

Unsupervised Spatially Embedded Deep Representation of Spatial Transcriptomics

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1 **Unsupervised Spatially Embedded Deep Representation of Spatial**
2 **Transcriptomics**

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16 **Key words:** spatial transcriptomics; graph convolutional network; gene expression; deep
17 learning

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20 **Abstract**

21 Spatial transcriptomics enable us to dissect tissue heterogeneity and map out inter-cellular
22 communications. Optimal integration of transcriptomics data and associated spatial
23 information is essential towards fully exploiting the data. We present SEDR, an unsupervised
24 spatially embedded deep representation of both transcript and spatial information. The SEDR
25 pipeline uses a deep autoencoder to construct a low-dimensional latent representation of gene
26 expression, which is then simultaneously embedded with the corresponding spatial
27 information through a variational graph autoencoder. We applied SEDR on human dorsolateral
28 prefrontal cortex data and achieved better clustering accuracy, and correctly retraced the
29 prenatal cortex development order with trajectory analysis. We also found the SEDR
30 representation to be eminently suited for batch integration. Applying SEDR to human breast
31 cancer data, we discerned heterogeneous sub-regions within a visually homogenous tumor
32 region, identifying a tumor core with pro-inflammatory microenvironment and an outer ring
33 region enriched with tumor associated macrophages which drives an immune suppressive
34 microenvironment.

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39 **Introduction**

40 Single-cell omics technologies enable measurements at single-cell resolution, and have led to
41 discoveries of new subpopulations across various tissues, in both healthy and diseased states.
42 However, the dissociation of tissue into single cells prior to high throughput omics data
43 acquisition leads to cellular spatial information being lost, hindering our ability to dissect the
44 spatial organization and intercellular interactions of individual cells. While computational tools
45 have been developed to predict cell-cell interactions from ligand and receptor expression, they
46 require validation using immunohistochemistry (IHC) or immunofluorescence (IF). Emerging
47 spatial omics technologies overcome these limitations through the simultaneous
48 measurement of gene/protein expression and spatial locations of cells. Such spatially resolved
49 transcriptomes of histological tissues enable the reconstruction of tissue architecture and cell-
50 cell interactions.^{1,2,3,4,5,6,7,8,9} This approach has proven valuable in many applications including
51 studies on brain disorders,^{2,10} tumour microenvironments,^{3,11} and embryonic development.¹²

52 Among currently available spatial transcriptomics approaches, *in situ* capturing-based
53 technologies such as 10x Genomics Visium and Nanostring GeoMX DSP have gained
54 popularity owing to their accessibility and ability to profile a large number of mRNA targets
55 within each spot. In principle, a histological section from a tissue sample is permeabilized and
56 the released mRNA is captured by either spatially arrayed oligos on slide surfaces or by pre-
57 hybridized RNA-target barcodes in manually defined regions of interest (ROIs). However, both
58 technologies suffer from mRNA capture area limitations, with the smallest diameter typically
59 being ~50µm, which is larger than a single cell. To overcome this, several computational
60 methods have been developed to deconvolve the cell mixture of the spatial
61 spot.^{13,14,15,16,17,18,19,20} Recently, improvements in mRNA capture methods have led to smaller
62 subcellular capture areas that are ~1-10µm in diameter. These high-resolution spatial
63 transcriptomics methods can obtain spatially resolved transcriptomes with increased spatial
64 fidelity, without compromising the number of genes captured. They include Slide-seq,⁸ DBiT-
65 seq,⁹ Stereo-seq,⁵ PIXEL-seq,⁶ and Seq-Scope,⁷ with the highest resolution (~1µm) thus far

66 obtained by the latter three. These submicrometer-resolution methods usually require voxel
67 binning or cell segmentation to produce a gene-by-cell expression matrix for downstream
68 analysis. Capture area sizes have also improved and thus increased the overall cell
69 throughput, necessitating new computational methods that can handle big spatial data.

70 When analyzing spatial transcriptomics data, combining both gene expression and
71 spatial information to learn a discriminative representation for each cell or spot is crucial.
72 However, established workflows, e.g., Seurat,²¹ still employ pipelines designed for single-cell
73 RNA-seq analysis, which primarily focus on gene expression data and ignore the structural
74 relationship of the spatial neighborhood. Recently, several new methods have been developed
75 for spatial transcriptomics to overcome this limitation. For example, BayesSpace²² starts from
76 a Markov random field (MRF) prior which hypothesizes that spots belonging to the same cell
77 type should be closer to one another, and updates the model with a Bayesian approach.
78 Giotto²³ implements a hidden Markov random field (HMRF) model to detect domains with
79 coherent patterns by comparing gene expression between cells and their neighbors.
80 SpaGCN²⁴ combines spatial distances and histological dissimilarities to construct a weighted
81 graph of spots, and then integrates the graph with gene expression using a graph
82 convolutional network (GCN) to cluster the spots. stLearn²⁵ utilizes a deep learning model on
83 the spot images to extract morphological features, on which morphological distances are
84 calculated. It then uses the morphological distance and spatial neighborhood information to
85 normalize the gene expression of each spot based on its identified neighbors. The normalized
86 gene expression is then used as input for linear principal component analysis (PCA), followed
87 by uniform manifold approximation and projection (UMAP), and spatial clustering. Notably,
88 these methods mainly rely on PCA to extract the highly variable features of gene expression
89 data, which involves a linear transformation, so they are unable to model complex non-linear
90 relationships. While stLearn does utilize deep learning, it is only applied to the image modality,
91 and the model still relies on linear PCA to extract features from the spatially normalized gene
92 expression data. Moreover, these methods do not produce low-dimensional representations
93 of jointly embedded gene expression and spatial information. The joint embedding of gene

94 expression and spatial information is essential to effectively integrate both modalities for better
95 visualization, clustering, trajectory inference, and batch integration.

96 In this work, we developed an unsupervised spatially embedded deep representation
97 (SEDR) method for learning a low-dimensional latent representation of gene expression
98 embedded with spatial information. Our SEDR model consists of two main components, a deep
99 autoencoder network for learning a gene representation, and a variational graph autoencoder
100 network for embedding the spatial information. These two components are optimized jointly to
101 generate a latent representation for spatial transcriptomics data analysis. We applied SEDR
102 on the 10x Genomics Visium spatial transcriptomics and Stereo-seq datasets and
103 demonstrated its ability to achieve better representations for various follow-up analysis tasks,
104 including clustering, visualization, trajectory inference, and batch effects correction.

105 **Results**

106 **Overview of SEDR.**

107 SEDR learns a gene representation in a low-dimensional latent space with jointly embedded
108 spatial information (Figure 1). Given spatial transcriptomics data, SEDR first learns a nonlinear
109 mapping from the gene expression space to a low-dimensional feature space using a deep
110 autoencoder network. Simultaneously, a variational graph autoencoder is utilized to aggregate
111 the gene representation with the corresponding spatial neighborhood relationships to produce
112 a spatial embedding. Then, the gene representation and spatial embedding are concatenated
113 to form the final latent representation used to reconstruct the gene expression. Thereafter, an
114 unsupervised deep clustering method²⁶ is employed to enhance the compactness of the
115 learned latent representation. This iterative deep clustering generates a form of soft clustering
116 that assigns cluster-specific probabilities to each cell, leveraging on the inferences between
117 cluster-specific and cell-specific representation learning. Finally, the learned latent
118 representation can be applied towards various analysis tasks.

119 **Quantitative assessment of SEDR on human dorsolateral prefrontal cortex (DLPFC)**
120 **dataset.**

121 To perform a quantitative comparison of SEDR with other methods, we downloaded the 10x
122 Genomics Visium spatial transcriptomics data and the manually annotated layers for LIBD
123 human dorsolateral prefrontal cortex (DLPFC) data.² The LIBD data includes 12 slices from
124 the human DLPFC, which span six cortical layers plus white matter. We chose this dataset
125 because the human DLPFC has clear and established morphological boundaries which can
126 serve as the ground truth. We first applied the standard Seurat pipeline²¹ to process and cluster
127 cells using only expression profiles and set the result as the benchmarking baseline to
128 investigate the extent to which spatial information improves cell clustering. As Giotto,²³
129 stLearn,²⁵ SpaGCN,²⁴ and BayesSpace²² integrate spatial information and RNA-seq data for
130 clustering, we also applied them with recommended default parameters to the same dataset
131 for benchmarking against SEDR.

