



OPEN ACCESS

Unique diagnostic signatures of concussion in the saliva of male athletes: the Study of Concussion in Rugby Union through MicroRNAs (SCRUM)

Valentina Di Pietro ,^{1,2,3} Patrick O'Halloran,^{1,3} Callum N Watson,¹ Ghazala Begum,³ Animesh Acharjee,^{2,4,5} Kamal M Yakoub,² Conor Bentley,² David J Davies,^{1,2} Paolo Illiceto,⁶ Gabriella Candilera,⁶ David K Menon,⁷ Matthew J Cross,^{8,9} Keith A Stokes ,^{8,10} Simon PT Kemp ,^{10,11} Antonio Belli^{1,2,3}

► Additional material is published online only. To view, please visit the journal online (<http://dx.doi.org/10.1136/bjsports-2020-103274>).

For numbered affiliations see end of article.

Correspondence to

Dr Valentina Di Pietro, University of Birmingham, Institute of Inflammation and Ageing, Birmingham B15 2TT, UK; v.dipietro@bham.ac.uk

Accepted 18 January 2021

ABSTRACT

Objective To investigate the role of salivary small non-coding RNAs (sncRNAs) in the diagnosis of sport-related concussion.

Methods Saliva was obtained from male professional players in the top two tiers of England's elite rugby union competition across two seasons (2017–2019). Samples were collected pre-season from 1028 players, and during standardised head injury assessments (HIAs) at three time points (in-game, post-game, and 36–48 hours post-game) from 156 of these. Samples were also collected from controls (102 uninjured players and 66 players sustaining a musculoskeletal injury). Diagnostic sncRNAs were identified with next generation sequencing and validated using quantitative PCR in 702 samples. A predictive logistic regression model was built on 2017–2018 data (training dataset) and prospectively validated the following season (test dataset).

Results The HIA process confirmed concussion in 106 players (HIA+) and excluded this in 50 (HIA–). 32 sncRNAs were significantly differentially expressed across these two groups, with let-7f-5p showing the highest area under the curve (AUC) at 36–48 hours. Additionally, a combined panel of 14 sncRNAs (let-7a-5p, miR-143-3p, miR-103a-3p, miR-34b-3p, RNU6-7, RNU6-45, Snora57, snoU13.120, tRNA18Arg-CCT, U6-168, U6-428, U6-1249, Uco22c1g1, YRNA_255) could differentiate concussed subjects from all other groups, including players who were HIA– and controls, immediately after the game (AUC 0.91, 95% CI 0.81 to 1) and 36–48 hours later (AUC 0.94, 95% CI 0.86 to 1). When prospectively tested, the panel confirmed high predictive accuracy (AUC 0.96, 95% CI 0.92 to 1 post-game and AUC 0.93, 95% CI 0.86 to 1 at 36–48 hours).

Conclusions SCRUM, a large prospective observational study of non-invasive concussion biomarkers, has identified unique signatures of concussion in saliva of male athletes diagnosed with concussion.

The extremely poor objective diagnostic tests after an index event has the potential to expose individuals to the risk of further single or multiple concussive events before the initial concussion has resolved. Conventional neuroimaging (CT and MRI scanning) is normal by definition, and the diagnosis currently relies on a clinician's interpretation of the observed signs, symptoms reported and cognitive/neuropsychometric and/or physical evaluations (eg, balance or oculo-vestibular assessments).^{2–3} The assessments are not specific for concussion and require subject honesty and cooperation, operator training and prescriptive test conditions. The short-term consequences of a missed diagnosis range from a prolonged recovery period, often with protracted and pervasive symptoms, to a heightened risk of further injuries, including rarely, catastrophic brain swelling (second impact syndrome).^{4–5}

In recent years, there has been focus on the development and validation of objective diagnostic tools for concussion, both within traditional clinical settings and pitch side at sporting events. Several blood biomarkers have been intensively studied, including S100β, glial fibrillar acidic protein (GFAP), ubiquitin carboxy-terminal hydrolase L1 (UCH-L1), neuron-specific enolase (NSE), Tau, neurofilament light protein (NFL) and beta-amyloid protein.^{6–10}

A blood assay using GFAP and UCH-L1 has Food and Drug Administration approval to evaluate the requirement for a CT scan and rule out haemorrhagic pathology in traumatic brain injury (TBI). However, diagnosis of concussion expands beyond the exclusion of pathology visible on CT imaging, as the majority of injuries will not result in structural abnormalities identifiable with standard imaging methods.^{11–13}

Recently, rapid advances in high-throughput technologies, such as next generation sequencing (NGS), have allowed investigation of new classes of molecules, such as RNA species, as potential biomarkers. Among these, microRNAs (miRNAs, miRs), which belong to the small non-coding RNAs (sncRNAs) (20–200 nucleotides in length), are the most studied, with evidence of a miRNA signature that varies according to TBI severity in blood, cerebrospinal fluid (CSF) and saliva.^{14–24} However, other classes of sncRNAs such as small nuclear RNA (snRNA), small nucleolar RNA (snoRNA), transfer



© Author(s) (or their employer(s)) 2021. Re-use permitted under CC BY. Published by BMJ.

To cite: Di Pietro V, O'Halloran P, Watson CN, et al. *Br J Sports Med* Epub ahead of print: [please include Day Month Year]. doi:10.1136/bjsports-2020-103274

INTRODUCTION

Sport-related concussion is defined as 'a traumatic brain injury induced by biomechanical forces that typically results in the rapid onset of short-lived impairment of neurological function that resolves spontaneously'.¹ A high percentage of cases may go misdiagnosed or unidentified and concerns have emerged about the long-term brain health of athletes exposed to repeated concussions.

