

1 **SARS-CoV-2 variants B.1.351 and B.1.1.248: Escape from therapeutic**  
2 **antibodies and antibodies induced by infection and vaccination**

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28 **SUMMARY**

29 **The global spread of SARS-CoV-2/COVID-19 is devastating health systems and economies**  
30 **worldwide. Recombinant or vaccine-induced neutralizing antibodies are used to combat the**  
31 **COVID-19 pandemic. However, recently emerged SARS-CoV-2 variants B.1.1.7 (UK),**  
32 **B.1.351 (South Africa) and B.1.1.248 (Brazil) harbor mutations in the viral spike (S) protein**  
33 **that may alter virus-host cell interactions and confer resistance to inhibitors and antibodies.**  
34 **Here, using pseudoparticles, we show that entry of UK, South Africa and Brazil variant**  
35 **into human cells is susceptible to blockade by entry inhibitors. In contrast, entry of the**  
36 **South Africa and Brazil variant was partially (Casirivimab) or fully (Bamlanivimab)**  
37 **resistant to antibodies used for COVID-19 treatment and was less efficiently inhibited by**  
38 **serum/plasma from convalescent or BNT162b2 vaccinated individuals. These results**  
39 **suggest that SARS-CoV-2 may escape antibody responses, which has important**  
40 **implications for efforts to contain the pandemic.**

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## 52 INTRODUCTION

53 The pandemic spread of severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2), the  
54 causative agent of coronavirus disease 2019 (COVID-19), is ravaging economies and health  
55 system worldwide and has caused more than 2.3 million deaths ((WHO), 2020). The  
56 identification of antivirals by drug repurposing was so far largely unsuccessful. Remdesivir, an  
57 inhibitor of the viral polymerase, is the only antiviral with proven efficacy (Beigel et al., 2020).  
58 However, the clinical benefit reported for Remdesivir treatment is moderate and has been called  
59 into question (Consortium et al., 2020; Wang et al., 2020). Recombinant antibodies, which target  
60 the viral spike protein (S) and neutralize infection in cell culture and animal models (Baum et al.,  
61 2020a; Chen et al., 2020), have been granted emergency use authorization (EUA) and may  
62 provide a valuable treatment option in the absence of other antivirals. In contrast to the moderate  
63 success in the area of antivirals, protective mRNA- and vector-based vaccines encoding the  
64 SARS-CoV-2 S protein have been approved for human use and are considered key to the  
65 containment of COVID-19 (Baden et al., 2021; Polack et al., 2020).

66 SARS-CoV-2, an enveloped, positive-strand RNA virus that uses its envelope protein  
67 spike (S) to enter target cells. Entry depends on S protein binding to the cellular receptor ACE2  
68 and S protein priming by the cellular serine protease TMPRSS2 (Hoffmann et al., 2020b; Zhou et  
69 al., 2020) and these processes can be disrupted by soluble ACE2 and serine protease inhibitors  
70 (Hoffmann et al., 2020b; Monteil et al., 2020; Zhou et al., 2020). Further, the S protein of SARS-  
71 CoV-2 and other coronaviruses is a major determinant of viral cell and species tropism and the  
72 main target for the neutralizing antibody response. The genetic information of SARS-CoV-2 has  
73 remained relatively stable after the detection of first cases in Wuhan, China, in the winter season  
74 of 2019. The only exception was a D614G change in the viral S protein that became dominant  
75 early in the pandemic and that has been associated with increased transmissibility (Korber et al.,

76 2020; Plante et al., 2020; Volz et al., 2021). In contrast, D614G has only a moderate impact on  
77 SARS-CoV-2 neutralization by sera from COVID-19 patients and by sera from vaccinated  
78 individuals (Korber et al., 2020; Weissman et al., 2021).

79 In recent weeks several SARS-CoV-2 variants emerged that seem to exhibit increased  
80 transmissibility and that harbor mutations in the S protein. The SARS-CoV-2 variant B.1.1.7 (UK  
81 variant), also termed variant of concern (VOC) 202012/01 or 20I/501Y.V1, emerged in the  
82 United Kingdom and was associated with a surge of COVID-19 cases (Leung et al., 2021).  
83 Subsequently, spread of the UK variant in other countries was reported (Claro et al., 2021;  
84 Galloway et al., 2021). It harbors nine mutations in the S protein, six of which are located in the  
85 surface unit, S1, and three are found in the transmembrane unit, S2 (Fig. 1). Exchange N501Y is  
86 located in the receptor binding domain (RBD), a domain within S1 that interacts with ACE2, and  
87 its presence was linked to increased human-human transmissibility (Leung et al., 2021; Zhao et  
88 al., 2021). Variants B.1.351 (20H/501Y.V2, also termed South Africa variant) and B.1.1.248  
89 (P.1., also termed Brazil variant) were also purported to be more transmissible and these variants  
90 harbor nine and eleven mutations in their S proteins, respectively, including three changes in the  
91 RBD, K417N/T, E484K and N501Y (Fig. 1) (CDC, 2021). These mutations, as well as the  
92 N501Y change present in the S protein of the UK variant, may alter host cell interactions and  
93 susceptibility to experimental entry inhibitors and antibody-mediated neutralization. However, no  
94 functional characterization of the S proteins of UK, South Africa and Brazil variant have been  
95 reported in the peer-reviewed literature, with the exception of one study showing that the UK  
96 variant exhibits reduced susceptibility to neutralization by sera from COVID-19 patients and  
97 vaccinated individuals (Muik et al., 2021) and another study showing that mutations E484K and  
98 N501Y, which are both present in the South Africa and Brazil variants, have little effect on

99 neutralization by sera from individuals who were immunized twice with BNT162b2 (Xie et al.,  
100 2021).

101 Here, we show that the S protein of the UK, South Africa and Brazil variants mediate  
102 robust entry into human cell lines and that entry is blocked by soluble ACE2 (sACE2), protease  
103 inhibitors active against TMPRSS2 and membrane fusion inhibitors. In contrast, monoclonal  
104 antibodies with EUA for COVID-19 treatment partially or completely failed to inhibit entry  
105 driven by the S proteins of the South Africa and Brazil variants. Similarly, these variants were  
106 less efficiently inhibited by convalescent plasma and sera from individuals vaccinated with  
107 BNT162b2. Our results suggest that SARS-CoV-2 can evade inhibition by neutralizing  
108 antibodies.

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123 **RESULTS**

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125 **The spike proteins of the SARS-CoV-2 variants mediate robust entry into human cell lines**

126 We first investigated whether the S proteins of SARS-CoV-2 WT (Wuhan-1 isolate with D614G  
127 exchange), UK, South Africa and Brazil variants (Fig. 1) mediated entry into human and non-  
128 human primate (NHP) cell lines with comparable efficiency. For this, we used a vesicular  
129 stomatitis virus (VSV)-based vector pseudotyped with the respective S proteins. This system  
130 faithfully mimics key aspects of SARS-CoV-2 entry into cells, including ACE2 engagement,  
131 priming of the S protein by TMPRSS2 and antibody-mediated neutralization (Hoffmann et al.,  
132 2020b). The following cell lines are frequently used for SARS-CoV-2 research and were  
133 employed as target cells in our study: The African green monkey kidney cell line Vero, Vero  
134 cells engineered to express TMPRSS2, the human embryonic kidney cell line 293T, 293T cells  
135 engineered to express ACE2, the human lung cell line Calu-3 and the human colon cell line  
136 Caco-2. All cell lines tested express endogenous ACE2. In addition, Calu-3 and Caco-2 cells  
137 express endogenous TMPRSS2 (Bottcher-Friebertshauser et al., 2011; Kleine-Weber et al.,  
138 2018).

139 All S proteins studied were robustly expressed and mediated formation of syncytia in  
140 transfected cells (Fig. 2A). Entry into all cell lines was readily detectable but the relative entry  
141 efficiency varied. Particles bearing the S proteins of the SARS-CoV-2 variants entered 293T  
142 (Brazil variant) and 293T-ACE2 (South Africa and Brazil variants) cells with slightly reduced  
143 efficiency as compared to particles bearing WT S protein, while the reverse observation was  
144 made for Calu-3 cells (UK variant). For the remaining cell lines, no significant differences in  
145 entry efficiency were observed between SARS-CoV S WT and S proteins from SARS-CoV-2

146 variants (Fig. 2B). Collectively, these results indicate that the mutations present in the S proteins  
147 of UK, South Africa and Brazil variant are compatible with robust entry into human cells.

