

1 Optical activation of TrkB neurotrophin receptor in mouse ventral hippocampus  
2 promotes plasticity and facilitates fear extinction  
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34 **Abstract**

35 Successful extinction of traumatic memories depends on neuronal plasticity in the fear  
36 extinction network. However, the mechanisms involved in the extinction process remain  
37 poorly understood. Here, we investigated the fear extinction network by using a new  
38 optogenetic technique that allows temporal and spatial control of neuronal plasticity *in*  
39 *vivo*. We optimized an optically inducible TrkB (CKII-optoTrkB), the receptor of the  
40 brain-derived neurotrophic factor, which can be activated upon blue light exposure to  
41 increase plasticity specifically in pyramidal neurons. The activation of CKII-optoTrkB  
42 facilitated the induction of LTP in Schaffer collateral-CA1 synapses after brief theta-  
43 burst stimulation and increased the expression of FosB in the pyramidal neurons of the  
44 ventral hippocampus, indicating enhanced plasticity in that brain area. We showed that  
45 optical stimulation of the CA1 region of the ventral hippocampus during fear extinction  
46 training led to an attenuated conditioned fear memory. This was a specific effect only  
47 observed when combining extinction training with CKII-optoTrkB activation, and not  
48 when using either intervention alone. Thus, TrkB activation in ventral CA1 pyramidal  
49 neurons promotes a state of neuronal plasticity that allows extinction training to guide  
50 neuronal network remodeling to overcome fear memories. Our methodology is a  
51 powerful tool to induce neuronal network remodeling in the adult brain, and can  
52 attenuate neuropsychiatric symptoms caused by malfunctioning networks.

53

54

55 **Introduction**

56 Under pathological conditions, such as post-traumatic stress disorder (PTSD), phobias,  
57 and depression/anxiety disorders, traumatic memories are repeatedly and improperly  
58 retrieved (1,2). Exposure therapy, where the subject is repeatedly exposed to fear-  
59 inducing stimuli under safe conditions, is a widely used method to extinguish or  
60 suppress fear responses (3). Fear extinction has been successfully modeled in both  
61 humans and animals using the Pavlovian fear conditioning/extinction paradigm, where a  
62 neutral conditioned stimulus (CS, tone or context) starts to elicit a fear response after  
63 being associated with an aversive unconditioned stimulus (US). This fear response is  
64 reduced after a repeated exposure to the CS without the US (4–6). Although extinction  
65 training gradually reduces the fear responses in human patients and adult rodents, these  
66 fear responses tend to reappear with time or upon later re-exposure to the CS, a  
67 phenomena known as “spontaneous recovery”, and “fear renewal” when induced by a  
68 neutral cue or the same context, respectively (7,8). We have previously shown that the  
69 combination of extinction training and chronic treatment with fluoxetine, a commonly  
70 used antidepressant, but neither treatment alone, induces an enduring loss of  
71 conditioned fear memory in adult mice (9), which is similar to the permanent fear  
72 extinction found in early postnatal mice (10,11). A chronic treatment with fluoxetine  
73 reactivates a state of plasticity similar to that observed during the critical periods of  
74 plasticity or induced juvenile-like plasticity, a state we refer as iPlasticity, which have  
75 been shown in different brain regions, such as the amygdala, medial prefrontal cortex  
76 (mPFC), and hippocampus (9,12,13). These observations suggest that fear extinction is  
77 a process dependent on the reshaping of neural networks through experience-dependent  
78 plasticity. However, the mechanisms through which neural networks are reconfigured  
79 are still unknown.

80 Brain-derived neurotrophic factor (BDNF), through activation of its neurotrophic  
81 receptor tyrosine kinase B (TrkB), is thought to be a key factor in neuronal plasticity  
82 and required for the iPlasticity by fluoxetine treatment (9,14). The binding of BDNF to  
83 TrkB causes dimerization and autophosphorylation of TrkB, leading to activation of  
84 intracellular signaling pathways involved in neuronal differentiation, survival, and  
85 growth as well as synaptic plasticity in neurons (15,16). These pathways also regulate  
86 gene transcription and long-term potentiation (LTP) (17,18). Interestingly, Chang et al  
87 created a photoactivatable TrkB (optoTrkB), where full-length TrkB is conjugated with

88 a photolyase homology region (PHR) that dimerizes in response to blue light (470 nm)  
89 (19). They have shown that light stimulation can activate the canonical Trk signaling  
90 pathways through optoTrkB in a reversible manner, and a prolonged patterned  
91 stimulation induces differentiation of cultured neurons (19).  
92 Here, we studied whether activation of TrkB through optoTrkB *in vivo* is sufficient to  
93 induce plasticity in the fear circuit and to facilitate fear extinction. For an efficient  
94 expression of optoTrkB in pyramidal neurons, we constructed a lentivirus that expresses  
95 optoTrkB (19) modified in the following points: (i) optimization of codons of the PHR  
96 domain for higher expression in rodents, (ii) attachment of a flexible tag (20) between  
97 TrkB and PHR, which allows a better interaction between optoTrkB C-terminus and its  
98 partners (21), and (iii) expression of a fusion protein by a short-type (0.4 kb) promoter  
99 of calcium/calmodulin-dependent protein kinase type II alpha subunit (CKII) for  
100 specific expression in pyramidal neurons (22). After confirming that CKII-optoTrkB  
101 lentivirus is expressed and activated in cultured cortical neurons, we activated CKII-  
102 optoTrkB in the projection neurons of the ventral hippocampus (vHP), which are known  
103 to be involved in fear extinction (23,24) through the modification of mood and spatial  
104 memory (25), and conducted the Pavlovian fear conditioning paradigm.  
105  
106

107 **Material and Method**

108 All animal experiments followed the Council of Europe guidelines and were approved  
109 by the State Provincial Office of Southern and Eastern Finland. Detailed procedures are  
110 described in the Supplementary Information.

111

112 *Mice*

113 C57BL/6JHss were originally purchased from Harlan (Netherlands); 10- to 12-week-old  
114 mice were used for this study. Mice were kept under standard laboratory conditions  
115 (21°C, 12-h light-dark cycle, light at 6AM) with free access to food and water.

116

117 *Infection of lentivirus and optic stimulation of optoTrkB in cultured cortical neurons*

118 Rat primary cortical cultured neurons from E17 rat embryos were prepared using a  
119 method reported previously (26). The cells were infected with CKII-optoTrkB lentivirus  
120 (initial stock titer  $8.37 \times 10^7$  pg/ml [p24]) at day *in vitro* 3 (DIV3) for immunoblotting,  
121 while the other cells on coverslips were infected at DIV9 for morphological analyses.  
122 The plates were kept in darkness at all time after the infections. The cells were exposed  
123 to blue light (LED devices, Mightex) at DIV10 and DIV17 for immunoblotting and  
124 immunocytology, respectively. The cells were photo-stimulated 12 times for 5 seconds  
125 with a 1-minute inter-trial interval, aiming to mimic the behavioral experiments. The  
126 cells were collected immediately for immunoblotting and 24 hours later for  
127 immunocytology. For immunoblotting, cells were lysed following a protocol described  
128 previously (27) and stored in darkness at -80°C. The samples for immunocytology were  
129 fixed with 4% of paraformaldehyde (PFA) and stored in PBS containing 0.02% NaN<sub>3</sub> at  
130 4 °C.

131

132 *Immunoblotting of lysate from cultured cells*

133 Immunoblotting was conducted according to a method reported previously (27). Details  
134 on primary and secondary antibodies are provided in supplemental table 1.  
135 Chemiluminescent signals were developed by ECL plus (ThermoFisher Scientific) with  
136 a 5-minute incubation according to instructions provided by the manufacturer and  
137 detected by a LAS-3000 dark box (Fujifilm).

138

139 *Imaging analyses on dendrites after immunocytology*

140 We compared the number of spines in the secondary dendritic branches as described  
141 previously (28). All antibodies used for immunocytology are listed in supplemental  
142 table 1. The stained cells were imaged with a Leica TCS SP8 X with a magnification of  
143 40x for analysis of primary neurites and spines. The primary branches sprouting from  
144 the soma were counted blindly and manually. Spines on the second branches were  
145 randomly and blindly selected. The number and type of spines were analyzed manually.  
146

147 *Lentivirus infection and implantation of optic cannulas*

148 Mice were anesthetized with Isoflurane and fixed on a stereotactic frame. A total of 1  $\mu$ l  
149 of virus solution was injected into the vHP, at 3.1 mm caudally and +/- 2.0 mm laterally  
150 from the Bregma with a depth of 3.9 mm and an angle of 18°. Three weeks after  
151 infection, optic fibers with cannula were inserted into the vHP, 3.1 mm caudally and +/-  
152 3.0 mm laterally from the Bregma, with a depth of 3.0 mm and an angle of 4° and fixed  
153 and sealed with dental cement (Tetric Evo Flow). The mice were kept in single cages  
154 and underwent the fear conditioning/extinction test 1 week after the implantation.  
155