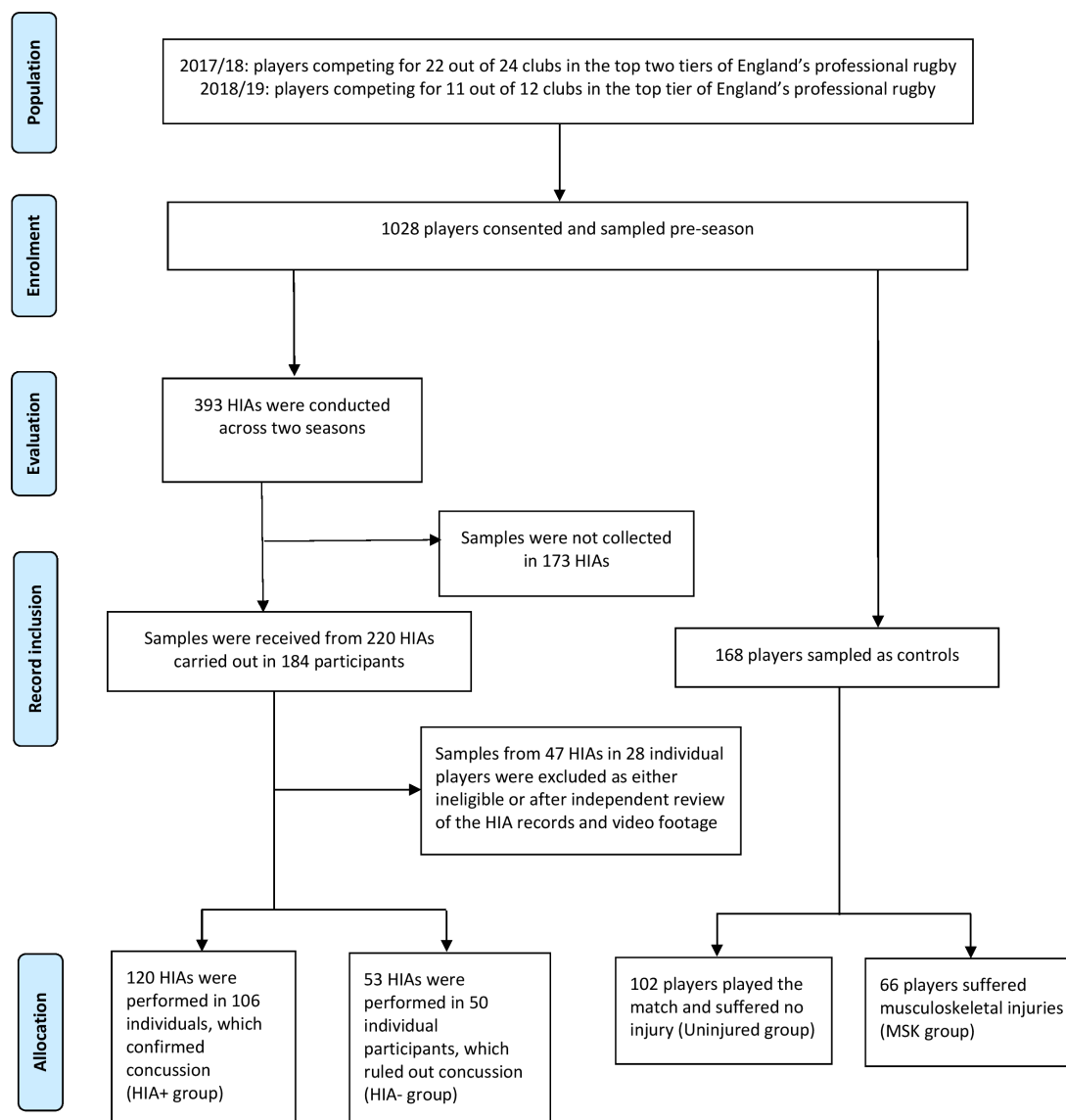


Figure 1 Study profile. Participants were divided into concussion confirmed (HIA+) or ruled out (HIA-) after their head injury assessment (HIA), or controls, represented by players who played in the same game but were uninjured or had had a musculoskeletal injury. Twenty-three HIAs were excluded from the analysis owing to insufficient information to confirm the diagnosis from the HIA records and/or video footage after independent review. Twenty-four further HIAs were ineligible for inclusion, as the samples failed quality control checks.

RNA (tRNA), YRNA and piwiRNA (piRNA) have emerged as new candidate biomarkers in several pathologies. The role of the majority of these molecules is not fully understood, but it is evident that 90% of our genome encoding for untranslated RNA has functional activity in normal biology and in pathological conditions.^{25–27}

In professional male rugby union in England, clinicians' evaluations of athletes who have sustained a head injury with the potential to result in concussion are supported by a standardised head injury assessment (HIA) protocol,²⁸ providing an excellent setting in which to examine biomarkers of concussion and clinical outcomes.

We assessed sncRNAs as potential salivary biomarkers of sport-related concussion in professional male rugby players, with the following objectives

- **Biomarkers identification:** To discover whether any sncRNAs are differentially expressed in players with a clinical diagnosis of concussion compared with other groups.

- **Longitudinal analysis:** To evaluate the time course of the sncRNA expression response in players with a clinical diagnosis of concussion.

- **Biomarkers validation and predictive model:** To determine whether a combination of sncRNAs can predict the outcome of a structured professional clinical assessment.

METHODS

The SCRUM study

Ethics

The Study of Concussion in Rugby Union through MicroRNAs (SCRUM) is a prospective, observational cohort study in which cases and controls are compared, and is part of the REpetitive COncussion in Sport (RECOS) research programme.²⁹ The study was carried out in the highest two tiers of senior male professional domestic rugby union in England in the 2017–2018 and 2018–2019 seasons. The study was authorised by the University

Table 1 Participants' demographics

Characteristics	HIA+ group (n=106)	HIA- group (n=50)	Uninjured group (n=102)	MSK group (n=66)	Test statistic F or χ^2	P value
Age, mean (SD), years	27.1 (4.0)	27.5 (3.4)	26.4 (4.1)	25.9 (3.6)	2.25	0.08
Height, mean (SD), cm	186 (7)	188 (7)	186 (8)	187 (6)	0.43	0.73
Weight, mean (SD), kg	104.1 (11.9)	106.5 (12.7)	105.3 (12.7)	106.3 (12.2)	0.57	0.64
Minutes Played, mean (SD), min	37.8 (23.1)	54.6 (18.6)	52.8 (27.3)	55.6 (23.1)	10.38	*0.00
Ethnicity, No (%)						
White (British/Irish/other)	72 (82%)	35 (76%)	74 (84%)	48 (84%)		
Black or black British	2 (2%)	2 (4%)	3 (3%)	3 (5%)		
Other ethnic group	14 (16%)	9 (20%)	14 (15%)	6 (11%)		

Age, height, weight and ethnicity (where disclosed) of participants.

of Birmingham research ethics committee and by the East of England NHS ethics committee (ref. 11-0429AP28). All participants gave written informed consent in accordance with the Declaration of Helsinki. The study was preregistered with the International Standard Randomised Controlled Trials Number in February 2018 (ISRCTN16974791), it followed the Strengthening the Reporting of Observational Studies in Epidemiology (STROBE) reporting guideline, and the methodology, including the analysis plan, was published in 2018.³⁰

Participants

A total of 1028 participants were recruited to the study (figure 1). In season 1 (2017–2018), players from 11 (out of 12) England's Premiership (highest league) and 11 (out of 12) Championship (second league) clubs participated. One Championship club subsequently withdrew after the baseline sample collection owing to lack of resources to support the study. In season 2 (2018–2019) players from 11 (out of 12) Premiership clubs took part. Championship clubs were involved in a separate evaluation of concussion prevention.³¹ During and after games, following evaluation using the HIA protocol, participants for whom concussion was confirmed were categorised as HIA+ and participants for whom concussion was ruled out were categorised as HIA-. Uninjured players from the same game, matched for number of minutes played (uninjured controls), and players removed owing to musculoskeletal injuries (MSK controls) provided control samples. Participant characteristics are shown in table 1.

Study design

Saliva samples were collected preseason (baseline, B). During games, if a player required an HIA, saliva was collected per protocol. World Rugby (the International Federation) sanctioned an extension of the time permitted for an in-game HIA assessment from 10 min to 13 min to allow for saliva collection. In-game samples (T1), were followed by post-game (T2) and 36–48 hours post-game (T3) samples. Uninjured and MSK controls provided samples at T2 and T3 time points. In season 2, samples were not collected at T1 because sufficient data at this time point had been collected in season 1, and because T2 is the pre-established time point of the study.³⁰

HIA protocol

The HIA protocol has been described in detail,²⁸ and is a three time point multimodal assessment process performed by trained team physicians that incorporates: (i) HIA1: in-game, immediate removal of players showing clear signs of concussion, or, where a meaningful head impact has occurred without clear signs of concussion, a temporary substitution to allow

the team physician to perform an off-field medical assessment aligned with the Sports Concussion Assessment Tool version 5 (SCAT5),³² (ii) HIA2: post-game medical assessment within 3 hours of a head impact supported by the SCAT5, and (iii) HIA3: medical assessment 36–48 hours after a head impact to monitor clinical progress and to confirm or refute the diagnosis of concussion, supported by the SCAT5. If there is no suspicion of concussion following the HIA1, the player can return to play, but they still complete HIA2 and HIA3 assessments. All medical staff involved in the delivery of the HIA protocol undertake annual mandatory training and weekly formal review (supported by video); governance and disciplinary processes are in place to monitor compliance. In order to ensure a consistent diagnostic standard for the study, at the end of each season the full HIA protocol documentation for each player assessed for concussion and (where available) the video footage of the inciting head injury were reviewed independently against the HIA protocol by two experienced sports medicine doctors. They were blinded to any laboratory results and adjudicated each incident as HIA+ or HIA- or recommended exclusion of the incident due to insufficient or conflicting evidence. This resulted in the exclusion of 47 HIAs (figure 1).

