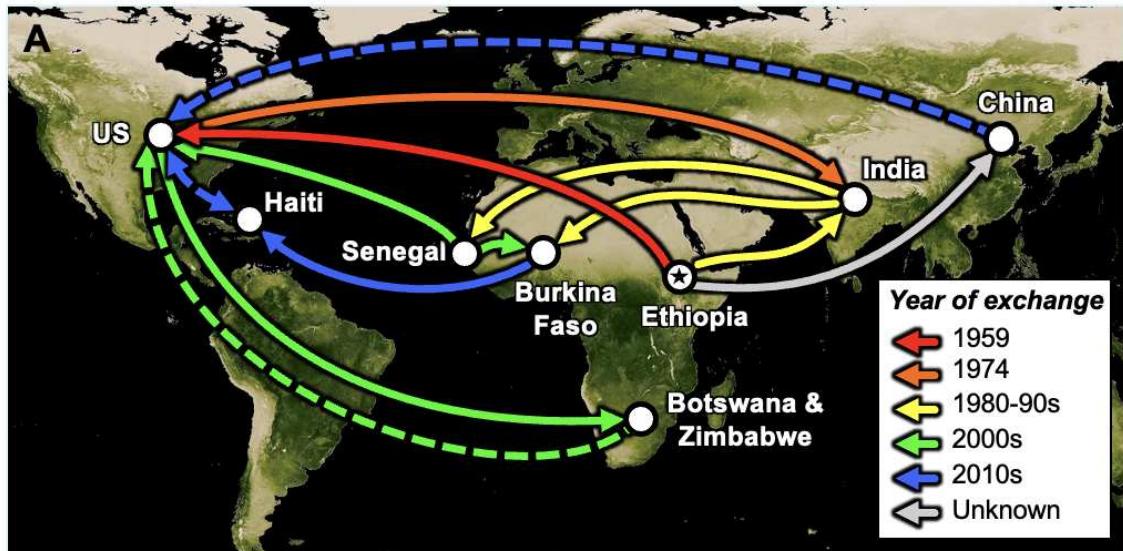
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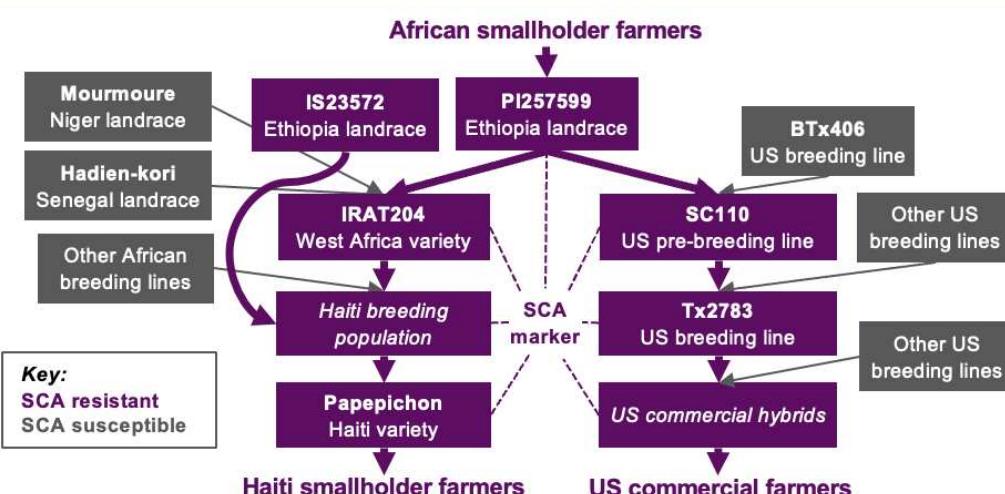
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(A) The KASP marker predicts SCA resistance in independent US × Senegal breeding lines. The histogram represents the aphid damage ratings of F<sub>4</sub> lines from a Tx430 × IRAT204 ( $N = 22$ ) family under infestation by *M. sacchari* at the seedling stage in a choice greenhouse assay. The cyan bars represent the aphid damage ratings for lines carrying the +/+ genotypes at the SNP 06\_02892438, while the red bars represent aphid damage ratings of the lines carrying the -/- genotypes. The blue dashed lines represent the average aphid damage rating of the resistant checks Tx2783, IRAT204, and SC110 (green, blue, and purple dashed lines, respectively) while red dashed line represents the average damage rating of both susceptible checks, Tx7000 and Tx430. (B) Representative SCA reaction from the choice greenhouse assay for an F<sub>4</sub> line carrying the +/+ genotype (left) versus the susceptible parent Tx430 (right). (C) Evaluation of the same marker in eight US breeding programs. Percent match of KASP marker genotyping prediction with breeder-provided SCA resistance classification for five commercial breeding programs and three public breeding programs. ND = Not determined.



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560 **Figure 6: Evolutionary rescue of sorghum through >50 years of global exchange of**  
 561 **germplasm and knowledge.** (A) Germplasm and knowledge exchange inferred from pedigree  
 562 records and genomic analyses. Germplasm exchange is denoted by solid lines. Knowledge  
 563 exchange through scientific literature is denoted in dashed lines. The star indicates the inferred  
 564 origin of the SCA resistance allele in the Ethiopian highlands, with at least two paths to the  
 565 Americas, via IS 23572 (yellow line) or PI 257599 (red line). (B) Pedigree relationships among  
 566 global accessions, breeding lines, breeding programs, or varieties, color-coded by inferred SCA  
 567 resistance or susceptibility. Note, with respect to US commercial hybrids, the diagram is  
 568 illustrative and is not meant to imply that all US commercial hybrids used Tx2783 as the SCA  
 569 resistance donor. Some known pedigree information has been omitted from the diagram for  
 570 clarity.

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