156 *Electrophysiology*

157 Field excitatory postsynaptic potentials (fEPSP) were recorded in acute hippocampal  
158 slices. Briefly, the brains were isolated 4 weeks after infection with CKII-optoTrkB and  
159 immediately immersed in ice-cold dissection solution (29), after which 350- $\mu$ m brain  
160 slices were cut and incubated for recovery for 45 minutes at 31 to 32°C in artificial  
161 cerebrospinal fluid (ACSF) (30). The slices were stimulated by light (LED 480 nm)  
162 three times for 5 seconds every minute. fEPSPs were then recorded in an interface  
163 chamber using ACSF-filled glass microelectrodes (2-4 M $\Omega$ ) positioned within the CA1  
164 stratum radiatum in response to Schaffer collateral stimulation (0.05 Hz). Stimulation  
165 intensity was adjusted such that the baseline fEPSP slope was 20-40% of the maximal  
166 intensity that resulted in the appearance of a population spike. LTP was subsequently  
167 induced by tetanus stimulation (100 pulses at 50 Hz) or brief theta-burst stimulation (1  
168 episode of TBS consisting of 2 stimulus trains at 5 Hz with 4 pulses at 100 Hz).  
169

170 *Fear extinction test with optic stimulation*

171 The fear conditioning paradigm was conducted following a protocol described  
172 previously (9). Briefly, the mice were put into Context A and received an electric foot  
173 shock (0.6 mA) after a 30-second sound cue (“beep” sounds 80 dB), which was  
174 repeated four times with a 30- to 60-second interval. Two days later the mice were put  
175 in Context B and received only the sound cue (30s “beep” sound 80 dB) immediately  
176 followed by optical stimulation for 5 seconds. The light was applied manually by a  
177 single-color LED (470nm wave length) device (Mightex) connected to a BioLED light  
178 source Control Module (Mightex), which in turn was connected to optic wires splitting  
179 into two parallel optic fibers (Kyocera Inc.) ending on both sides on the ferrules  
180 implanted in the head of the mouse. Mice were made able to move freely through a  
181 rotary joint (Mightex). The extinction training with light stimulation was repeated 12  
182 times with different intervals (25-60 seconds) for 2 days. One week after, the mice were  
183 tested in Context B (spontaneous recovery) followed by exposure to Context A (fear  
184 renewal) with the same sound cue (presented 4 times/test) provided during conditioning  
185 and extinction. Spontaneous recovery and fear renewal were tested again 3 weeks later  
186 as an estimate of remote memory. The durations of freezing were measured as an index  
187 of conditioned fear.

188

189 *Immunohistochemistry and image analysis on FosB intensity*

190 Mice were infected with CKII-optoTrkB and we implanted optic cannulas into the vHP  
191 as described above. The vHP were exposed to light 12 times for 5 seconds after a 30-  
192 second sound with different intervals (25-60 seconds) in the same way as in the  
193 extinction training through optic cannulas for 2 days. Twenty-four hours after the last  
194 stimulation, the animals were perfused transcardially with PBS followed by 4% PFA in  
195 PBS. Isolated brains were post-fixed overnight and stored in PBS with 0.02% NaN<sub>3</sub>  
196 until cut on a vibratome (VT 1000E, Leica). Free-floating sections (40 µm) were  
197 processed for fluorescence immunohistochemistry following a protocol described  
198 previously (31) using the antibodies listed in supplemental table 1. Images were  
199 obtained with a Zeiss LSM 710 confocal microscope with a magnification of 20x. The  
200 intensity of delta FosB was analyzed by Fiji software (32).

201

202 *Statistics*

203 Biochemical data were analyzed by unpaired t-test following F-test. If standard  
204 deviation of the two groups were not equal, Welch's correction was applied. For  
205 comparisons of more than two groups, we used one-way ANOVA followed by Holm-  
206 Sidak's multiple comparisons test. Behavioral data was analyzed by two-way ANOVA,  
207 taking sessions and light exposure/non-exposure as independent factors, followed by  
208 Fisher's LSD test. All statistical analyses were performed using Prism 6 or 8 (GraphPad  
209 Software), and shown in supplemental table 3. A *p*-value <0.05 was considered  
210 statistically significant.

211

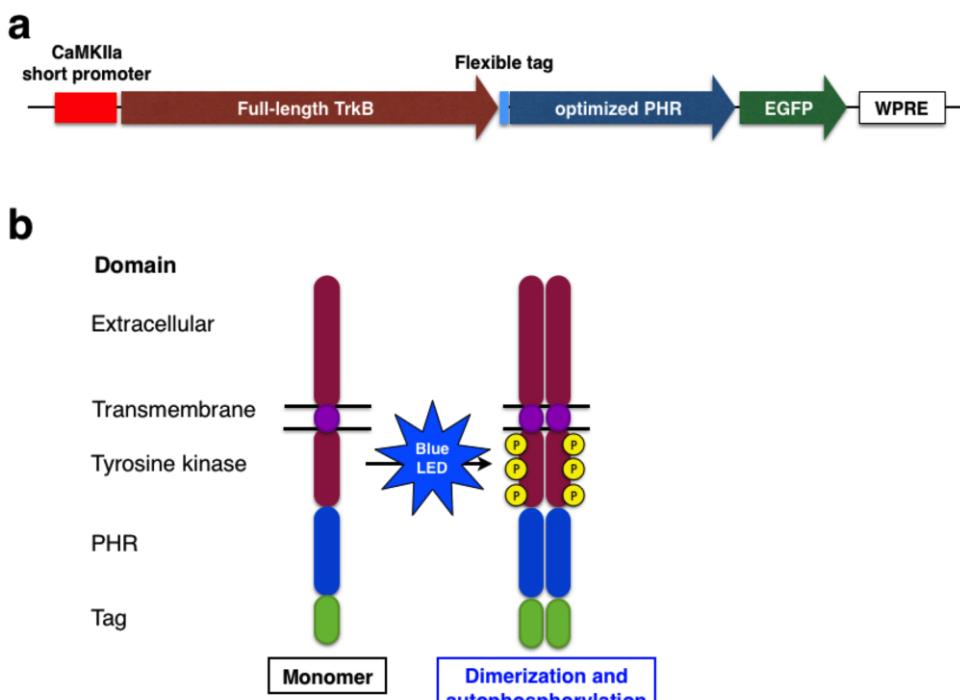
212

213 **Results**

214

215 *Construction of CKII-optoTrkB*

216 We optimized the codons of the PHR domain and the resulting Codon Adaptation Index  
217 (CAI) (33) was increased to 0.86 in the optimized codon, compared to 0.79 as in  
218 Chang's original PHR (Supplemental fig. 1). The homology of DNA sequences  
219 between our construct and the original optoTrkB was 78% (Supplemental fig. 2). The  
220 optimized PHR region, flexible tag (20), and full-length TrkB were sub-cloned into a  
221 lentivirus backbone vector with a short-type (0.4 kb) CaMKIIa promoter  
222 (pFCK(0.4)GW) (22). The CKII-optoTrkB construct was used for lentivirus production  
223 (see supplemental note).