Saliva collection

Saliva (2 mL) was collected in by passive drool in Oragene-RNA RE-100 saliva self-collection kits (DNA Genotek) containing an RNA stabilising solution preserving the samples for up to 8 weeks. In the laboratory, samples were processed according to the manufacturer's protocol for storage. During the second season, the RE-100 kits were discontinued and replaced with an equivalent product (CP-190), which was used from January 2018.

Biomarkers identification

Next generation sequencing

In order to discover any sncRNAs that might be implicated in the response to concussion in an unbiased way, NGS was performed using 15 baseline samples, 15 HIA+ post-game (T2) samples and 20 control T2 samples (10 MSK and 10 uninjured controls) from season 1. The false discovery rate was minimised using the Benjamini-Hochberg procedure, which allowed the strongest candidates, in terms of fold change and significance, to be taken forward for quantitative PCR (qPCR) analysis. No fold-change cut-off point was selected. Details of the full NGS procedure are provided in the online supplemental material.

sncRNA qPCR data analysis in season 1

To measure accurately the candidate biomarkers identified by the NGS, qPCR analysis was carried out on all available samples from season 1 at QIAGEN Genomic Services, (Germany). This included 393 in-match and post-match samples and 176 corresponding baseline samples. qPCR normalisation was performed based on the average of hsa-miR-29c-3p and hsa-let-7b-5p, the two most stable miRs identified across all samples by Normfinder software. The full qPCR procedure is described in the supplementary material.

Normalised ΔC_q values were checked for normal distribution and then two-tailed independent-samples t-tests were performed to compare means of pair groups (HIA+ vs HIA- at T1, T2 and T3; HIA+ vs uninjured at T2 and T3; HIA+ vs MSK at T2 and T3; univariate analysis). In HIA+ samples, two-tailed paired-samples t-tests were performed to compare the means of baseline and concussion levels of the same subjects. Accuracy is reported as area under the curve (AUC) on a receiver operating characteristics plot.

Time course of concussion biomarkers

Analysis of variance was performed in HIA+ and HIA- groups over time (baseline, T1, T2 and T3). Comparisons across multiple time points were evaluated using post-hoc Tukey's honestly significant difference test. A corrected $p < 0.05$ was considered significant.

Biomarker validation and predictive model

sncRNA qPCR data analysis in season 2

In season 2, all significantly expressed biomarkers identified in season 1 across the different comparisons (HIA+ vs HIA-; HIA+ vs uninjured; HIA+ vs MSK) were analysed on a total 137 samples by qPCR as described in the supplementary material. t-Tests were performed for each group comparisons.

Development and testing of the multivariate predictive model

The concussion classification accuracy of the sncRNAs selected in season 1 (HIA+ vs HIA-, uninjured and MSK combined at T2) was evaluated using stepwise forward multivariable logistic regression analysis. This was used to select a panel of biomarkers from season 1 data (training dataset) to combine in a predictive algorithm using the logit function ($\text{intercept} + \beta_1 X_1 + \beta_2 X_2 + \dots + \beta_{14} X_{14}$, where β is the unstandardised beta weight and X is the measured concentration for the biomarker), and calculate the predicted probability ($e^{\text{logit}} / (1 + e^{\text{logit}})$) and group membership (cut-off point ≥ 0.5 probability for concussion) for each player. The algorithm was subsequently prospectively tested on the independent season 2 data (test dataset) by replacing X_i with the new biomarker concentration values and blindly calculating predicted probability and group membership for each player. Goodness of fit was confirmed with the Hosmer-Lemeshow test. Accuracy was determined by measuring the AUC of the predicted group membership against the actual membership for the combined panel. Demographic data (age, height, weight) were then included in the regression model as covariates.

Target prediction and KEGG pathway analysis

To evaluate the plausibility of the microRNA biomarkers identified by this analysis, their biological targets and Kyoto Encyclopedia of Genes and Genomes (KEGG) pathways were assessed using the mirPath v.3 tool on DIANA tools via microT-CDS (v5.0) prediction,³³ and selecting the following criteria: false

discovery rate correction; p value threshold < 0.05 ; MicroT threshold 0.8.

RESULTS

Data collection

Across the two seasons 393 HIAs were conducted in 184 players. Samples from 47 HIAs on 28 individual players were excluded as either ineligible or after independent review of the HIA records and video footage. Following clinical assessment, concussion was confirmed (HIA+) in 106 players and ruled out (HIA-) in 50. Compliance was 56% in both seasons.

Biomarkers identification

Next generation sequencing and data reduction

In season 1, NGS identified 38 known microRNAs, 233 putative-miRs (put-miR) and 168 other small RNAs as being differentially expressed at T2 between HIA+ samples and MSK/uninjured control samples (online supplemental material eTable 1).

HIA+ samples were then compared with HIA-, uninjured and MSK groups at different time points, as well as baseline samples, using qPCR. The initial analysis included 193 samples. Based on the strength of discrimination of concussed subjects, 32 known microRNAs, 34 put-miRs and 28 other small-RNAs were selected for further analysis in 376 further samples (ie, 569 samples in total). Of the 94 sncRNAs, 31 had $> 30\%$ missing values and were removed. Among the remaining 63 sncRNAs, listed in online supplemental material eTable 2, the percentage of missing data was low (mean 3.2%, median 0%). These were used for statistical comparisons between the different groups and time points. A heat map representing the average value of the concentrations of the sncRNAs across different groups and sample time points is shown in figure 2.

sncRNA qPCR data analysis in season 1.

A large number of sncRNAs were significantly over- or under-expressed in concussed players compared with the other groups, at all post-injury time points, with several members of the let-7 and RNU6 families standing out in this analysis. The results are illustrated diagrammatically in figure 3. Let-7f-5p presented the highest AUC (0.89) and p value < 0.001 at both time points T2 and T3.

The full data on AUC, CI, count, ΔC_q (mean \pm SD), $\Delta \Delta C_q$, fold change, t-test p value and power analysis for each comparison of individual biomarkers at time point T1, T2 and T3 are provided in the supplementary material (online supplemental material eTable 3–6).

Biomarker time course

Thirty-two different biomarkers were identified as differentially expressed between HIA+ and HIA- groups when compared at T1, T2 and T3 (online supplemental eTable 3–5). These 32 biomarkers were longitudinally evaluated across the different time points with reference to their baseline values. In the concussed group, as shown in figure 4, there was significant interaction of several biomarkers with time from injury, with 14 sncRNAs overexpressed and five underexpressed following concussion with respect to baseline values. The greatest effect of time ($p < 0.001$) was found for let-7a-5p, let-7f-5p, miR-107, miR-148a-3p, miR-135b-5p, miR-21-5p, miR-34b-3p, miR-103a-3p and RNU6-45, which were overexpressed, and miR-1246, which was underexpressed. Conversely, in the HIA- group the biomarkers remained much closer to their baseline values throughout all time points after injury.

