

1 RESEARCH ARTICLE

2 RUNNING HEAD: The lung ECM landscape in SEO and moderate COPD.

3

4 **The lung extracellular matrix protein landscape in severe early-onset**  
5 **and moderate chronic obstructive pulmonary disease.**

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## 1 ABSTRACT

2 Extracellular matrix (ECM) remodeling has been implicated in the irreversible obstruction of airways  
3 and destruction of alveolar tissue in chronic obstructive pulmonary disease (COPD). Studies  
4 investigating differences in the lung ECM in COPD have mainly focused on some collagens and elastin,  
5 leaving an array of ECM components unexplored. We investigated the differences in the ECM  
6 landscape comparing severe-early onset (SEO-) COPD and moderate COPD to control lung tissue for  
7 collagen type I  $\alpha$  chain 1 (COL1A1), COL6A1, COL6A2, COL14A1, fibulin 2 and 5 (FBLN2, FBLN5), latent  
8 transforming growth factor-beta binding protein 4 (LTBP4), lumican (LUM), versican (VCAN), decorin  
9 (DCN), and elastin (ELN) using image analysis and statistical modelling. Percentage area and/or mean  
10 intensity of expression of LUM in the parenchyma, and COL1A1, FBLN2, LTBP4, DCN, and VCAN in the  
11 airway walls, was proportionally lower in COPD compared to controls. Lowered levels of most ECM  
12 proteins were associated with decreasing FEV<sub>1</sub> measurements, indicating a relationship with disease  
13 severity. Furthermore, we identified six unique ECM signatures where LUM and COL6A1 in  
14 parenchyma and COL1A1, FBLN5, DCN, and VCAN in airway walls appear essential in reflecting the  
15 presence and severity of COPD. These signatures emphasize the need to examine groups of proteins  
16 to represent an overall difference in the ECM landscape in COPD, that are more likely to be related to  
17 functional effects, than individual proteins. Our study revealed differences in the lung ECM landscape  
18 between control and COPD and between SEO and moderate COPD signifying distinct pathological  
19 processes in the different subgroups.

20

## 21 NEW & NOTEWORTHY

22 Our study identified COPD-associated differences in the lung ECM composition. We highlight the  
23 compartmental differences in the ECM landscape in different subtypes of COPD. The most prominent  
24 differences were observed for severe-early onset COPD. Moreover, we identified unique ECM  
25 signatures that describe airway walls and parenchyma providing insight into the intertwined nature  
26 and complexity of ECM changes in COPD that together drive ECM remodeling and may contribute to  
27 disease pathogenesis.

28

29 **Keywords:** COPD; Extracellular matrix; ECM-signatures; immunohistochemistry; image analysis; lung  
30 function

31

## 1. INTRODUCTION

2 Chronic obstructive pulmonary disease (COPD) is a lung disease with increasing prevalence globally (1).  
3 The pathogenesis of COPD has been largely attributed to prolonged exposure to cigarette smoke, air  
4 pollution, or occupational pollutants in combination with genetic predisposition. These factors initiate  
5 a process that causes a decline in lung function which is evaluated clinically by measuring the forced  
6 expiratory volume in 1 second (FEV<sub>1</sub>) (2). Based on FEV<sub>1</sub> measurements, the Global Initiative for Chronic  
7 Obstructive Lung Diseases (GOLD) has classified COPD into four stages- COPD stage I, II, III and IV (3).  
8 COPD patients present with several phenotypes, reflecting the heterogeneity of the disease, including  
9 chronic bronchitis in the airways and emphysema in the alveoli distal to terminal bronchioles.  
10 Deposition and remodeling of the extracellular matrix (ECM) in the airway wall contributes to  
11 irreversible airway wall thickening. Conversely, emphysema is characterized by the destruction of  
12 alveolar tissue causing loosening and ultimately loss of alveolar attachments and elastic recoil. The  
13 ECM is a three-dimensional network of proteins, proteoglycans, and glycosaminoglycans that provides  
14 structural support, including tensile strength and elasticity to the lung and essential biochemical and  
15 biophysical cues to cells (4). Several studies, often with conflicting results, have highlighted differences  
16 in ECM content in COPD as previously reviewed (5, 6).  
17 Several studies have drawn parallels between physiological lung aging and COPD, as these processes  
18 share multiple hallmarks including dysregulated ECM remodeling. Thus, “accelerated aging” is often  
19 considered one of the main features of COPD (7-9). Apart from the most common phenotypes of COPD  
20 (bronchitis and emphysema), the severity and age of onset of the disease can define certain subgroups  
21 of patients. Historically, COPD is considered a disease of the elderly, predominantly male smokers.  
22 However, it is now clear that a subgroup of patients, with a high prevalence among women, develop  
23 very severe COPD at a much earlier age (often younger than 55 years) and this group is referred to as  
24 severe early onset (SEO)-COPD patients (8, 10, 11).  
25 Our group recently reported age-associated ECM differences in human lung tissue using a combination  
26 of transcriptomic and proteomic analyzes (12). Seven ECM and ECM-associated proteins including  
27 collagen type I  $\alpha$  chain 1 (COL1A1), COL6A1, COL6A2, COL14A1, fibulin 2 (FBLN2), latent transforming  
28 growth factor  $\beta$  binding protein 4 (LTBP4), and lumican (LUM) were found to have higher expression  
29 with increasing age at gene and protein levels in healthy subjects. Immunohistochemical studies  
30 further illustrated higher levels of COL1A1, COL6A2, COL14A1, and LUM in different lung  
31 compartments with age. We hypothesized that the proteins involved in the aging processes of the lung  
32 also play a key role in the pathology of COPD. In the present study, we aimed to investigate whether  
33 these seven age-related proteins showed similar differences in COPD lung tissue and whether the  
34 degree of these differences was related to disease severity (i.e. FEV<sub>1</sub>) and COPD subgroups, i.e. SEO-  
35 COPD and moderate COPD. Additionally, other important matrix proteins including fibulin 5 (FBLN5),  
36 decorin (DCN), versican (VCAN), and elastin (ELN) that are of known biological relevance in the  
37 pathology of COPD (13-15) were also investigated to identify differences in the ECM landscape  
38 between control, SEO-COPD, and moderate COPD lungs. Finally, statistical modeling was performed  
39 on the combined set of ECM and ECM-associated proteins to define COPD ECM signatures in the  
40 different compartments of the lung, specific for COPD, SEO-COPD and moderate COPD.

## 1 2. MATERIALS AND METHODS

### 2 2.1 Ethics statements

3 The study was conducted in accordance to the Research Code of the University Medical Center  
4 Groningen (UMCG), as stated on <https://umcgreresearch.org/w/research-code-umcg> as well as national  
5 ethical and professional guidelines Code of Conduct for Health Research (<https://www.coreon.org/wp->  
6 [content/uploads/2023/06/Code-of-Conduct-for-Health-Research-2022.pdf](https://www.coreon.org/wp-content/uploads/2023/06/Code-of-Conduct-for-Health-Research-2022.pdf)). The use of left-over lung  
7 tissue in this study was not subject to Medical Research Human Subjects Act in the Netherlands, as  
8 confirmed by a statement of the Medical Ethical Committee of the University Medical Center  
9 Groningen and therefore exempt from consent according to national laws (Dutch laws: Medical  
10 Treatment Agreement Act (WGBO) art 458 / GDPR art 9/ UAVG art 24). All donor material and clinical  
11 information were deidentified prior to experimental procedures, blinding any identifiable information  
12 to the investigators.