132 In brain slice 151673 (Figure 2A) with 3,639 spots and 33,538 genes, SEDR and
133 BayesSpace achieved the best performance in terms of both layer borders and adjusted rand
134 index (ARI). When comparing the results on all 12 DLPFC samples, SEDR had the second
135 highest mean ARI (0.427) (Figure 2A, bottom right), but the difference between SEDR and the
136 top performer BayesSpace (0.457) was not significant (Mann-Whitney U Test:²⁷ p-value=0.55).
137 It should be noted that BayesSpace's clustering algorithm is optimized for spatial omics, while
138 SEDR is a dimension reduction method with its objective being to find the best latent
139 representation. SEDR followed by Leiden clustering, which was not specifically designed or
140 optimized for clustering spatial omics, achieved comparable clustering performance to
141 BayesSpace. This indicated that SEDR latent representations effectively integrate gene
142 expressions and spatial information for capturing inter-cluster differences. Coupling SEDR with
143 clustering algorithms that are better-suited for spatial omics can be expected to further improve
144 the clustering accuracy. Furthermore, in contrast to BayesSpace, which does not produce
145 latent representations, SEDR-derived embeddings can be used for not only clustering but also

146 various downstream analysis tasks such as UMAP visualization, trajectory inference, and
147 batch effect correction, thus providing more flexibility and utility. Similar to SEDR, SpaGCN
148 also uses a GCN to process spatial transcriptomics data. Moreover, it incorporates histological
149 information, which is not included in SEDR. However, the clustering performance of SEDR is
150 better than that of SpaGCN (Mann-Whitney U Test, p-value < 0.05). stLearn also integrates
151 histological data, but the performance is likewise poorer. This may indicate that the current
152 approaches utilized by SpaGCN and stLearn to incorporate histological data are not optimal.
153 To make full use of the histological information, we may need to treat it as a separate data
154 modality and use dedicated multi-view algorithms for integration.

155 SEDR generates a set of low-dimensional representation features which can be used
156 in various downstream analyses, such as trajectory inference.²⁸ In our experiments, we used
157 Monocle3²⁹ to perform trajectory inference on sample 151673 with the Seurat output (RNA-
158 only) and the low-dimensional SEDR representation features. We found that SEDR showed
159 significantly improved performance over Seurat (Figure 2B). In the UMAP plot of SEDR's
160 output, cells belonging to different layers were well-organized, and when we selected white
161 matter (WM) as the root, the pseudo-time reflected the correct "inside-out" developmental
162 ordering of cortical layers (Figure 2B). This demonstrated that, compared to RNA-only
163 analyses, incorporating spatial information enabled SEDR to generate a better latent
164 representation summarizing the spatial transcriptomics data. We further confirmed our
165 observations with another trajectory inference method named partition-based graph
166 abstraction (PAGA),³⁰ using the SEDR-derived latent space embedding instead of UMAP
167 coordinates (Figure 2C). The PAGA results showed that adjacent cortical layers tend to share
168 greater similarity, suggesting that spatial adjacency is linked with transcriptomic and even
169 functional similarity. Notably, the trajectory was concordant with the chronological order of
170 cortex development.^{31,32,33} We then compared the PAGA graphs generated using the Seurat-
171 derived principal components and SEDR embeddings. For each of the 12 DLPFC slices, we
172 calculated the ratio of the edge weights between adjacent cortical layers to the total sum of

173 the weights of all edges. We found a significantly higher ratio for SEDR compared to Seurat
174 (Mann-Whitney U test p-value < 0.05) (Figure 2C, bottom).

175 **SEDR corrects for batch effects.**

176 The proliferation of spatial omics applications is generating ever increasing volumes of spatially
177 resolved omics data across different labs. However, differences in protocols and technologies
178 complicate comparisons and data integration when trying to achieve consensus on spatially
179 resolved tissue atlases. As with single-cell RNA-seq (scRNA-Seq), removing batch effects in
180 spatial omics datasets is a significant challenge. To date, no methods are available for this.
181 Here, we demonstrate that SEDR can learn joint embeddings across multiple batches and
182 project them into a shared latent space. Furthermore, SEDR employs a deep embedded
183 clustering (DEC) loss function that enables it to retain biological variations while reducing
184 technical variations. We evaluated the batch correcting performance of SEDR on the DLPFC
185 datasets. We first assessed the batch variations among the twelve datasets and selected three
186 sets (151507, 151672, 151673) which exhibited substantial batch effects. The common cortical
187 layers from different batches were separated, as shown in the UMAP plot (Figure 3A). We first
188 applied Harmony to remove the batch effects due to its superior performance in scRNA-seq
189 data integration.³⁴ Harmony was able to mix batches while keeping different layers apart.
190 However, when we zoomed into the individual layers, we found distinct batch-specific
191 subclusters, suggesting that the batch effects were not completely removed (Figure 3B). Next,
192 we tested SEDR and found that the batch effects were substantially reduced (Figure 3C).
193 Common layers across batches were brought very close and were well-aligned, while different
194 layers were minimally mixed. Further application of Harmony on the SEDR embeddings evenly
195 mixed the batches while maintaining separation between layers (Figure 3D). Notably, batch-
196 specific clusters were no longer present within individual layers. This showed that the
197 combination of SEDR with Harmony effectively removed the batch effects. Among the other
198 spatial omics analysis methods, only stLearn is able to produce a latent space embedding
199 which can be fed to Harmony for batch correction. Therefore, we benchmarked SEDR against

200 stLearn. As stLearn is unable to jointly project different batches to a shared latent space due
201 to its requirement of histological images as input, we generated latent space embeddings from
202 each dataset and then concatenated them for Harmony integration. The results showed that
203 batches were not well mixed and the layers were poorly separated (Figure 3E). In conclusion,
204 SEDR combined with Harmony outperforms both Harmony alone and stLearn with Harmony,
205 and can serve as an effective method for batch correction of spatial omics data.

206 **Dissecting tumor heterogeneity and immune microenvironments using SEDR.**

207 Intratumoral heterogeneity in cancer complicates effective treatment formulations and is
208 associated with poor survival prospects.³⁵ Spatial transcriptomics is an effective tool for
209 dissecting and characterizing intratumoral heterogeneity and tumor-immune crosstalk. We
210 tested SEDR on the 10x Visium spatial transcriptomics data for human breast cancer, which
211 is known for its high intratumoral and intertumoral differences. To aid in the interpretation of
212 SEDR results, we performed manual pathology labeling based on H&E staining. It should be
213 noted that, unlike the cerebral cortex which has clear and established morphological
214 boundaries, tumor tissues are highly heterogeneous and encompass complex
215 microenvironments. Manual labeling solely based on tumor morphology is inadequate for
216 characterizing such complexity. Based on pathological features, we manually segmented the
217 histological image into twenty regions, which we then grouped into four main morphotypes:
218 ductal carcinoma *in situ*/lobular carcinoma *in situ* (DCIS/LCIS), healthy tissue (Healthy),
219 invasive ductal carcinoma (IDC), and tumor surrounding regions with low features of
220 malignancy (Tumor edge) (Figure 4A top left, Supplementary Figure 1A). Visually, all five
221 methods agreed with the manual annotations at the macroscopic level (Figure 4A).
222 Nevertheless, the SEDR clusters presented a smoother segmentation compared to other
223 methods, while those derived by Seurat, stLearn, and SpaGCN appeared fragmented with
224 irregular boundaries. Notably, SEDR found more sub-clusters within the tumor regions, while
225 other methods were prone to dividing the healthy regions into subclusters, given that all
226 methods were set to generate the same number of clusters. Specifically, within the seemingly

227 homogenous tumor region DCIS/LCIS_3, SEDR separated an outer “ring” (Figure 4A, SEDR
228 cluster 7) from the tumor core (Figure 4A, SEDR cluster 3). These SEDR clusters indicated
229 transcriptionally and spatially distinct compartments within the visually homogenous tumor
230 regions. In addition to clustering analysis, we also employed the Seurat3 ‘anchor’-based
231 integration workflow to perform probabilistic transfer of annotations from scRNA-seq reference
232 data for human breast cancer³⁶ to the spatial data. For each spot, we obtained a probabilistic
233 classification for each of the scRNA-seq derived classes (Figure 4B, Supplementary Figure
234 1B). The transferred class probabilities were able to delineate the tumor regions and regions
235 where immune cells or fibroblasts were present, which were useful for further dissecting the
236 tumor microenvironment.