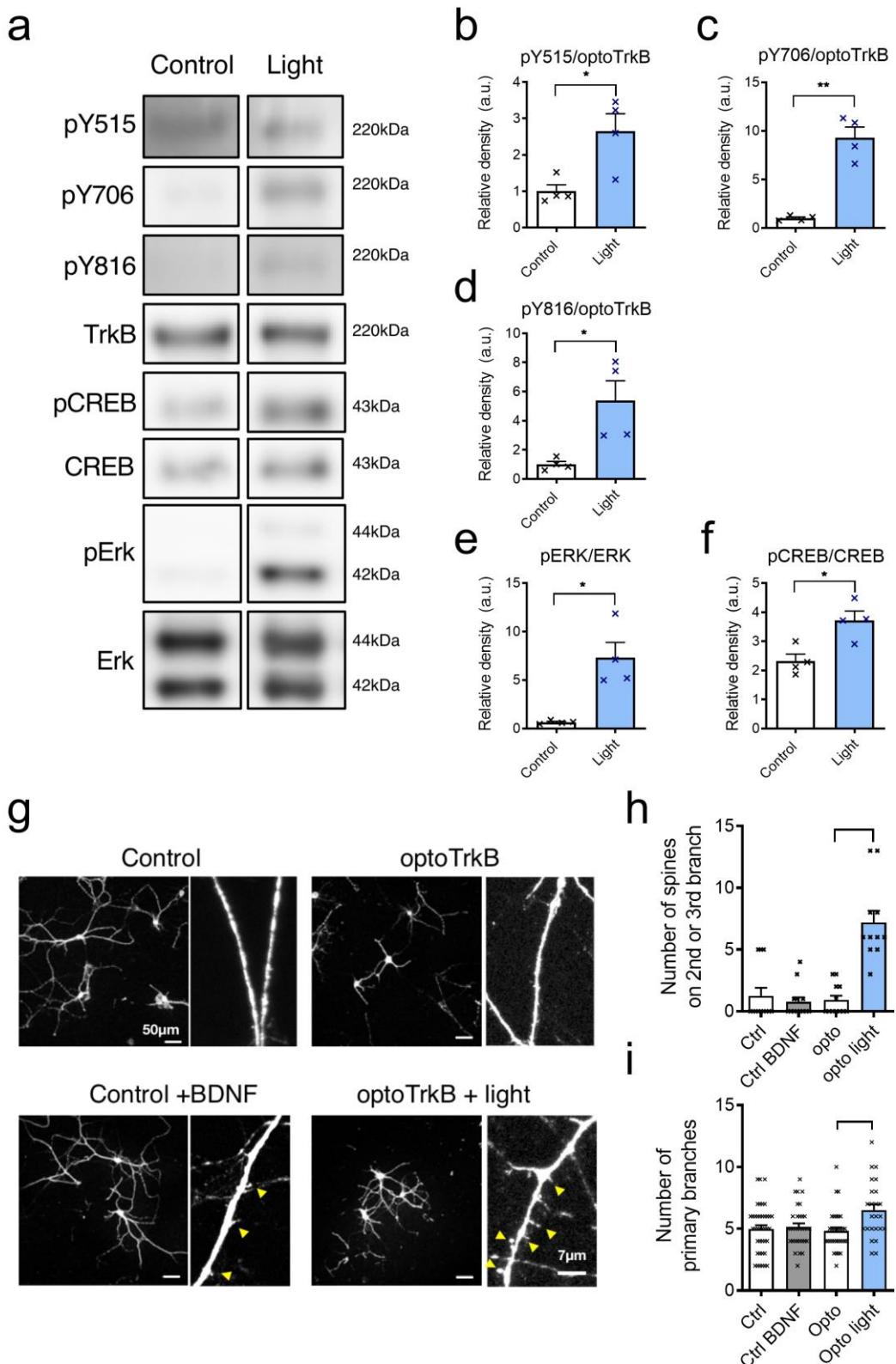


224

225 **Figure 1** Development of optoTrkB for the *in vivo* study. (a) Gene structure of optoTrkB. CKII-optoTrkB  
226 consists of a short version (0.4 kb) of CaMKIIa promoter, full length TrkB, flexible tag, EGFP, and  
227 Woodchuck Hepatitis Virus (WHP) Posttranscriptional Regulatory Element (WPRE). (b) Protein  
228 structure of optoTrkB. TrkB consists of extracellular, transmembrane, and tyrosine kinase domains and  
229 was conjugates with PHR and GFP. The PHR domain dimerizes in response to blue light (470 nm)  
230 therefore inducing dimerization and autophosphorylation of TrkB to activate the canonical TrkB signaling  
231 pathways.

232

233 *Optical stimulation of optoTrkB activates TrkB signals and neural plasticity in vitro*  
234 We determined the optimal virus concentration by testing different concentrations of  
235 CKII-optoTrkB in cultured cortical neurons and performed immunoblotting for  
236 phosphorylated TrkB (at tyrosine 706 residue, pY706), TrkB itself, and phosphorylated  
237 and non-phosphorylated Extracellular signal-regulated kinase (ERK), a downstream  
238 signal of the BDNF/TrkB pathway (Supplemental fig. 3). Immunoblotting with optimal  
239 concentration of the lentivirus showed an effect of light exposure on phosphorylation of  
240 optoTrkB at pY515, pY706, and pY816 (Fig. 2a, b, c, and d). As expected,  
241 phosphorylation of endogenous TrkB was not influenced by light (Supplemental fig. 4),  
242 but increased only after BDNF treatment (Supplemental fig. 5). Then we verified the  
243 phosphorylation of downstream signals of the BDNF/TrkB pathway and observed  
244 increased phosphorylation of cAMP response element-binding protein (CREB) and  
245 pERK after light stimulation when compared to the control group transfected with  
246 optoTrkB but not exposed to light (Fig. 2e, f).  
247 To verify if the activation of optoTrkB causes morphological changes of dendrites and  
248 spines *in vitro*, we stained cortical primary neurons with MAP-2 antibody (Fig. 2g). The  
249 number of spines on second- and third-order dendritic branches was increased at 24  
250 hours after light stimulation but not after BDNF treatment (5ng/ml) (Fig. 2h).  
251 Furthermore, we found an increased number of primary dendrites extending from the  
252 cell body in light-stimulated CKII-optoTrkB infected cells compared to non-stimulated  
253 cells or BDNF-treated cells. These results indicate that activation of optoTrkB promotes  
254 initial neurite and spine formation more rapidly than the BDNF treatment (28).



256 **Figure 2** (a) Immunoblotting with antibodies against phosphorylated TrkB and downstream signals of TrkB.  
257 Quantitative analysis of phosphorylation of optoTrkB at pY515 (b), pY706 (c), and pY816 (d) phosphorylation site  
258 after light stimulation (12 times for 5 seconds with 1-minute interval) (N = 4, each group). The intensity of optoTrkB  
259 (220 kDa) was normalized with the non-phosphorylated version of the same protein. There were significant effects of  
260 light exposure on phosphorylation of optoTrkB at pY515 (unpaired t-test, p = 0.0178), pY706 (p = 0.004), and pY816  
261 (p = 0.0477). Quantitative analysis of phosphorylation of ERK (e) and CREB (f) after light stimulation. Intensity of  
262 the bands of phosphorylated ERK (pERK) and CREB (pCREB) was normalized by non-phosphorylated ERK(42  
263 kDa) and CREB(43 kDa) , respectively. The ratios significantly increased after light stimulation (unpaired t-test,  
264 pCREB/CREB, p = 0.0133; pERK/ERK, p = 0.025). (g-i) Effects of activation of CKII-optoTrkB on primary  
265 dendrites and spines in cultured cortical neurons (DIV17). The uninfected neurons were treated with 5 ng/ml of  
266 BDNF as control. The number of spines on 2nd or 3rd branch and primary dendrites extending from the cell body  
267 was counted manually. (g) Representative images of MAP2 immunostaining of cortical neurons. (h) The number of  
268 spines was not increased after a 5ng/ml BDNF treatment (Holm-Sidak's multiple comparisons test, Control vs  
269 Control + BDNF, p = 0.6898). However, the number of spines after activation of CKII-optoTrkB was significantly  
270 higher than non-activated infected cells (one-way ANOVA, p < 0.0001; Holm-Sidak's multiple comparisons,  
271 optoTrkB vs optoTrkB light, p < 0.0001 (N=11-13 in each group). (i) The number of primary dendrites was not  
272 increased after BDNF treatment (Holm-Sidak's multiple comparisons test, Control vs Control + BDNF, p = 0.6898).  
273 However, the number of spines after activation of CKII-optoTrkB was significantly higher than non-activated  
274 infected cells (one-way ANOVA, p = 0.0053; Holm-Sidak's multiple comparisons, optoTrkB vs optoTrkB light, p =  
275 0.0023 (N=11-13 in each group).. (N = 48-63 in each group). CREB, cAMP response element-binding protein; ERK  
276 (Extracellular signal-regulated kinase). Scale bar, 50  $\mu$ m. Bars represent means  $\pm$  SEM. \* p < 0.05, \*\* p < 0.01, \*\*\* p  
277 < 0.001.

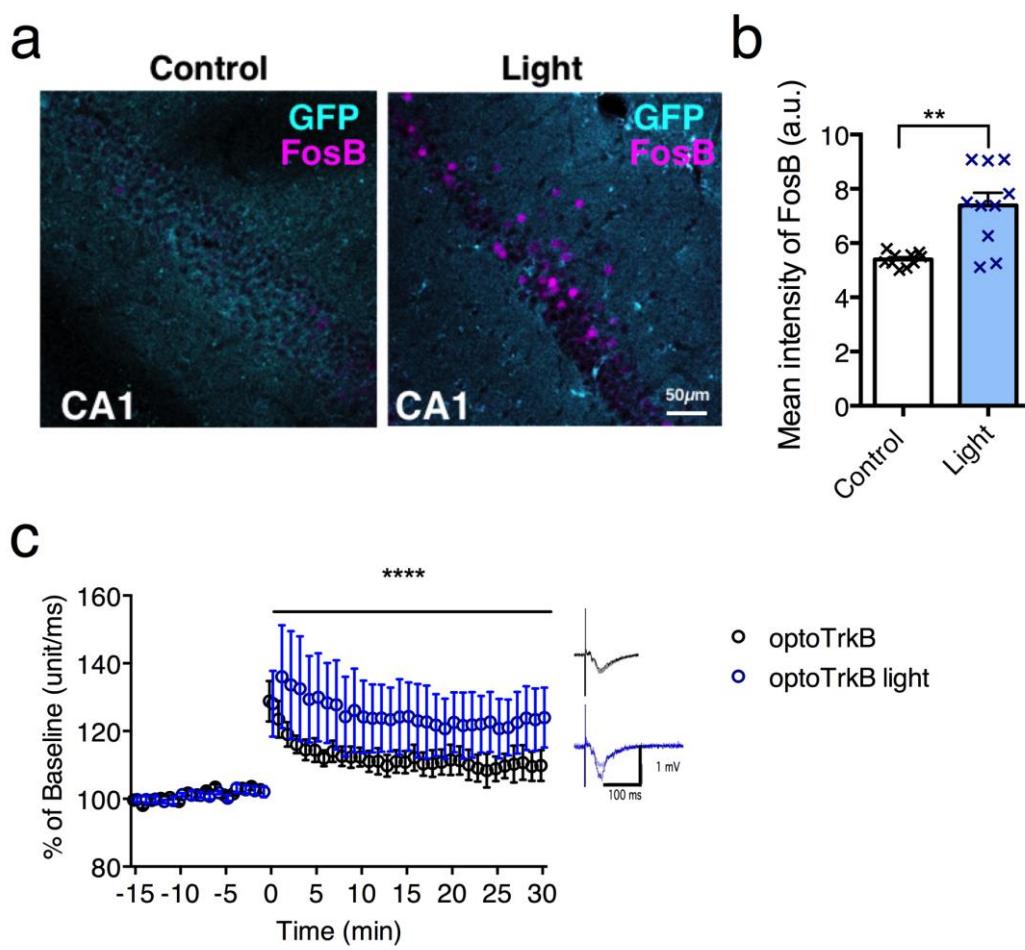
278 *Increased FosB expression after activation of optoTrkB in the ventral hippocampus*  
279 To confirm the activation of optoTrkB after light stimulation, optoTrkB lentivirus-  
280 infected mice were perfused 24 hours after 2 days of optic stimulation (12 sessions of  
281 5-second exposure). Immunohistochemistry showed an increase of delta FosB  
282 expression in the regions close to the infection sites in the CA1 of the vHP (Fig. 3a and  
283 b), indicating that optoTrkB promotes BDNF/TrkB signals, as shown previously in case  
284 of overexpression of BDNF (34).