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#### 149 **The spike proteins of the SARS-CoV-2 variants mediate fusion of human cells**

150 The S protein of SARS-CoV-2 drives cell-cell fusion resulting in the formation of syncytia and  
151 this process might contribute to viral pathogenesis (Buchrieser et al., 2021). We employed a cell-  
152 cell fusion assay to determine whether the S proteins of UK, South Africa and Brazil variant  
153 drive fusion with human cells. For this, the S proteins under study were expressed in effector  
154 293T cells, which were subsequently mixed with target 293T cells engineered to express ACE2  
155 or ACE2 in conjunction with TMPRSS2. The S protein of SARS-CoV was included as control.  
156 The SARS-CoV S protein failed to mediate fusion with target cells expressing ACE2 only but  
157 efficiently drove fusion with cells expressing ACE2 and TMPRSS2 (Fig. 3A). Similar results  
158 were obtained by microscopic examination of A549-ACE2 and A549-ACE2/TMPRSS2 cells  
159 transfected to express the respective S proteins (Fig. 3B). These findings are in agreement with  
160 the documented requirement for an exogenous protease for SARS-CoV S driven cell-cell fusion  
161 under the experimental conditions chosen (Hoffmann et al., 2020a). In contrast, the SARS-CoV-2  
162 S protein mediated efficient membrane fusion in the absence of TMPRSS2 expression in target  
163 cells (Fig. 3A,B) and this property is known to depend on the multibasic S1/S2 site of this S  
164 protein which is absent in SARS-CoV S (Hoffmann et al., 2020a). Finally, the S proteins of all  
165 SARS-CoV-2 variants tested facilitated cell-cell fusion with similar (UK) or slightly reduced  
166 (South Africa, Brazil) efficiency as compared to WT S protein (Fig. 3A,B).

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#### 168 **Similar stability and entry kinetics of particles bearing WT and variant S proteins**

169 We next investigated whether the S proteins of the SARS-CoV-2 variants showed altered  
170 stability, which may contribute to the alleged increased transmissibility of the viral variants. For  
171 this, we incubated S protein-bearing particles for different time intervals at 33°C, a temperature  
172 that is present in the nasal cavity, and subsequently assessed their capacity to enter target cells.  
173 The efficiency of cell entry markedly decreased upon incubation of particles at 33°C for more  
174 than 8 h, but no appreciable differences were observed between particles bearing S proteins from  
175 SARS-CoV-2 WT or variants (Fig. 4A).

176 Although the S proteins of the SARS-CoV-2 variants under study did not differ markedly  
177 from WT S protein regarding stability and entry efficiency, they might mediate entry with  
178 different kinetics as compared to WT S protein. To investigate this possibility, we incubated  
179 target cells with S protein-bearing particles for the indicated time intervals, removed unbound  
180 virus by washing and universally determined entry efficiency at 16 h post inoculation. Entry  
181 efficiency increased with the time available for particle adsorption to cells but no clear  
182 differences were observed between particles bearing WT S protein or S protein from SARS-CoV-  
183 2 variants (Fig. 4B). Our results suggest that there might be no major differences between WT  
184 SARS-CoV-2 and SARS-CoV-2 variants UK, South Africa and Brazil regarding S protein  
185 stability and entry kinetics.

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187 **Soluble ACE2, TMPRSS2 inhibitors and membrane fusion inhibitors block entry**

188 Soluble ACE2 (sACE2) blocks SARS-CoV-2 entry into cells and is in clinical development for  
189 COVID-19 therapy (Monteil et al., 2020). Similarly, the clinically proven protease inhibitors  
190 Camostat and Nafamostat block TMPRSS2-dependent SARS-CoV-2 cell entry and their potential  
191 for COVID-19 treatment is currently being assessed (Hoffmann et al., 2020b; Hoffmann et al.,  
192 2020c). Finally, the membrane fusion inhibitor EK1 and its optimized lipid-conjugated derivative

193 EK1C4 block SARS-CoV-2 entry by preventing conformational rearrangements in S protein  
194 required for membrane fusion (Xia et al., 2020). We asked whether entry driven by the S proteins  
195 of UK, South Africa and Brazil variant can be blocked by these inhibitors. All inhibitors were  
196 found to be active although entry mediated by the S proteins of the SARS-CoV-2 variants was  
197 slightly more sensitive to blockade by sACE2 as compared to WT S protein, at least for certain  
198 sACE2 concentrations (Fig. 5). Conversely, entry driven by the S protein of the Brazil variant  
199 was slightly more sensitive to blockade by EK1 and EK1C4 as compared to the other S proteins  
200 tested (Fig. 5). These results suggest that sACE2, TMPRSS2 inhibitors and membrane fusion  
201 inhibitors will be active against UK, South Africa and Brazil variant.

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### 203 **Resistance against antibodies used for COVID-19 treatment**

204 A cocktail of monoclonal antibodies (REGN-COV2, Casirivimab and Imdevimab) and the  
205 monoclonal antibody Bamlanivimab block SARS-CoV-2 WT infection and have received EUA  
206 for COVID-19 therapy. We analyzed whether these antibodies can inhibit entry driven by the S  
207 proteins of UK, South Africa and Brazil variants. All variants were comparably inhibited by  
208 antibody REGN10987 (Imdevimab) (Fig. 6). In contrast, entry driven by the S proteins of the  
209 South Africa and Brazil variant was partially resistant against antibody REGN10933  
210 (Casirivimab) and fully resistant against REGN10989 (Fig. 6). Finally, entry mediated by the S  
211 proteins of the South Africa and Brazil variant was completely resistant to Bamlanivimab while  
212 the S protein of the UK variant was efficiently blocked by all antibodies tested (Fig. 6).  
213 Collectively, these data indicate that antibodies with EUA might provide incomplete  
214 (REGENERON) or no (Bamlanivimab) protection against the South Africa and Brazil variants.

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### 216 **Reduced neutralization by plasma from convalescent patients**

217 SARS-CoV-2 infection can induce the production of neutralizing antibodies and these antibodies  
218 are believed to contribute to protection from reinfection (Rodda et al., 2020; Wajnberg et al.,  
219 2020). Therefore, it is important to elucidate whether UK, South Africa and Brazil variants are  
220 efficiently neutralized by antibody responses in convalescent COVID-19 patients. We addressed  
221 this question using plasma collected from COVID-19 patients undergoing intensive care at  
222 Göttingen University Hospital, Germany. The plasma samples had been pre-screened for high  
223 neutralizing activity against WT S protein, and a plasma sample with no neutralizing activity was  
224 included as negative control. Spread of SARS-CoV-2 variants in Germany was very limited at the  
225 time of sample collection, indicating that serum antibodies were induced in response to SARS-  
226 CoV-2 WT infection.

227 All plasma samples with known neutralizing activity (ID15, 18, 20, 22, 23, 24, 27, 33, 51)  
228 efficiently reduced entry driven by WT S protein while the control plasma (ID16) was inactive  
229 (Fig. 7A). Blockade of entry driven by the S protein of the UK variant was slightly less efficient  
230 (Fig. 7A and C). In contrast, seven out of nine plasma samples inhibited entry driven by the S  
231 proteins of the South Africa and Brazil variants less efficiently as compared to entry driven by  
232 WT S protein. These results suggest that individuals previously infected with WT SARS-CoV-2  
233 might only be partially protected against infection with South Africa and Brazil variants of  
234 SARS-CoV-2.

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### 236 **Reduced neutralization by sera from BNT162b2-vaccinated individuals**

237 The vaccine BNT162b2 is based on an mRNA that encodes for the viral S protein and is highly  
238 protective against COVID-19 (Polack et al., 2020). While the S protein harbor T-cell epitopes  
239 (Grifoni et al., 2020; Peng et al., 2020), efficient protection is believed to require the induction of  
240 neutralizing antibodies. We determined neutralizing activity of sera from 15 donors immunized

241 twice with BNT162b2 (Table S1). All sera efficiently inhibited entry driven by the WT S protein  
242 and inhibition of entry driven by the S protein of the UK variant was only slightly reduced (Fig.  
243 7B,C). In contrast, 12 out of 15 sera showed a markedly reduced inhibition of entry driven by the  
244 S proteins of the South Africa and Brazil variant (Fig. 7B,C), although it should be stated that all  
245 sera completely inhibited entry at the lowest dilution tested. In sum, these results suggest that  
246 BNT162b2 may offer less robust protection against infection by these variants as compared to  
247 SARS-CoV-2 WT.

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265 **DISCUSSION**

266 The COVID-19 pandemic has taken a major toll on human health and prosperity. Non-  
267 pharmaceutic interventions are currently the major instrument to combat the pandemic but are  
268 associated with a heavy burden on economies. Protective vaccines became recently available and  
269 might become a game changer – it is hoped that efficient vaccine roll out might allow to attain  
270 herd immunity in certain countries in the second half of 2021. The recent emergence of SARS-  
271 CoV-2 variants UK, South Africa and Brazil that harbor mutations in the major target of  
272 neutralizing antibodies, the viral S protein, raises the question whether vaccines available at  
273 present will protect against infection with these viruses. Similarly, it is largely unclear whether  
274 antibody responses in convalescent patients protect against re-infection with the new variants.  
275 The results of the present study suggest that SARS-CoV-2 variants South Africa and Brazil are  
276 partially (Casirivimab) or fully (Bamlanivimab) resistant against antibodies used for COVID-19  
277 treatment and are inhibited less efficiently by convalescent plasma or sera from individuals  
278 immunized with the mRNA vaccine BNT162b2. These results suggest that strategies relying on  
279 antibody-mediated control of SARS-CoV-2 infection might be compromised by resistance  
280 development.