237 To further characterize the transcriptional differences between SEDR cluster 3 (tumor
238 core) and cluster 7 (tumor edge) of DCIS/LCIS_3 region, we performed differential expression
239 analysis followed by pathway enrichment analysis (Figure 4C). In cluster 3, we observed the
240 upregulation of interferon signaling pathways (IFIT1, IFITM1, IFITM3 and TAP1) and NK or
241 neutrophil activities (FCGR3B and TNFSF10) (Figure 4C, Supplementary Figure 2E). In
242 addition, RHOB was upregulated in this region, pointing towards reduced metastatic
243 potential.⁴⁰ Cluster 3 represented a region where cancer growth was limited by pro-
244 inflammatory immune responses. On the other hand, in cluster 7, we observed the presence
245 of TAMs (Figure 4B, Supplementary Figure 2D), memory B cells (IGHG1, IGHG3, IGHG4,
246 IGLC2 and IGLC3) and fibroblasts (COL1A1, COL1A2, COL3A1, COL5A1, COL6A1, COL6A2
247 and FN1) (Figure 4C, Supplementary Figure 2E). Upregulated cathepsin activity (CTSB,
248 CTSD and CTSZ) and complement pathway (C1QA, C1S) indicated pro-tumor activity by the
249 TAMs in this region.^{41,42,43} Upregulation of actin cytoskeleton signalling also suggested higher
250 metastasis potential of cluster 7 (Figure 4C). Moreover, upregulated cathepsin activity and
251 metalloproteinase inhibitors (TIMP1 and TIMP3) also indicated disturbance in the extracellular
252 matrix integrity (Supplementary Figure 2E). Overall, cluster 7 represented a region with an
253 immune-suppressed pro-tumor microenvironment and high potential for cancer metastasis.

254 A number of driving forces have been hypothesized as responsible for the metastatic

255 transition of tumor cells from a pre-invasive state to invasive carcinoma, including pro-tumor
256 immune microenvironments and reduced cell-cell interactions within the tumor.³⁷ In this study,
257 we employed PAGA to infer the inter-relatedness between the manually annotated tumor
258 regions to trace the metastatic transition process. The PAGA graph generated using the SEDR
259 embeddings suggested that DCIS_LCIS_3 was related to the neighboring IDC_6 region
260 (Figure 4D). The differentially expressed genes (DEGs) and enriched pathways of
261 DCIS_LCIS_3 compared to all other DCIS_LCIS regions showed that DCIS_LCIS_3 had more
262 immune infiltrates (Supplementary Figure 2A, 2B, 2C), particularly tumor associated
263 macrophages (TAMs) (Figure 4B, top), while the other DCIS_LCIS regions were mainly
264 comprised of actively dividing/cycling epithelial cells (Figure 4B, bottom) with upregulated
265 glycolytic and metabolic processes (Supplementary Figure 2C). TAM infiltration is known to
266 be strongly associated with poor survival rate in solid tumor patients due to its promotion of
267 tumor angiogenesis and induction of tumor migration, invasion and metastasis.^{38,39} We thus
268 performed Monocle3 analysis to infer the pseudo-time of the transition from DCIS_LCIS_3 to
269 IDC_6. As DCIS_LCIS_3 and IDC_6 coincided with SEDR clusters 3, 7, and 11 (Figure 4A,
270 4D), we applied Monocle3 on these three clusters and set cluster 3 (tumor core) as the starting
271 point (Figure 4D bottom). Monocle3 analysis showed that pseudo-time derived from SEDR
272 embeddings better traced the inside-out tumor progression compared to that from Seurat PCA
273 embeddings. We subsequently identified genes that changed expression along the Monocle3
274 pseudo-time and revealed sequential waves of gene regulation along the trajectory (Figure
275 4E).

276 In summary, SEDR analysis revealed intratumoral heterogeneity within visually
277 homogenous tumor regions and revealed the tumor outer ring (cluster 7) with TAM infiltration
278 and cancer associated fibroblasts (CAFs), both of which have been reported to facilitate tumor
279 spread.^{44,45} SEDR also enabled the mapping of a molecular trajectory from the tumor core to
280 its neighboring invasive region, demonstrating the transition from a pro-inflammatory to an
281 immune-suppressive microenvironment, which may contribute to tumor metastasis.

282 **SEDR can handle spatial transcriptomics of high resolution.**

283 Currently available spatial omics technologies, including 10x Visium Spatial Omics,
284 Nanostring GeoMX DSP, SLIDE-seq⁴, and DBIT-seq⁴⁶, do not provide single-cell resolution,
285 with each capture spot containing 1 to 10 cells. However, newly emerging methods such as
286 Stereo-seq⁵, PIXEL-Seq⁶, and Seq-Scope⁷ can achieve submicrometer and thus subcellular
287 resolution. With continued technology advancement, the spatial resolution and number of cells
288 detected per tissue will significantly improve, producing large datasets with high throughput.
289 As such, we evaluated SEDR's performance on one type of such data produced by Stereo-
290 seq from mouse olfactory bulb tissues (Figure 5). The coronal section of a mouse olfactory
291 bulb contains the olfactory nerve layer (ONL), glomerular layer (GL), external plexiform layer
292 (EPL), mitral cell layer (MCL), internal plexiform layer (IPL), granule cell layer (GCL), and
293 rostral migratory stream (RMS) (Figure 5A). We performed unsupervised clustering using the
294 Seurat-derived principal components and SEDR-derived embeddings to computationally
295 reconstruct the spatial identity of the olfactory bulb tissues. Compared to Seurat clusters, those
296 produced by SEDR better reflected tissue organization and were more consistent with known
297 anatomical layers (Figure 5B, 5C). We also performed quantitative assessment using local
298 inverse Simpson's index (LISI) and found that SEDR produced significantly lower LISI than
299 Seurat, implying better spatial separation by SEDR (Figure 5D).

300 **Discussion**

301

302 Cell type heterogeneity is a feature of both healthy and diseased tissue. Capturing this
303 heterogeneity, coupled with its spatial arrangement in the tissue, is crucial when studying the
304 roles of cells and their cross-talk. Spatial omics technologies represent the state-of-the-art
305 approaches for capturing omics data with corresponding spatial information from tissue
306 samples. In this paper, we have introduced SEDR, which leverages on cutting edge graph
307 neural network techniques to achieve a better representation of spatial omics data that can be
308 used for clustering and further downstream analyses. SEDR first learns a low-dimensional

309 latent space representation of the transcriptome information with a deep autoencoder network,
310 which is then aggregated with spatial neighborhood information by a variational graph
311 autoencoder to create a spatial embedding. This spatial embedding is then concatenated with
312 the gene expression to be decoded to reconstruct the final gene expression for further
313 analyses. We first demonstrated the efficacy of SEDR in delineating the different cerebral
314 cortex layers with higher clarity than competing methods, and recapitulated the associated
315 development order by using the joint latent representation with Monocle3.

316 To enhance the analytical power and resolution of spatial omics, we need to integrate
317 multiple datasets from the same tissue. Similar to single-cell transcriptomic data, spatial omics
318 datasets generated in different batches also contain batch-specific systematic variations that
319 present a challenge to batch-effect removal and data integration. In our study, we
320 demonstrated that by combining SEDR and Harmony, we were able to effectively remove
321 batch effects present. In the future, we will integrate Harmony into the SEDR workflow.

322 Spatial omics technologies such as Stereo-seq are able to measure a large number of
323 cells in a single experiment through high spatial resolutions and large tissue sizes. In the near
324 future, we expect to see ever-increasing throughput from spatial omics experiments, which will
325 result in spatial omics big data that will pose significant challenges to data analysis and
326 integration. Computational methods that employ GCNs require the entire graph to be loaded
327 into GPU memory, which inhibits their application to very large datasets. We will improve the
328 memory efficiency of SEDR using a GCN mini-batch or parallel techniques to construct large-
329 scale graphs for spatial omics data of high throughput and resolution. Furthermore,
330 technologies with a capture spot size smaller than the diameter of a cell will also require new
331 computational methods that can accurately delineate cells based on capture spots. In the
332 future, we will integrate cell segmentation based on H&E or DAPI staining into the SEDR
333 workflow.