285  
286  
287

288 *LTP is potentiated after activation of optoTrkB*

To investigate synaptic plasticity in the hippocampal circuitry, fEPSPs were recorded in acute hippocampal slices obtained from CMKII-optoTrkB lentivirus-infected mice after *ex vivo* light stimulation. A traditional tetanus stimulation resulted in a comparable induction of LTP in control and light-stimulated slices (Supplemental Fig. 6), suggesting that a strong tetanization induces LTP independently from optoTrkB activation. However, a brief theta-burst stimulation led to a robust increase in synaptic strength only in light-stimulated slices (Fig. 3c), while it produced a slight potentiation of fEPSPs in slices infected with optoTrkB without light exposure (control). This indicates that the activation of CKII-optoTrkB facilitates LTP induced by a brief theta-burst stimulation in the hippocampus.

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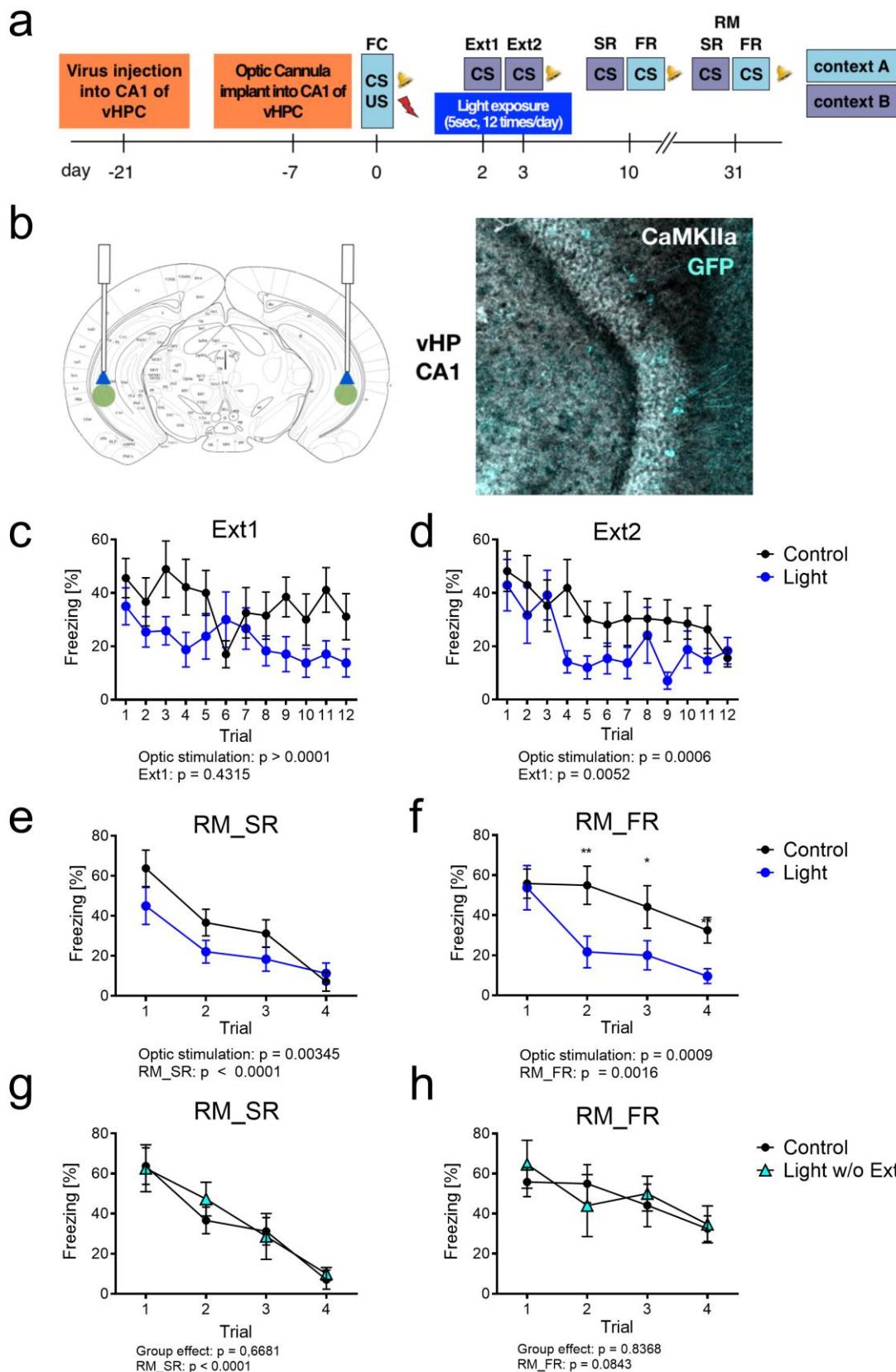
301

302 **Figure 3** Enhanced neural plasticity after optoTrkB activation ex vivo. BDNF/TrkB signals were activated in the  
303 pyramidal neurons in the CA1 of the vHP after optic stimulation of CKII-optoTrkB. (a) Representative figures of the  
304 delta FosB and EGFP stainings close to the infection sites in the CA1 of vHP. (b) Comparison of the intensity of delta  
305 FosB staining between non-light and light stimulation (N = 10, each group). Delta FosB immunoreactivity was higher  
306 in the group with light stimulation compared to the group without light stimulation (unpaired t-test,  $p = 0.0006$ ). (c)  
307 The slices from CKII-optoTrkB infected mice were activated by light for 30 seconds. After 30 minutes, long-term  
308 potentiation (LTP) was induced by brief theta burst. fEPSPs during the last 10 minutes of recording were  
309 significantly larger in the group with light exposure compared to the group without light (two-way ANOVA,  $p <$   
310 0.0001). Pictures in the right panel show representative traces of fEPSC during baseline and after LTP induction.  
311 (optoTrkB, N = 5; optoTrkB light, N = 7). Error bars indicate mean  $\pm$  SEM.

312 *Activation of optoTrkB during fear extinction training reduces fear memory*  
313 Since the vHP is thought to be a key brain region for the processing of the extinction of  
314 contextual fear memory (23), we hypothesized that the activation of optoTrkB in the  
315 vHP during fear extinction may promote fear erasure. To test this hypothesis, we  
316 performed the fear conditioning paradigm (Fig. 4a). CKII-optoTrkB lentivirus was  
317 infected bilaterally into the CA1 region of the vHP, and optic cannulas were implanted  
318 into the same region (see Material and method) (Fig. 4b). During fear-  
319 conditioning/acquisition, all infected and implanted mice were conditioned by exposing  
320 them to a mild foot shock paired with a sound cue in context A, and all mice showed  
321 increased freezing (supplemental fig. 6). The mice were then equally divided into the  
322 following two groups: control (without stimulation) and light exposure (supplemental  
323 fig. 6). Two days later, the vHP was bilaterally exposed to light through optical fibers  
324 for 5 seconds immediately after the CS (“beep” sounds) in context B during 2 days of  
325 extinction training. During the extinction training of the first day (Ext1) (Fig. 4c) and  
326 the second day (Ext2) (Fig. 4d), both groups showed decreased freezing, but the effect  
327 was significantly more pronounced after LED stimulation. One week later there was no  
328 difference of freezing in context B (spontaneous recovery) and a weak decrease of the  
329 fear renewal in the LED group in context A (fear renewal) (supplemental fig. 7).  
330 However, three weeks later, the previously light-stimulated mice showed a decrease of  
331 freezing in the spontaneous recovery test (Fig. 4e) and a strong decrease in the fear  
332 renewal test (Fig. 4f). These results indicate that the light-stimulated mice initially  
333 retain a high freezing representation, but they then reduce the long-term or remote

334 contextual fear memory. CKII-optoTrkB-infected mice stimulated by light without  
335 extinction training did not show differences compared to the control group during  
336 remote spontaneous recovery (Fig. 4g) or remote fear renewal (Fig. 4h). On the  
337 contrary, it was significantly different to the group exposed to both LED and extinction  
338 training (Supplemental figure 7), indicating that conditioned fear is reduced only when  
339 combining CKII-optoTrkB activation and extinction training but not with either  
340 intervention alone.