281 The increased transmissibility postulated for the UK variant and purported for the South  
282 Africa and Brazil variants suggest that these viruses might exhibit altered host-cell interactions or  
283 stability. The present analysis suggests that there are no major differences in host cell entry of  
284 WT SARS-CoV-2 and the UK, South Africa and Brazil variant (CDC, 2021; Leung et al., 2021).  
285 Thus, the S proteins of these viruses mediated entry into various cell lines with roughly  
286 comparable efficiency and no evidence for increased S protein stability or differences in entry  
287 kinetics were obtained. Similarly, the S proteins of all variants were able to mediate fusion of  
288 human cells. Moreover, entry driven by all S proteins studied was blocked by sACE2, protease

289 inhibitors targeting TMPRSS2 and a membrane fusion inhibitor. However, it should be noted that  
290 the S proteins of all variants were slightly more susceptible to blockade by sACE2, suggesting  
291 differences in ACE2 engagement between WT and variant S proteins.

292 Although host-cell interactions underlying viral entry might not differ markedly between  
293 SARS-CoV-2 S protein WT and the variants studied here, major differences in susceptibility to  
294 antibody-mediated neutralization were observed. Entry driven by the S proteins of the South  
295 Africa and Brazil variants was not inhibited by one of the REGENERON antibodies  
296 (REGN10989) and Bamlanivimab (Baum et al., 2020a; Baum et al., 2020b; Chen et al., 2020;  
297 Gottlieb et al., 2021), suggesting that these antibodies might not be suitable for treatment of  
298 COVID-19 patients infected with these variants. The partial resistance against Casirivimab  
299 (REGN10933) is in keeping with mutations present in the S protein of South Africa and Brazil  
300 variant being located at the antibody binding site (Fig. S1). Moreover, and more importantly,  
301 entry driven by the S proteins of the South Africa and Brazil variants were markedly less  
302 sensitive to neutralization by antibodies from convalescent patients and vaccinated individuals as  
303 compared to the WT S protein. It should be noted that all plasma and sera tested completely  
304 inhibited entry at the lowest dilution tested and that T cell responses will contribute to control of  
305 SARS-CoV-2 infection, particularly in re-infected convalescent patients (Grifoni et al., 2020;  
306 Peng et al., 2020). Nevertheless, the markedly reduced sensitivity to antibody-mediated  
307 neutralization suggests that convalescent and vaccinated individuals might not be fully protected  
308 against infection by the South Africa and Brazil variants. Such a scenario would be in keeping  
309 with preliminary information suggesting that certain vaccines might provide less effective  
310 protection in South African as compared to the US (Callaway and Mallapaty, 2021). On a more  
311 general level, our findings suggest that the interface between the SARS-CoV-2 S protein and  
312 ACE2 exhibits high plasticity, favoring emergence of escape variants.

313 Our find that entry driven by the S protein of the UK variant can be efficiently inhibited  
314 by antibodies induced upon infection and vaccination is in agreement with those of Muik and  
315 colleagues, who reported that pseudoparticles bearing the UK S protein are efficiently neutralized  
316 by sera from BNT162b2 vaccinated individuals (Muik et al., 2021). Xie and coworkers found that  
317 authentic SARS-CoV-2 bearing two mutations present in the S protein of the UK variant (69/70-  
318 deletion + N501Y) was still robustly neutralized by antibodies induced by vaccination with  
319 BNT162b2, again in keeping with our findings. Neutralization of a virus bearing two changes  
320 found in the S protein of the South Africa variant (E484K + N501Y) was moderately reduced and  
321 it is conceivable that neutralization resistance would have been further increased by the other four  
322 mutations present in the S1 unit of the S protein of the South Africa variant, including K417N,  
323 which is located in the RBD (Xie et al., 2021).

324 Our results await confirmation with authentic SARS-CoV-2. However, the data available  
325 at present suggest that the South Africa and Brazil variants constitute an elevated threat to human  
326 health and that containment of these variants by non-pharmaceutic interventions is an important  
327 task.

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337 **MATERIAL AND METHODS**

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339 **Cell culture**

340 All cell lines were incubated at 37 °C in a humidified atmosphere containing 5% CO<sub>2</sub>. All media  
341 were supplemented with 10% fetal bovine serum (FCS, Biochrom or GIBCO), 100 U/ml of  
342 penicillin and 0.1 mg/ml of streptomycin (PAN-Biotech). 293T (human, kidney; ACC-635,  
343 DSMZ), 293T cells stably expressing ACE2 (293T-ACE2), BHK-21 (Syrian hamster, kidney  
344 cells; CCL-10, ATCC), Vero76 (African green monkey, kidney; CRL-1586, ATCC; kindly  
345 provided by Andrea Maisner, Institute of Virology, Philipps University Marburg, Marburg,  
346 Germany) and Vero-TMPRSS2 cells (Hoffmann et al., 2020b) were cultivated in Dulbecco's  
347 modified Eagle medium (DMEM). Vero-TMPRSS2 cells additionally received puromycin (0.5  
348 µg/ml, Invivogen). A549 (human, lung; CRM-CCL-185, ATCC), A549-ACE2 and A549-  
349 ACE2/TMPRSS2 cells were cultivated in DMEM/F-12 Medium with Nutrient Mix  
350 (ThermoFisher Scientific). A549-ACE2 cells further received 0.5 µg/ml puromycin, while A549-  
351 ACE2/TMPRSS2 cells were cultivated in the presence of 0.5 µg/ml puromycin and 1 µg/ml  
352 blasticidin. Caco-2 (human, intestine; HTB-37, ATCC) and Calu-3 cells (human, lung; HTB-55,  
353 ATCC; kindly provided by Stephan Ludwig, Institute of Virology, University of Münster,  
354 Germany) were cultivated in minimum essential medium supplemented with 1x non-essential  
355 amino acid solution (from 100x stock, PAA) and 1 mM sodium pyruvate (Thermo Fisher  
356 Scientific). 293T cells that stably express ACE2 were generated by retroviral (murine leukemia  
357 virus, MLV) transduction and selection of parental 293T cells with puromycin (4 µg/ml for initial  
358 selection and 0.5 µg/ml for sub-culturing). Similarly, we generated A549 cells stably expressing  
359 ACE2 (A549-ACE2). A549 cells stably expressing ACE2 and TMPRSS2 (A549-  
360 ACE2/TMPRSS2) were obtained by retroviral transduction of A549-ACE2 cells and selection

361 with blasticidin (6 µg/ml for initial selection and 1 µg/ml for sub-culturing). Authentication of  
362 cell lines was performed by STR-typing, amplification and sequencing of a fragment of the  
363 cytochrome c oxidase gene, microscopic examination and/or according to their growth  
364 characteristics. Further, cell lines were routinely tested for contamination by mycoplasma.  
365 Transfection of cells was carried out by the calcium-phosphate method or by using  
366 polyethylenimin, Lipofectamine LTX (Thermo Fisher Scientific) or Transit LT-1 (Mirus).

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### 368 **Plasmids**

369 Expression plasmids for DsRed (PMID: 32142651), vesicular stomatitis virus (VSV, serotype  
370 Indiana) glycoprotein (VSV-G) (Brinkmann et al., 2017), SARS-S (derived from the Frankfurt-1  
371 isolate; containing a C-terminal HA epitope tag) (Hoffmann et al., 2020b), SARS-2-S (codon-  
372 optimized, based on the Wuhan/Hu-1/2019 isolate; with a C-terminal truncation of 18 amino acid  
373 residues or with a C-terminal HA epitope tag) (Hoffmann et al., 2020b), angiotensin-converting  
374 enzyme 2 (ACE2) (Hoffmann et al., 2013), TMPRSS2 (Heurich et al., 2014) have been described  
375 elsewhere. In order to generate expression vectors for S proteins from emerging SARS-CoV-2  
376 variants, we introduced the required mutations into the parental SARS-2-S sequence by overlap  
377 extension PCR. Subsequently, the respective open reading frames were inserted into the pCG1  
378 plasmid (kindly provided by Roberto Cattaneo, Mayo Clinic College of Medicine, Rochester,  
379 MN, USA), making use of the unique BamHI and XbaI restriction sites. Further, we cloned the  
380 coding sequence for human ACE2 into the pQCXIP plasmid (Brass et al., 2009), yielding  
381 pQCXIP\_ACE2. For the generation of cell lines stably overexpressing human TMPRSS2 and/or  
382 human ACE2 we produced MLV-based transduction vectors using the pQCXIB1\_cMYC-  
383 hTMPRSS2 (Kleine-Weber et al., 2018) or pQCXIP\_ACE2 expression vectors in combination  
384 with plasmids coding for VSV-G and MLV-Gag/Pol (Bartosch et al., 2003). In order to obtain the

385 expression vector for soluble human ACE2 harboring the Fc portion of human immunoglobulin  
386 G (sol-ACE2-Fc), we PCR amplified the sequence coding for the ACE2 ectodomain (amino acid  
387 residues 1-733) and cloned it into the pCG1-Fc plasmid ((Sauer et al., 2014), kindly provided by  
388 Georg Herrler, University of Veterinary Medicine, Hannover, Germany). Sequence integrity was  
389 verified by sequencing using a commercial sequencing service (Microsynth Seqlab). Specific  
390 cloning details (e.g., primer sequences and restriction sites) are available upon request.