### 13 2.2 Subjects

14 COPD and control human lung tissues were obtained from material leftover following lung transplants  
15 and tumor resection surgeries at the University Medical Center Groningen (Groningen, The  
16 Netherlands). In the latter, only lung tissue sections distant from the resected tumor, that appeared  
17 normal upon macroscopic and histological evaluation were accepted for use. This study was part of  
18 the HOLLAND (HistopathOlogy of Lung Aging aNd COPD) cohort (12). The donors were selected based  
19 on the following inclusion criteria:

- 20 a) SEO-COPD patients: FEV<sub>1</sub>%pred <40%, FEV<sub>1</sub>/FVC <70%, and age ≤55years at the time of lung  
21 transplant surgery, ex-smokers (10, 11, 16, 17).
- 22 b) Non-COPD control subjects (matched with SEO-COPD): FEV<sub>1</sub>/FVC >70%, age <65 years at the  
23 time of surgery, ex-smokers.
- 24 c) Moderate COPD patients: FEV<sub>1</sub>%pred 40-80%, FEV<sub>1</sub>/FVC <70%, age >65 years at the time of  
25 surgery, ex-smokers.
- 26 d) Non-COPD control subjects (matched with moderate COPD): FEV<sub>1</sub>/FVC >70%, age >65 years at  
27 the time of surgery, ex-smokers.

28 For patients where both pre- and post- bronchodilator FEV<sub>1</sub>, FVC and FEV<sub>1</sub>/FVC measurements were  
29 available, the best measurement was chosen for inclusion.

### 30 2.3 Immunohistochemistry

31 Briefly, lung tissue obtained from COPD (n= 26) and control (n=18) donors was formalin-fixed paraffin-  
32 embedded (FFPE) and cut into 6µM sections. Prior to staining, the sections were deparaffinized and  
33 rehydrated. The full methodology for staining for COL1A1, COL6A1, COL6A2, COL14A1, FBLN2, LTBP4,  
34 and LUM has been previously described (12). For FBLN5, VCAN, ELN, and DCN sections were treated  
35 with Tris/EDTA buffer (10mM, pH 9) for antigen retrieval. The sections were washed with PBS and  
36 endogenous peroxidase activity was blocked using hydrogen peroxidase (0.3%) for 30min at room  
37 temperature. Following PBS washes, primary antibodies diluted in 1% BSA/PBS for FBLN5 (1:8000,  
38 Mouse Anti-Fibulin 5/DANCE Antibody 1G6A4, Novus Biologicals), DCN (1:1500, Mouse Anti-Dermatan  
39 Sulfate Proteoglycan Antibody 6B6, Seikagaku), VCAN (1:200, Mouse Anti-Versican Antibody 2B1,  
40 Seikagaku), and ELN (1:400, Rabbit Anti-Elastin Antibody CL55011AP, Cedarlane Labs) were added to  
41 the respective sections for 1 hour at room temperature. After the incubation period, the sections were

1      washed and horseradish peroxidase conjugated secondary antibody Rabbit Anti-Mouse (1:100, P0260,  
2      Dako, Denmark) was added to FBLN2, DCN, and VCAN staining and Goat Anti-Rabbit (1:100, P0448,  
3      Dako, Denmark) was added to ELN sections in 1% BSA-PBS containing 1% human serum. Similarly,  
4      tertiary antibody Goat Anti-Rabbit (1:100, P0448, Dako, Denmark) was used to stain FBLN5, DCN, and  
5      VCAN sections while Rabbit Anti-Goat (1:100, P0449, Dako, Denmark) was used for ELN in 1% BSA-PBS  
6      containing 1% human serum for after washing away the secondary antibodies. Negative controls (no  
7      primary antibody) were also included. Finally, positive staining in the sections was visualized with  
8      Vector® NovaRED® substrate (SK-4800, Vector Laboratories, Canada). Haematoxylin was used to  
9      counterstain these sections. All sections used for examination of a given protein were stained at the  
10     same time. The sections were dehydrated and mounted and scanned at 40x using a digital slide scanner  
11     Hamamatsu Nanozoomer 2.0HT (Hamamatsu Photonic K.K., Japan). Aperio ImageScope (v12.4.6.5003,  
12     Leica Biosystems, Germany) was used to view these digital images.

13     **2.4 Image Analysis**

14     The expression and localization of proteins in COPD compared to control donors was investigated in  
15     the airways and parenchymal regions of the lung tissue, as described previously (12). Individual  
16     compartments were extracted from tissue scans using Aperio ImageScope. Depending on the donor,  
17     up to a maximum of 10 airways (<2mm in diameter) were extracted from each section. After  
18     extraction, specific areas of interest including parenchyma and airway walls were further isolated using  
19     Adobe Photoshop 2023 (Adobe Inc. California, USA). Fiji (ImageJ) (18) was used to quantify percentage  
20     area stained (relative to the amount of tissue present on the slide) and mean intensity of pixels  
21     reaching the threshold for positive staining in the parenchymal regions and airway walls separately  
22     using color deconvolution plugin developed by Landini *et al.* (19) and as described in detailed by Koloko  
23     Ngassie *et al.* (12). Data sorting and calculations were performed using R software (version 4.2.3, USA).

24     **2.5 Statistical Analysis**

25     Donor characteristics including age, sex, pack-years, FEV<sub>1</sub>%pred, and FEV<sub>1</sub>/FVC were compared  
26     between subgroups of COPD and matched controls group using Mann-Whitney U tests. Percentage  
27     area and mean intensity of proteins that were not normally distributed were log (natural) transformed,  
28     and these transformed values were used for further analyzes. The differences in percentage area and  
29     mean intensity of protein levels in COPD and control lung tissue were examined using linear regression  
30     analysis for parenchyma and linear mixed effects regression analysis with a random effect on intercept  
31     per subject for airway walls. These models were also used to compare sub-groups of COPD (moderate  
32     COPD and SEO-COPD) to their respective matched controls. Regression coefficients with the 95%  
33     confidence intervals were plotted. Furthermore, linear and linear mixed models were also used to  
34     investigate associations between FEV<sub>1</sub>%pred measurements and percentage area or mean intensity of  
35     each protein in parenchyma and airway walls respectively.

36     Principal component analysis (PCA) with varimax rotation was used to identify unique ECM and ECM-  
37     associated protein signatures in COPD. Z scores of raw protein values (non-transformed or log  
38     transformed) were used as input and 'n' components, were extracted that cumulatively explained at  
39     least 80% of the variance. The component scores were saved for further analyzes. Component scores  
40     of COPD and control donors were compared per component using linear and linear mixed modelling  
41     for parenchyma and airway wall respectively. The comparisons of component scores between  
42     subgroups of COPD (SEO-COPD or moderate COPD) to all controls were performed using linear and  
43     linear mixed modelling, corrected for age. A p-value of <0.05 was considered significant. Data were

1 analyzed using IBM SPSS version 28.0.1.0(142). Scatter and forest plots were created in GraphPad  
2 Prism version 8.0.0.

3

### 4 **3. RESULTS**

#### 5 **3.1 Patient characteristics**

6 The clinical parameters of donors included in this study are summarized in **Table 1**. All subjects  
7 included in this study were ex-smokers. The COPD group (n=26) was comprised of moderate COPD  
8 (n=14) and SEO-COPD (n=12). For analyzes that investigated the effect of COPD subgroups, the control  
9 group (n=18) was divided into older control (n=9) subjects matched in age and sex to moderate COPD  
10 and younger control subjects (n=9) matched in age and sex to SEO-COPD (n=9).

11 **Table 1: Characteristics of donors included in this study.** SEO-COPD (n=12) and moderate COPD (n=14)  
12 were matched in terms of age, sex, and smoking status to respective younger and older control groups.  
13 A p value of <0.05 was considered significant.