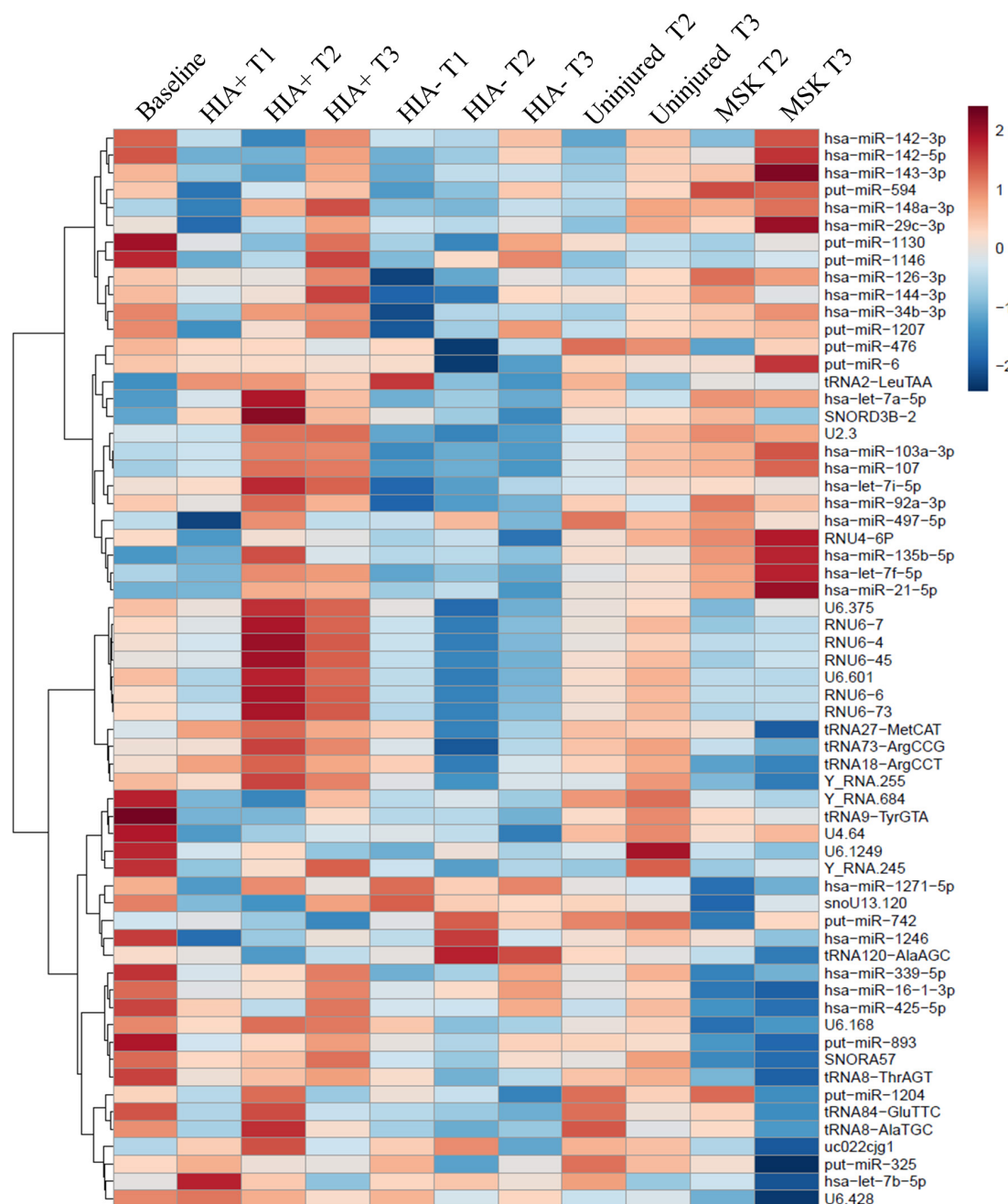


Figure 2 Heat map representing the average value of the concentrations of the miRNAs across different groups. Hierarchical clustering was performed across groups and miRNAs to check the similar behaviour of the miRNAs. HIA, head injury assessment; MSK, musculoskeletal.

Biomarker validation and predictive model

sncRNA qPCR data analysis in season 2

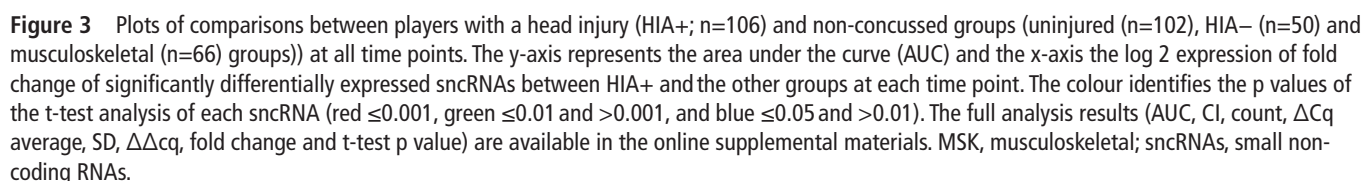
To validate the candidate sncRNAs prospectively, 137 saliva samples were analysed by qPCR in season 2. Although the sample size of season 2 was smaller, several biomarkers found to be differentially expressed between groups in season 1 were confirmed to be statistically significantly different across the same comparisons. Results are reported in online supplemental eTables 3–5. Let-7f-5p was confirmed as discriminating between HIA+ and HIA– ($p=0.007$) with an AUC of 0.80 (95% CI 0.62 to 0.97) at 36–48 hours only.

Multivariate predictive model performance

The logistic regression analysis identified 14 biomarkers (let-7a-5p, miR-143-3p, miR-103a-3p, miR-34b-3p, RNU6-7,

RNU6-45, Snora57, snoU13.120, tRNA18Arg-CCT, U6-168, U6-428, U6-1249, Uco22cg1 and YRNA_255) as offering the highest accuracy. The algorithm of the combined 14-biomarker panel showed accuracy of 0.91 (95% CI 0.81 to 1, $p<0.001$) at differentiating concussion (HIA+) from other groups (HIA–, uninjured and MSK combined) at T2 (figure 5 and online supplemental eTable 7) in the training dataset.

When prospectively tested on season 2 (test dataset), the algorithm was highly accurate in classifying HIA+ from controls (HIA–, uninjured and MSK combined) at T2 (AUC 0.96, 95% CI 0.92 to 1, $p<0.001$) and T3 (AUC 0.93, 95% CI 0.86 to 1, $p<0.001$). Summary statistics for the 14 biomarkers are presented in online supplemental eTable 8. The inclusion of demographic data in the model showed no evidence of multicollinearity.



KEGG pathway analysis was performed using the microRNAs identified in the comparison HIA+ vs HIA- at T1, T2 and T3. The pathway analysis revealed a strong association between let-7 family members and the extracellular matrix (ECM) receptor interactions pathway, as well as a strong relation between miR-103a-5p and miR-107 and fatty acid metabolism/biosynthesis. The full results are presented in online supplemental eFigures 1, 2 and 3.