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### 392 **Sequence analysis and protein models**

393 S protein sequences of emerging SARS-CoV-2 S variants found in the United Kingdom (UK,  
394 EPI\_ISL\_601443), South Africa (SA, EPI\_ISL\_700428) and Brazil (BRA, EPI\_ISL\_792683)  
395 were retrieved from the GISAID (global initiative on sharing all influenza data) database  
396 (<https://www.gisaid.org/>). Protein models are based on PDB: 6XDG (Hansen et al., 2020) or a  
397 template generated by modelling the SARS-2-S sequence on a published crystal structure (PDB:  
398 6XR8,(Cai et al., 2020)), using the SWISS-MODEL online tool (<https://swissmodel.expasy.org/>),  
399 and were generated using the YASARA software (<http://www.yasara.org/index.html>).

400

### 401 **Production of soluble ACE2 (sol-ACE2-Fc)**

402 293T cells were grown in a T-75 flask and transfected with 20 µg of sol-ACE2-Fc expression  
403 plasmid. At 10 h posttransfection, the medium was replaced and cells were further incubated for  
404 38 h before the culture supernatant was collected and centrifuged (2,000 x g, 10 min, 4 °C). Next,  
405 the clarified supernatant was loaded onto Vivaspin protein concentrator columns with a  
406 molecular weight cut-off of 30 kDa (Sartorius) and centrifuged at 4,000 x g, 4 °C until the sample  
407 was concentrated by a factor of 20. The concentrated sol-ACE2-Fc was aliquoted and stored at -  
408 80 °C until further use.

409

410 **Collection of serum and plasma samples**

411 Sera from individuals vaccinated with BioNTech/Pfizer vaccine BNT162b2 were obtained 13-15  
412 days after the second dose. Study was approved by the Ethic committee of Ulm university (vote  
413 31/21 – FSt/Sta). Collection of plasma samples from COVID-19 patients treated at the intensive  
414 care unit was approved by the Ethic committee of the University Medicine Göttingen  
415 (SeptImmun Study 25/4/19 Ü). For collection of plasma, Cell Preparation Tube (CPT)  
416 vacutainers with sodium citrate were used and plasma was collected as supernatant over the  
417 PBMC layer. For vaccinated patients, blood was collected in S-Monovette® Serum Gel tubes  
418 (Sarstedt). Subsequently, the plasma and serum samples were incubated at 56°C for 30 min to  
419 inactivate putative infectious virus and for convalescent plasma pre-screening for detection of  
420 neutralizing activity was performed on Vero76 cells using SARS-2-S- and VSV-G bearing  
421 pseudotypes as control, normalized for equal infectivity.

422

423 **Pseudotyping of VSV and transduction experiments**

424 Rhabdoviral pseudotype particles were prepared according to a published protocol (Kleine-  
425 Weber et al., 2019). For pseudotyping we used a replication-deficient VSV vector that lacks the  
426 genetic information for VSV-G and instead codes for two reporter proteins, enhanced green  
427 fluorescent protein and firefly luciferase (FLuc), VSV\*ΔG-FLuc (kindly provided by Gert  
428 Zimmer, Institute of Virology and Immunology, Mittelhäusern, Switzerland) (Berger Rentsch and  
429 Zimmer, 2011). 293T cells transfected to express the desired viral glycoprotein were inoculated  
430 with VSV\*ΔG-FLuc and incubated for 1 h at 37 °C before the inoculum was removed and cells  
431 were washed. Finally, culture medium containing anti-VSV-G antibody (culture supernatant from  
432 I1-hybridoma cells; ATCC no. CRL-2700) was added. Following an incubation period of 16-18

433 h, pseudotype particles were harvested by collecting the culture supernatant, pelleting cellular  
434 debris through centrifugation (2,000 x g, 10 min, room temperature) and transferring aliquots of  
435 the clarified supernatant into fresh reaction tubes. Samples were stored at -80 °C. For  
436 transduction experiments, target cells were seeded in 96-well plates, inoculated with the  
437 respective pseudotype particles with comparable infectivity and further incubated. At 16-18 h  
438 postinoculation, transduction efficiency was analyzed. For this, the culture supernatant was  
439 removed and cells were lysed by incubation for 30 min at room temperature with Cell Culture  
440 Lysis Reagent (Promega). Next, lysates were transferred into white 96-well plates and FLuc  
441 activity was measured using a commercial substrate (Beetle-Juice, PJK; Luciferase Assay  
442 System, Promega) and a plate luminometer (Hidex Sense Plate Reader, Hidex or Orion II  
443 Microplate Luminometer, Berthold)..

444 Depending on the experimental set-up target cells were either transfected in advance (24  
445 h) with ACE2 expression plasmid or empty vector (BHK-21), or pre-incubated with different  
446 concentrations of serine protease inhibitor (Camostat or Nafamostat, Caco-2, 1 h at 37 °C).  
447 Alternatively, pseudotype particles were pre-incubated with different concentrations of either sol-  
448 ACE2-Fc, fusion inhibitor (EK-1 or EK-1-C4), monoclonal antibodies (REGN10933,  
449 REGN10987, REGN10989, Bamlanivimab/LY-CoV555), or sera from COVID-19 patients or  
450 vaccinated (Pfizer/BioNTech vaccine BNT162b2) individuals (30 min at 37 °C). S protein  
451 stability was analyzed as follows, pseudotype particles were incubated for different time intervals  
452 at 33 °C the snap-frozen and stored at -80 °C until all samples were collected. Thereafter,  
453 samples were thawed and inoculated onto Vero76 cells and incubated as described above. For the  
454 investigation of the entry speed of S protein-bearing pseudotypes, the respective particles were  
455 inoculated on Vero76 cells and adsorbed for different time intervals before the inoculum was  
456 removed and cells were washed and incubated with fresh medium.

457

458 **Analysis of S protein expression by fluorescence microscopy**

459 A549-ACE2 cells that were grown on coverslips were transfected with plasmids encoding SARS-  
460 CoV-2 S protein variants with a C-terminal HA epitope tag or empty expression vector (control).  
461 At 24 h posttransfection, cells were fixed with 4 % paraformaldehyde solution (30 min, room  
462 temperature), washed and incubated (15 min, room temperature) with phosphate-buffered saline  
463 (PBS) containing 0.1 M glycine and permeabilized by treatment with 0.2 % Triton-X-100  
464 solution (in PBS, 15 min). Thereafter, samples were washed and incubated for 1 h at room  
465 temperature with primary antibody (anti-HA, mouse, 1:500, Sigma-Aldrich) diluted in PBS  
466 containing 1 % bovine serum albumin. Next, the samples were washed with PBS and incubated  
467 in the dark for 1 h at 4 °C with secondary antibody (Alexa Fluor-568-conjugated anti-mouse  
468 antibody, 1:750, Thermo Fisher Scientific). Finally, the samples were washed, nuclei were  
469 stained with DAPI and coverslips were mounted onto microscopic glass slides with  
470 Mowiol/DABCO. Images were taken using a Zeiss LSM800 confocal laser scanning microscope  
471 with ZEN imaging software (Zeiss).

472

473 **Qualitative cell-cell fusion assay**

474 A549-ACE2 or A549-ACE2/TMPRSS2 cells were transfected with DsRed expression plasmid  
475 along with either expression vector for wildtype or mutant SARS-2-S, SARS-S or empty plasmid.  
476 At 24 h posttransfection, cells were fixed with 4 % paraformaldehyde solution (30 min, room  
477 temperature), washed and nuclei were stained with DAPI. Next, cells were washed again with  
478 PBS and images were taken using a Zeiss LSM800 confocal laser scanning microscope with ZEN  
479 imaging software (Zeiss).