334 The current SEDR methodology focuses on gene expression and spatial information,
335 and does not make use of histological images. Contemporary methods such as SpaGCN and

336 stLearn use histological images as input, but in a suboptimal fashion, as demonstrated in our
337 study. Specifically, SpaGCN utilizes histological image pixels as features by calculating the
338 mean color values from the RGB channels directly. However, the pixel values are easily
339 affected by noise and cannot provide semantic features for cell analysis. A more effective
340 approach can be to adopt a deep CNN model which can learn high-level representations for
341 histological images. stLearn introduces a deep learning model to extract image features of the
342 spots and integrates them with the spatial location and gene expression. However, stLearn
343 employs a model pre-trained on natural images, and does not fine-tune the network for
344 histological images. In the future, we will incorporate histological images as an additional
345 modality into the SEDR model. We will add an image autoencoder network to learn image
346 features, and jointly learn the latent representation by integrating gene expression, image
347 morphology, and spatial information.

348 In summary, SEDR is a promising new approach that builds an integrated
349 representation of cells using both transcriptomic data and spatial coordinates. SEDR-derived
350 low-dimensional embedding enables more accurate clustering, trajectory inference and batch
351 effect correction. Our model is also able to handle spatial transcriptomics with capture spot
352 sizes ranging from 50 μ m to less than 1 μ m. Furthermore, we applied SEDR on human breast
353 cancer to reveal heterogeneous sub-regions within the seemly homogenous tumor region and
354 shed light on the role of immune microenvironments on tumor invasiveness.

355 **Methods**

356 **Dataset preprocessing.**

357 Our SEDR method takes spatial transcriptomic gene expressions and spatial coordinates as
358 inputs. The raw gene expression counts are first normalized using the respective library sizes
359 (by `normalize_total` in Scanpy (v.1.5.0)), with very highly expressed genes excluded when
360 computing the normalization factor (size factor) for each cell⁴⁷. PCA is then applied to extract
361 the first 200 principal components to generate the initial gene expression matrix.

362

363 **Graph construction for spatial transcriptomics data.**

364 To create a graph representing the cell–cell spatial relationships in spatial transcriptomics data,
365 we calculate the Euclidean distances between cells using the image coordinates, and select
366 the top 10 nearest neighbors of each cell to construct an adjacency matrix. The adjacency
367 matrix, denoted by A , is a symmetric matrix, where $A_{ij} = A_{ji} = 1$ if i and j are neighbors, and
368 0 otherwise.

369 **Deep autoencoder for latent representation learning.**

370 The latent representation of gene expression is learned using a deep autoencoder. The
371 encoder part, consisting of two fully connected stacked layers, generates a low-dimensional
372 representation $Z_f \in \mathbb{R}^{N \times D_f}$ from the input gene expression matrix $X \in \mathbb{R}^{N \times M}$. Meanwhile, the
373 decoder part with one fully connected layer reconstructs the expression matrix $X' \in \mathbb{R}^{N \times M}$
374 from the latent representation $Z \in \mathbb{R}^{N \times D}$, which is obtained by concatenating the low-
375 dimensional representation Z_f and spatial embedding $Z_g \in \mathbb{R}^{M \times D_g}$, where N is the number of
376 cells, M is the number of input genes, and D_f, D_g, D are the dimensions of the low-dimensional
377 expression representation learned by the encoder, the spatial embedding learned by the GCN,
378 and the final latent representation of SEDR, respectively with $D = D_f + D_g$. The objective
379 function of the deep autoencoder maximizes the similarity between the input gene and

380 reconstructed expressions measured by the mean squared error (MSE) loss function
381 $\Sigma(X - X')^2$.

382 **Variational graph autoencoder for spatial embedding.**

383 SEDR utilizes a variational graph autoencoder⁴⁸ (VGAE) to embed the spatial information of
384 neighboring cells. With the adjacency matrix A and its degree matrix D , the VGAE learns a
385 graph embedding Z_g with the following format: $g: (A, Z_f) \rightarrow Z_g$, where Z_f is the node/gene
386 representation from the deep autoencoder. The inference part of the VGAE is parameterized
387 by a two-layer GCN⁴⁹ :

388
$$g(Z_g | A, Z_f) = \prod g(z_i | A, Z_f), \text{ with } g(z_i | A, Z_f) = \mathcal{N}(z_i | \mu_i, \text{diag}(\sigma_i^2)),$$

389 where $\mu = \text{GCN}_\mu(A, Z_f)$ is the matrix of mean vectors, and $\log \sigma = \text{GCN}_\sigma(A, Z_f)$. The two-layer
390 GCN is defined as:

391
$$\text{GCN}(A, Z_f) = \tilde{A} \text{ReLU}(\tilde{A} Z_f W_0) W_1,$$

392 with a weight W_i and symmetrically normalized adjacency matrix $\tilde{A} = D^{-\frac{1}{2}} A D^{-\frac{1}{2}}$. The spatial
393 embedding Z_g and reconstructed adjacency matrix A' are generated as:

394
$$A' = \sigma(Z_g \cdot Z_g^T),$$

395 with $Z_g = \text{GCN}(A, Z_g)$. The objective of the VGAE is to minimize the cross-entropy (CE) loss
396 between the input adjacency matrix A and reconstructed adjacency matrix A' , while
397 simultaneously minimizing the Kullback-Leibler (KL) divergence between $g(Z_g | A, Z_f)$ and the
398 Gaussian prior:

399
$$p(Z_g) = \prod_i \mathcal{N}(z_i | 0, I).$$

400 **Batch effect correction for spatial transcriptomics.**

401 Spatial relationships only exist within single spatial transcriptomic measurement; cells/spots
402 from different transcriptomic measurements have no direct spatial relation. Let A^k and Z_f^k
403 denote the adjacency matrix and deep gene representation of spatial omics k , we then create

404 a block-diagonal adjacency matrix A^k and concatenate the deep gene representation in the
405 cell dimension, as:

406

$$A = \begin{bmatrix} A^1 & \cdots & 0 \\ \vdots & \ddots & \vdots \\ 0 & \cdots & A^K \end{bmatrix}, \quad Z_f = \begin{bmatrix} Z_f^1 \\ \vdots \\ Z_f^K \end{bmatrix},$$

407 where K is the number of spatial omics. Based on this formulation, we transform different
408 spatial omics datasets (of potentially different sizes) into multiple graph instances in the form
409 of one block-diagonal adjacency matrices as inputs to SEDR.

410 To remove batch effects and enhance the compactness of its latent representation, SEDR
411 employs an unsupervised deep embedded clustering (DEC) method²⁶ to iteratively group the
412 cells into different clusters. To initialize the cluster centers, we employ the KMeans of scikit-
413 learn on the learned latent representations. The number of clusters is pre-defined as a
414 hyperparameter. With the initialization, DEC improves the clustering using an unsupervised
415 iterative method of two steps. In the first step, a soft assignment q_{ij} of latent point z_i to cluster
416 center μ_j is calculated using the Student's t-distribution:

417

$$q_{ij} = \frac{\left(1 + \left\|z_i - \mu_j\right\|^2\right)^{-1}}{\sum_j \left(1 + \left\|z_i - \mu_j\right\|^2\right)^{-1}}.$$

418 In the second step, we iteratively refine the clusters by learning from their high confidence
419 assignments with the help of an auxiliary target distribution p based on q_{ij} :

420

$$p_{ij} = \frac{q_{ij}^2 / \sum_i q_{ij}}{\sum_j (q_{ij}^2 / \sum_i q_{ij})}.$$

421 Based on the soft assignment q_{ij} and auxiliary target distribution p_{ij} , an objective function is
422 defined using the KL divergence:

423

$$KL(P||Q) = \sum_i \sum_j p_{ij} \log \frac{p_{ij}}{q_{ij}}.$$

424 The SEDR parameters and cluster centers are then simultaneously optimized by using
425 stochastic gradient descent (SGD) with momentum.