Current evaluation of sport-related concussion is largely based on the reporting of symptoms. Biomarkers are not used in this field at present but may prove useful for corroborating the diagnosis. In this study, we sought to investigate the role of salivary sncRNAs as a new class of molecules to serve as potential biomarkers of sport-related concussion. We assessed these against a standard tool used to diagnose concussion in professional rugby. It is important to note, however, that biomarkers are the expression of biological changes, which may correlate with clinical outcomes, just as clinical outcomes may be reasonable proxies for underlying biological changes, but that these are not replacements for each other.

concussion after examination. In particular let-7f-5p offered good discrimination between HIA+ and HIA- (AUC 0.89 in season 1 and AUC 0.80 in season 2) at 36–48 hours. We also identified significant dynamic changes in the concentration of sncRNAs between time points post-concussion, suggesting that the biological response to injury evolves rapidly, as far as sncRNAs and the cellular processes that these post-transcriptionally regulate are concerned.

Finally, the key finding of this study was the identification of a panel of 14 different biomarkers that accurately predicted clinical diagnosis of concussion in professional rugby players (with an AUC of 0.96 for the classification of HIA+ from controls immediately post-game), when prospectively tested on independent data.

Taken together, sncRNAs have the potential to provide insight into pathophysiological responses in concussion and to be potentially clinically useful in the diagnosis of concussion.

The biological plausibility of the biomarkers is corroborated by the notion that among the identified biomarkers, several have previously been associated with concussion/TBI or related pathologies, such as mir-21, an anti-inflammatory regulator, playing crucial role in the central nervous system^{34–36}; miR-144, which promotes β -amyloid accumulation by suppressing ADAM10 expression³⁷; miR-1246 recently described as potential candidate of concussion²¹; miR-135b-5p previously identified by our group as salivary biomarker of concussion¹⁹ and the let-7

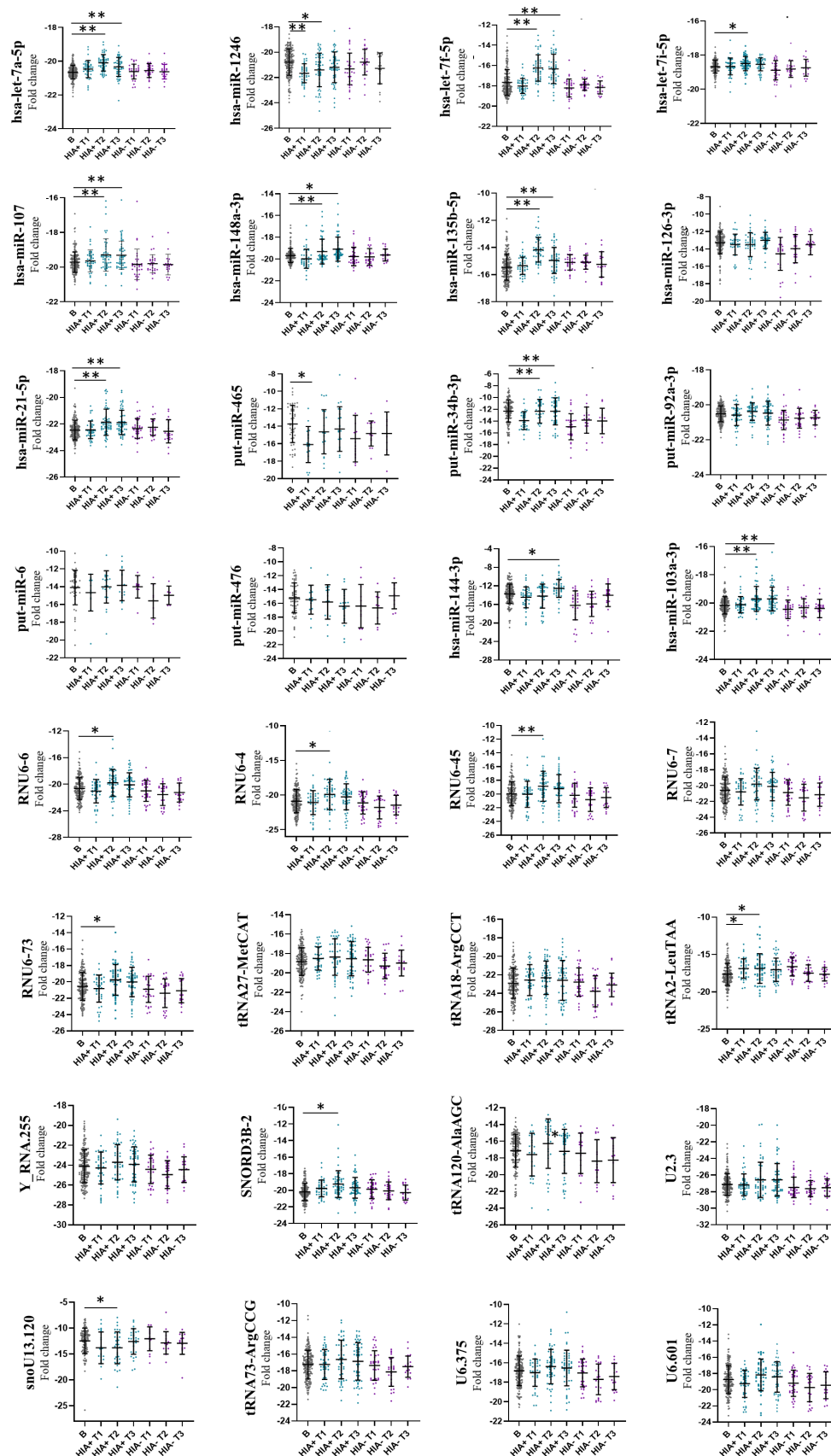


Figure 4 Longitudinal analysis. Thirty-two biomarkers selected as differentially expressed in the comparison HIA+ versus HIA– were used for the longitudinal analysis. Analysis of variance was performed in HIA+ and HIA– groups over time (T1, T2 and T3) and compared with baseline. Comparisons across multiple time points were evaluated using post-hoc Tukey's honestly significant difference test. *Significantly different from baseline $p < 0.05$; **significantly different from baseline $p < 0.001$. HIA, head injury assessment.