480

481 **Quantitative cell-cell fusion assay**

482 293T target-cells were seeded in a 48-well plate at 50.000 cells/well and transfected with Gal4-  
483 TurboGFP-Luciferase expression plasmid (Gal4-TurboGFP-Luc) as well as expression plasmid  
484 for ACE2 alone or in combination with TMPRSS2 (5:1 ratio). 293T effector-cells were seeded in  
485 a 10 cm dish at 70-80% confluence and transfected with the Vp16-Gal4 expression plasmid as  
486 well as expression plasmid for WT or mutant SARS-2-S, SARS-S or empty plasmid. At 24h  
487 posttransfection, effector-cells were detached by resuspending them in culture medium and added  
488 to the target-cells in a 1:1 ratio. After 24-48 h luciferase activity was analyzed using the  
489 Promokine Firefly Luciferase Kit or Beetle-Juice Luciferase Assay according to manufacturer's  
490 instructions and a Biotek Synergy 2 plate reader.

491

492 **Data normalization and statistical analysis**

493 Data analysis was performed using Microsoft Excel as part of the Microsoft Office software  
494 package (version 2019, Microsoft Corporation) and GraphPad Prism 8 version 8.4.3 (GraphPad  
495 Software). Data normalization was done as follows: (i) To compare efficiency of cell entry driven  
496 by the different S protein variants under study, transduction was normalized against SARS-CoV-  
497 2 S WT (set as 100%); (ii) For experiments investigating inhibitory effects, transduction was  
498 normalized against a reference sample (e.g., control-treated cells or pseudotypes, set as 100%).  
499 Serum dilutions that cause a 50 % reduction of transduction efficiency (neutralizing titer 50,  
500 NT50), were calculated using a non-linear regression model (inhibitor vs. normalized response,  
501 variable slope). Statistical significance was tested by one- or two-way analysis of variance  
502 (ANOVA) with Dunnett's or Sidak's post-hoc test, or by paired student's t-test. Only P values of  
503 0.05 or lower were considered statistically significant (P > 0.05, not significant [ns]; P ≤ 0.05, \*;

504  $P \leq 0.01$ , \*\*;  $P \leq 0.001$ , \*\*\*). Specific details on the statistical test and the error bars are  
505 indicated in the figure legends.

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528 **SUPPLEMENTAL INFORMATION**

529

530 **Figure S1.** Location of SARS-2-S RBD mutations K417N/T, E484K and N501Y with respect to  
531 the binding interface of the REGN-COV2 antibody cocktail (related to Figure 6).

532 The protein models of the SARS-2-S receptor-binding domain (RBD, blue) in complex with  
533 antibodies Casirivimab (REGN10933, orange) and Imdevimab (REGN10987, green) were  
534 constructed based on the 6XDG template (Hansen et al., 2020). Residues highlighted in red  
535 indicate amino acid variations found in emerging SARS-CoV-2 variants from the United  
536 Kingdom, South Africa and Brazil.

537

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553

554 **AUTHOR CONTRIBUTIONS**

555 Conceptualization, M.H., J.M., S.P.; Funding acquisition, S.P., J.M.; Investigation, M.H., P.A.,  
556 R.G., A.S., B.H., A.H., N.K., L.G., H.H.-W., A.K., Essential resources, M.S.W., S.S., H.-M.J.,  
557 B.J., H.S., M.M., A.K.; Writing, M.H. and S.P., Review and editing, all authors.

558

559 **DECLARATION OF INTEREST**

560 The authors declare not competing interests

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730 **FIGURE LEGENDS**

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732 **Figure 1. Schematic overview of the S proteins from the SARS-CoV-2 variants under study**

733 The location of the mutations in the context of spike protein domain organization is shown in the  
734 upper panel. RBD = receptor binding domain, TD = transmembrane domain. The location of the  
735 mutations in the context of the trimer spike protein domain is shown lower panel. Color code:  
736 light blue = S1 subunit with RBD in dark blue, grey = S2 subunit, orange = S1/S2 and S2'  
737 cleavage sites, red = mutated amino acid residues.

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739 **Figure 2. S proteins from SARS-CoV-2 variants drive entry into human cell lines**

740 (A) Directed expression of SARS-CoV-2 S proteins (SARS-2-S) in A549-ACE2 cells leads to the  
741 formation of syncytia. S protein expression was detected by immunostaining using an antibody  
742 directed against a C-terminal HA-epitope tag. Presented are the data from one representative  
743 experiment. Similar results were obtained in four biological replicates.

744 (B) The S proteins of the SARS-CoV-2 variants mediate robust entry into cell lines. The  
745 indicated cell lines were inoculated with pseudotyped particles bearing the S proteins of the  
746 indicated SARS-CoV-2 variants. Transduction efficiency was quantified by measuring virus-  
747 encoded luciferase activity in cell lysates at 16-20 h post transduction. Presented are the average  
748 (mean) data from six biological replicates (each conducted with technical quadruplicates). Error  
749 bars indicate the standard error of the mean (SEM). Statistical significance was analyzed by one-  
750 way analysis of variance (ANOVA) with Dunnett's posttest. WT = wildtype, GB = Great Britain,  
751 SA = South Africa, BRA = Brazil

752

753 **Figure 3. The S proteins of the SARS-CoV-2 variants drive robust cell-cell fusion**

754 (A) Quantitative cell-cell fusion assay. S protein-expressing effector cells were mixed with ACE2  
755 or ACE2/TMPRSS2 expressing target cells and cell-cell fusion was analyzed by measuring  
756 luciferase activity in cell lysates. Presented are the average (mean) data from four biological  
757 replicates. Error bars indicate the SEM. Statistical significance was analyzed by one-way  
758 ANOVA with Dunnett's posttest.  
759 (B) Qualitative fusion assay. A549-ACE2 (left) and A549-ACE2/TMPRSS2 (right) cells were  
760 transfected to express the indicated S proteins (or no viral protein) along with DsRed. At 24 h  
761 posttransfection, cells were fixed and analyzed for the presence of syncytia by fluorescence  
762 microscopy (magnification: 10x). Presented are representative images from a single experiment.  
763 Data were confirmed in three additional experiments. WT = wildtype, GB = Great Britain, SA =  
764 South Africa, BRA = Brazil

765

766 **Figure 4. Particles bearing the S proteins of SARS-CoV-2 variants exhibit similar stability  
767 and entry kinetics**

768 (A) Particles bearing the indicated S proteins were incubated for different time intervals at 33 °C,  
769 snap frozen, thawed and inoculated onto Vero cells. Entry of particles that were frozen  
770 immediately was set as 100%.  
771 (B) Particles bearing the indicated S proteins were incubated for indicated time intervals with  
772 Vero cells. Subsequently, the cells were washed and luciferase activity determined. Transduction  
773 measured without particle removal by washing was set as 100%.  
774 For both panels, the average (mean) data from three biological replicates (each performed with  
775 technical quadruplicates) is presented. Error bars indicate the SEM. Statistical significance was

776 analyzed by one-way ANOVA with Dunnett's posttest. WT = wildtype, GB = Great Britain, SA  
777 = South Africa, BRA = Brazil

778

779 **Figure 5. Entry driven by the S proteins of the SARS-CoV-2 variants can be blocked with**  
780 **soluble ACE2, protease inhibitors targeting TMPRSS2 and a membrane fusion inhibitor**

781 Top row, left panel: S protein-bearing particles were incubated with different concentrations of  
782 soluble ACE2 (30 min, 37 °C) before being inoculated onto Vero cells. Top row, middle and  
783 right panel: Caco-2 target cells were pre-incubated with different concentrations of serine  
784 protease inhibitors (Camostat or Nafamostat; 1 h, 37 °C) before being inoculated with particles  
785 harboring the indicated S proteins. Bottom row, both panels: The peptidic fusion inhibitor EK-1  
786 and its improved lipidated derivate EK-1-C4 were incubated with particles at indicated  
787 concentrations (30 min, 37 °C) and then added to Vero cells. All panels: Transduction efficiency  
788 was quantified by measuring virus-encoded luciferase activity in cell lysates at 16-20 h  
789 posttransduction. For normalization, inhibition of SARS-CoV-2-S-driven entry in samples without  
790 soluble ACE2 or inhibitor was set as 0 %. Presented are the average (mean) data from three  
791 biological replicates (each performed in technical triplicates [EK-1, EK-1-C4] or quadruplates  
792 [soluble ACE2, Camostat, Nafamostat]). Error bars indicate the SEM. Statistical significance was  
793 analyzed by one-way ANOVA with Dunnett's posttest. WT = wildtype, GB = Great Britain, SA  
794 = South Africa, BRA = Brazil

795

796 **Figure 6. The S proteins of SARS-CoV-2 variants from South Africa and Brazil are**  
797 **partially or fully resistant to inhibition by therapeutic monoclonal antibodies with EUA**

798 Pseudotypes bearing the indicated S proteins were incubated (30 min, 37 °C) with different  
799 concentrations of control antibody (hIgG), three different Regeneron antibodies (Casirivimab,