341



343 **Figure 4** Activation of CKII-optoTrkB combined with extinction training promotes extinction of conditioned fear. (a)  
344 Scheme of fear extinction paradigm. Mice were subjected to fear extinction training in context B two days after fear  
345 conditioning with tones in context A. After 1 week, mice were then subjected to spontaneous recovery (SR) in  
346 context B and fear renewal (FR) in context A. Further 3 weeks later, the mice were subjected again to SR and FR for  
347 testing remote memory (RM). (b) CKII-optoTrkB lentivirus was infected into CA1 of the vHP (3.1 mm caudal,  $\pm 2$   
348 mm latera from Bregma, 3.9 mm depth from *dura mater*) (left). A representative infected site in the vHP (right). (c)  
349 (d) Significant effects of sessions were detected in freezing response during day 2 of extinction training (two-way  
350 ANOVA,  $p = 0.0052$ ) and an effect of light stimulation during both days (Day1,  $p < 0.0001$ ; Day2,  $p = 0.0006$ ). In  
351 addition, there were significant differences between the first extinction session and the session with the lowest  
352 freezing duration post hoc (Fisher's LSD): control, 1st in 1st day vs 12th in 2nd,  $p = 0.0060$ ; light, 1st in 1st day vs  
353 9th in 2nd,  $p = 0.0159$ ). Light-stimulated mice showed a decrease of freezing in remote spontaneous recovery  
354 (RM\_SR) (two-way ANOVA:  $p = 0.00345$ ) (e) and a strong decrease in remote fear renewal (RM\_FR) ( $p = 0.0009$ ).  
355 (f) Post-hoc analysis showed significant differences between two groups after second sessions (Fisher's LSD: light2-  
356 4,  $p = 0.0002$ ; post-hoc: 2nd,  $p = 0.0047$ ; 3rd,  $p = 0.0362$ ; 4th,  $p = 0.0465$ ). The group with light stimulation but no  
357 extinction training (LED w/o Ext) did not show decreased freezing during (g) remote spontaneous recovery (two-way  
358 ANOVA,  $p = 0.6681$ ) or (h) remote fear renewal (two-way ANOVA,  $p = 0.8368$ ) compared to control. Control  
359 (optoTrkB infected without light),  $N = 8$ , optoTrkB light, 8; optoTrkB light w/o extinction, 5). Error bars indicate  
360 mean  $\pm$  SEM. \*  $p < 0.05$ , \*\*  $p < 0.01$ .

361

362 **Discussion**

363 We developed a CKII-optoTrkB lentivirus by modifying the original optoTrkB (19) and  
364 demonstrated that it can be used to promote plasticity for *in vivo* studies. The optical  
365 activation of optoTrkB in the pyramidal neurons in the CA1 of the vHP promotes  
366 plasticity in the fear circuitry and enables fear extinction training to greatly reduce the  
367 conditioned fear memory, specifically the contextual memory. This study directly  
368 demonstrates that the activation of TrkB can promote plasticity-related behaviors in the  
369 fear circuitry. Moreover, our optoTrkB approach represents a new system to control  
370 plasticity temporarily and spatially.

371

372 *Strong and rapid effects of optoTrkB activation compared to BDNF treatment*

373 In cultured cortical neurons, light stimulation of CKII-optoTrkB promoted  
374 phosphorylation of CREB and ERK to the same extent as BDNF stimulation,  
375 suggesting that activation of CKII-optoTrkB has biochemically comparable effects to  
376 BDNF treatment at concentrations of 5 ng/ml. Moreover, in contrast to BDNF  
377 treatment, activation of optoTrkB promoted initial neurite and spine formation. It has  
378 been reported that a higher BDNF concentration is needed to observe such a drastic  
379 increase in the number of primary dendrites and spines (28,35,36). Thus, the activation  
380 of CKII-optoTrkB acts as rapidly and efficiently as longer treatment with high  
381 concentration of BDNF.

382

383 *Neural plasticity is increased after activation of CKII-optoTrkB ex vivo*

384 Previous studies with deleted and mutated TrkB showed impaired LTP at CA1  
385 hippocampal synapses and impaired learning behaviors (15,18), indicating that TrkB  
386 activation is critical for LTP induction in the hippocampus. We now demonstrate that  
387 after direct activation of TrkB through CKII-optoTrkB a brief TBS produced a robust  
388 LTP that was significantly larger compared to controls. We used a modified and “brief”  
389 TBS, since a stronger TBS protocol robustly induces LTP in the hippocampus (37).  
390 Interestingly, induction of LTP in response to a strong tetanic stimulation was not  
391 affected by activation of CKII-optoTrkB, suggesting that TrkB activation lowered the  
392 threshold for LTP induction. Alternatively, LTP induced by tetanic stimulation could be  
393 reaching a “saturated point”, occluding any facilitation by optoTrkB activation. TBS has  
394 been shown to reflect physiological conditions (38). Our results strongly suggest that

395 activation of optoTrkB can sensitize pyramidal neurons in the hippocampal network to  
396 be more plastic and to respond to a brief stimulation and adapt to external stimuli, such  
397 as an extinction training.

398

399 *Activation of optoTrkB combined with extinction training reduces fear memory but does*  
400 *not delete it entirely.*

401 In the current study, light-stimulated mice did not completely erase the fear response in  
402 the first session, but rather in the second session of the remote fear renewal tests.  
403 Similar effects, where fear response is decreased after the second session in fear  
404 renewal, were found after PNN removal in the basolateral amygdala (BA) before  
405 extinction training (11). In contrast, the combination of extinction training and chronic  
406 treatment with fluoxetine decreases the fear response almost completely even in the first  
407 session (9). These results suggest that optoTrkB activation in combination with  
408 extinction training does not completely replace or erase the conditioned fear memory  
409 but it reduces it by promoting neural plasticity in the pyramidal neuron network of vHP  
410 during the extinction training. These observations might support the idea that the  
411 original fear memory is preserved and the extinction training simply adds a new  
412 inhibitory association rather than erasing the original memory (39–41).

413

414 *Fear extinction circuitry*

415 Our results suggest that plasticity in pyramidal neurons in the vHP is a key element for  
416 processing the extinction of contextual fear memory. Fear extinction is thought to be  
417 controlled by a distributed network, including the amygdala, mPFC, and hippocampus  
418 (23). Prior evidence suggests that fear memories are disrupted with an increased activity  
419 of the vHC; optical activation of these neurons was reported to induce fear extinction  
420 and modification of behavior related to mood and spatial memory (25). The vHP may  
421 modulate emotional regulation, whereas dorsal HP is thought to contribute to cognitive  
422 functions such as learning and memory (42–46). The CA1 region of the vHP in  
423 particular sends strong projections to other regions, such as the BA, hypothalamus,  
424 nucleus accumbens, and the mPFC (47–50), and there is evidence that these projections  
425 process emotional behavior (51–53). In addition, impaired function in  
426 Hippocampal-prefrontal circuit has been observed in psychiatric patients including  
427 PTSD and schizophrenic subjects (54), and configurational changes in prefrontocortical

428 inputs from Amygdala and Hippocampus have been suggested as a possible mechanism  
429 underlying psychiatric disorders (55). Furthermore, it has been reported that BDNF  
430 infusion into the PFC and HP erases fear memory (56), and engram cells of projection  
431 neurons in CA1 of vHC play a necessary and sufficient role in social memory (57).  
432 Recently, Jimenez et al demonstrated that optogenetic activation of the CA1 terminals  
433 in BA impaired contextual fear memory (53). Thus, our results suggest that plastic  
434 changes in the projection neurons in CA1 of the vHP, enabled by optoTrkB activation,  
435 modify anxiogenic contextual information when combined with fear extinction training.  
436

#### 437 *iPlasticity and application of optoTrkB system in vivo*

438 Many kinds of interventions induce iPlasticity, where networks in adult brain are  
439 allowed to better adapt to the changes in the internal and external milieu (16,17,58). In  
440 addition to fear extinction, specific training and other external manipulations, when  
441 combined with fluoxetine, have been shown to increase neural plasticity and alter  
442 symptoms of neuropsychiatric diseases in models such as ocular dominance plasticity  
443 (14) and socialization animal models (59). We hypothesize that these effects are  
444 modulated via the BDNF/TrkB pathway, but it is not yet clear which neural pathways  
445 are modified through iPlasticity in these behaviors. OptoTrkB is a new tool for  
446 controlling neural plasticity in a temporal and cell-type specific manner and the optic  
447 control of neural plasticity adds another dimension to traditional optogenetics using  
448 direct activation and inhibition of neurons by channelrhodopsin and halorhodopsin in  
449 experimental neurosciences.  
450

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462

### 463 **Conflict of Interest**

464 The authors declare no competing financial interests.

465

### 466 **AUTHOR CONTRIBUTIONS**

467 J.U., R.G., and E.C. conceived of and designed the project. J.H., G.D., G.L., MV, and  
468 J.U. performed experiments related to cultured cells. M.L., H.A., G.D, F.W., and J.U.  
469 conducted operations, behavioral experiments, and immunohistochemistry. F.W.  
470 performed electrophysiological experiments under the supervision of T.T. and S.L. All  
471 authors were involved in writing the manuscript.