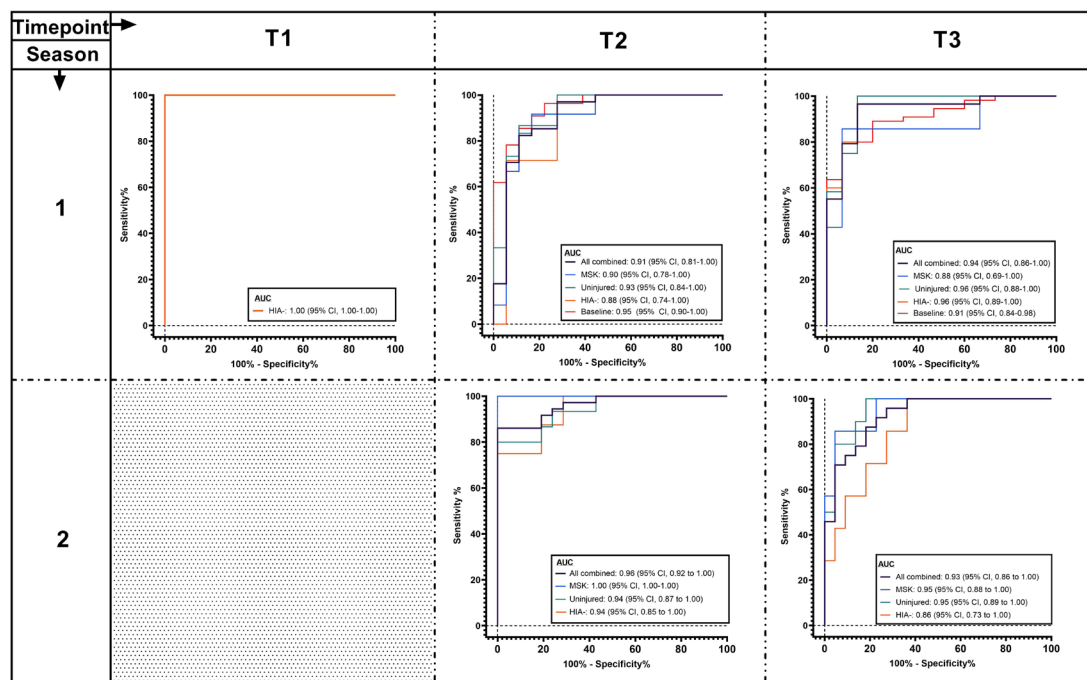


Figure 5 Receiver operating characteristic curve results for a panel of 14 combined small non-coding RNAs differentiating players with confirmed concussion after the head injury assessment (HIA+) from other groups, including players who underwent a head injury assessment but had concussion ruled out (HIA-, orange line); Uninjured players from the same game who played a comparable number of minutes to those of the HIA players (uninjured, green line); players who were removed from the game due to musculoskeletal injuries (MSK, blue line); HIA-, uninjured and MSK groups combined (all combined, black line); and preseason values for concussed players (baseline, red line). The curves are shown for all time points (T1=during the game; T2=immediately after the game), and T3=36–48 hours after the game). Season 1 represents the training dataset and season 2 the test dataset (HIA+, HIA-, uninjured and MSK groups, as well as HIA-, uninjured and MSK groups combined) for the logistic regression model. Samples were not collected during the game in season 2.

family, including let-7i-5p, let-7b, let-7a-3p, let-7c-5p,^{19 22 23 38 39} which is an important family of neuroinflammatory modulators showing an involvement in Alzheimer's disease and in major depressive episodes.^{40 41} Bioinformatically, we showed a strong association between let-7 family members and the ECM receptor interactions pathway. Changes in levels of members of the let-7 family were reported in previous studies in concussion, and integrity and changes in the ECM have been observed in brain injury,⁴² and other neuropathologies.⁴³ Another strong relation between miR-103a-5p and miR-107 and fatty acid metabolism/biosynthesis was also evident. This is intriguing, as a shift from glucose to fatty acid metabolism has been described in the transcriptomic response to mild TBI.⁴⁴ These initial findings highlight the potential for sncRNAs in developing a greater understanding of the pathophysiological response to concussion.

SncRNAs are stable and straightforward to assess. In addition, the choice of saliva as a non-invasive fluid allows for rapid and well-tolerated pitch-side and post-game collection at very early and specific time points. It is proposed that saliva can receive exosomal miRNAs directly from cranial nerves in the oropharynx, and as such there is a rapid response within saliva after TBI, making them particularly suitable for a pitch-side diagnosis.⁴⁵ The development of point-of-care testing for salivary sncRNAs would offer the prospect of a test for concussion that can support clinical decision-making in sport.

Strengths and limitations

It is important to note that although the number of players recruited to participate in the study is over 1000, the number of players who are regular starting players in each team, exposed

to potential concussions every week, is much smaller. Therefore, a small number of players appeared more than once in different groups or less frequently in the same group. This can positively or negatively affect the magnitude of group differences.

Including uninjured players and players with musculoskeletal injuries as controls avoids the pitfalls of some previous biomarker research by ruling out the possibility that putative concussion biomarkers may come from other sources. We also analysed the baseline preseason samples of cases and controls to account for the potential influence of pre-existing factors. This led to the selection of a narrow panel of sncRNA biomarkers that are likely to represent a true signature of concussion. As sncRNAs appear to act in a concerted manner, analysis of multiple interacting pathways is likely to provide a more accurate description of the response to a specific insult than individual biomarkers. This adds complexity when constructing a test, with an inherent risk of overfitting when testing multiple possible combinations. We sought to reduce the risk of overfitting by confirming the expression of our biomarkers across independent datasets from two separate seasons.

The study benefitted from not using a selected sample but instead recruiting all available players who were exposed to head injury with the potential to result in concussion from 22 of 24 of the clubs participating in the top two tiers of English professional rugby. On the basis that participation was voluntary, and given the complexity of carrying out research procedures in a competitive sport environment, we were pleased with the overall 56% compliance. However, we cannot ascertain whether the remaining incidents were missed at random or systematically and how the results might have changed if samples had been

Summary box

What are the findings?

- ▶ This study, conducted in a professional contact sport setting, has identified and prospectively validated both single candidates and a panel of small non-coding RNA salivary biomarkers of concussion.
- ▶ Let-7f-5p could distinguish players with confirmed concussion from those for whom concussion was subsequently ruled out after structured head injury assessments with an area under the curve (AUC) up to 0.89 at 36–48 hours from injury.
- ▶ A combination of 14 salivary biomarkers was highly accurate (AUCs 0.96 immediately post-game and 0.93 at 36–48 hours post-game) at identifying concussed players from all other groups, including players with suspicion of traumatic brain injury who had a concussion ruled out after a structured head injury assessment, uninjured controls from the same game and players who had had musculoskeletal injuries.

How might it impact on clinical practice in the near future?

- ▶ The biology of concussion is still not fully understood. Small non-coding RNAs provide further insights into the response to injury as this evolves from immediately after the event to several hours later.
- ▶ Concussion can be hard to diagnose and is often missed, especially where a structured evaluation by an expert clinician is not possible—for example, at grass-root level. Small non-coding RNAs can provide a diagnostic tool that might reduce the risk of missing this type of injury at all levels of participation.
- ▶ In community sport, salivary small non-coding RNAs may provide a non-invasive diagnostic test that is comparable in accuracy to the level of assessment available in a professional sport setting.
- ▶ At an elite level of participation, this diagnostic tool may become an adjunct to current head injury evaluation protocols.

included from these. For the clinical translation of the study, we would of course expect compliance to be much higher if these procedures were formally a part of a concussion management plan, as opposed to a research protocol.

The HIA protocol, used here as the current operational ‘gold standard’ for the diagnosis of concussion in elite rugby union, provides the clinical outcome comparison for the assessment of the biomarkers. The standardised clinical assessment, diagnosis and reporting of concussion by team physicians specifically trained in the application of the HIA protocol, with subsequent independent review of all cases, provides a high standard of clinical evaluation of concussion, plausibly explaining the good concordance between clinical diagnosis and biomarker expression. It is clear that in this study design the biomarkers cannot outperform the clinical assessment. As a consequence, we believe the biomarkers are likely to prove most useful in non-professional sport settings, where the overwhelming majority of sport-related concussions occur. In these settings, access to trained healthcare professionals is limited both during and after the game and it is recommended that the presence of a single symptom or sign of concussion in the context of a head injury should result in concussion being suspected.

Finally, a limitation of the study is that it includes only male elite players, which could potentially affect the generalisability of its findings.