	<b>Younger controls</b>	<b>SEO-COPD</b>	<b>Older controls</b>	<b>Moderate COPD</b>
<b>Age in years (Median, range)</b>	55.0 (43.0- 62.0)	51.5 (47.0- 55.0)	74.0 (67.0-81.0)	72.0 (67.0- 81.0)
<b>Sex (Female/Male)</b>	6/3	8/4	3/6	2/12
<b>Pack Years (Median, range)</b>	15.0 (1.5-40.0)	25.0 (6.0-54.0)	35.0 (5.0-52.5)	41.0 (10.0-65.0)
<b>FEV<sub>1</sub>%pred (Median % predicted, range)</b>	103.6 (85.0- 127.0)	19.3 (14.9- 23.6) <sup>\$</sup>	91.5 (75.8-133.0)	62.0 (49.7-75.4) <sup>&amp;</sup>
<b>FEV<sub>1</sub>/FVC (Median, range)</b>	76.0 (69.0 <sup>^</sup> -86.6)	27.3 (20.5- 68.0) <sup>\$</sup>	72.0 (69.0- 86.1)	60.8 (43.6- 67.9) <sup>&amp;</sup>

14 FEV<sub>1</sub>%pred: forced expiratory volume in 1 second % predicted; FVC: forced vital capacity; SEO-COPD:  
15 Severe early-onset COPD patients. <sup>^</sup>Two controls had an FEV<sub>1</sub>/FVC of 69% due to a relatively high FVC  
16 in combination with normal FEV<sub>1</sub>. <sup>\$</sup>p<0.0005 and <sup>&</sup>p<0.0005 indicates the comparison between SEO-  
17 COPD and younger controls and moderate COPD and older controls, respectively.

18

#### 19 **3.2 Localization of ECM and ECM-associated proteins in lung tissue**

20 The immunohistochemically stained lung tissues were examined for the localization of proteins in  
21 COPD and control lung tissue. Some examples of staining of COL1A1, COL6A1, COL6A2, COL14A1,  
22 FBLN2, FBLN5, LTBP4, LUM, DCN, VCAN, and ELN for each group are depicted in **Figure 1**. The  
23 localization of each staining has been summarized in **Table 2**. Briefly, all eleven proteins were detected  
24 in the parenchyma and COL14A1, LTBP4, and LUM staining was also detected in the epithelial layer.  
25 Within the airway wall, all proteins were detected in the airway adventitia and submucosa apart from  
26 DCN which was donor dependent. In the vicinity of the airway smooth muscle, COL6A1, COL6A2,  
27 COL14A1, LTBP4, and LUM were detected along with COL1A1 in some donors.

28 **Table 2: Localization of ECM and ECM-associated proteins in lung tissue.** An overview of positively  
29 stained areas in lung tissue.

ECM protein	Parenchyma	Epithelial layer	Airway wall		
			SM	AA	ASM
COL1A1	+	-	+	+	-/+
COL6A1	+	-	+	+	+
COL6A2	+	-	+	+	+
COL14A1	+	+	+	+	+
FBLN2	+	-	+	+	-
FBLN5	+	-	+	+	-
LTBP4	+	+	+	+	+
LUM	+	+	+	+	+
DCN	+	-	-/+	+	-
VCAN	+	-	+	+	-
ELN	+	-	+	+	-

1 ECM: extracellular matrix; COL1A1: collagen type I  $\alpha$  chain 1; COL6A1: collagen type VI  $\alpha$  chain 1;  
2 COL6A2: collagen type VI  $\alpha$  chain 2; COL14A1: collagen type XIV  $\alpha$  chain 1; FBLN2: fibulin 2; FBLN5:  
3 fibulin 5; LTBP4: latent transforming growth factor binding protein 4; LUM: lumican; DCN: decorin;  
4 VCAN: versican; ELN: elastin; SM: submucosa; AA: airway adventitia; ASM: airway smooth muscle, (-)  
5 no staining, (+): positive staining.

### 6 **3.3 Distribution of ECM and ECM-associated proteins in COPD lung tissue compared to matched 7 controls**

8 The distribution of ECM and ECM-associated proteins that have been previously associated with age  
9 related changes, or with COPD pathology, were investigated using image analysis and statistical  
10 modeling. Percentage area and mean intensity of positive pixels for each ECM protein was compared  
11 between COPD and matched controls, followed by subgroup analysis for SEO and moderate COPD  
12 compared to their matched controls.

#### 13 **3.3.1 Differences in lung parenchyma; Lower proportional levels of LUM in COPD and SEO-COPD**

14 When comparing staining of ECM and ECM-associated proteins in lung parenchyma between all COPD  
15 patients and all controls, we observed a lower proportional percentage area of the tissue that was  
16 positive for LUM ( $p=0.010$ ) and a lower mean intensity of the positive LUM pixels ( $p=0.022$ ) in COPD  
17 compared to control tissue (**Figure 2A, D**). Subgroup analysis demonstrated that this lower LUM  
18 percentage area was most apparent in SEO-COPD ( $p=0.012$ ) (**Figure 2B**). There were no significant  
19 differences observed for the other ECM proteins between COPD and control, nor in the SEO or  
20 moderate COPD subgroup analysis. (**Figure 2C, E, F**). The percentage area or mean intensity of  
21 expression of some ECM proteins was significantly associated with age as also demonstrated in our  
22 previous publication (12). However, this did not affect the differences between COPD and control  
23 because these cohorts were age-matched (data not shown).

#### 24 **3.3.2 Differences in the airway walls; Lower proportional levels of COL1A1, FBLN2, LTBP4, DCN, and 25 VCAN in COPD and SEO-COPD**

26 When comparing staining of ECM and ECM-associated proteins in airway walls between COPD and  
27 controls, several proteins had proportionally lower percentage area and mean intensity of expression  
28 in COPD compared to control airway walls. In the COPD group, percentage area of DCN ( $p=0.045$ ) and  
29 mean intensities of COL1A1 ( $p=0.016$ ) were proportionally lower compared to the control group  
30 (**Figure 3A, D**). Furthermore, subgroup analysis demonstrated proportionally lower percentage areas

1 of COL1A1 ( $p=8.3\times 10^{-5}$ ), FBLN2 ( $p=0.014$ ), and LTBP4 ( $p=0.016$ ) and mean intensities of COL1A1  
2 ( $p=0.013$ ), LTBP4 ( $p=0.020$ ), and VCAN ( $p=0.031$ ) in the airway walls of the SEO-COPD donors compared  
3 to matched controls (**Figure 3B, E**). Similar to the parenchyma, no differences were observed when  
4 comparing moderate COPD donors to their matched controls (**Figure 3 C, F**). The percentage area and  
5 mean intensity of expression of certain ECM proteins was significantly associated with age as also  
6 demonstrated in our previous publication (12), however this did not affect the differences between  
7 COPD and control because these cohorts were age-matched (data not shown).

8 **3.4 Differences in the levels of ECM and ECM-associated proteins in the parenchyma and airway walls  
9 are associated with FEV<sub>1</sub>**

10 Correlations between percentage area and mean intensity of ECM and ECM-associated proteins with  
11 lung function (FEV<sub>1</sub>%pred) were evaluated to investigate any relationships between proportionally  
12 lower ECM protein levels with lung function. In the parenchyma and airway walls, percentage area and  
13 mean intensity of various proteins including COL1A1, COL6A1, FBLN2, LTBP4, LUM, and VCAN were  
14 associated with FEV<sub>1</sub>%pred when all donors were included (**Table S1**). On examining FEV<sub>1</sub> correlations  
15 with ECM protein levels exclusively in COPD donors, percentage area and mean intensity of COL1A1  
16 and FBLN5 in the airway walls were associated with FEV<sub>1</sub> measurements (**Table S1**). In control donors  
17 alone, percentage area and/or mean intensity of FBLN2, DCN, VCAN, and ELN were associated with  
18 FEV<sub>1</sub> (**Table S1**).

19 **3.5 Identification of novel parenchymal and airway wall ECM signatures for COPD and COPD  
20 subgroups using percentage area of protein expression.**

21 Having analyzed the localization, distribution, and degree of expression of each protein individually we  
22 were also interested to explore whether there were any multi-component identifying patterns within  
23 our data set that reflected the ECM differences related to the COPD status of the patients. To explore  
24 these possible patterns, we used PCA to identify unique groupings of the proteins, that initially  
25 examined the percentage area positive staining for each protein. PCA analysis resulted in four and six  
26 components in the parenchyma and airway wall respectively, each consisting of a different set of ECM  
27 proteins, to explain at least 80% of the total variance (as seen in the scree plot) (**Figure 4A, 4B**).