426

427 **Seurat.**

428 Raw mRNA counts were preprocessed to remove low-quality genes and sctransformed to
429 remove technical artifacts and normalize the data.⁵⁰ We then ran PCA to extract the top 30
430 principal components (PCs) and used them to calculate the shared nearest neighbors (SNNs).
431 Then, the Louvain clustering algorithm was used to identify clusters with the SNN networks.
432 We tried clustering at different resolutions to obtain the same number of clusters as the ground
433 truth layers.

434 **SpaGCN, stLearn, BayesSpace, Giotto.**

435 We ran these methods with the recommended parameters and set each one to generate the
436 same number of clusters as the ground truth layers. The stLearn-derived low-dimensional
437 embedding was used for downstream UMAP visualization and Harmony batch correction.

438 **Evaluation metrics for clustering.**

439 For datasets with cell-type labels (e.g., DLPFC), we employed ARI to compare the
440 performance of different clustering algorithms. ARI calculates the similarity between the
441 clustering labels predicted by the algorithm and reference cluster labels as:

$$442 \quad ARI = \frac{RI - E[RI]}{\max(RI) - E[RI]},$$

443 where the unadjusted rand index (RI) is defined as $RI = (a + b)/C_n^2$, with a being the number
444 of pairs correctly labeled as coming from the same set, b being the number of pairs correctly
445 labeled as not in the same set, and C_n^2 being the total number of possible pairs. $E[RI]$ is the
446 expected RI of random labeling. A higher ARI score indicates better performance.

447 **Monocle3.**

448 On the DLPFC #151673 slice and breast cancer data, we ran Monocle3 using both the Seurat
449 and SEDR outputs. For Seurat, we ran the standard pipeline to obtain the UMAP. For SEDR,
450 we first extracted the low-dimensional embedding and then used the uwot package to calculate

451 the UMAP. We then ran Monocle3 on both UMAPs using the recommended parameters and
452 set white matter (WM) as the starting point to generate the pseudo-time. Finally, we used the
453 Moran_I test to detect significant genes that showed correlations with the pseudo-time.

454 **Leiden clustering, PAGA trajectory, and UMAPs.**

455 We used the Scanpy (v.1.5.0) package to compute the Leiden clustering, partition-based graph
456 abstraction (PAGA), and uniform manifold approximation and projection (UMAP) from SEDR-
457 derived joint embeddings of gene expression and spatial information. Briefly, we used SEDR
458 embeddings to compute neighborhood graphs with 15 as the number of neighbors and
459 Euclidean distance as the distance measure. To obtain the same number of unique Leiden
460 clusters, grid-searching on the Leiden clustering resolutions between 0.2 and 2.5 was
461 performed at intervals of 0.05/0.01. Subsequently, PAGA was applied to quantify the
462 connectivity between Leiden clusters. Finally, the cluster positions suggested by PAGA were
463 used to compute the UMAP for visualization.

464 **Harmony.**

465 Harmony was used to correct batch effects on low-dimensional embeddings. For SEDR, we
466 used latent space embeddings as input. For the raw data and stLearn, we used the PCA
467 embeddings. We treated different samples as different batches, and set all other parameters
468 to their default values. For each method, the uncorrected embeddings and batch-corrected
469 Harmony embeddings were used for UMAP visualization and analysis.

470 **Prediction of cell type composition of 10x Visium spatial spot.**

471 We downloaded a published scRNA-seq dataset of human breast cancer³⁶ as reference, and
472 ran Seurat to find transfer anchors between the reference and our Visium spatial data. Cell
473 types in the reference were then assigned to the spatial spots by label transferring. We
474 removed cell types with probabilities equal to 0 for all spots.

475 **Differential expression analysis and pathway analyses.**

476 We used Seurat to identify DEGs. Genes with adjusted p-values < 0.05 were used as the

477 input for QIANGEN Ingenuity Pathway Analysis (IPA). For IPA results, pathways with
478 positive or negative z-scores were plotted.

479 **Raw data processing of Stereo-seq data.**

480 Fastq files were generated using the MGI DNBSEQ-Tx sequencer. Coordinate identities
481 (IDs) and unique molecular identifiers (UMIs) were encoded in the forward reads (CID: 1-
482 25bp, UMI: 26-35bp), while the reverse reads consisted of the cDNA sequences. CID
483 sequences in the forward reads were first mapped to the designed coordinates of the *in situ*
484 captured chip, allowing one base mismatch to correct for sequencing and PCR errors. Reads
485 with UMIs containing either N bases or more than two bases with quality scores lower than 10
486 were filtered out. The IDs and UMIs associated with each read were appended to each read
487 header. Retained reads were then aligned to the reference genome (mm10) using STAR⁵¹,
488 and mapped reads with MAPQ ≥ 10 were counted and annotated using an *in-house* script
489 (available at <https://github.com/BGIResearch/handleBam>). UMIs with the same IDs and gene
490 loci were collapsed together, allowing for one mismatch to correct for sequencing and PCR
491 errors, to give the final gene expression matrix.

492 **Local inverse Simpson's index (LISI).**

493 We first used Seurat and SEDR to generate cell clusters for the stereo-seq data, and then the
494 R “lisi” package to calculate the LISIs using spatial coordinates as X and the clustering results
495 of Seurat and SEDR as meta data.

496

497 **Data availability.**

498 (1) LIBD human dorsolateral prefrontal cortex (DLPFC) Data
499 (<http://spatial.libd.org/spatialLIBD/>); (2) 10x visium spatial transcriptomics data of human
500 breast cancer and Stereo-seq of mouse olfactory bulb are at
501 <https://github.com/JinmiaoChenLab/SEDR/> (3) Analysis results and scripts to reproduce the
502 results are at <https://github.com/JinmiaoChenLab/SEDR/>

503

504 **Software availability.**

505 SEDR was written in Python using the PyTorch library. An open-source implementation of

506 SEDR has been released on <https://github.com/HzFu/SEDR>

507

508

509

510

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635

636

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640

641 **Author contributions:**

642 Huazhu Fu designed and implemented SEDR. Hang Xu, Huazhu Fu, Kelvin Chong, Mengwei
643 Li, Hong Kai Lee and Jingjing Ling performed data analysis. Hang Xu, Huazhu Fu, Mengwei
644 Li generated figures. Jinmiao Chen, Huazhu Fu, Hang Xu, Kok Siong Ang, Kelvin Chong,
645 Jingjing Ling and Ling Shao drafted the manuscript. Ao Chen and Longqi Liu provided Stereo-
646 seq data. Jinmiao Chen conceptualized and supervised the study.

647

648 **Competing interests:**

649 The authors declare no competing interests.

650

651 **Figure legends:**

652 **Figure 1. Overview of SEDR.** SEDR learns a low-dimensional latent representation of gene
653 expression embedded with spatial information by jointly training a deep autoencoder and a
654 variational graph autoencoder. The low-dimensional embedding produced by SEDR can be
655 used for downstream visualization, cell clustering, trajectory inference, and batch effect
656 correction.

657 **Figure 2. Quantitative assessment of SEDR on the human dorsolateral prefrontal cortex**
658 **(DLPFC) dataset.** A) Ground-truth segmentation of cortical layers; clustering results of Seurat,
659 Giotto, stLearn, SpaGCN, BayesSpace, and SEDR on DLPFC slice #151673; and adjusted
660 rand index (ARI) of various cluster sets on the 12 DLPFC slices. B) UMAP visualization and
661 Monocle3 trajectory generated using the Seurat-derived PCA embedding (left) and SEDR
662 embedding (right). Monocle pseudotimes visualized on UMAP plots (middle) and spatial co-
663 ordinates (bottom). C) PAGA graphs generated using the Seurat-derived PCA embedding (top)

664 and SEDR embedding (middle). The ratios of the sum of weights of correctly inferred PAGA
665 edges to the sum of weights of all edges produced by SEDR and Seurat (bottom).

666 **Figure 3. Batch effects present in DLPFC dataset and assessment of SEDR's**
667 **performance on batch correction.** A) Slices #151507, #151672 and #151673 showed
668 substantial inter-slice variations before batch effect correction. UMAP plots colored by ground-
669 truth cortical layers (left), slices (right), split by layers and colored by slices (bottom). B)
670 Harmony alone was unable to remove the batch effects present. C) SEDR alone substantially
671 reduced the batch effects. D) SEDR combined with Harmony effectively corrected the batch
672 effects. E) stLearn combined with Harmony was unable to correct the batch effects.