CONCLUSION: IMPLICATIONS FOR THE FIELD

The detection of signatures of concussion at early time points in saliva (a non-invasively sampled biofluid) presents both at the pitch side, and in primary care and emergency medicine departments, an opportunity to develop a new and objective diagnostic tool for this common clinical presentation. In addition, sncRNAs may be an important tool in developing understanding of the pathophysiology of concussion.

Author affiliations

- ¹University of Birmingham, Institute of Inflammation and Ageing, Birmingham, UK
- ²NIHR Surgical Reconstruction and Microbiology Research Centre, University Hospitals Birmingham NHS Foundation Trust, Birmingham, UK
- ³Marker Diagnostics UK Limited, the BioHub, Birmingham research park, Birmingham, UK
- ⁴College of Medical and Dental Sciences, Institute of Cancer and Genomic Sciences, Centre for Computational Biology, University of Birmingham, Birmingham, UK
- ⁵Institute of Translational Medicine, University Hospitals Birmingham NHS Foundation Trust, Birmingham, UK
- ⁶S&P Statistics and Psychometrics Ltd, Rome, Italy
- ⁷Division of Anaesthesia, University of Cambridge, Cambridge, UK
- ⁸Department for Health, University of Bath, Bath, UK
- ⁹Premier Rugby Limited, Twickenham, London, UK
- ¹⁰Rugby Football Union, Twickenham, London, UK
- ¹¹Faculty of Epidemiology and Public Health, London School of Hygiene and Tropical Medicine, London, UK

Twitter Valentina Di Pietro @ValentinaTBI, Keith A Stokes @drkeithstokes and Simon PT Kemp @drsimonkemp

Acknowledgements We thank World Rugby for allowing a 3-minute extension to the HIA1 protocol in 2017–2018 to accommodate the study procedures. We are grateful to Dr Richard Tingay (RT) for his assistance with the independent review of the videos of the incidents. We thank the players and clubs who participated in this project and completed study procedures under challenging time pressures.

Contributors AB and VDP had full access to all data in the study and take the responsibility for the integrity of the data and the accuracy of the data analysis. AB, VDP, POH, SK: concept and design. VDP, CW, GB: acquisition, analysis and interpretation. POH, KY, CB, DD, KS, MC, SK: participant recruitments and data collection. AA, PI, GC: statistical analysis. DM, KS, MC: study steering group. VDP, AB, KS: drafting the manuscript. All authors: critical revision of the manuscript for important intellectual content.

Funding The study was funded by the National Institute for Health Research (NIHR), Medical Research Council (MRC), Rugby Football Union, Midland Neuroscience Teaching and Research Fund (MNTRF) and Marker Diagnostics. The NIHR, MRC and MNTRF had no role in the study design; in the collection, analysis and interpretation of data; in the writing of the report; and in the decision to submit the paper for publication. This study was supported by the National Institute for Health Research Surgical Reconstruction and Microbiology Research Centre (NIHR SRMRC). The views expressed are those of the authors and not necessarily those of the NIHR, the Department of Health and Social Care or the other funders.

Competing interests AB and VDP are founding members and shareholders of Marker Diagnostics, a spinout company of the University of Birmingham. GB and POH are currently employed by Marker Diagnostics. SK and KS are employed by the RFU, the National Governing Body for the game in England. The RFU has a financial interest in the intellectual property connected to the biomarkers here described. MC is employed by Premiership Rugby.

Patient consent for publication Not required.

Ethics approval The study was authorised by the University of Birmingham Research Ethics Committee and by the East of England NHS Ethics Committee (Ref. 11-0429AP28).

Provenance and peer review Not commissioned; externally peer reviewed.

Data availability statement Data are available upon reasonable request. All data relevant to the study are included in the article or uploaded as supplementary information. Deidentified participant data available on request. Please contact the

corresponding authors at the following address: v.dipietro@bham.ac.uk; a.belli@bham.ac.uk.

Supplemental material This content has been supplied by the author(s). It has not been vetted by BMJ Publishing Group Limited (BMJ) and may not have been peer-reviewed. Any opinions or recommendations discussed are solely those of the author(s) and are not endorsed by BMJ. BMJ disclaims all liability and responsibility arising from any reliance placed on the content. Where the content includes any translated material, BMJ does not warrant the accuracy and reliability of the translations (including but not limited to local regulations, clinical guidelines, terminology, drug names and drug dosages), and is not responsible for any error and/or omissions arising from translation and adaptation or otherwise.

Open access This is an open access article distributed in accordance with the Creative Commons Attribution 4.0 Unported (CC BY 4.0) license, which permits others to copy, redistribute, remix, transform and build upon this work for any purpose, provided the original work is properly cited, a link to the licence is given, and indication of whether changes were made. See: <https://creativecommons.org/licenses/by/4.0/>.