28 When comparing the component scores for each component between COPD and control in the  
29 parenchyma, we identified a significantly lower score for the fourth component in COPD compared to  
30 controls, indicating that the combination of ECM proteins in this component reflects a parenchymal  
31 ECM signature for COPD (**Figure 4C**). Percentage area of LUM, LTBP4, DCN, COL6A1, and ELN were the  
32 highest contributors of variance in this component (**Table 3**). Subsequent subgroup analysis showed  
33 that this component was also significantly lower in SEO compared to control (**Figure S1A**), supporting  
34 our earlier observations of the differences in the parenchymal regions being mainly in the SEO-COPD  
35 patients. Thus, these 5 proteins together provide a unique ECM signature for differences in COPD and  
36 SEO-COPD parenchyma as compared to control.

37 In the airway walls, component scores of the COPD cohort were observed to be lower in the second  
38 component (**Figure 4D**). Percentage area of COL6A1, DCN, FBLN5, VCAN, and COL14A1 were the  
39 highest contributors to component two, thereby uniquely describing COPD airway walls (**Table 4**).  
40 Subgroup analysis indicated that the moderate group drove the differences observed in the second  
41 component (**Figure S1B**). Additionally, SEO-COPD donors had higher scores in component three  
42 compared to controls (**Figure S1B**). Thus COL6A1, DCN, FBLN5, VCAN and COL14A1 form a unique ECM

1 signature for COPD airway walls, and the combined pattern of COL1A1, FBLN5, and COL14A1 describes  
2 the difference between moderate and SEO-COPD.

3 While these signatures are based on measurements of percentage area, mean intensities were also  
4 used to identify unique ECM signatures (**supplementary data Figure S2 and Table S2, S3**) for COPD  
5 status in parenchyma and airway walls. Mean intensities of LUM, COL6A1, LTBP4, COL6A2, and VCAN  
6 together formed an ECM signature for COPD parenchyma, while COL1A1, FBLN5, and COL6A2  
7 described COPD airways.

8 **Table 3: Rotated component matrix for principal component analysis of percentage tissue areas**  
9 **positive of ECM and ECM-associated proteins in the parenchyma.** Four components explained at least  
10 80% of the total variance. The loadings of each ECM and ECM-associated protein as obtained as a result  
11 of the principal component analysis are tabulated below. The loadings represent the correlations  
12 between the proteins and the component.

Rotated Component Matrix - Parenchyma				
	1	2	3	4%
<b>VCAN</b>	0.814	0.308		
<b>FBLN2</b>	0.802		0.376	
<b>ELN</b>	0.751		0.399	0.308
<b>COL14A1</b>		0.918		
<b>FBLN5</b>	0.439	0.791		
<b>COL6A1</b>	0.373	0.662	0.311	0.333
<b>LTBP4</b>	0.532	0.533		0.468
<b>DCN</b>	0.345	0.489	0.483	0.387
<b>COL1A1</b>	0.398		0.839	
<b>COL6A2</b>		0.472	0.637	
<b>LUM</b>				0.917

13 %p <0.005 and indicates the comparison between COPD donors and controls. Only loadings > |0.3| are  
14 shown.

1 **Table 4: Rotated component matrix for principal component analysis of percentage tissue areas**  
2 **positive of ECM and ECM-associated proteins in the airway walls.** Six components explained at least  
3 80% of the total variance. The loadings of each ECM and ECM-associated protein as obtained as a result  
4 of the principal component analysis are tabulated below. The loadings represent the correlations  
5 between the proteins and the component.

Rotated Component Matrix- Airway walls						
	1	2%	3%	4	5	6
<b>ELN</b>	0.918					
<b>FBLN2</b>	0.762				0.400	
<b>VCAN</b>	0.633	0.482		0.300		
<b>COL6A1</b>		0.873				
<b>DCN</b>	0.496	0.663				
<b>COL1A1</b>			-0.823			
<b>FBLN5</b>		0.557	0.710			
<b>LTBP4</b>	0.471			0.788		
<b>COL14A1</b>		0.336	0.367	0.698		
<b>COL6A2</b>					0.893	
<b>LUM</b>						0.977

6 %p <0.05 and indicates the comparison between COPD donors and controls. %%p<0.05 and indicates the  
7 comparison between SEO-COPD, moderate, and control donors corrected for age. Only loadings >  
8 |0.3| are shown.

9 **3.6 COPD-associated differences in the lung ECM**

10 We have summarized the differences seen in the landscape of ECM in the lung parenchyma and airway  
11 walls in COPD and the subgroups (SEO and moderate) in **Figure 5**. Only those proteins that were  
12 observed to have significant associations with COPD or its subgroups have been indicated.

## 4. DISCUSSION

Our study provides an elaborate investigation of eleven ECM and ECM-associated proteins in COPD lung tissue. Important novelties of this study are the combination of the comparison between airway walls and lung parenchyma, the inclusion of different COPD subgroups, characterization of proteins that have not yet been studied in COPD lung tissue, and the investigation of mean intensity, in addition to percentage tissue area of expression of each protein. Overall, the SEO-COPD group showed the most ECM differences in composition compared to controls. Notably, we generated unique ECM signatures that described moderate and SEO-COPD independently, potentially reflecting differing tissue remodeling processes that are part of the disease pathogenesis in these COPD subtypes.

ECM dysregulation has been postulated as a common hallmark of aging and COPD (8). Previously our group identified specific profiles of age-associated genes and a negative interaction between age and presence of COPD for several ECM-related genes, indicating a different association of age with COPD and control (20). Our follow-up study focused on ECM differences with normal aging and revealed higher expression of COL1A1, COL6A1, COL6A2, FBLN2, LTBP4, and LUM at both gene and protein levels in aging lungs of control patients with normal lung function and no history of COPD, pulmonary fibrosis or asthma (12). Additionally, immunohistochemical analysis showed higher levels of COL6A2 in the airway walls and COL6A2 and COL1A1 in the parenchyma with aging lungs in control subjects. This age-related ECM profile in control patients did not overlap with the ECM signature reported for COPD in the present study, where we observed proportionally lower ECM and ECM-associated protein percentage area and/or mean intensity in COPD. This suggests that the observed ECM profile in COPD is different from age-related ECM differences in non-COPD controls, and can be either driven by the pathobiology of COPD or represent a form of abnormal aging in COPD. Notably, parenchyma in both aging and COPD is affected by emphysema, however, changes in the airway walls structures are less comparable between the two as airway thickening is not as apparent in aging lungs.

ECM differences in COPD have long been an area of interest (21). However, results from different studies are often conflicting. In the current study, we identified more COPD-associated differences in the airway walls compared to the parenchyma. It is important to note that, as a result of emphysematous loss of the lung parenchyma, tissue obtained from COPD patients in the more severe stages only allows us to examine the parenchyma and airways that are still remaining in the lung. It is quite likely that the ECM composition of the lost tissue was also aberrant, however, this cannot be characterized *ex vivo*. Moreover, we report proportional differences in the ECM content in the airway walls in COPD compared to control tissue. Proportional differences do not necessarily indicate that there is less total ECM content in the airway wall, but rather that the proportion of the different ECM components is changing. Thus, there may be an absolute increase in other ECM proteins in the airways, due to the fibrotic nature of the changes observed in COPD, that have not captured in this study. It is thus important to assess more ECM proteins, such as collagen III as a relative increase in COL3 over COL1 has been previously demonstrated in COPD tissue (24). In parenchyma and airway walls alike, protein levels did not differ between the moderate COPD group and their matched controls. The ECM profiles in the moderate COPD patients, who were older compared to SEO-COPD patients, also did not resemble the differences in ECM as noted previously in aging lungs. It is clear from the present study that the pathogenesis of moderate and SEO-COPD in terms of ECM landscape is different and capturing data in SEO-COPD patients earlier would help understand the similarities in disease mechanisms between the two subgroups. Unfortunately, SEO-COPD patients are often diagnosed at the stage that

1 their symptoms are quite severe and the tissue collected is collected at the time of lung  
2 transplantation, thus early stage data is scarce.