673 **Figure 4. Application of SEDR on 10x Visium spatial transcriptomics data of human**
674 **breast cancer.** A) Manual pathology labeling based on H&E staining (annotation); clustering
675 results of SEDR, Seurat, stLearn, SpaGCN, and BayesSpace. B) Seurat3 'anchor'-based
676 integration workflow was used to perform probabilistic transfer of annotations from a reference
677 scRNA-seq data of human breast cancer to the spatial data. This gives a probabilistic
678 classification of the scRNA-seq derived classes for each spot. The probabilities of tumor
679 associated macrophage (TAMs) and cycling epithelials (C-EPI) were visualized. C) Pathways
680 enriched by genes differentially expressed between SEDR clusters 3 (core) and 7 (outer ring).
681 Red bars represent pathways upregulated in cluster 3. D) Trajectory analysis results using
682 PAGA (top) and Monocle3 (bottom). The PAGA graph predictions of the inter-relatedness
683 between the manually annotated DCIS/LCIS and IDC regions. The edge width denotes
684 connectivity strength, thus indicating the likelihood of an actual connection being present.
685 Monocle3 inferred the pseudo-times of spots in SEDR clusters 3, 7, and 11 using the Seurat-
686 derived PCA embedding (termed "rna_pseudotime") and SEDR embedding (termed
687 "SEDR_pseudotime"). E) Heatmap of genes with expression changes along the Monocle-
688 derived pseudo-time.

689 **Figure 5. Application of SEDR on Stereo-seq spatial transcriptomics data of mouse**
690 **olfactory bulb tissue sections.** A) Laminar organization of DAPI-stained mouse olfactory

691 bulb. B) Unsupervised clustering of the spatial voxels analyzed by Seurat and SEDR. C) The
692 four clusters with the highest numbers of voxels were selected and visualized. D) Quantitative
693 comparison of Seurat and SEDR clusters using local inverse Simpson's index (LISI).

694 **Supplementary:**

695 **Figure 1. Human breast cancer histology and cell type mixtures of spatial spots.** A) H&E
696 staining. B) Seurat3 predicted probabilities of scRNA-seq derived cell types.

697 **Figure 2. Differentially expressed genes (DEGs) and enriched pathways.** A) Locations of
698 DCIS_LCIS_3 and other DCIS_LCIS regions. B) Top DEGs between DCIS_LCIS_3 and other
699 DCIS_LCIS regions. C) Enriched pathways of DEGs between DCIS_LCIS_3 and other
700 DCIS_LCIS regions. Red bars represent pathways up-regulated in DCIS_LCIS_3 D)
701 Percentages of tumor associated macrophages (TAMs) in SEDR cluster 3 (tumor core) and
702 cluster 7 (tumor edge). E) Violin plots of selected DEGs between SEDR clusters 3 and 7.

703

Figures

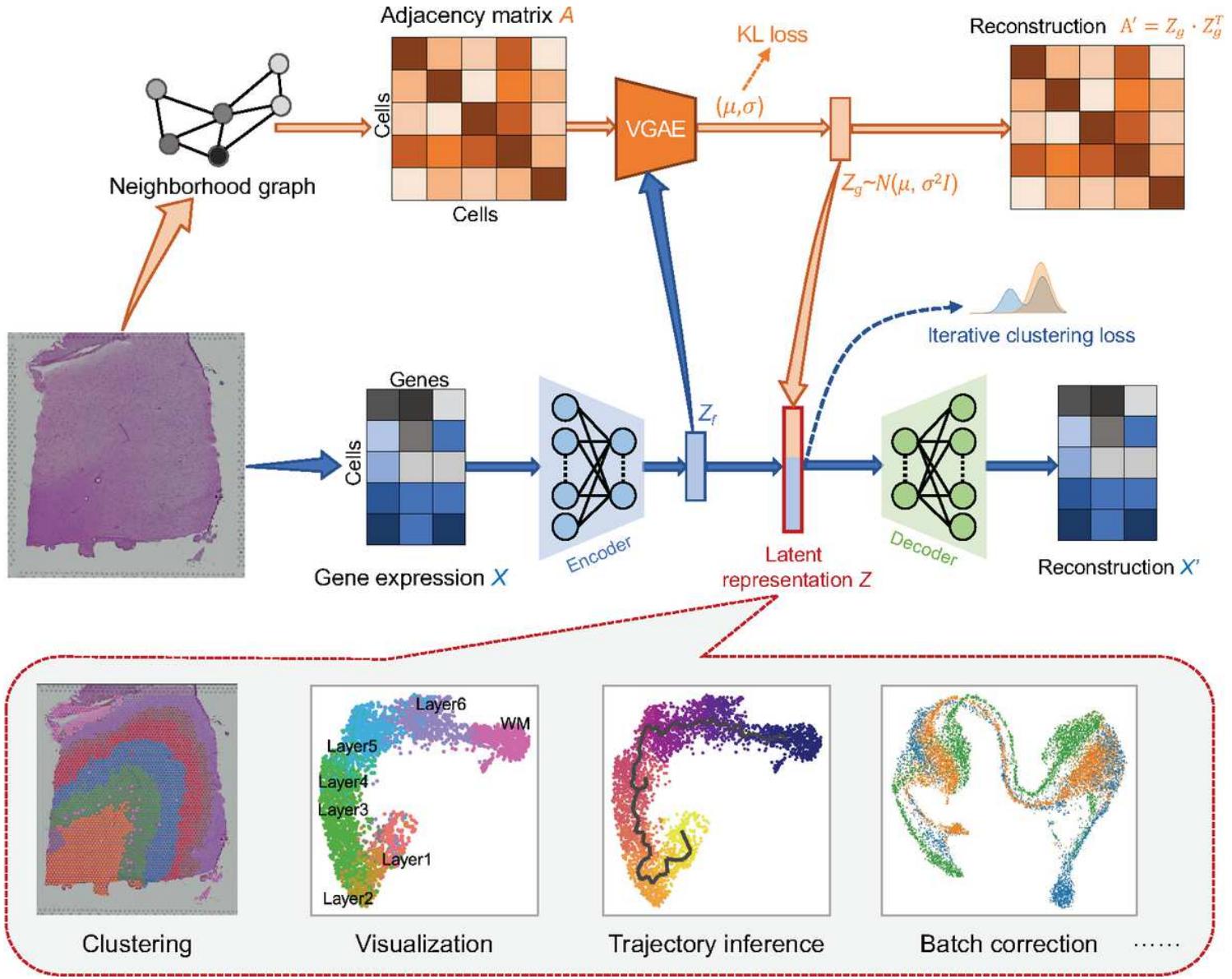
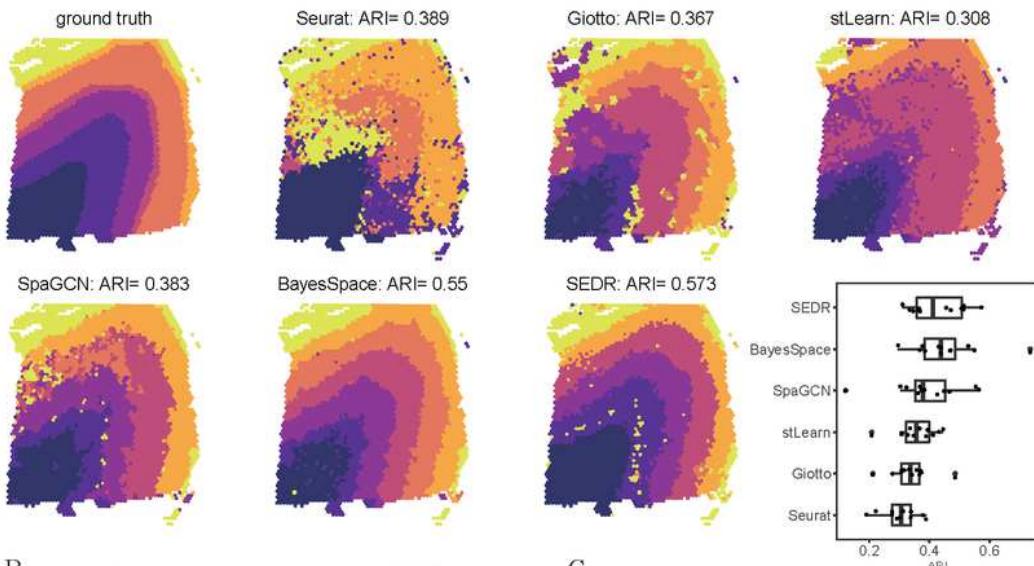