ORCID iDs

Valentina Di Pietro <http://orcid.org/0000-0001-9430-4723>

Keith A Stokes <http://orcid.org/0000-0002-5049-2838>

Simon PT Kemp <http://orcid.org/0000-0002-3250-2713>

REFERENCES

- McCrory P, Meeuwisse W, Dvořák J, et al. Consensus statement on concussion in sport—the 5th international conference on concussion in sport held in Berlin, October 2016. *Br J Sports Med* 2017;51:838–47.
- Echemendia RJ, Meeuwisse W, McCrory P, et al. The Sport Concussion Assessment Tool 5th edition (SCAT5): background and rationale. *Br J Sports Med* 2017;51:848–50.
- Mucha A, Collins MW, Elbin RJ, et al. A brief Vestibular/Ocular Motor Screening (VOMS) assessment to evaluate concussions: preliminary findings. *Am J Sports Med* 2014;42:2479–86.
- Vagnozzi R, Tavazzi B, Signoretti S, et al. Temporal window of metabolic brain vulnerability to concussions: mitochondrial-related impairment-part I. *Neurosurgery* 2007;61:379–88.
- Vagnozzi R, Signoretti S, Tavazzi B, et al. Temporal window of metabolic brain vulnerability to concussion: a pilot 1H-magnetic resonance spectroscopic study in concussed athletes-part III. *Neurosurgery* 2008;62:1286–95.
- Jones CMC, Harmon C, McCann M, et al. S100B outperforms clinical decision rules for the identification of intracranial injury on head CT scan after mild traumatic brain injury. *Brain Inj* 2020;34:407–14.
- Czeiter E, Amrein K, Gravesteyn BY, et al. Blood biomarkers on admission in acute traumatic brain injury: relations to severity, CT findings and care path in the CENTER-TBI study. *EBioMedicine* 2020;56:102785.
- Okonkwo DO, Puffer RC, Puccio AM, et al. Point-of-care platform blood biomarker testing of glial fibrillary acidic protein versus S100 calcium-binding protein B for prediction of traumatic brain injuries: a transforming research and clinical knowledge in traumatic brain injury study. *J Neurotrauma* 2020;37:2460–7.
- Pattinson CL, Meier TB, Guedes VA, et al. Plasma biomarker concentrations associated with return to sport following sport-related concussion in collegiate athletes—a Concussion Assessment, Research, and Education (CARE) consortium study. *JAMA Netw Open* 2020;3:e2013191.
- Shahim P, Politis A, van der Merwe A, et al. Time course and diagnostic utility of NFL, tau, GFAP, and UCH-L1 in subacute and chronic TBI. *Neurology* 2020;95:e623–36.
- Bazarian JJ, Biberthaler P, Welch RD, et al. Serum GFAP and UCH-L1 for prediction of absence of intracranial injuries on head CT (ALERT-TBI): a multicentre observational study. *Lancet Neurol* 2018;17:782–9.
- Papa L, Brophy GM, Welch RD, et al. Time course and diagnostic accuracy of glial and neuronal blood biomarkers GFAP and UCH-L1 in a large cohort of trauma patients with and without mild traumatic brain injury. *JAMA Neurol* 2016;73:551–60.
- Welch RD, Ayaz SI, Lewis LM, et al. Ability of serum glial fibrillary acidic protein, ubiquitin C-terminal hydrolase-L1, and S100B to differentiate normal and abnormal head computed tomography findings in patients with suspected mild or moderate traumatic brain injury. *J Neurotrauma* 2016;33:203–14.
- Papa L, Slobounov SM, Breiter HC, et al. Elevations in microRNA biomarkers in serum are associated with measures of concussion, neurocognitive function, and subconcussive trauma over a single national collegiate athletic association division I season in collegiate football players. *J Neurotrauma* 2019;36:1343–51.
- Qin X, Li L, Lv Q, et al. Expression profile of plasma microRNAs and their roles in diagnosis of mild to severe traumatic brain injury. *PLoS One* 2018;13:e0204051.
- Di Pietro V, Ragusa M, Davies D, et al. Micronas as novel biomarkers for the diagnosis and prognosis of mild and severe traumatic brain injury. *J Neurotrauma* 2017;34:1948–56.
- Mitra B, Rau TF, Surendran N, et al. Plasma micro-RNA biomarkers for diagnosis and prognosis after traumatic brain injury: a pilot study. *J Clin Neurosci* 2017;38:37–42.
- Bhomia M, Balakathiresan NS, Wang KK, et al. A panel of serum miRNA biomarkers for the diagnosis of severe to mild traumatic brain injury in humans. *Sci Rep* 2016;6:28148.
- Di Pietro V, Porto E, Ragusa M, et al. Salivary microRNAs: diagnostic markers of mild traumatic brain injury in contact-sport. *Front Mol Neurosci* 2018;11:290.
- Hicks SD, Olympia RP, Onks C, et al. Saliva microRNA biomarkers of cumulative concussion. *Int J Mol Sci* 2020;21:7758.
- Hicks SD, Onks C, Kim RY, et al. Diagnosing mild traumatic brain injury using saliva RNA compared to cognitive and balance testing. *Clin Transl Med* 2020;10:e197.
- LaRocca D, Barnes S, Hicks SD, et al. Comparison of serum and saliva miRNAs for identification and characterization of mTBI in adult mixed martial arts fighters. *PLoS One* 2019;14:e0207785.
- Johnson JJ, Loeffert AC, Stokes J, et al. Association of salivary microRNA changes with prolonged concussion symptoms. *JAMA Pediatr* 2018;172:65–73.
- Hicks SD, Johnson J, Carney MC, et al. Overlapping microRNA expression in saliva and cerebrospinal fluid accurately identifies pediatric traumatic brain injury. *J Neurotrauma* 2018;35:64–72.
- Lee YS, Shibata Y, Malhotra A, et al. A novel class of small RNAs: tRNA-derived RNA fragments (tRFs). *Genes Dev* 2009;23:2639–49.
- Martens-Uzunova ES, Olvedy M, Jenster G. Beyond microRNA—novel RNAs derived from small non-coding RNA and their implication in cancer. *Cancer Lett* 2013;340:201–11.
- Zhao H, Bojanowski K, Ingber DE, et al. New role for tRNA and its fragment purified from human urinary bladder carcinoma conditioned medium: inhibition of endothelial cell growth. *J Cell Biochem* 1999;76:109–17.
- Fuller CW, Fuller GW, Kemp SPT, et al. Evaluation of World Rugby's concussion management process: results from Rugby World Cup 2015. *Br J Sports Med* 2017;51:64–9.
- Yakoub KM, Davies DJ, Su Z, et al. Investigation into repetitive concussion in sport (RECOS): study protocol of a prospective, exploratory, observational cohort study. *BMJ Open* 2019;9:e029883.
- Yakoub KM, O'Halloran P, Davies DJ, et al. Study of Concussion in Rugby Union through MicroRNAs (SCRUM): a study protocol of a prospective, observational cohort study. *BMJ Open* 2018;8:e024245.
- Stokes KA, Locke D, Roberts S. Does reducing the height of the tackle through law change in elite men's rugby union (The Championship, England) reduce the incidence of concussion? A controlled study in 126 games. *Br J Sports Med* 2019.
- Davis GA. Sport concussion assessment tool - 5th edition. *Br J Sports Med* 2017;51:851–8.
- Vlachos IS, Zagganas K, Paraskevopoulou MD, et al. DIANA-miRPath v3.0: deciphering microRNA function with experimental support. *Nucleic Acids Res* 2015;43:W460–6.
- Li H-J, Pan Y-B, Sun Z-L, et al. Inhibition of miR-21 ameliorates excessive astrocyte activation and promotes axon regeneration following optic nerve crush. *Neuropharmacology* 2018;137:33–49.
- Han Z, Chen F, Ge X, et al. miR-21 alleviated apoptosis of cortical neurons through promoting PTEN-Akt signaling pathway in vitro after experimental traumatic brain injury. *Brain Res* 2014;1582:12–20.
- Miguel-Hidalgo JJ, Hall KO, Bonner H, et al. MicroRNA-21: expression in oligodendrocytes and correlation with low myelin mRNAs in depression and alcoholism. *Prog Neuropsychopharmacol Biol Psychiatry* 2017;79:503–14.
- Sun L, Zhao M, Zhang J, et al. MiR-144 promotes β -amyloid accumulation-induced cognitive impairments by targeting ADAM10 following traumatic brain injury. *Oncotarget* 2017;8:59181–203.
- Balakathiresan N, Bhomia M, Chandran R, et al. MicroRNA let-7i is a promising serum biomarker for blast-induced traumatic brain injury. *J Neurotrauma* 2012;29:1379–87.
- Lv J, Zeng Y, Qian Y, et al. MicroRNA let-7c-5p improves neurological outcomes in a murine model of traumatic brain injury by suppressing neuroinflammation and regulating microglial activation. *Brain Res* 2018;1685:91–104.
- Schulte LN, Eulalia A, Mollenkopf H-J, et al. Analysis of the host microRNA response to Salmonella uncovers the control of major cytokines by the let-7 family. *Embo J* 2011;30:1977–89.
- Teng G-gen, Wang W-hong, Dai Y, et al. Let-7b is involved in the inflammation and immune responses associated with Helicobacter pylori infection by targeting Toll-like receptor 4. *PLoS One* 2013;8:e56709.
- Bonneh-Barkay D, Wiley CA. Brain extracellular matrix in neurodegeneration. *Brain Pathol* 2009;19:573–85.
- Berretta S. Extracellular matrix abnormalities in schizophrenia. *Neuropharmacology* 2012;62:1584–97.
- Di Pietro V, Amorini AM, Tavazzi B, et al. Potentially neuroprotective gene modulation in an in vitro model of mild traumatic brain injury. *Mol Cell Biochem* 2013;375:185–98.
- Atif H, Hicks SD. A review of microRNA biomarkers in traumatic brain injury. *J Exp Neurosci* 2019;13:117906951983228.