3 Collagens are the most abundant proteins in the lung ECM. Fibrillar collagens (type I and III) are crucial  
4 in maintaining the structural integrity and organization of the lung tissue by forming 3D networks and  
5 providing mechanical strength (22). Less fractional area and volume fraction of collagen type I in COPD  
6 lungs has previously been reported in small airways by Annoni *et al.* (23) and bronchiolar tissue by  
7 Hogg *et al.* (24) respectively. These findings align with the lower proportion of COL1A1 in airway walls  
8 of COPD patients observed in our study. However, neither of these previous studies compared the  
9 differences between COPD severities, while we observed that SEO-COPD donors dominantly  
10 contribute to the lower proportion of COL1A1 in COPD within the airway wall. In parenchyma, Eurlings  
11 *et al.* (25) demonstrated higher percentage area of collagen (type I/II/III) in the remaining alveolar  
12 walls of COPD subjects which increased with disease severity, whereas we did not observe differences  
13 in the proportion of COL1A1 in the parenchyma. Eurlings *et al.* examined older COPD stage IV patients,  
14 compared to the relatively younger SEO-COPD donors in our study, providing a possible explanation  
15 for the inconsistent findings between both studies.

16 Another category of ECM proteins are proteoglycans and we have investigated LUM, DCN, and VCAN.  
17 LUM, DCN, and VCAN play a role in various cellular functions, bind to growth factors and chemokines,  
18 and regulate fibrillogenesis (26-30). The fractional area of LUM in COPD lung tissue compared to  
19 controls has been previously reported by Annoni *et al.* (23) who did not observe any differences  
20 between COPD donors and controls. In contrast to Annoni's study, we showed lower percentage area  
21 of LUM in the parenchymal region of COPD patients, in particular in SEO-COPD. Annoni *et al.* also  
22 reported lower VCAN fractional area in parenchyma in COPD and Merrilees *et al.* (31) observed that  
23 the alveolar walls of COPD patients showed stronger VCAN staining than control donors. In our study  
24 lower mean intensity of VCAN was observed in the airway walls of SEO-COPD patients. Consistent with  
25 our finding of lower proportional percentage area of DCN in COPD airway walls, a previous study  
26 reported lower DCN staining in the airway adventitia of severe emphysematous tissue, while only a  
27 few donors with mild emphysema displayed lower staining (15). However, in our current study we did  
28 not observe an association between DCN staining intensity and disease severity. The loss of  
29 proteoglycans can, not only directly alter cellular responses (32), but also affect the structural integrity  
30 of lung tissue due to the resulting disorganized ECM components and modified mechanical properties  
31 such as lung elasticity and alveolar stability (33).

32 FBLNs are calcium-binding glycoproteins that bind to other ECM aggregates and stabilize them. They  
33 bind to the basement membrane and also elastic fibers (34). In our previous study we observed a  
34 positive correlation between percentage area of FBLN2 and the mean intensity of COL1A1 in the  
35 parenchyma with age in control never-smokers (12). Interestingly, in COPD lungs, a lower percentage  
36 area of FBLN2 was accompanied by a lower percentage area and mean intensity of COL1A1 in SEO-  
37 COPD donors in airway walls. Despite both being observational studies, these results incite further  
38 investigation into the FBLN2/COL1A1 axis as a possible regulatory mechanism for the stabilization of  
39 collagen fibers around the airways. (23, 25, 35). An earlier study from our group reported higher total  
40 levels of FBLN5 in COPD tissue homogenates compared to controls using western blot, along with  
41 colocalization of FBLN5 with ELN fibers using immunohistochemical staining in lung tissue (36).

42 Next to assessing all ECM differences separately, it is also relevant to study the patterns in ECM  
43 differences. Together these differences form the ECM landscape in COPD and may contribute to the

1 change in mechanical properties of the lung such as loss of elastic recoil and airflow obstruction of  
2 COPD airways. This is supported by the correlation of the expression of several of these proteins with  
3 FEV<sub>1</sub> measurements. Moreover, a recent study reported softer emphysematous precision cut lung  
4 slices with decreased stiffness compared to healthy controls (37). With the help of computation  
5 network modeling, they attributed this reduced stiffness to ECM remodeling of the septal wall along  
6 with structural deterioration of the lung. In the current study, we identified ECM signatures that  
7 represent groups of proteins the characteristics of which describe COPD and the different severities of  
8 COPD. It is clear from the various results obtained in our study that LUM, COL6A1, and LTBP4 are  
9 important in describing COPD parenchyma ECM characteristics. Similarly, COL1A1, COL14A1, FBLN5,  
10 DCN, and VCAN appear essential in describing characteristic factors in ECM remodeling in the airway  
11 walls in COPD (**Figure 5**).

12 Collagen type I and III are major components of the lung ECM. However, several supporting ECM and  
13 ECM-associated proteins are required to maintain the structural integrity and function of collagens.  
14 Per our knowledge, expression of LTBP4 in lung tissue has not been studied in the context of COPD.  
15 LTBP4 not only regulate the signaling of transforming growth factor  $\beta$  (TGF- $\beta$ ), but also mediate  
16 elastogenesis (38, 39). LTBP4 binds to TGF- $\beta$ 1 and guides its deposition into the ECM after cellular  
17 secretion. Thus, less proportional presence of LTBP4 may result in lower TGF- $\beta$ 1 activation and  
18 diminished elastogenesis. Another consequence of decreased TGF- $\beta$ -Smad pathway activation is the  
19 attenuation of DCN in COPD lungs and hence disruption of collagen assembly (40). Moreover,  
20 abnormal loosening of collagen fibers might make ELN susceptible to destruction. A study in adult rats  
21 reported that the turnover-rate of collagens is 10-15% per day (41), however, formation of ELN fibers  
22 declines with age and is almost absent in adulthood (42). Additionally, relatively lower protein levels  
23 of factors regulating elastogenesis, such as LTBP4 and FBLN2 in the current study, potentially hamper  
24 ELN repair. In our study, however, we did not observe a difference in the percentage area or mean  
25 intensity of ELN. Several studies have shown a reduction of ELN fibers in airways and parenchyma;  
26 however, no differences were noted between different stages of COPD (23, 35). Notably, the unique  
27 signatures identified for airway walls and parenchyma suggest compartmental differences during  
28 reparative processes. Among proteoglycans, LUM was proportionally lower in the parenchyma while  
29 DCN and VCAN were lower in the airway walls. This could indicate that LUM is more closely associated  
30 with collagen assembly in the parenchyma, while DCN and VCAN are more critical in the airway walls.  
31 While most proteins appear in either the parenchymal or airway wall signature alone, COL6 appears in  
32 both. It is localized near the basement membrane region forming a meshwork between the basement  
33 membrane and the interstitial matrix. The role of COL6 in mechanical regulation of the lung has  
34 previously been postulated (43). Its presence in both signatures suggests a key role for COL6 in the  
35 overall COPD pathology. Collagen type XIV is found in regions of high mechanical strength. It is well-  
36 known that the mechanical properties of airway walls in COPD, including fibrosis and loss of elastic  
37 recoil, are altered (44). Thus, it is not surprising that we observed COL6A1, COL6A2 and COL14A1  
38 localized in the airway smooth muscle region and these proteins appear important in our airway wall  
39 signatures. Our results suggest that COL6 and COL14A1 likely are important contributors to airway wall  
40 pathology that leads to the obstruction and ultimately the collapse of airways in COPD.

41 A limitation of this study is the small number of patients included in the sub-group analysis. The  
42 heterogeneity of COPD, patient inclusion criteria, and analysis methods may account for the variability  
43 in the data seen among these studies. Despite these limitations we reported robust findings. Our  
44 results not only suggest novel target pathways for developing therapies for alleviating COPD symptoms  
45 but also are a reminder that disease pathogenesis is not driven and characterized by a single protein  
46 but rather by a complex interactions between groups of proteins.

1 In conclusion, we identified COPD-associated differences in the lung ECM composition. The differences  
2 in the ECM landscape may affect the integrity of the structure and function of the lung tissue  
3 compartments. Our study has identified proteins, including LUM in the parenchyma and COL1A1,  
4 LTBP4, FBLN2, and VCAN in the airway walls that have not previously been associated with the SEO-  
5 COPD subtypes. Moreover, we report unique ECM signatures for parenchyma and airway walls  
6 associated with disease and COPD severity. The identified proteins may contribute to the  
7 establishment and maintenance of disease pathology in SEO-COPD patients and thus provides leads  
8 for future studies.