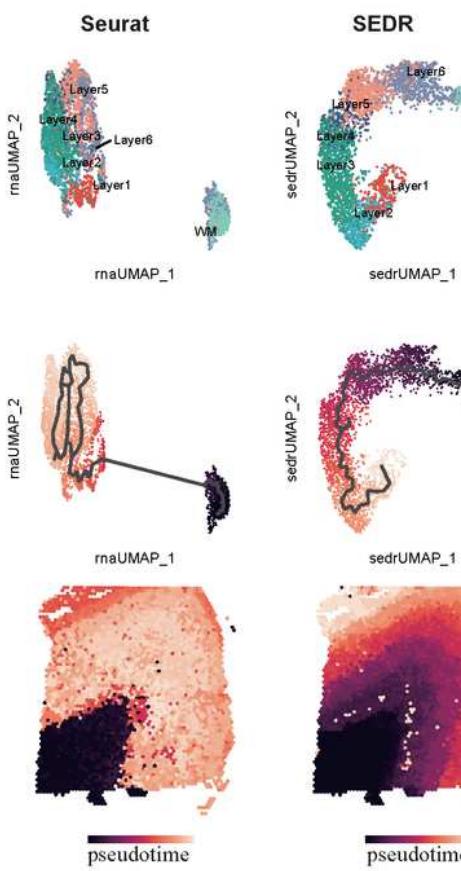
Figure 1

Overview of SEDR. SEDR learns a low-dimensional latent representation of gene expression embedded with spatial information by jointly training a deep autoencoder and a variational graph autoencoder. The low-dimensional embedding produced by SEDR can be used for downstream visualization, cell clustering, trajectory inference, and batch effect correction.

A



B



C

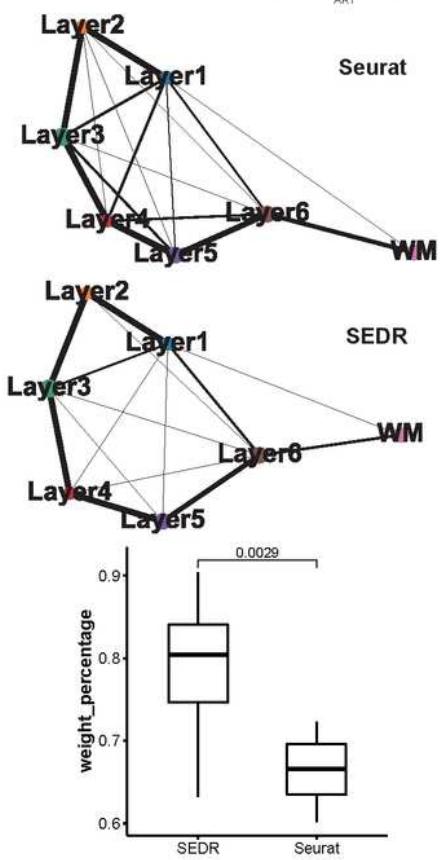


Figure 2

Quantitative assessment of SEDR on the human dorsolateral prefrontal cortex (DLPFC) dataset. A) Ground-truth segmentation of cortical layers; clustering results of Seurat, Giotto, stLearn, SpaGCN, BayesSpace, and SEDR on DLPFC slice #151673; and adjusted rand index (ARI) of various cluster sets on the 12 DLPFC slices. B) UMAP visualization and Monocle3 trajectory generated using the Seurat-derived PCA embedding (left) and SEDR embedding (right). Monocle pseudotimes visualized on UMAP plots

(middle) and spatial co-ordinates (bottom). C) PAGA graphs generated using the Seurat-derived PCA embedding (top) and SEDR embedding (middle). The ratios of the sum of weights of correctly inferred PAGA edges to the sum of weights of all edges produced by SEDR and Seurat (bottom).

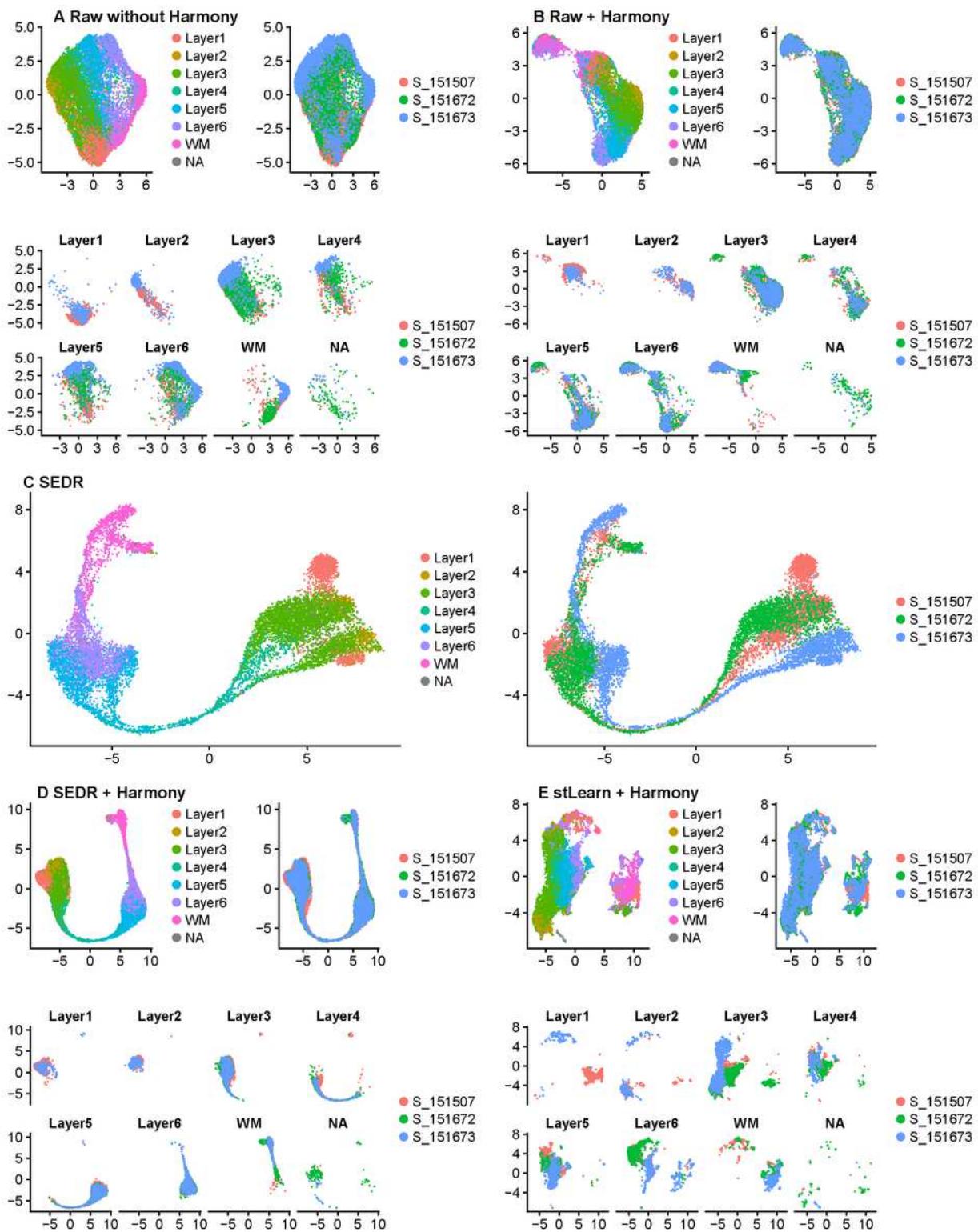


Figure 3

Batch effects present in DLPFC dataset and assessment of SEDR's performance on batch correction. A) Slices #151507, #151672 and #151673 showed substantial inter-slice variations before batch effect

correction. UMAP plots colored by ground-truth cortical layers (left), slices (right), split by layers and colored by slices (bottom). B) Harmony alone was unable to remove the batch effects present. C) SEDR alone substantially reduced the batch effects. D) SEDR combined with Harmony effectively corrected the batch effects. E) stLearn combined with Harmony was unable to correct the batch effects.