800 Imdevimab, REGN10989) or Bamlanivimab (LY-CoV555) before being inoculated onto target  
801 Vero cells. Transduction efficiency was quantified by measuring virus-encoded luciferase activity  
802 in cell lysates at 16-20 h posttransduction. For normalization, inhibition of S protein-driven entry  
803 in samples without antibody was set as 0 %. Presented are the data from a single experiment  
804 performed with technical triplicates. Data were confirmed in a separate experiment. Error bars  
805 indicate standard deviation (SD). WT = wildtype, GB = Great Britain, SA = South Africa, BRA =  
806 Brazil

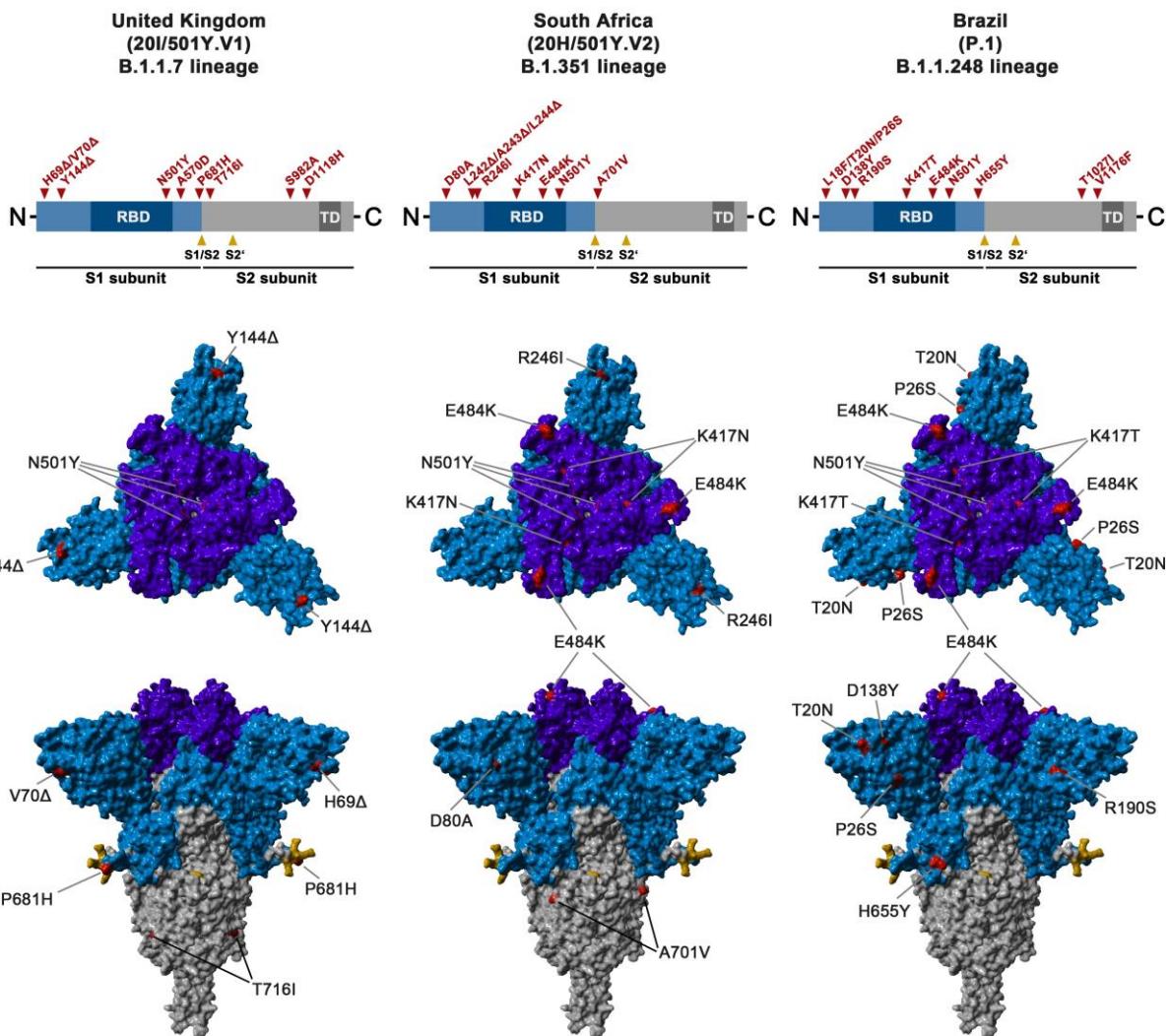
807

808 **Figure 7. S proteins of SARS-CoV-2 variants from South Africa and Brazil show reduced  
809 neutralization sensitivity against convalescent plasma and serum from BNT162b2  
810 vaccinated individuals**

811 Pseudotypes bearing the indicated S proteins were incubated (30 min, 37 °C) with different  
812 dilutions of plasma derived from COVID-19 patients (A) or serum from individuals vaccinated  
813 with the Pfizer/BioNTech vaccine BNT162b2 (obtained 13-15 days after the second dose) and  
814 inoculated onto Vero target cells. Transduction efficiency was quantified by measuring virus-  
815 encoded luciferase activity in cell lysates at 16-20 h posttransduction. The results are shown as %  
816 inhibition. For normalization, S protein-driven entry in the absence of plasma/serum was set as 0  
817 %. Presented are the data from a single experiment performed with technical triplicates. Error  
818 bars indicate SD. Results were confirmed in a second biological replicate. (C) Serum dilutions  
819 that lead to a 50% reduction in S protein-driven transduction (neutralization titer 50, NT50) were  
820 calculated for convalescent plasma (left) and vaccinee sera (right). Presented are the data derived  
821 from panels A and B. The line indicates the median. WT = wildtype, GB = Great Britain, SA =  
822 South Africa, BRA = Brazil

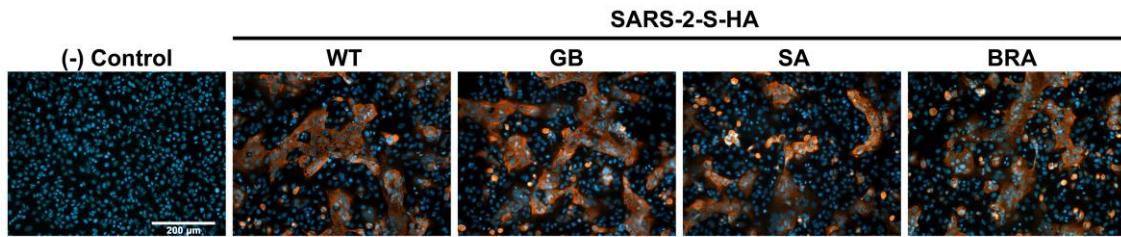
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# Figure 1

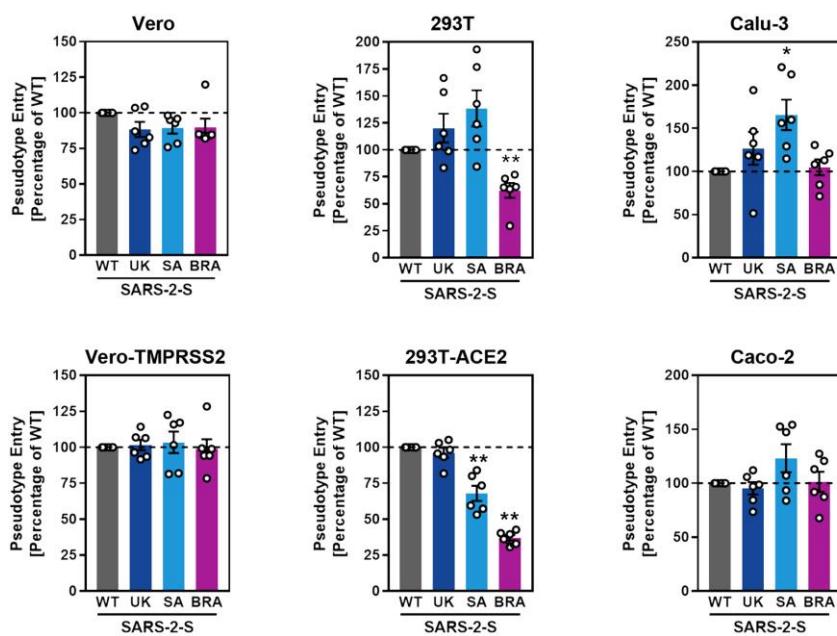


## Figure 2

A)