472

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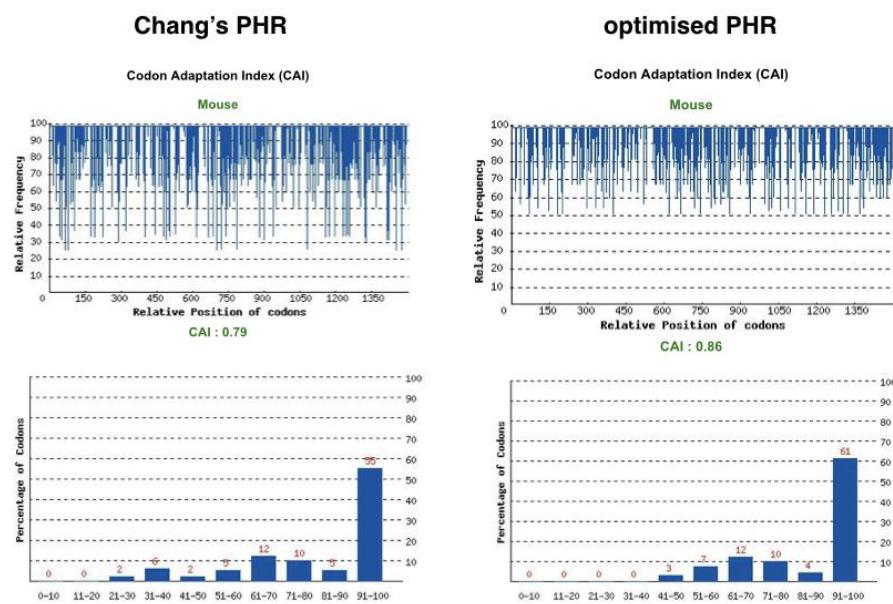
724 **Supplemental figures**

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**Supplemental Fig. 1**



The percentage distribution of codons in computed codon quality groups

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729 **Supplemental Figure 1** optimized codons of PHR domain

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## Supplemental Fig. 2

### Alignment of Chang's and a newly optimized PHR

Start		Chang's Optimized		Chang's Optimized	
Chang's Optimized	ATGAAAGATGCCAAGAAAAGCATTCTCGTGGTTCCGGAGAGATTGGAGATAAGAGATAAT	Chang's Optimized	GTTAACTCAACTGCTGCTCTCCATAATCTCAGTTTGGCGAGATTTCCTGTCGCCAT		
Chang's Optimized	ATGAAAGATGCCAAGAAAAGCATTCTCGTGGTTCCGGAGAGATTGGAGATAAGAGATAAC	Chang's Optimized	GCCAAATCAACAGCGCTGCCCTCTCCATACTGGAGATCTGAGATCAGTCGCCAT		
Chang's Optimized	CCCGGGCTCCCGCCGCGGCCAACAGGGTTTCCCTCCCGTTTTCATTGGTGTCTCT	Chang's Optimized	GTTATTCAGTCGGCTGGAGATAAAAGATTCCTGGCGAGATAAAAGACCGCGACG		
Chang's Optimized	CCAGCACTCGCACGCAAGCCCGCCATAGGGGACAGCTGGTGTCTGTCAGTCGCCCC	Chang's Optimized	GTCCTTCAGTCGGCGACGAACTGAGACGATCATTGGCGCCCGAGATAAAAGCTGGCG		
Chang's Optimized	GAAGAAGAGGGCGAGTTTTACCGGAGGGCTCTAGGGTGTGGATGAGAACCTCTG	Chang's Optimized	GAAGAAGAGGGCGAGCTCTGGTGTGGAGTCGGAGCTTCGGGAGACTTCGGGTATA		
Chang's Optimized	GAGGAAGAGGGCGAGTTTTACCGGAGGGCTCTAGGGTGTGGATGAGAACCTCTG	Chang's Optimized	GAAGAGTCAGGGGACCTCTGGTGTGGAGTCGGAGCTTCGGGAGACTTCGGGTATA		
Chang's Optimized	*****	*****	*****		
Chang's Optimized	GCCCATCTTGGCACTACTGAAACGACTGGGAGATCTAACGGTACAGACAC	Chang's Optimized	TGTTTCAACTTCCCATTCAACAGACGAGCTGGTGTCTTCACACTCAGGTTCTTC		
Chang's Optimized	ATGAGCCACTCTGGCACTACTGAAACGACTGGGAGATCTAACGGTACAGACAC	Chang's Optimized	TGTTTCAATTCCCCTTCACCCACAGACGACTCTGCTCAACGATCTGAGATCTTCT		
Chang's Optimized	*****	*****	*****		
Chang's Optimized	AATACACATCTCCGCCATTCTGGACTGAGCTTACGGGCACTGGGCACTGGGTT	Chang's Optimized	TGGAGACCCGAGTCAGGACAACTTCAAGGGCATGGAGACAGGGAGAGGACAGGTC		
Chang's Optimized	AAACACATCTCCGCCATTCTGGACTGAGCTTACGGGCACTGGGCACTGGGTT	Chang's Optimized	TGGAGACCCGAGTCAGGACAGTCAAGGGCTGGGAGACGGGAGAGGAGTTTCACTG		
Chang's Optimized	*****	*****	*****		
Chang's Optimized	AACACACTTACAGATCCAGTGGAGACACACTGGGAGAGGAGAACCTGGTGTG	Chang's Optimized	GTGGATCTGGCATGAGACAGCTTCGGTGTGAGTCAGGGCTGAGTCACACCGGATA		
Chang's Optimized	AAATGAGCTTACAGATCCAGTGGAGACACACTGGGAGAGGAGAACCTGGTGTG	Chang's Optimized	ATGGATCAGGGATCAGGGAGCTGGGAGCTGGGAGCTGGGAGCTGGGAGCTGGG		
Chang's Optimized	*****	*****	*****		
Chang's Optimized	GAACCGGGATTCAGTCAAGAGCTAACAGGGGACCTCTCTGGACACCAAGGGAGAT	Chang's Optimized	ATCGCCTGGCTTCTGGCTGGAGCTGGGAGCTGGGAGCTGGGAGCTGGGAGCTGG		
Chang's Optimized	GAACCGGGATTCAGTCAAGAGCTAACAGGGGACCTCTCTGGACACCAAGGGAGAT	Chang's Optimized	ATTCGACSTCTTCTGGATGGAGCTGGGAGCTGGGAGCTGGGAGCTGGGAGCTGG		
Chang's Optimized	*****	*****	*****		
Chang's Optimized	TATTCGAGAAAGGGAAACCGTTACCCACTTCAACAGTTACCTTCAATCCAGTACTG	Chang's Optimized	TTTGGGATACCTCTGGACGCCGACCTGGAGTGTGACATCTGGAGTGGCAATATT		
Chang's Optimized	TACTGGAGAAAGGGAAACCGTTACCCACTTCAATCCAGTACTGAGAGAAAATGCTGGAC	Chang's Optimized	TTTGGGATACCTCTGGAGTGGGATGCCGACCTGGAGTGTGACATCTGGAGTGGCAATATT		
Chang's Optimized	*****	*****	*****		
Chang's Optimized	ATGTCATAGAGCCGGTATGTTGNGCCCTCCCTGGAGACTGAGCGATTACTGGTGT	Chang's Optimized	AGCGGCGTAAATTCCTGGACGCCGATGAGTTGGACAGGTTGGACATTCGGCTTGGAGGGA		
Chang's Optimized	ATGTCATAGAGCTGGCCCTCCCTGGAGACTGAGCGATTACTGGTGT	Chang's Optimized	GTCGGCTTCTATCCCGATGAGCTGGGAGCTGGGAGCTGGGAGCTGGGAGCTGGGAGG		
Chang's Optimized	*****	*****	*****		
Chang's Optimized	GCAGAGGGCATCTGGCCCTCCATGGAGACTGGCTCTGGAAATGAGGGAGAAG	Chang's Optimized	GCTAAAGTATGATCCGGAGAGGAGATATATTCGAGCTGGCTCCGGAGCTGGCGACGTT		
Chang's Optimized	GGCCAGGGCATCTGGCCCTCCATGGAGACTGGCTCTGGAAATGAGGGAGAAG	Chang's Optimized	GCAAAAGTATGACCCGGAGGGTGAATACATCAGGGAGTGGCTCCGGAGCTGGCGACGTT		
Chang's Optimized	*****	*****	*****		
Chang's Optimized	CCGAGACATCTCTGGAGACCGGGCTGGTGTCTGGACACGAGCTGGTGT	Chang's Optimized	CCTGGAGGCTGGATTCACCTCTGGAGACCGGGCTGGTGTCTGGACACGAGCTGGTGT		
Chang's Optimized	CTTAAAGGGTTATCGAGAAACACTGAGTGGACTACCGGAGAGACTCTGGAGAGTGT	Chang's Optimized	GTCGGAGCTGGAGACTTAACTGGCTGGAGCTGGAGCTGGAGCTGGAGCTGGAGCTGG		
Chang's Optimized	CTCAATGGGTTATCGAGAAACACTGAGTGGACTACCGGAGAGACTCTGGAGAGTGT	Chang's Optimized	CTGGCAAGGGTATTCAAGGACACGGGAGGGACAGATTATGATGGTGTCTGGAGCTGG		
Chang's Optimized	*****	*****	*****		

Last

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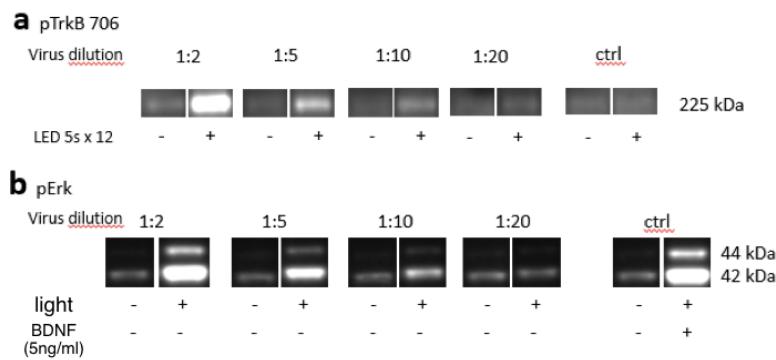
741 Supplemental Figure 2 Homology between original PHR and the newly optimized PHR.