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10

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23

## 1 8. FIGURES

2 **Figure 1: Localization of ECM and ECM-associated proteins in control, SEO and moderate COPD**  
3 **parenchyma and airway walls.** FFPE lung tissue sections from control and COPD donors were stained  
4 using immunohistochemistry for ECM and ECM-associated proteins with specific signals being  
5 detected with Nova red (red) and counterstained with hematoxylin (blue). Sections were scanned at  
6 40x magnification using a digital slide scanner. Scale bar = 400um. Images are representative of protein  
7 detection patterns seen in control donors (n=18), SEO-COPD (n=12), and moderate COPD (n=14). ECM:  
8 extracellular matrix; SEO-COPD: Severe early-onset COPD patients; FFPE: formalin fixed paraffin  
9 embedded; COL1A1: collagen type I  $\alpha$  chain 1; COL6A1: collagen type VI  $\alpha$  chain 1; COL6A2: collagen  
10 type VI  $\alpha$  chain 2; COL14A1: collagen type XIV  $\alpha$  chain 1; FBLN2: fibulin 2; FBLN5: fibulin 5; LTBP4: latent  
11 transforming growth factor binding protein 4; LUM: lumican; DCN: decorin; VCAN: versican; ELN:  
12 elastin.

13 **Figure 2: Forest plots of regression coefficients for percentage area and mean intensity of ECM and**  
14 **ECM-associated proteins in the parenchyma of COPD, SEO-COPD, and moderate COPD compared to**  
15 **their respective control groups.** ECM proteins in FFPE tissue sections from controls (n=18), SEO-COPD  
16 (n=12), and moderate COPD (n=14) were detected using immunohistochemistry. Parenchyma was  
17 isolated and analyzed for percentage positive tissue area and mean intensity of positive pixels of  
18 expression for each protein. All 44 donors were available for analysis of COL1A1, COL6A2, FBLN2,  
19 LTBP4, LUM, DCN, VCAN, AND ELN, while for COL6A1, COL14A1, and FBLN5 43 donors were available.  
20 For each protein, regression coefficients ( $\pm$  95% CI) were obtained following linear regression and a p  
21 value of  $<0.05$  was considered significant. Non-transformed variables are plotted first, followed by log  
22 transformed variables. The differences in ECM and ECM-associated proteins in COPD tissue were  
23 compared to control in terms of A-C) percentage area and D-F) mean intensity of positively stained  
24 pixels. Dark blue-colored bars in light blue colored boxes highlight significant differences. ECM:  
25 extracellular matrix; SEO-COPD: Severe early-onset COPD patients; FFPE: formalin fixed paraffin  
26 embedded; COL1A1: collagen type I  $\alpha$  chain 1; COL6A1: collagen type VI  $\alpha$  chain 1; COL6A2: collagen  
27 type VI  $\alpha$  chain 2; COL14A1: collagen type XIV  $\alpha$  chain 1; FBLN2: fibulin 2; FBLN5: fibulin 5; LTBP4: latent  
28 transforming growth factor binding protein 4; LUM: lumican; DCN: decorin; VCAN: versican; ELN:  
29 elastin.

30 **Figure 3: Forest plots of regression estimates for percentage area and mean intensity of ECM and**  
31 **ECM-associated proteins in the airway walls of COPD, SEO-COPD, and moderate COPD compared to**  
32 **their respective control groups.** ECM proteins present in FFPE tissue sections from controls (n=18),  
33 SEO-COPD (n=12), and moderate COPD (n=14) were detected using immunohistochemistry. Airway  
34 walls were isolated and analyzed for percentage area and mean intensity of expression for each  
35 protein. The number of airway walls available for the analysis for each protein were COL1A1 (n= 155),  
36 COL6A1 (n=150), COL6A2 (n=149), COL14A1 (n=152), FBLN2 (n=158), FBLN5 (n=173), LTBP4 (n=163),  
37 LUM (n=158), DCN (n=156), VCAN (n=165), and ELN (n=158). For each protein, regression coefficients  
38 ( $\pm$  95% CI) were obtained following linear regression and a p value of  $<0.05$  was considered significant.  
39 Non-transformed variables are plotted first, followed by log transformed variables. The differences in  
40 ECM and ECM-associated proteins in COPD tissue were compared to control in terms of A-C)  
41 percentage area and D-F) mean intensity of positively stained pixels. Dark blue-colored bars in light  
42 blue colored boxes highlight significant differences. ECM: extracellular matrix; SEO-COPD: Severe  
43 early-onset COPD patients; FFPE: formalin fixed paraffin embedded; COL1A1: collagen type I  $\alpha$  chain

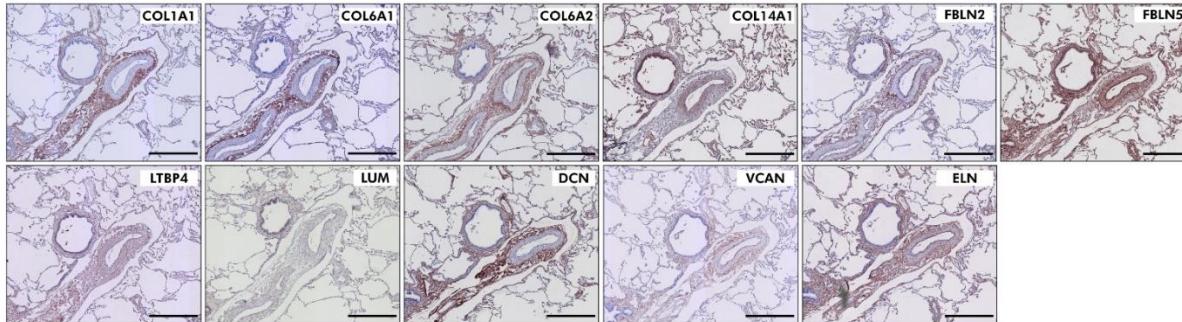
1 1; COL6A1: collagen type VI  $\alpha$  chain 1; COL6A2: collagen type VI  $\alpha$  chain 2; COL14A1: collagen type XIV  
2  $\alpha$  chain 1; FBLN2: fibulin 2; FBLN5: fibulin 5; LTBP4: latent transforming growth factor binding protein  
3 4; LUM: lumican; DCN: decorin; VCAN: versican; ELN: elastin.

4 **Figure 4: Identifying unique ECM signatures for COPD using protein expression in terms of percentage**  
5 **area.** Principal component analysis (PCA) was performed for percentage area of proteins in  
6 parenchyma and airway walls. PCA eliminates an entire donor or airway in the absence of a  
7 measurement for even one protein out of the eleven, leaving fewer donors for parenchyma (n=41) and  
8 airway walls (n=93) in these analyzes. (A) Four and B) Six components respectively explained at least  
9 80% of the total variance in parenchyma and airway wall as shown in the scree plot. The component  
10 scores obtained following PCA were compared using linear and linear mixed models to investigate the  
11 differences between the control and COPD groups and the mean  $\pm$  SD has been plotted. C) In the  
12 parenchymal region, the patterns in proteins between COPD and control were different in component  
13 four ( $p=0.003$ ). D) Differences in the patterns of proteins between control and COPD donors were  
14 noted in component two ( $p=0.028$ ) in the airway walls.