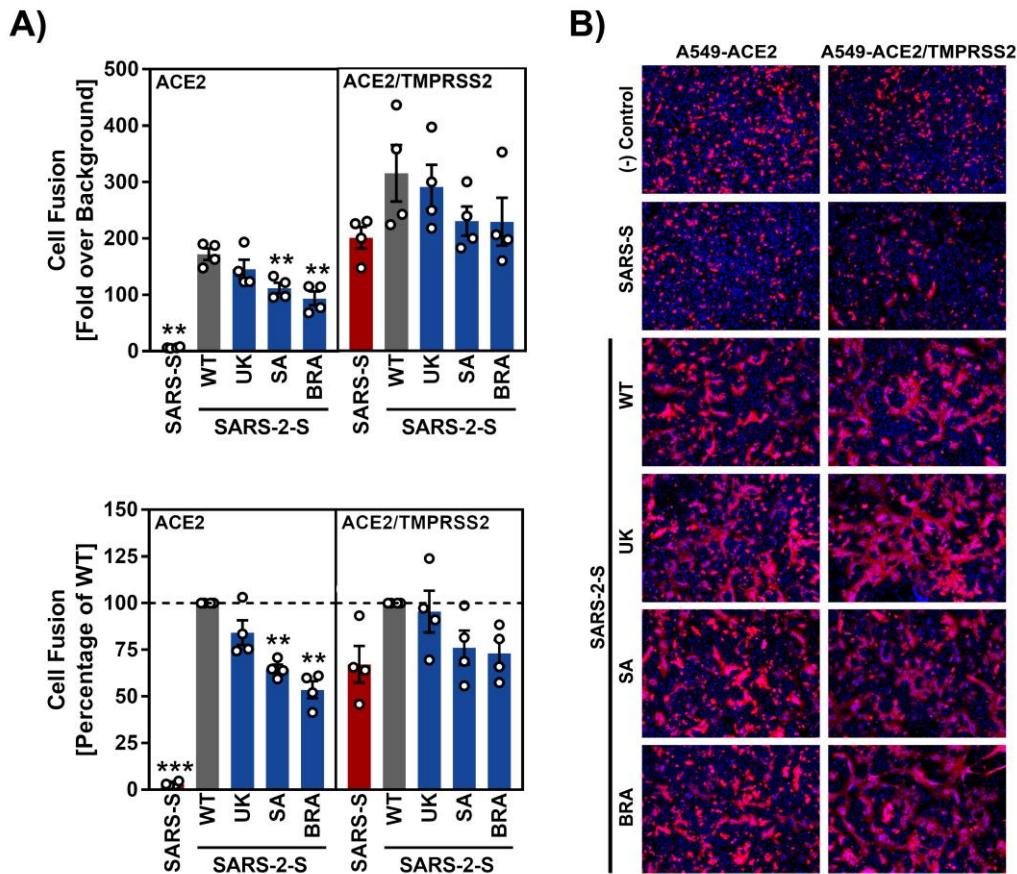
B)