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Homology is 78% at nucleotide level.

**Supplemental Fig. 3**

**optimal concentration of CKII-optoTrkB for in vitro studies**

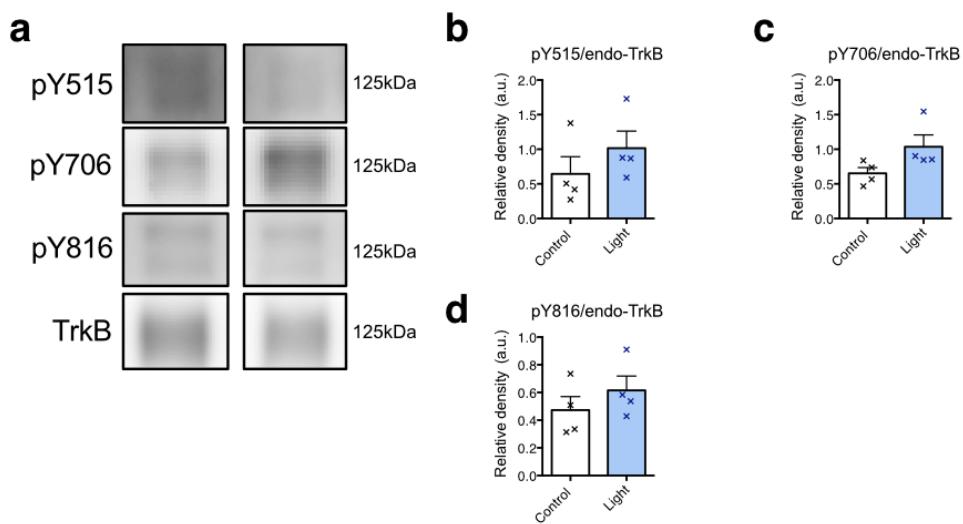


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744 **Supplemental Figure 3** Optimal concentration of CKII-optoTrkB for in vitro studies. Initial virus titer expressed as  
745 concentration of p24 protein:  $8.37 \times 10^7$  pg/ml. (a) Phosphorylation of Y706 site of optoTrkB and (b) phosphorylation  
746 of Erk after LED light exposure in primary cortical cells infected with different concentrations of lentivirus. To  
747 obtain different concentrations, the virus was diluted in sterile PBS to reach 1:2, 1:5, 1:10 and 1:20 dilutions. The  
748 virus was diluted just before administering it to the cells.

**Supplemental Fig. 4**

**Phosphorylation of TrkB and downstream signals after optoTrkB activation**

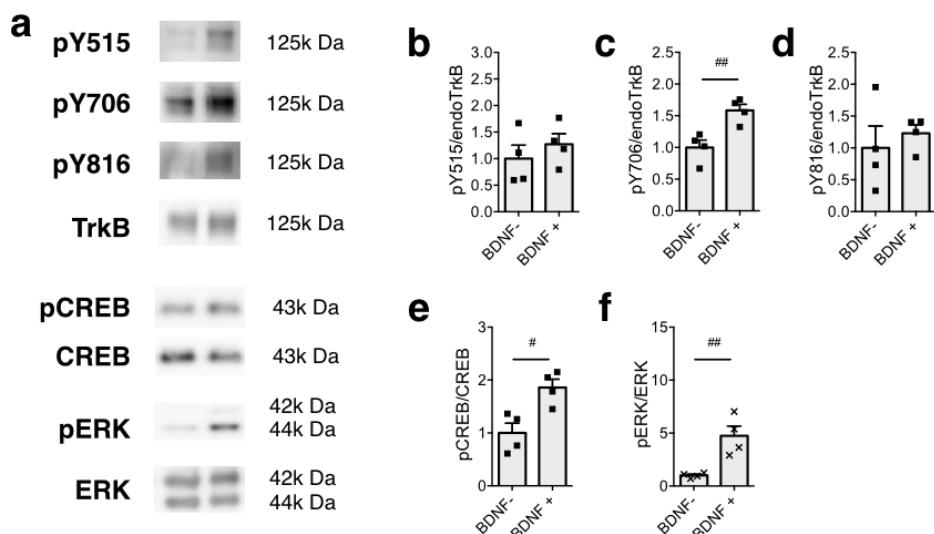


749

750 **Supplemental Figure 4** Phosphorylation of endogenous TrkB after light stimulation. (a) Immunoblotting and  
751 quantitative analysis of phosphorylation at pY515, pY706, and pY816 sites after light stimulation (12 times for 5  
752 seconds with 1-minute interval) ( $N = 4$ , each group). The intensity of endogenous TrkB phosphorylation (125 kDa,  
753 left panel) was normalized with the non-phosphorylated version of the same protein. (b-d) There was no significant  
754 effect of light exposure on phosphorylation of endogenous TrkB (unpaired t-test: pY515  $p = 0.3289$ ; pY706  $p =$   
755 0.0889; pY816  $p = 0.3576$ ).

**Supplemental Fig. 5**

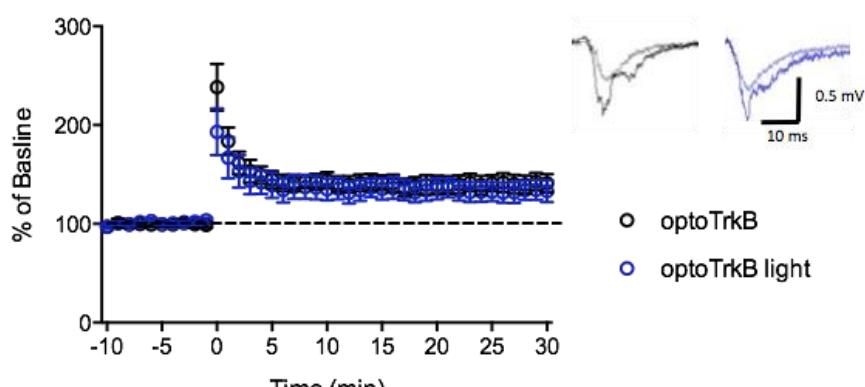
**Phosphorylation of TrkB and downstream signals after BDNF treatments**



756

757 **Supplemental Figure 5** Phosphorylation of TrkB and downstream signals after BDNF treatments in non-infected  
758 cultured cortical neurons. (a) Representative images of blotting for phosphorylated and non-phosphorylated  
759 endogenous TrkB. the ratio between phosphorylated/non-phosphorylated endogenous TrkB expression at Y515 (b),  
760 Y706 (c), Y816 (d), CREB (e), and ERK (f). Unpaired t-test showed that BDNF induced a significant  
761 phosphorylation of the Y706 site of TrkB receptor (pY706 p = 0.0084; pY816 = 0.5558; pY515 p = 0.4345) as well  
762 as phosphorylation of CREB and Erk (pCREB p = 0.0120; pErk p = 0.0070) # p < 0.05, ## p < 0.01. Bars represent  
763 means  $\pm$  SEM.