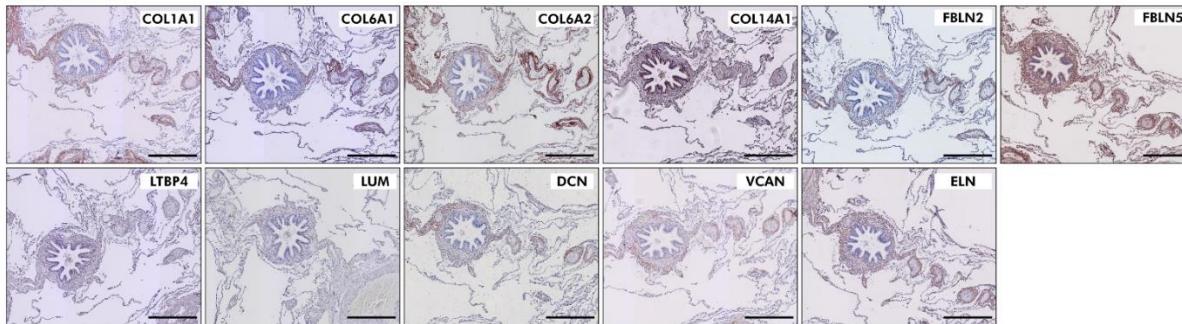
15 **Figure 5: COPD-associated differences in lung ECM.** ECM differences noted in the different analyzes  
16 throughout this study have been summarized here. In the staining and ECM signatures, red or blue  
17 arrows indicate higher or lower proportional levels or component scores in COPD respectively, while  
18 they denote positive or negative associations with FEV<sub>1</sub> respectively. ECM: extracellular matrix; SEO-  
19 COPD: Severe early-onset COPD patients; COL1A1: type I collagen  $\alpha$  chain 1; COL6A1: type VI collagen  
20  $\alpha$  chain 1; COL6A2: type VI collagen  $\alpha$  chain 2; COL14A1: type XIV collagen  $\alpha$  chain 1; FBLN2: fibulin 2;  
21 FBLN5: fibulin 5; LTBP4: latent transforming growth factor binding protein 4; LUM: lumican; DCN:  
22 decorin; VCAN: versican; ELN: elastin; FEV<sub>1</sub>: forced expiratory volume in 1 second.