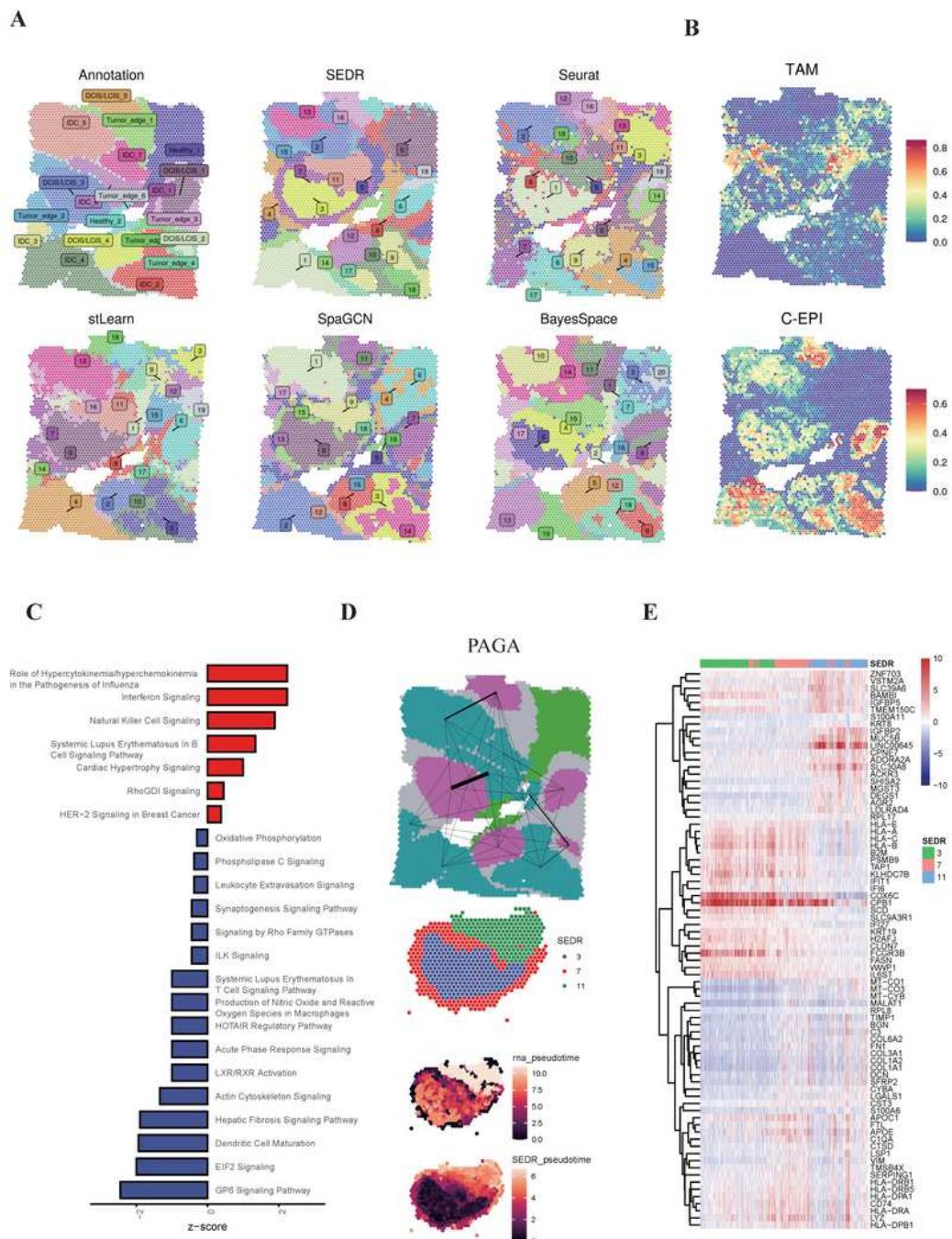


Figure 4

Application of SEDR on 10x Visium spatial transcriptomics data of human breast cancer. A) Manual pathology labeling based on H&E staining (annotation); clustering results of SEDR, Seurat, stLearn, SpaGCN, and BayesSpace. B) Seurat3 ‘anchor’-based integration workflow was used to perform probabilistic transfer of annotations from a reference scRNA-seq data of human breast cancer to the spatial data. This gives a probabilistic classification of the scRNA-seq derived classes for each spot. The probabilities of tumor associated macrophage (TAMs) and cycling epithelials (C-EPI) were visualized. C) Pathways enriched by genes differentially expressed between SEDR clusters 3 (core) and 7 (outer ring). Red bars represent pathways upregulated in cluster 3. D) Trajectory analysis results using PAGA (top) and Monocle3 (bottom). The PAGA graph predictions of the inter-relatedness between the manually annotated DCIS/LCIS and IDC regions. The edge width denotes connectivity strength, thus indicating the likelihood of an actual connection being present. Monocle3 inferred the pseudo-times of spots in SEDR clusters 3, 7, and 11 using the Seurat-derived PCA embedding (termed “rna_pseudotime”) and SEDR embedding (termed “SEDR_pseudotime”). E) Heatmap of genes with expression changes along the Monocle-derived pseudo-time.

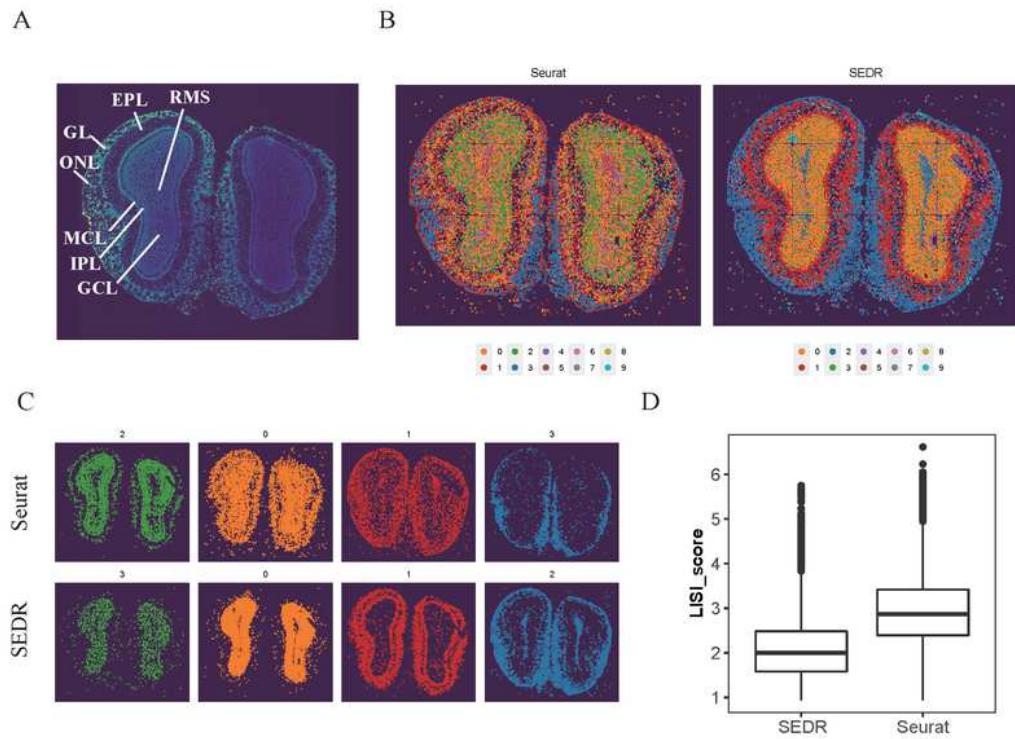


Figure 5

Application of SEDR on Stereo-seq spatial transcriptomics data of mouse olfactory bulb tissue sections. A) Laminar organization of DAPI-stained mouse olfactory bulb. B) Unsupervised clustering of the spatial voxels analyzed by Seurat and SEDR. C) The four clusters with the highest numbers of voxels were selected and visualized. D) Quantitative comparison of Seurat and SEDR clusters using local inverse Simpson's index (LISI).

Supplementary Files

This is a list of supplementary files associated with this preprint. Click to download.

- [supp1.pdf](#)
- [supp2.pdf](#)