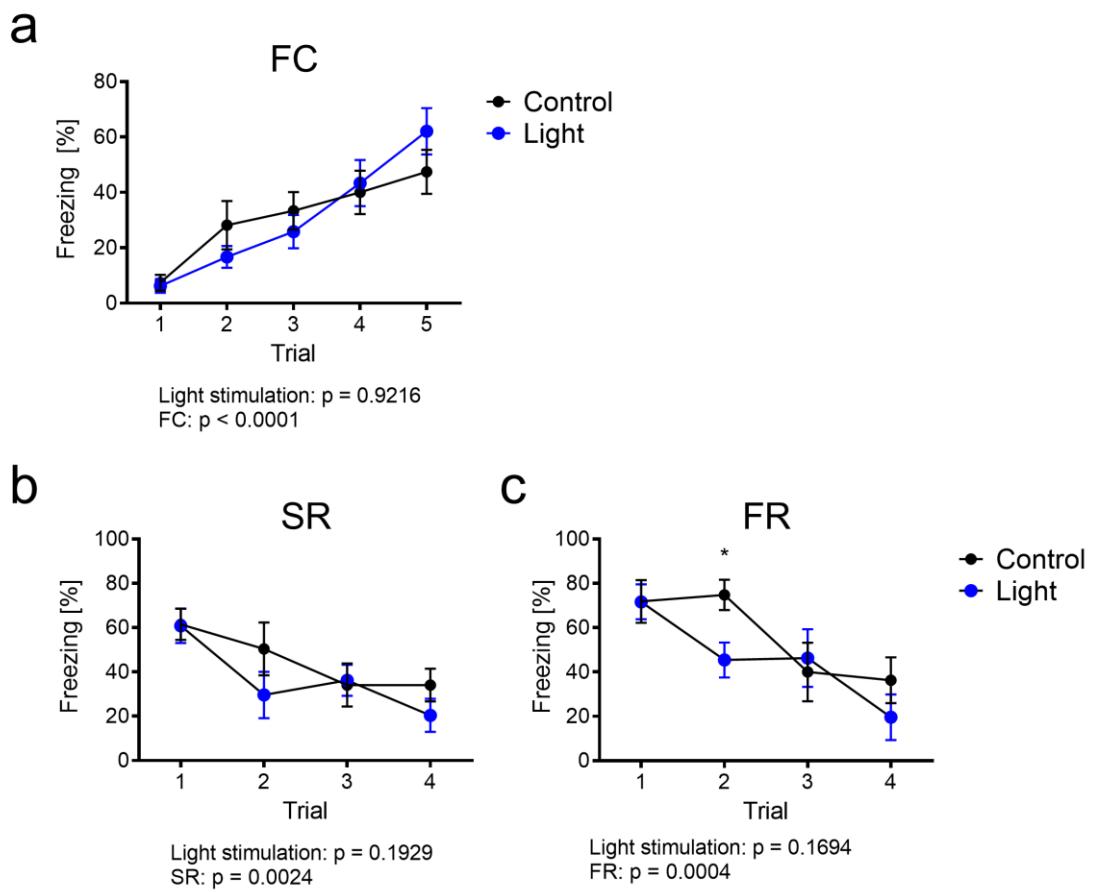
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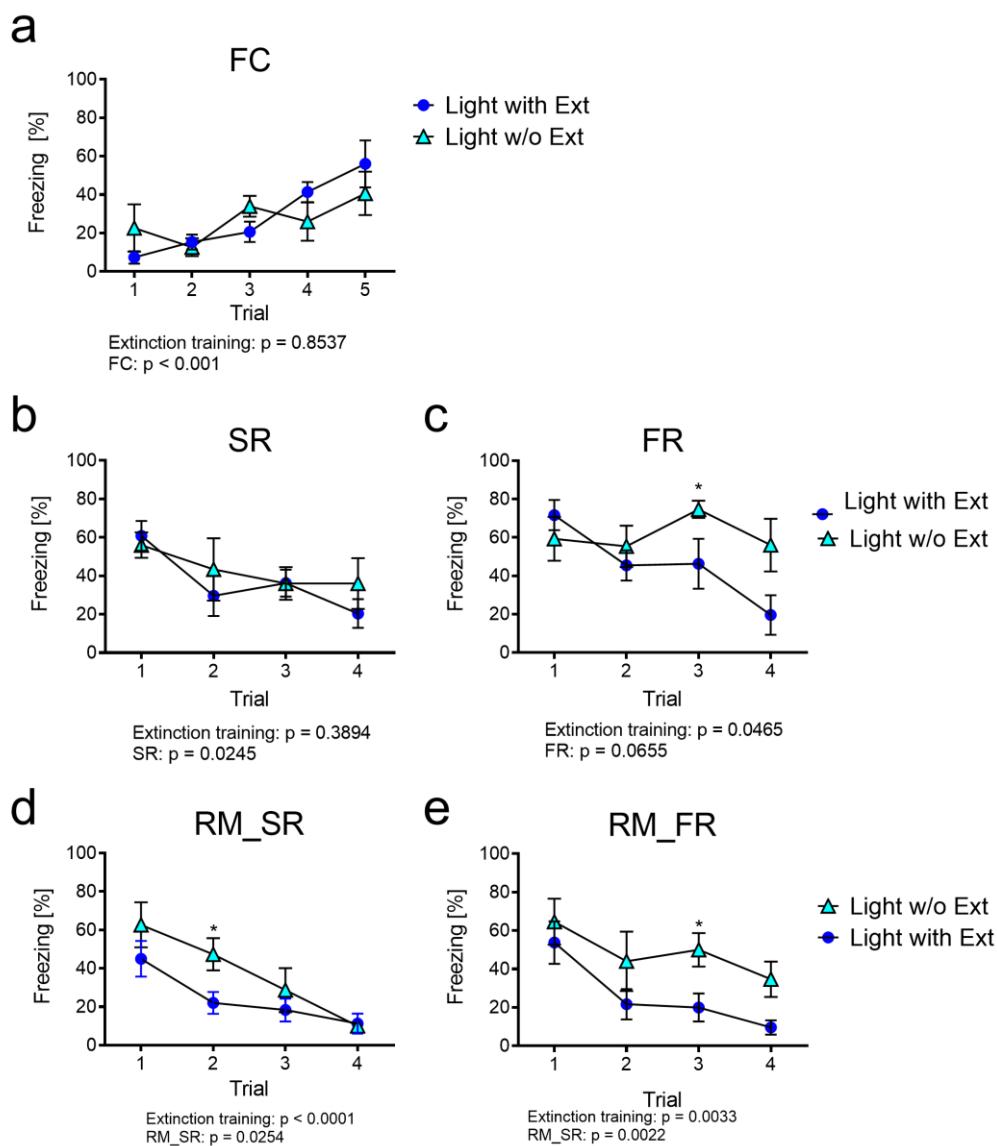
766 **Supplemental Figure 6** Enhanced neural plasticity after optoTrkB activation ex vivo.

767 The slices from CKII-optoTrkB infected mice were activated by light for 30 seconds. After 30 minutes, a long-term  
768 potentiation (LTP) was induced by tetanic stimulation (100 pulses at 50 Hz). At 20 to 30 minutes after tetanization,  
769 fEPSPs were larger than baseline in both non-light and light groups and there was no significant difference between  
770 the groups (two-way ANOVA,  $p = 0.0840$ ). Pictures in the right panel show representative traces of fEPSC during  
771 baseline and after LTP induction. (optoTrkB,  $N = 6$ ; optoTrkB light,  $N = 6$ ). Error bars indicate mean  $\pm$ SEM.



772

773 **Supplemental Figure 7.** Fear extinction paradigm with mice carrying optoTrkB with extinction training (a) Both  
774 control (CKII-optoTrkB infected mice) and light groups (CKII-optoTrkB infected LED-exposed mice) increased  
775 freezing during the conditioning/acquisition phase (two-way ANOVA,  $p < 0.0001$ ) and exhibited the same levels of  
776 fear acquisition. Spontaneous recovery (SR) (b) and fear renewal (FR) (c) after activation of CKII-optoTrkB during  
777 fear extinction trainings. Previous light stimulation had no effect on freezing duration in SR (two-way ANOVA,  $p =$   
778 0.1929) or in FR ( $p = 0.1694$ ). There was a significant difference in the 2nd session between control and light-  
779 stimulated mice (post hoc:  $p = 0.0454$ ). N = 8 per group. SR, spontaneous recovery; FR, fear renewal. \*  $p < 0.05$ , \*\*  
780  $p < 0.01$ . Error bars indicate mean  $\pm$  SEM.



781

782     Supplemental Fig. 8: Comparison between groups exposed to light with and without extinction training. (a) Both the  
 783     light group (CKII-optoTrkB-infected mice stimulated by light with extinction training) and the light group without  
 784     extinction (CKII-optoTrkB-infected mice stimulated by light without extinction training) increased freezing during  
 785     the conditioning/acquisition phase (two-way ANOVA, p < 0.001) and exhibited the same levels of fear acquisition.  
 786     There was a significant decrease in FR (two-way ANOVA, p = 0.0465) (c), but not SR (p = 0.3894) (b) in the group  
 787     with extinction training compared to the one without extinction training. The light with extinction group showed a  
 788     stronger decrease in remote spontaneous recovery (RM\_SR) (p < 0.0001) (d) and remote fear renewal (RM\_FR) (p =  
 789     0.033) compared to Light w/o Ext (e). optoTrkB light, N = 8; optoTrkB light w/o extinction, N = 5) \* p < 0.05, \*\* p  
 790     < 0.01.

### Supplemental table1 List of antibodies

Name	Application	Host	Dilution	Company	Product No
Horse Radish Peroxidase conjugated Goat Anti-Rabbit	WB	Goat	1:10000	BIO-RAD	#1705045
Horse Radish Peroxidase conjugated Goat Anti-Mouse	WB	Goat	1:10000	BIO-RAD	#1705047
Mouse anti-GFP	IHC	Mouse	F7 (1:625) C8 (1:667)	Memorial Sloankettering Monoclonal Antibody	04: clone19F7 02: clone19C8
Chicken anti-MAP2	IHC	Chicken	1:5000	Abcam	ab11267
Mouse anti-CAMKII	IHC	Mouse	1:500	Abcam	ab22609
Rabbit anti-FosB	IHC	Rabbit	1:500	Santa Cruz	sc-7203
Chicken anti-GFP	IHC	Chicken	1:1000	Abcam	ab13970
Alexa 546 Goat anti-mouse	IHC	Goat	1:400	LifeTechnologies	A21123
Alexa 647 Goat anti-chicken	IHC	Goat	1:400	LifeTechnologies	A21449
Alexa 546 Donkey anti-rabbit	IHC	Donkey	1:500	Thermo Fisher	A10040
Alexa 647 Donkey anti-mouse	IHC	Donkey	1:500	Thermo Fisher	A31571
Alexa 488 Donkey anti-chicken	IHC	Donkey	1:500	Jackson	AB_2340375

WB: Westernblotting

IHC: Immunohistochemistry

791

### Supplemental table 2 Primers for Gibson cloning

Name	Sequence
fTrkB_link_R2	ctaccccccgcgcGCCTAGGATATCCAGGTAGAC
link_oPHR_F2	tcctaggcgccgggggtagcggcgaggggggtccgggggaATGAAGATGGACAAGAAACTATC
oPHR_CK_R2	tcaccatggggcgaaAGCAGCCCCAATCATAATC
pfTrkB_CK_F2	agcgatccccggtaggatccATGTCGCCCTGGCTGAAG

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