1 Figure 1

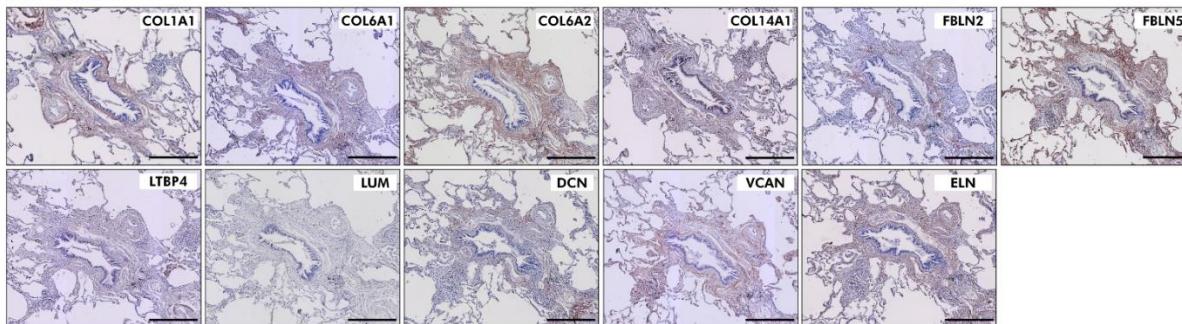
**Control**



**SEO-COPD**

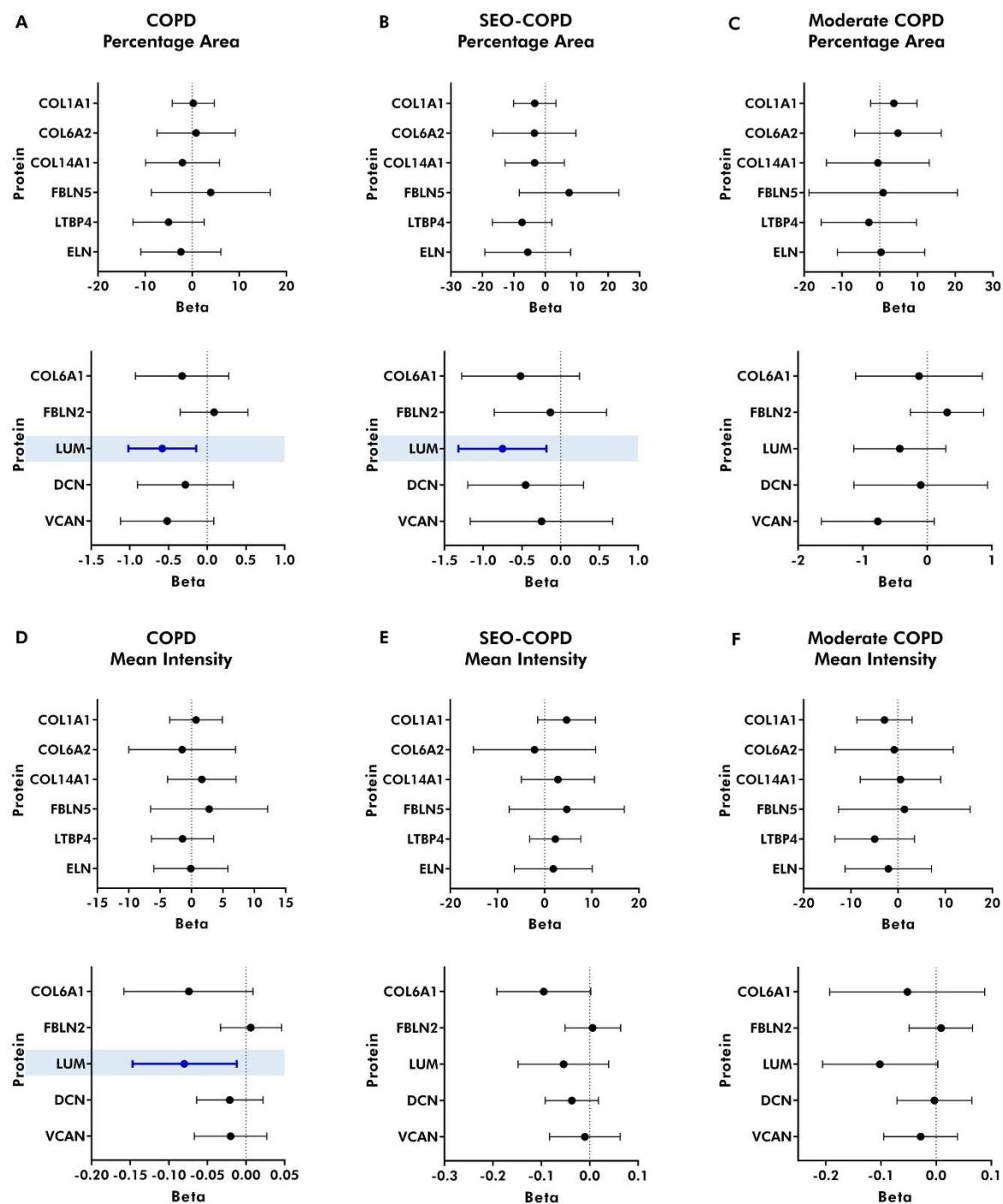


**Moderate COPD**



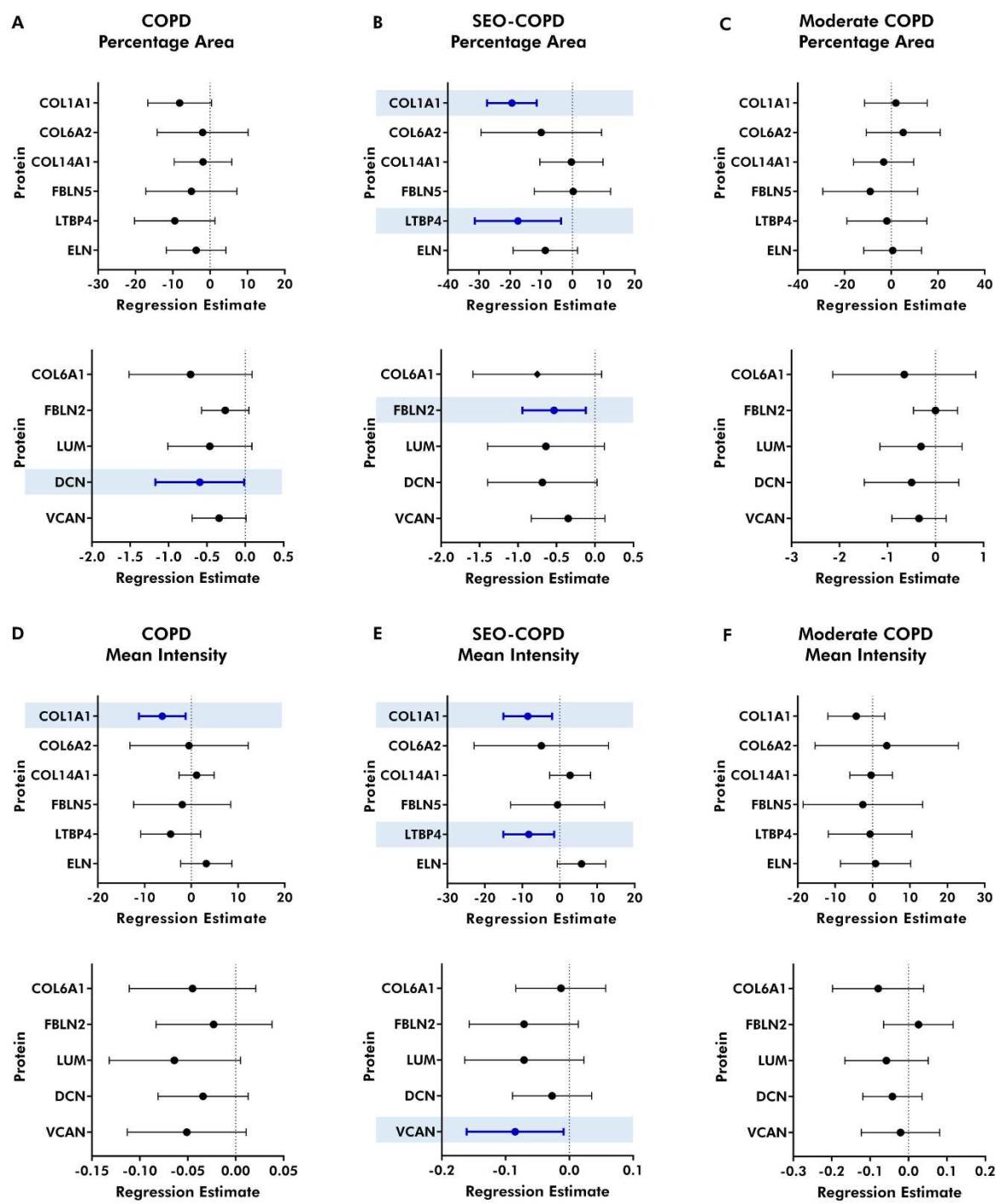
2

1 Figure 2



2

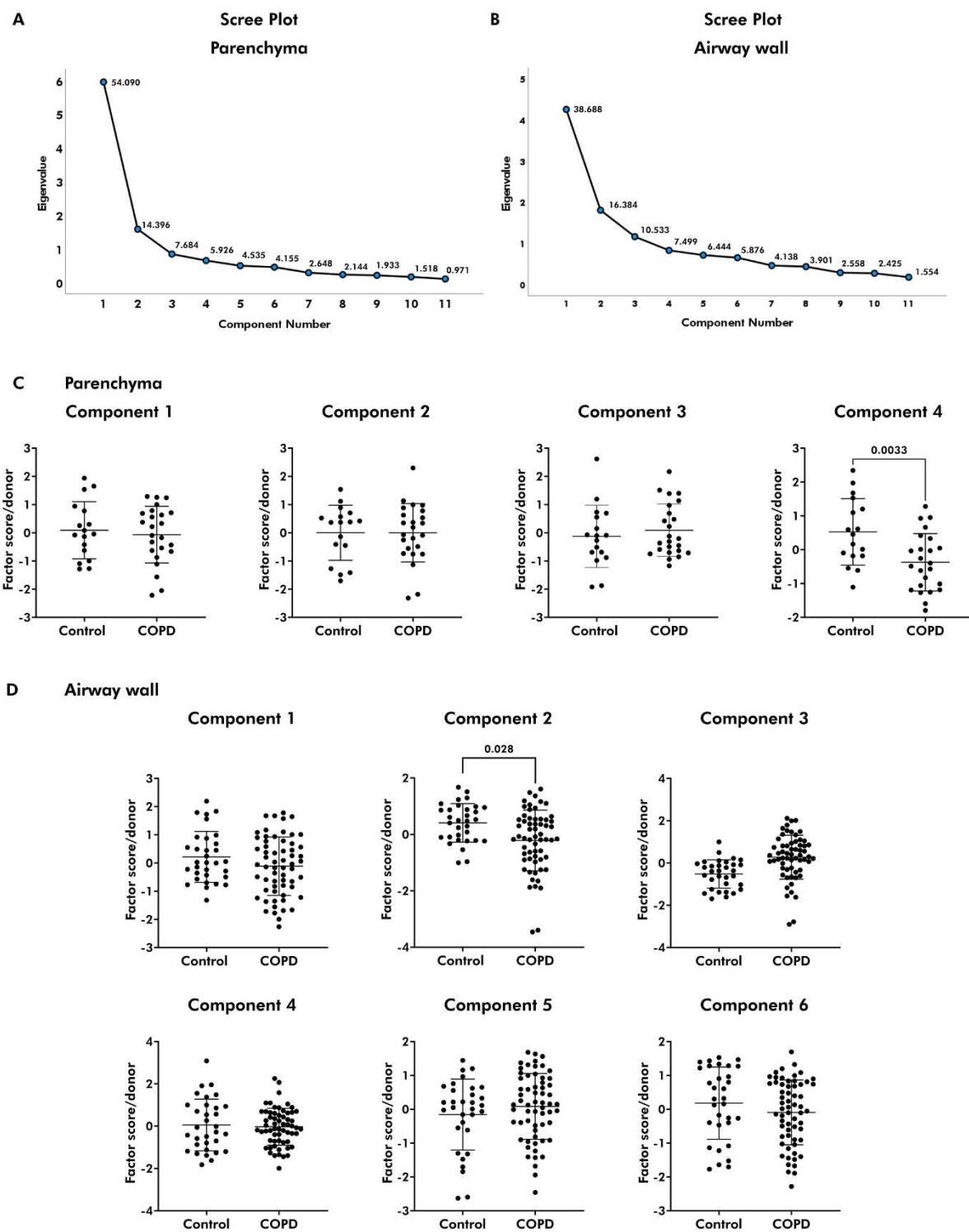
1 Figure 3



2

3

1 Figure 4



2

1 Figure 5

		Parenchyma		Airway wall	
		Percentage area	Mean intensity	Percentage area	Mean intensity
Staining	COPD	LUM ↓	LUM ↓	DCN ↓	COL1A1 ↓
	SEO-COPD	LUM ↓		COL1A1 ↓ FBLN2 ↓ LTBP4 ↓	COL1A1 ↓ LTBP4 ↓ VCAN ↓
	Moderate COPD				
FEV1 associations	All donors	LUM ↑	COL6A1 ↑	COL1A1 ↑ FBLN2 ↑ LTBP4 ↑ VCAN ↑	COL1A1 ↑ VCAN ↑
	COPD donors			COL1A1 ↑ FBLN5 ↓	COL1A1 ↑ FBLN5 ↓
	Control donors	FBLN2 ↑ DCN ↑	VCAN ↑ ELN ↑		ELN ↑
ECM Signatures	COPD	LUM, LTBP4, DCN, COL6A1, ELN ↓	LUM, COL6A1, LTBP4, COL6A2, VCAN ↓	COL6A1, DCN, FBLN5, VCAN, COL14A1 ↓	COL1A1, FBLN5, COL6A2 ↓
	SEO-COPD	LUM, LTBP4, DCN, COL6A1, ELN ↓	COL1A1, LTBP4, ELN ↑	COL1A1, FBLN5, COL14A1 ↑	
	Moderate COPD			COL6A1, DCN, FBLN5, VCAN, COL14A1 ↓	

2