826

827

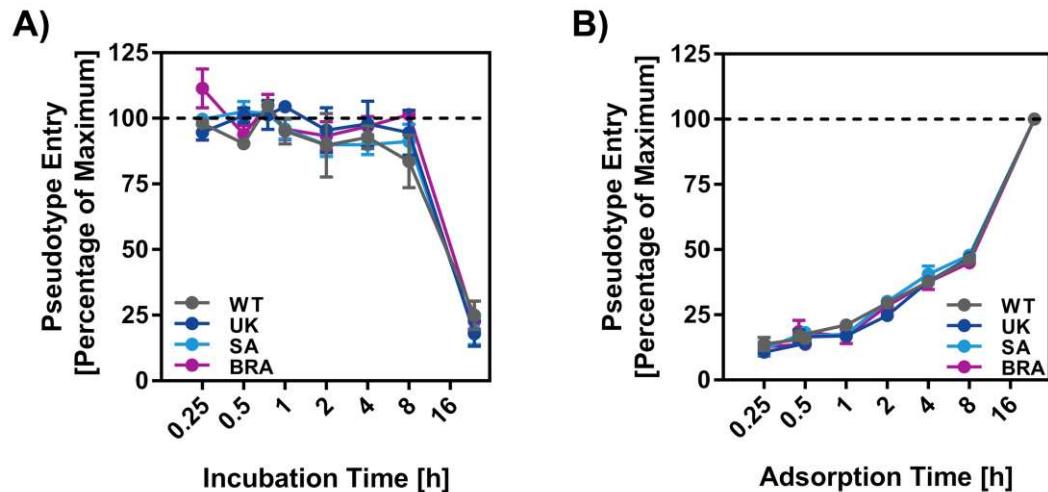
## Figure 3



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829

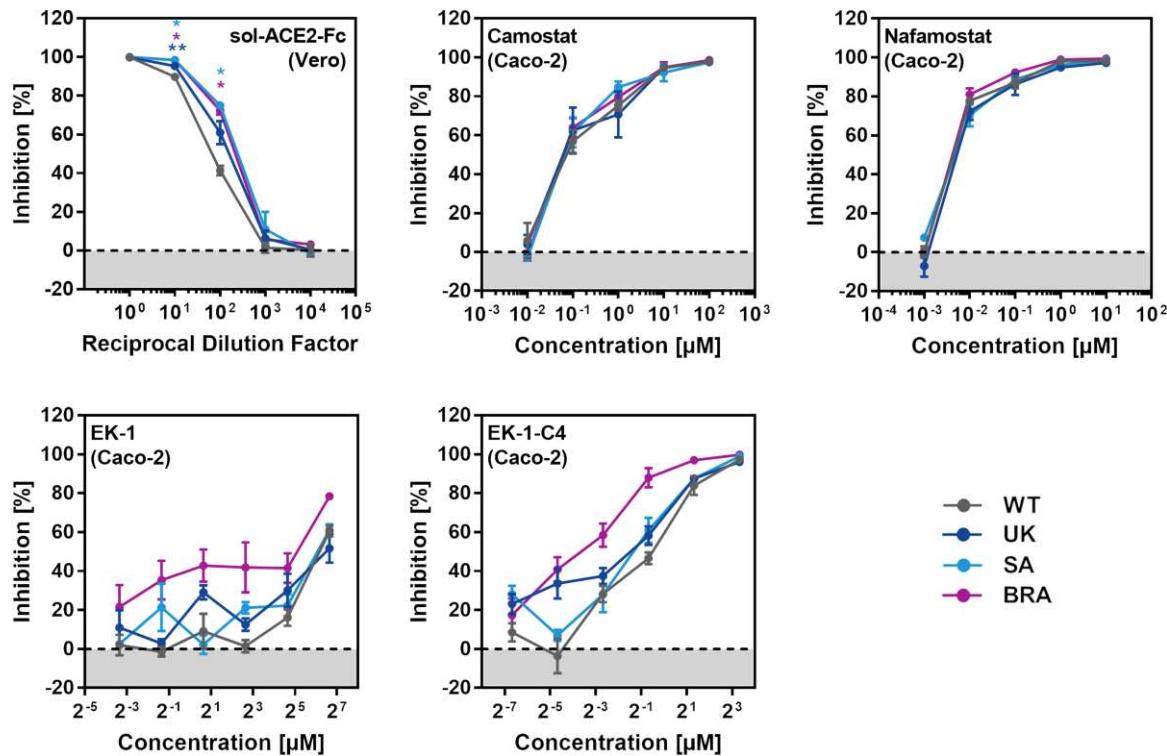
## Figure 4



830

831

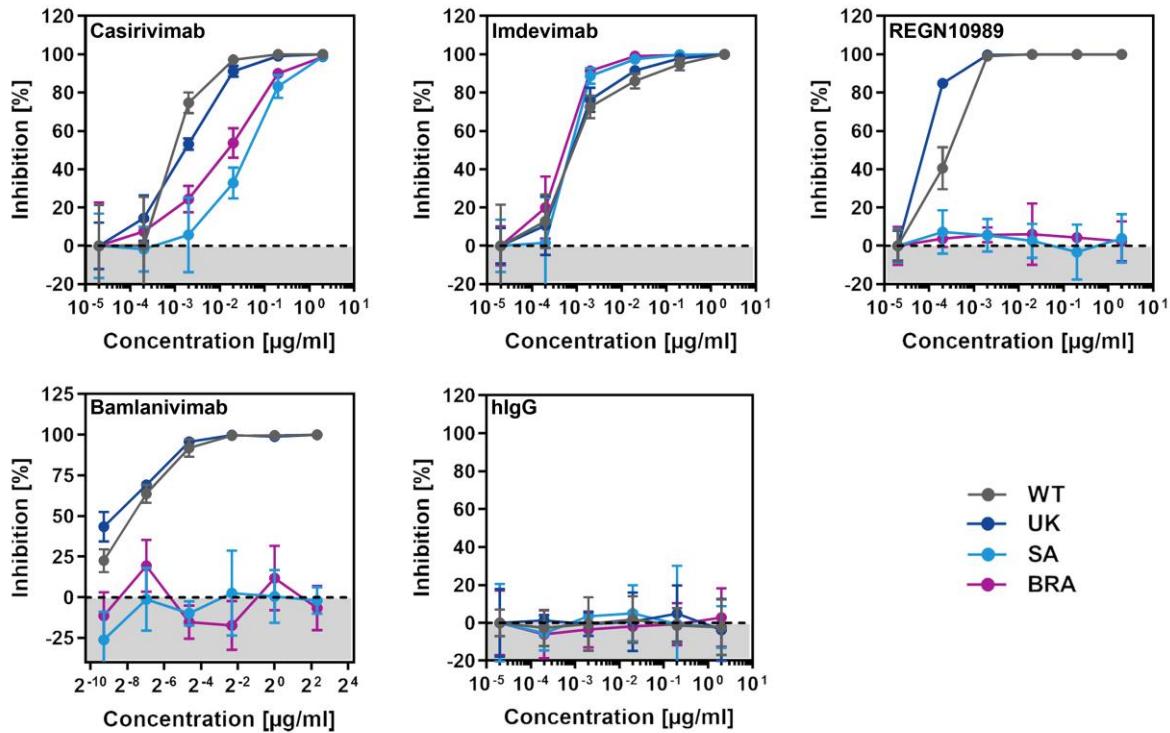
**Figure 5**



832

833

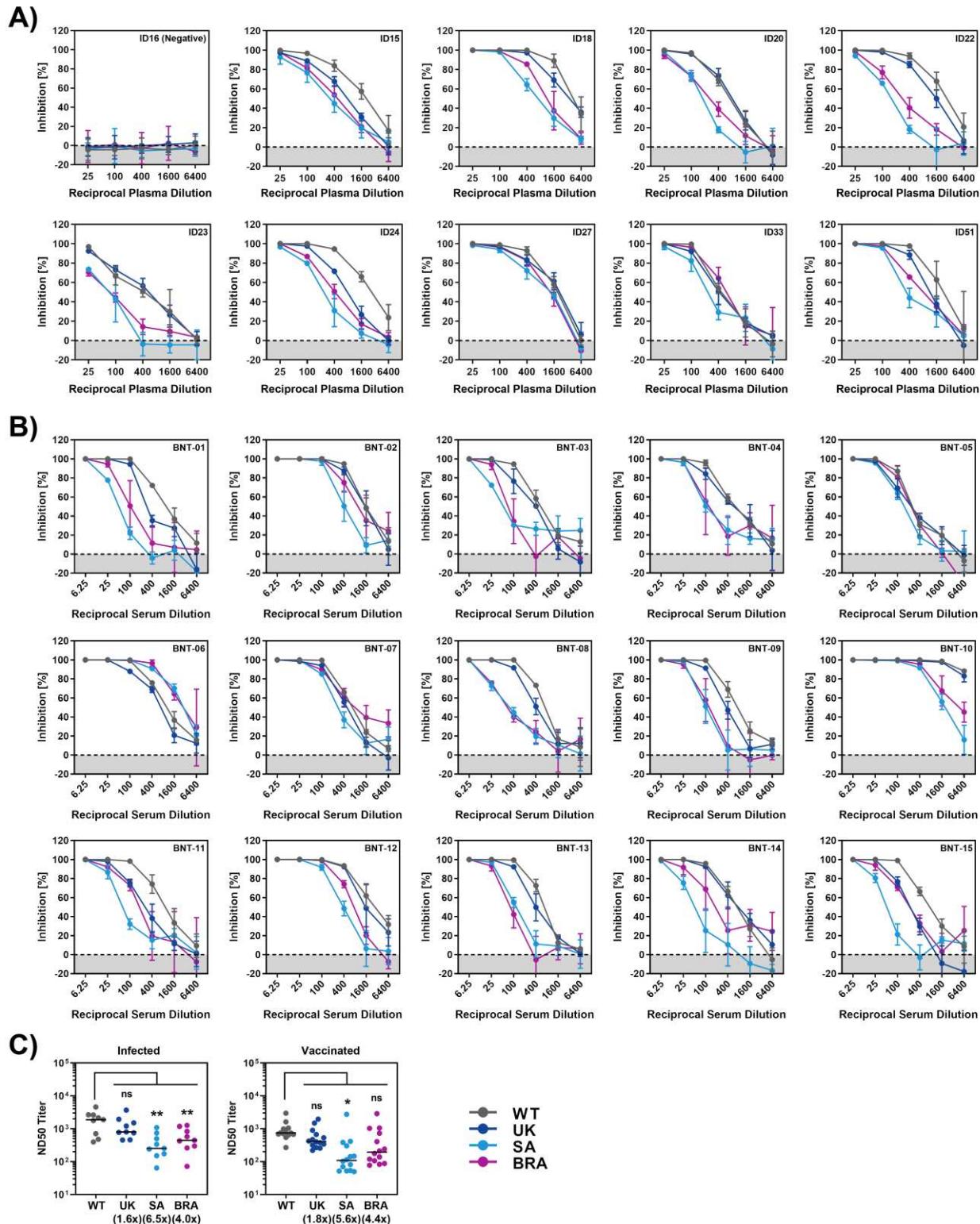
## Figure 6



834

835

## Figure 7



838 **Table S1:** BNT162b2-vaccinated patient data. Serological data shows antibody titer against Spike  
839 (IgG, IgA) and Nucleocapsid (NCP, IgG) protein measured by Euroimmun-ELISA, values are  
840 given as baseline-corrected OD ratios compared to a calibrator. For all analytes, a ratio < 0.8 was  
841 considered to be non-reactive or negative. An OD-ratio of  $\geq 1.1$  was considered to be positive for  
842 all three analytes.

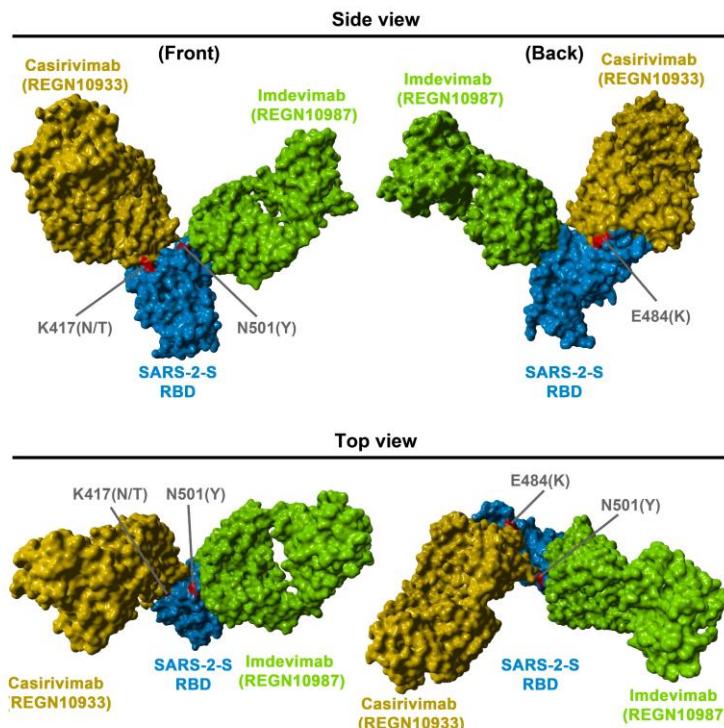
843

ID	Age (y)	Gender	Time since 2 <sup>nd</sup> vaccination (d)	Spike-IgG	Spike-IgA	NCP-IgG
<b>BNT-1</b>	32	f	14	8.72	>9	0.06
<b>BNT-2</b>	25	f	14	>9	>9	0.06
<b>BNT-3</b>	41	m	13	8.46	>9	0.06
<b>BNT-4</b>	48	m	14	>9	>9	0.07
<b>BNT-5</b>	51	m	14	8.53	6.61	0.08
<b>BNT-6</b>	38	m	14	8.76	8.07	0.04
<b>BNT-7</b>	45	f	14	>9	>9	0.08
<b>BNT-8</b>	55	f	14	>9	8.47	0.03
<b>BNT-9</b>	38	m	15	>9	>9	0.19
<b>BNT-10</b>	41	m	14	>9	>9	0.06
<b>BNT-11</b>	44	f	14	>9	>9	0.06
<b>BNT-12</b>	41	m	14	>9	8.12	0.07
<b>BNT-13</b>	43	m	14	>9	5.3	0.05
<b>BNT-14</b>	65	m	13	>9	>9	0.39
<b>BNT-15</b>	32	m	15	>9	>9	0.03

844

## SI Figure S1

A)



845

846 **Figure S1.** Location of SARS-2-S RBD mutations K417N/T, E484K and N501Y with respect to  
847 the binding interface of the REGN-COV2 antibody cocktail (related to Figure 6).  
848 The protein models of the SARS-2-S receptor-binding domain (RBD, blue) in complex with  
849 antibodies Casirivimab (REGN10933, orange) and Imdevimab (REGN10987, green) were  
850 constructed based on the 6XDG template (Hansen et al., 2020). Residues highlighted in red  
851 indicate amino acid variations found in emerging SARS-CoV-2 variants from the United  
852 Kingdom, South Africa and Brazil.

853